

INTERNATIONAL  
STANDARD

**ISO/IEC**  
**8802-3**

**ANSI/IEEE**  
**Std 802.3**

Second edition  
1990-09-21

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**Information processing systems —  
Local area networks —**

**Part 3 :**  
Carrier sense multiple access with collision  
detection (CSMA/CD) access method and  
physical layer specifications

*Systèmes de traitement de l'information — Réseaux locaux —*

*Partie 3 : Accès multiple par surveillance du signal et détection de collision et  
spécifications pour la couche physique*



Reference number  
ISO/IEC 8802-3 : 1990 (E)  
ANSI/IEEE  
Std 802.3-1990 Edition

**Abstract:** This Local Area Network (LAN) standard, ISO/IEC 8802-3 : 1990 [ANSI/IEEE Std 802.3-1990 Edition], specifies the media access control characteristics for the Carrier Sense Multiple Access with Collision Detection (CSMA/CD) access method. It also specifies the media, Medium Attachment Unit (MAU) and physical layer repeater unit for 10 Mb/s baseband systems. Specifications for MAU types 10BASE5, 10BASE2, and FOIRL (fiber optic inter-repeater link) are included. Layer and sublayer interface specifications are aligned to the ISO Open Systems Interconnection Basic Reference Model and 8802 models. The 8802-3 internal model is defined and used.

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ANSI/IEEE Std 802.3-1990 Edition**

(This edition contains ANSI/IEEE Std 802.3-1988,  
ANSI/IEEE Std 802.3c-1985, and ANSI/IEEE Std 802.3d-1987)

**Information processing systems—  
Local area networks—**

**Part 3:  
Carrier sense multiple access with  
collision detection (CSMA/CD)  
access method and  
physical layer specifications**

**Correction Sheet**  
September 18, 1990

This correction sheet indicates an editorial change only.

Page 27 (Footnote 4) should be corrected as follows: Change "[23]" to "[14]."

Page 171 (Footnote 11) should be corrected as follows: Change "[23]" to "[14]."

Page 194 (Footnote 14) should be corrected as follows: Change "[A14]" to "[A12]."

Page 196 (Footnote 15) should be corrected as follows: Change "See footnote 12" to  
"See footnote 14."

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**Information processing systems—  
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**Part 3:  
Carrier sense multiple access with  
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access method and  
physical layer specifications**

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## International Standard ISO/IEC 8802-3 : 1990

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In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1. Draft International Standards adopted by the joint technical committee are circulated to the national bodies for approval before their acceptance as International Standards. They are approved in accordance with procedures requiring at least 75 % approval by the national bodies voting.

In 1985, IEEE Standard 802.3-1985 was adopted by ISO Technical Committee 97, *Information processing systems*, as draft International Standard ISO/DIS 8802-3. Following the procedures described above, the Standard was subsequently approved by ISO and published as ISO 8802-3:1989, incorporating ISO 8802-3/DAD 1 which had resulted from the adoption by ISO in 1987 of ANSI/IEEE Std 802.3a.

This new edition incorporates Addendum 2, *Repeater set and repeater unit specification for use with 10BASE5 and 10BASE2 networks*, which was approved in 1988, and Addendum 5, *Medium attachment unit and baseband medium specification for a vendor independent fibre optic inter repeater link (FOIRL)*, which was approved in 1989.

For the purpose of assigning global addresses, the Institute of Electrical and Electronics Engineers, Inc., USA, has been designated by the ISO Council as the Registration Authority. Communications on this subject should be addressed to

