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**Intelligent transport systems —  
Communications access for land mobiles  
(CALM) — Security considerations for  
lawful interception**

*Systèmes intelligents de transport — Accès aux communications des services mobiles terrestres (CALM) — Considérations de sécurité pour interception licite*

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## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

In exceptional circumstances, when a technical committee has collected data of a different kind from that which is normally published as an International Standard ("state of the art", for example), it may decide by a simple majority vote of its participating members to publish a Technical Report. A Technical Report is entirely informative in nature and does not have to be reviewed until the data it provides are considered to be no longer valid or useful.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO/TR 11766 was prepared by Technical Committee ISO/TC 204, *Intelligent transport systems*.

# Intelligent transport systems — Communications access for land mobiles (CALM) — Security considerations for lawful interception

## 1 Scope

This Technical Report reviews the ITS landscape and the provisions of lawful interception to ITS deployments. In particular it considers the CALM environment and the services offered in the IPv6 domain served by CALM and ITS in general.

## 2 Conformance

There are no conformance requirements. This clause is included to provide numerical consistency between this Technical Report and other CALM International Standards.

## 3 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 21217, *Intelligent transport systems — Communications access for land mobiles (CALM) — Architecture*

ETSI ES 201 671, *Telecommunications security — Lawful Interception (LI) — Handover interface for the lawful interception of telecommunications traffic*

ETSI TS 101 331, *Telecommunications security — Lawful Interception (LI) — Requirements of Law Enforcement Agencies*

## 4 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 21217 and ETSI ES 201 671 apply.

## 5 Abbreviated terms

CSP	communication service provider
ECN	electronic communication network
ECS	electronic communication service
ITS	intelligent transport systems

IUR	International User Requirement <sup>1)</sup>
LEA	law enforcement agency
LEMF	law enforcement monitoring facility
LI	lawful interception
MF	mediation function
OSS	operations support system
Pol	point of interception

## 6 Overview

### 6.1 General requirement

A lawful interception (LI) capability is required to support the activities of LEAs. The requirements for LI have been developed by LEAs in the IUR and published for the specific needs of telecommunications providers in ETSI TS 101 331. The obligation to support and provide LI facilities applies to any CSP operating either an ECN or an ECS. This Technical Report identifies the consequences for standardization of the provision of LI for CALM-based ITS.

The core requirements in regional regulation that enforce LI are given in Annexes A to C, where the main impact is as follows.

- A CSP should provide mechanisms to ensure the interception and handover of signalling of specific users, if required to by a lawful authority.
- A CSP should provide mechanisms to ensure the interception and handover of the content of communication of specific users, if required to by a lawful authority.

The structure of a CSP is outlined in Figure 1, where providers of ECNs and ECSs are shown as specialisms of the generic CSP.

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1) The IUR is provided as an annex to Reference [11].