Registration Authority for ISO/IEC 8802-3  
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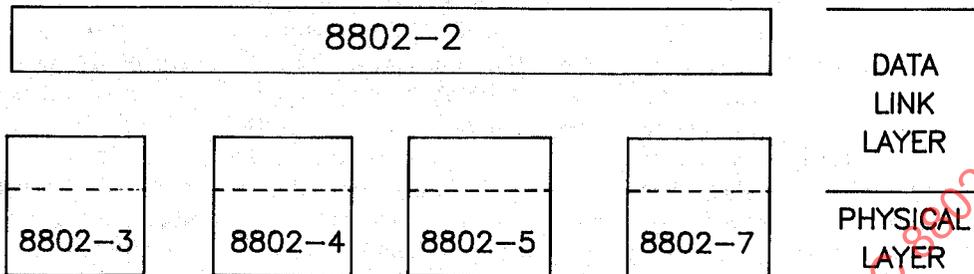
During the preparation of this International Standard, information was gathered on patents upon which application of the standard might depend. Relevant patents were identified as belonging to Xerox Corporation. However, ISO and IEC cannot give authoritative or comprehensive information about evidence, validity or scope of patent and like rights. The patent-holder has stated that licences will be granted under reasonable terms and conditions and communications on this subject should be addressed to

Xerox Corporation  
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## Foreword to International Standard ISO/IEC 8802-3 : 1990

This standard is part of a family of standards for Local Area Networks (LANs). The relationship between this standard and the other members of the family is shown below. (The numbers in the figure refer to ISO Standard numbers.)



This family of standards deals with the physical and data link layers as defined by the ISO Open Systems Interconnection Basic Reference Model (ISO 7498 : 1984). The access standards define four types of medium access technologies and associated physical media, each appropriate for particular applications or system objectives. The standards defining these technologies are

- (1) ISO/IEC 8802-3 [ANSI/IEEE Std 802.3-1990 Edition], a bus utilizing CSMA/CD as the access method,
- (2) ISO/IEC 8802-4 [ANSI/IEEE Std 802.4-1990], a bus utilizing token passing as the access method,
- (3) ISO 8802-7, a ring utilizing slotted ring as the access method.

ISO 8802-2 [ANSI/IEEE Std 802.2-1989], Logical Link Control protocol, is used in conjunction with the medium access standards.

The reader of this document is urged to become familiar with the complete family of standards.

The main body of this standard serves for both the ISO 8802-3 : 1989 and IEEE 802.3-1990 standards. ISO and IEEE each have unique foreword sections. The Annex applies to the IEEE standard only. The Appendixes serve as useful reference material to both standards.

## ANSI/IEEE Std 802.3-1990 Edition

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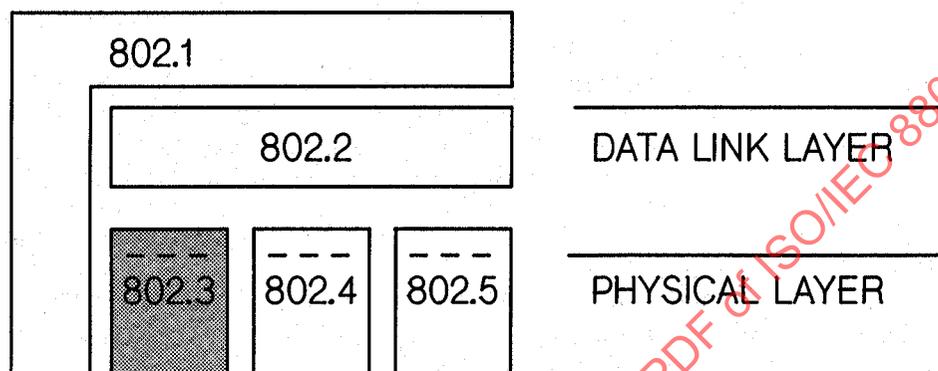
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(This Foreword is not a part of this International Standard or of ANSI/IEEE Std 802.3-1990 Edition.)

This standard is part of a family of standards for Local Area Networks (LANs). The relationship between this standard and other members of the family is shown below. (The numbers in the figure refer to IEEE standard numbers.)



This family of standards deals with the Physical and Data Link Layers as defined by the ISO Open Systems Interconnection Basic Reference Model (ISO 7498 : 1984). The access standards define three types of medium access technologies and associated physical media, each appropriate for particular applications or system objectives. The standards defining these technologies are

- (1) ANSI/IEEE Std 802.3-1990 Edition [ISO/IEC 8802-3], a bus utilizing CSMA/CD as the access method,
- (2) ANSI/IEEE Std 802.4-1990 [ISO/IEC 8802-4], a bus utilizing token passing as the access method,
- (3) ANSI/IEEE Std 802.5-1989, a ring utilizing token passing as the access method.

Other access methods (for example, metropolitan area networks and integrated voice-data networks) are under investigation.

ANSI/IEEE Std 802.2-1989 [ISO 8802-2], the Logical Link Control standard, is used in conjunction with the medium access standards.

IEEE 802.1\* (a series of related standards) describes the relationship

\* IEEE Std 802.1A-1990, Overview and Architecture of Network Standards; IEEE Std 802.1D-1990, MAC (Media Access Control) Bridges; and IEEE Std 802.1E-1990, System Load Protocol have been approved as IEEE Standards, but are not yet published. Other projects in the 802.1 series are currently under development.

among the family of 802 standards and their relationship to the ISO Open Systems Interconnection Basic Reference Model in more detail. IEEE 802.1 will also contain networking management standards and information on internetworking.

The reader of this standard is urged to become familiar with the complete family of standards.

The local area network access mechanism specified by this standard may include patented matter. The IEEE Standards Office calls attention to the fact that it is claimed that the process of the local area network access mechanism described throughout this standard is the subject of United States patent numbers 4 063 220 and 4 099 024 and corresponding patents of foreign countries owned by the Xerox Corporation. Although these patents appear to cover the access mechanism subject in this standard, the IEEE takes no position with respect to patent validity. The Xerox Corporation has assured the IEEE that it is willing to grant a license under these patents on reasonable and nondiscriminatory terms and conditions to anyone wishing to obtain such a license. The Xerox Corporation's undertakings in this respect are on file with the IEEE Standards Office and the license details may be obtained from the Office of General Counsel of Xerox Corporation, whose address is Post Office Box 1600, Stamford, Connecticut 06904, USA.

This edition of the standard defines a 10 Mb/s baseband implementation of the Physical Layer using the CSMA/CD access method. It is anticipated that future editions of the standard may provide additional implementations of the physical layer to support different needs (for example, media, and data rates).

This standard contains state-of-the-art material. The area covered by this standard is undergoing evolution. Revisions are anticipated to this standard within the next few years to clarify existing material, to correct possible errors, and to incorporate new related material.

Readers wishing to know the state of revisions should contact

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The IEEE 802.3 Working Group acknowledges and appreciates that many concepts embodied in this standard are based largely upon the CSMA/CD access method earlier described in *The Ethernet* specification as written jointly by individuals from Xerox Corporation, Digital Equipment Corporation, and Intel Corporation. Appreciation is also expressed to Robert M. Metcalfe and David R. Boggs for their pioneering work in establishing the original concepts.

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The ECMA TC24 Committee on Communication Protocols also provided helpful input in the development of this standard.

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# Information processing systems— Local area networks—

## Part 3: Carrier sense multiple access with collision detection (CSMA/CD) access method and physical layer specifications

### 1. Introduction

#### 1.1 Overview

**1.1.1 Basic Concepts.** The Carrier Sense Multiple Access with Collision Detection (CSMA/CD) media access method is the means by which two or more stations share a common transmission medium. To transmit, a station waits (defers) for a quiet period on the medium (that is, no other station is transmitting) and then sends the intended message in bit-serial form. If, after initiating a transmission, the message collides with that of another station, then each transmitting station intentionally sends a few additional bytes to ensure propagation of the collision throughout the system. The station remains silent for a random amount of time (backoff) before attempting to transmit again. Each aspect of this access method process is specified in detail in subsequent sections of this standard.

This is a comprehensive standard for Local Area Networks employing CSMA/CD as the access method. This standard is intended to encompass several media types and techniques for signal rates of from 1 Mb/s to 20 Mb/s. This edition of the standard provides the necessary specification and related parameter values for 10 Mb/s baseband implementations.

**1.1.2 Architectural Perspectives.** There are two important ways to view local area network design corresponding to

(1) *Architecture.* Emphasizing the logical divisions of the system and how they fit together.

(2) *Implementation.* Emphasizing actual components, their packaging and interconnection.