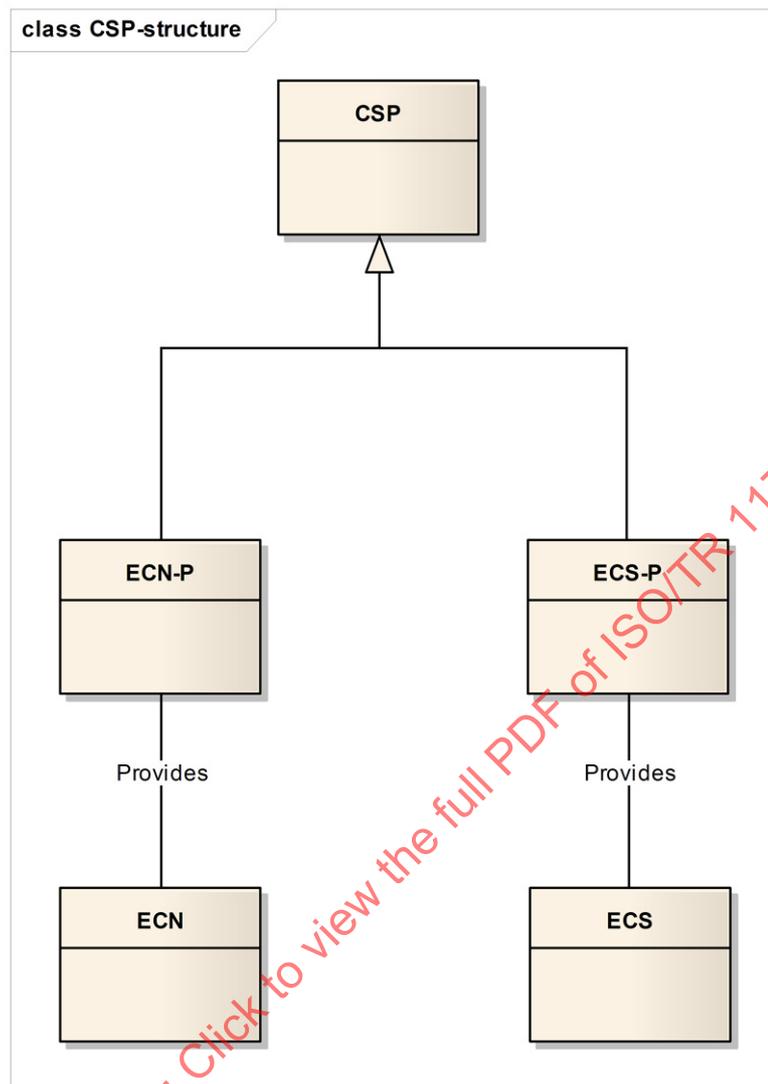


Figure 1 — Structure of CSP relationship to ECN and ECS

## 6.2 Handover domain capabilities in CALM/ITS

The CALM-based ITS network should interface to the LEA and its associated LEMF using the capabilities defined in

- ETSI ES 201 671 (where handover is provisioned over ISDN networks), or
- ETSI TS 102 232-1 <sup>[4]</sup> [where handover is provisioned over packet switched (IP) networks],

or using any appropriate handover interface defined by the LEA.

### 6.3 Interception domain capabilities in CALM/ITS

#### 6.3.1 General

The general architecture for the interception domain (which covers both CALM and ITS) is defined in ETSI ES 201 158 [1] and the generic reference model for the interception domain is defined in ETSI TR 102 528 [3].

The internal intercept functions

- intercept related information internal intercept function (IRI-IIF),
- content of communication internal intercept function (CC-IIF), and
- content of communication trigger function (CCTF),

and the internal interfaces

- INI1, INI2, INI3,
- content of communication trigger interface (CCTI), and
- content of communication control interface (CCCI)

are also adopted for CALM and ITS (see Figure 2).