This standard is organized along architectural lines, emphasizing the large-scale separation of the system into two parts: the Media Access Control (MAC) sublayer of the Data Link Layer, and the Physical Layer. These layers are intended to correspond closely to the lowest layers of the ISO Model for

Open Systems Interconnection (see Fig 1-1). See ISO 7498:1984 [10].<sup>1</sup> The Logical Link Control (LLC) sublayer and MAC sublayer together encompass the functions intended for the Data Link Layer as defined in the OSI model.

1.1.2.1 An architectural organization of the standard has two main advantages:

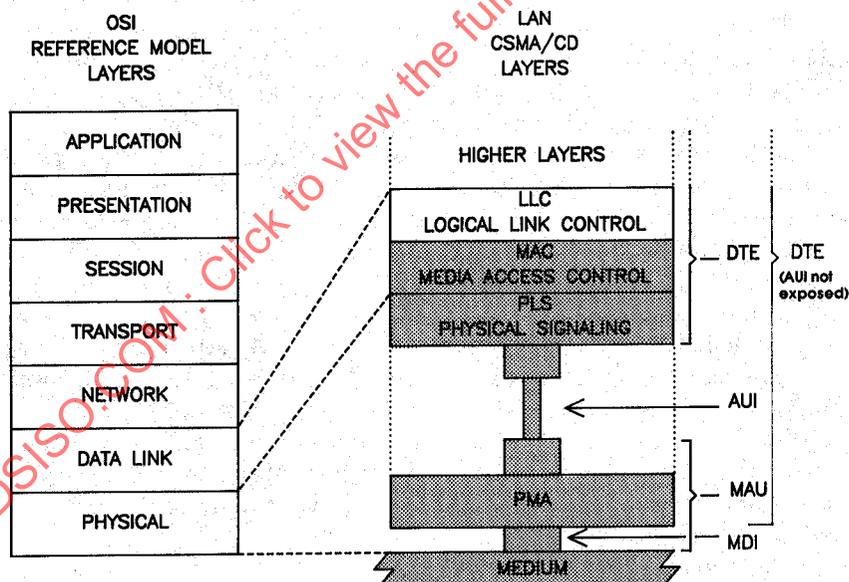
(1) *Clarity*. A clean overall division of the design along architectural lines makes the standard clearer.

(2) *Flexibility*. Segregation of medium-dependent aspects in the Physical Layer allows the LLC and MAC sublayers to apply to a family of transmission media.

Partitioning the Data Link Layer allows various media access methods within the family of Local Area Network standards.

The architectural model is based on a set of interfaces that may be different from those emphasized in implementations. One critical aspect of the design,

Fig 1-1  
LAN Standard Relationship to the  
OSI Reference Model



AUI = ATTACHMENT UNIT INTERFACE  
MAU = MEDIUM ATTACHMENT UNIT  
MDI = MEDIUM DEPENDENT INTERFACE  
PMA = PHYSICAL MEDIUM ATTACHMENT

<sup>1</sup> The numbers in brackets correspond to those of the references listed in 1.3; when preceded by A, they correspond to those listed in the Annex.

however, shall be addressed largely in terms of the implementation interfaces: compatibility.

**1.1.2.2** Two important compatibility interfaces are defined within what is architecturally the Physical Layer.

(1) *Medium-Dependent Interface (MDI)*. To communicate in a compatible manner, all stations shall adhere rigidly to the exact specification of physical media signals defined in Section 8 (and beyond) in this standard, and to the procedures that define correct behavior of a station. The medium-independent aspects of the LLC sublayer and the MAC sublayer should not be taken as detracting from this point; communication by way of the ISO 8802-3 [IEEE 802.3] Local Area Network requires complete compatibility at the Physical Medium interface (that is, the coaxial cable interface).

(2) *Attachment Unit Interface (AUI)*. It is anticipated that most DTEs will be located some distance from their connection to the coaxial cable. A small amount of circuitry will exist in the Medium Attachment Unit (MAU) directly adjacent to the coaxial cable, while the majority of the hardware and all of the software will be placed within the DTE. The AUI is defined as a second compatibility interface. While conformance with this interface is not strictly necessary to ensure communication, it is highly recommended, since it allows maximum flexibility in intermixing MAUs and DTEs. The AUI may be optional or not specified for some implementations of this standard that are expected to be connected directly to the medium and so do not use a separate MAU or its interconnecting AUI cable. The PLS and PMA are then part of a single unit, and no explicit AUI specification is required.

**1.1.3 Layer Interfaces.** In the architectural model used here, the layers interact by way of well defined interfaces, providing services as specified in Sections 2 and 6. In general, the interface requirements are as follows:

(1) The interface between the MAC sublayer and the LLC sublayer includes facilities for transmitting and receiving frames, and provides per-operation status information for use by higher-layer error recovery procedures.

(2) The interface between the MAC sublayer and the Physical Layer includes signals for framing (carrier sense, transmit initiation) and contention resolution (collision detect), facilities for passing a pair of serial bit streams (transmit, receive) between the two layers, and a wait function for timing.

These interfaces are described more precisely in 4.3. Additional interfaces are necessary to allow higher level network management facilities to interact with these layers to perform operation, maintenance, and planning functions. Network management functions will be discussed in Section 5.

**1.1.4 Application Areas.** The applications environment for the Local Area Network is intended to be commercial and light industrial. Use of CSMA/CD LANs in home or heavy industrial environments, while not precluded, is not considered within the scope of this standard.

## 1.2 Notation

**1.2.1 State Diagram Conventions.** The operation of a protocol can be described by subdividing the protocol into a number of interrelated functions.

The operation of the functions can be described by state diagrams. Each diagram represents the domain of a function and consists of a group of connected, mutually exclusive states. Only one state of a function is active at any given time (see Fig 1-2).

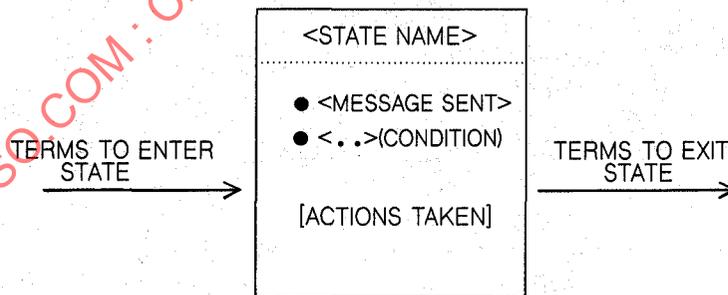
Each state that the function can assume is represented by a rectangle. These are divided into two parts by a horizontal line. In the upper part the state is identified by a name in capital letters. The lower part contains the name of any ON signal that is generated by the function. Actions are described by short phrases and enclosed in brackets.

All permissible transitions between the states of a function are represented graphically by arrows between them. A transition that is global in nature (for example, an exit condition from all states to the IDLE or RESET state) is indicated by an open arrow. Labels on transitions are qualifiers that must be fulfilled before the transition will be taken. The label UCT designates an unconditional transition. Qualifiers described by short phrases are enclosed in parentheses.

State transitions and sending and receiving of messages occur instantaneously. When a state is entered and the condition that state is not immediately fulfilled, the state executes continuously, sending the messages and executing the actions contained in the state in a continuous manner.

Some devices described in this standard (i.e., repeaters) are allowed to have two or more ports. State diagrams capable of describing the operation of devices with an unspecified number of ports, required qualifier notation that

**Fig 1-2**  
**State Diagram Notation Example**



- Key: ( ) = condition, for example, (if no\_collision)  
[ ] = action, for example, [reset PLS functions]  
\* = logical AND  
+ = logical OR  
Tw = Wait Time, implementation dependent  
Td = Delay Timeout  
Tb = Backoff Timeout  
UCT = unconditional transition

allows testing for conditions at multiple ports. The notation used is a term that includes a description in parentheses of which ports must meet the term for the qualifier to be satisfied (e.g., ANY and ALL). It is also necessary to provide for term-assignment statements that assign a name to a port that satisfies a qualifier. The following convention is used to describe a term-assignment statement that is associated with a transition:

- (1) The character ":" (colon) is a delimiter used to denote that a term assignment statement follows.
- (2) The character "←" (left arrow) denotes assignment of the value following the arrow to the term preceding the arrow.