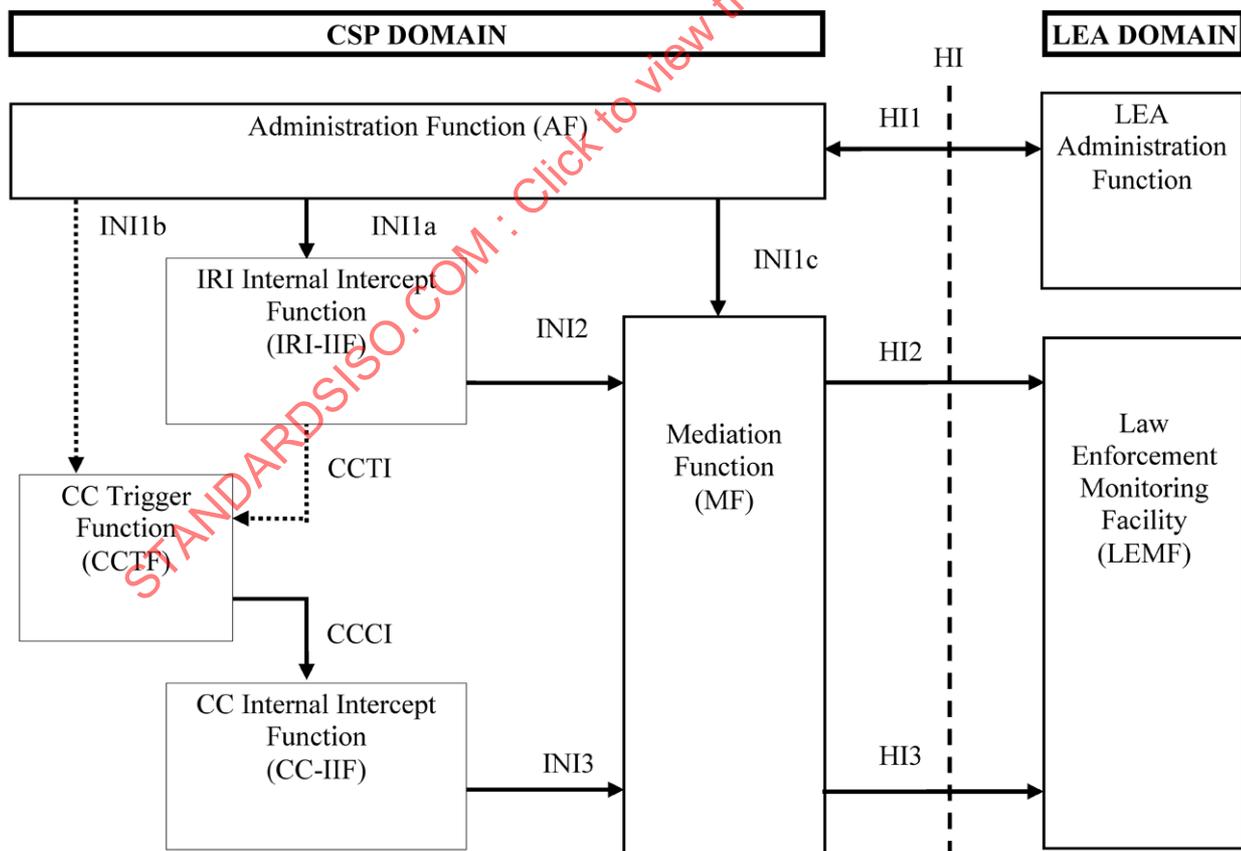


Figure 2 — Reference model for LI based on ETSI TR 102 528

The reference model describes the following functions and interfaces.

- IRI-IIF generates signalling intercept material.
- CC-IIF generates content intercept material.
- CCTF controls the CC-IIF.
- Internal interface INI1 carries provisioning information from the lawful interception administration function (AF) to the internal intercept functions (IIF).
- Internal interface INI2 carries intercept related information (IRI) from the IRI-IIF to the MF.
- Internal interface INI3 carries content of communication (CC) information from the CC-IIF to the MF.
- CCTI carries trigger information from the IRI-IIF to the CCTF.
- CCCI carries controls information from the CCTF to the CC-IIF.

The model for LI is given as a UML class model in Figure 3.

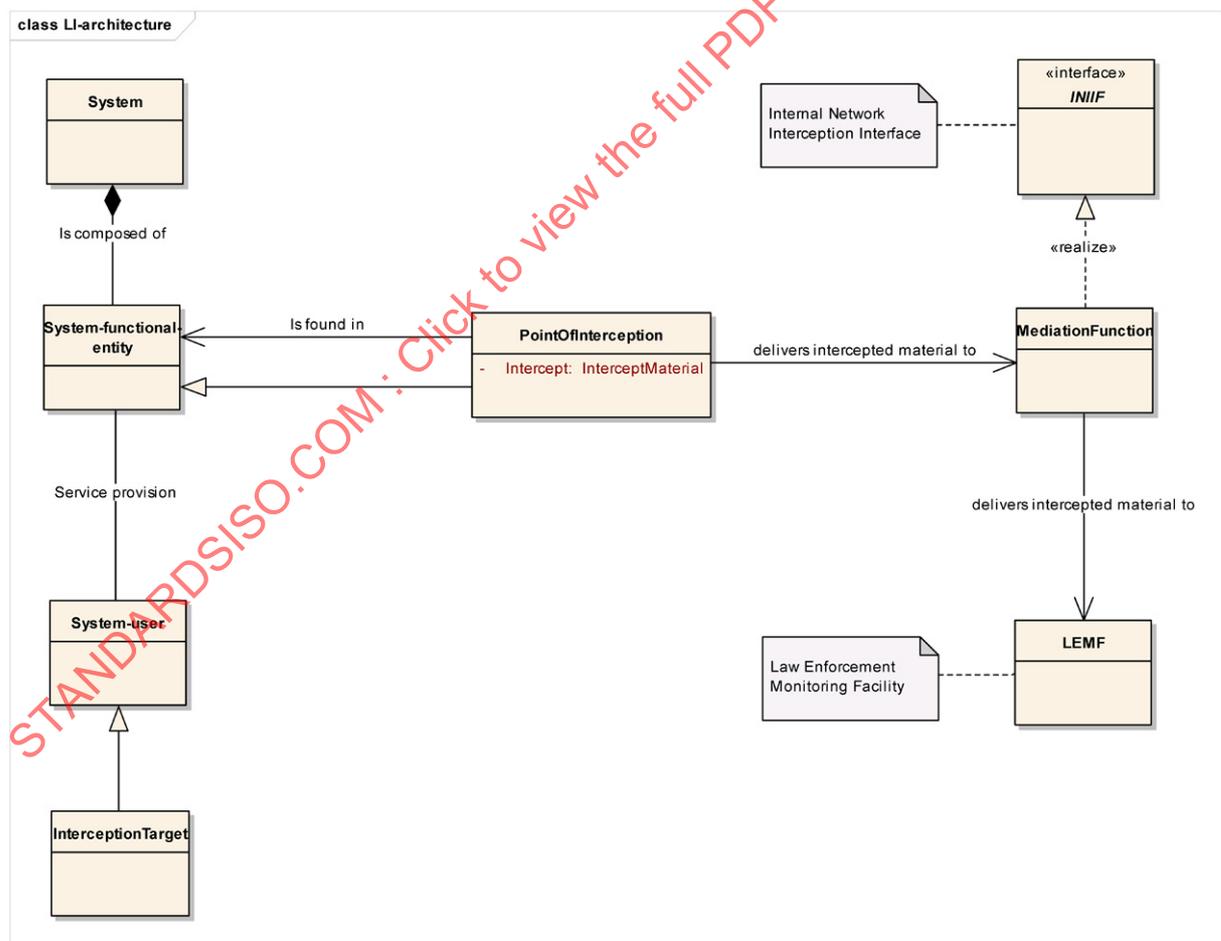


Figure 3 — UML class model of interception

The key concepts shown in the model are as follows.

- a) The “target” is a system user with the specialization that he is subject to interception.
- b) The “point of interception” (PoI) is a specialization of a system functional entity (FE) that is also found in an FE (e.g. the PoI may be found in a call processing FE).

The data model for LI is given in Figure 4.

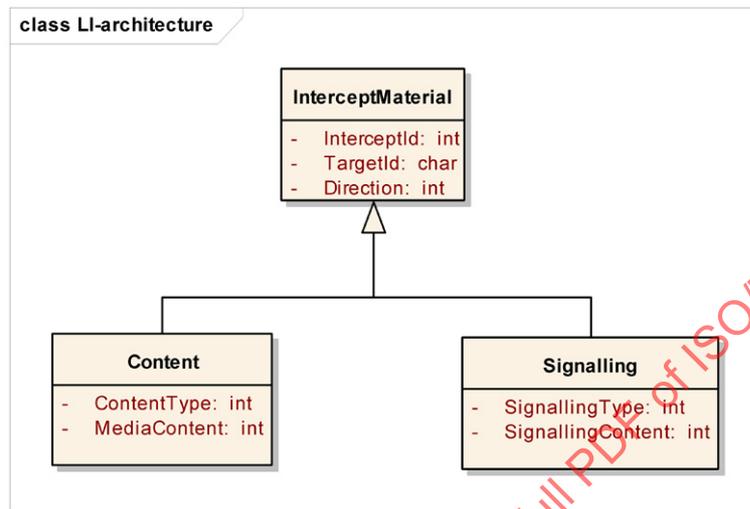


Figure 4 — Data model for LI

Interception data has two specializations:

- content (media streams);
- signalling.

The data contains sufficient information to identify the target, the LEA, and the direction of the data (to or from the target). In both the interception domain and the handover domain there should be a clear means to allow the LEA/LEMF to correlate content and signalling (i.e. content  $x$  arising from signalling  $y$ , belonging to a common target).

### 6.3.2 Characteristics of PoI

In the CALM architecture, routing nodes may be vehicles, roadside beacons, roadside concentrators and core network breakout points. Non-routing CALM nodes shall not be used for interception (i.e. end points do not act as the PoI).

The use of CALM in a generic ITS architecture, as shown in Figure 5, is used to highlight those elements that may become a PoI.



## 7.3 Procedures

### 7.3.1 Provision/withdrawal

The LI interception service shall always be provided.

### 7.3.2 Normal procedures

#### 7.3.2.1 Activation/deactivation/registration

The LI interception service shall be activated upon issue of a valid interception order from an LEA. The LI interception service shall be deactivated when the interception order expires or as defined by the LEA.

#### 7.3.2.2 Invocation and operation

The LI interception service shall be invoked on any communication from or to the target visible in the network.

#### 7.3.2.3 Interrogation

Interrogation shall be possible only from an authorized user.

For the purposes of interrogation, an authorized user is one who is allowed by both LEA and the network operator/service provider to administer the LI interception service.

## 7.4 Interaction with other services

There shall be no interaction, i.e. the invocation of LI shall not alter the operation of any service.

## 8 Stage 2 description of the LI interception facility

The stage 2 specification identifies the key functional elements for interception in a CALM/ITS network and the information flows associated to interception.

The handover interface from which the LEA/LEMF receives intercepted material consists of the following three key elements.

- HI1: control information for administration of the interception (start, stop, target data, etc.).
- HI2: intercepted material relating to the signalling to and from the target.
- HI3: intercepted material relating to the content of communication to and from the target.

At the time of publication of this Technical Report, only HI2 and HI3 have been specified in international standards.

The HI2 interface is composed of the following four record types.

- IRI\_Begin

Intercept related information (IRI) identifying the start of a stateful transaction and containing the user signalling (e.g. an ISDN voice call setup message).

- IRI\_Continue

An IRI record identifying intermediate signalling in the course of a stateful transaction (e.g. invocation of a supplementary service during an ISDN voice call).

— IRI\_End

An IRI record identifying the end of a stateful transaction (e.g. call clear in an ISDN voice call).

— IRI\_Report

An IRI record that provides signalling information that is not directly related to a stateful transaction.

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## Annex A (informative)

### LI requirement for EU/EFTA

This annex is provided to illustrate the regional requirements that apply in the EU/EFTA.

Operators subject to the authorization directive, i.e. those considered as communications service providers (CSP) in the context of the EU Framework Directive and the ECN&S regime, are required to support LI from statements made in the EU privacy directive EC/2002/58 <sup>[11]</sup>. In particular, Article 5 states:

1. Member States shall ensure the confidentiality of communications and the related traffic data by means of a public communications network and publicly available electronic communications services, through national legislation. In particular, they shall prohibit listening, tapping, storage or other kinds of interception or surveillance of communications and the related traffic data by persons other than users, without the consent of the users concerned, except when legally authorised to do so in accordance with Article 15(1). This paragraph shall not prevent technical storage which is necessary for the conveyance of a communication without prejudice to the principle of confidentiality.
2. Paragraph 1 shall not affect any legally authorised recording of communications and the related traffic data when carried out in the course of lawful business practice for the purpose of providing evidence of a commercial transaction or of any other business communication.
3. Member States shall ensure that the use of electronic communications networks to store information or to gain access to information stored in the terminal equipment of a subscriber or user is only allowed on condition that the subscriber or user concerned is provided with clear and comprehensive information in accordance with Directive 95/46/EC, *inter alia* about the purposes of the processing, and is offered the right to refuse such processing by the data controller. This shall not prevent any technical storage or access for the sole purpose of carrying out or facilitating the transmission of a communication over an electronic communications network, or as strictly necessary in order to provide an information society service explicitly requested by the subscriber or user.

In addition, ETSI SR 002 211 <sup>[2]</sup> identifies those aspects of standardization that are required to ensure compliance with the European Framework Directive. In some instances, the right to privacy can be withheld, as suggested in Article 5(2) of the privacy directive [see Article 5(1)]. Provisions for the lawful interception of traffic and for retention of signalling data are allowed to contain exceptions, as defined in Article 15(1) of the privacy directive:

1. Member States may adopt legislative measures to restrict the scope of the rights and obligations provided for in Article 5, Article 6, Article 8(1), (2), (3) and (4), and Article 9 of this Directive when such restriction constitutes a necessary, appropriate and proportionate measure within a democratic society to safeguard national security (i.e. State security), defence, public security, and the prevention, investigation, detection and prosecution of criminal offences or of unauthorised use of the electronic communication system, as referred to in Article 13(1) of Directive 95/46/EC. To this end, Member States may, *inter alia*, adopt legislative measures providing for the retention of data for a limited period justified on the grounds laid down in this paragraph. All the measures referred to in this paragraph shall be in accordance with the general principles of Community law, including those referred to in Article 6(1) and (2) of the Treaty on European Union.