The state diagrams contain the authoritative statement of the functions they depict; when apparent conflicts between descriptive text and state diagrams arise, the state diagrams are to take precedence. This does not override, however, any explicit description in the text that has no parallel in the state diagrams.

The models presented by state diagrams are intended as the primary specifications of the functions to be provided. It is important to distinguish, however, between a model and a real implementation. The models are optimized for simplicity and clarity of presentation, while any realistic implementation may place heavier emphasis on efficiency and suitability to a particular implementation technology. It is the functional behavior of any unit that must match the standard, not its internal structure. The internal details of the model are useful only to the extent that they specify the external behavior clearly and precisely.

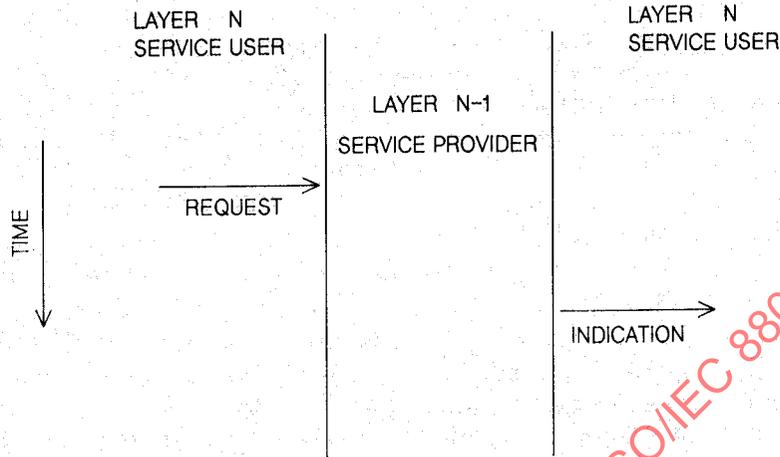
**1.2.2 Service Specification Method and Notation.** The service of a layer or sublayer is the set of capabilities that it offers to a user in the next higher (sub)layer. Abstract services are specified here by describing the service primitives and parameters that characterize each service. This definition of service is independent of any particular implementation (see Fig 1-3).

Specific implementations may also include provisions for interface interactions that have no direct end-to-end effects. Examples of such local interactions include interface flow control, status requests and indications, error notifications, and layer management. Specific implementation details are omitted from this service specification both because they will differ from implementation to implementation and because they do not impact the peer-to-peer protocols.

**1.2.2.1 Classification of Service Primitives.** Primitives are of two generic types:

- (1) REQUEST. The request primitive is passed from layer N to layer N-1 to request that a service be initiated.
- (2) INDICATION. The indication primitive is passed from layer N-1 to layer N to indicate an internal layer N-1 event that is significant to layer N. This event may be logically related to a remote service request, or may be caused by an event internal to layer N-1.

The service primitives are an abstraction of the functional specification and the user-layer interaction. The abstract definition does not contain local



**Fig 1-3**  
**Service Primitive Notation**

detail of the user/provider interaction. For instance, it does not indicate the local mechanism that allows a user to indicate that it is awaiting an incoming call. Each primitive has a set of zero or more parameters, representing data elements that shall be passed to qualify the functions invoked by the primitive. Parameters indicate information available in a user/provider interaction; in any particular interface, some parameters may be explicitly stated (even though not explicitly defined in the primitive) or implicitly associated with the service access point. Similarly, in any particular protocol specification, functions corresponding to a service primitive may be explicitly defined or implicitly available.

**1.2.3 Physical Layer and Media Notation.** Users of this standard need to reference which particular implementation is being used or identified. Therefore, a means of identifying each implementation is given by a simple, three-field, type notation that is explicitly stated at the beginning of each relevant section. In general, the Physical Layer type is specified by these fields:

<data rate in Mb/s> <medium type> <maximum segment length ( x 100 m)>

For example, the standard contains a 10 Mb/s baseband specification identified as "TYPE 10BASE5," meaning a 10 Mb/s baseband medium whose maximum segment length is 500 m. Each successive Physical Layer specification will state its own unique TYPE identifier along similar lines.

**1.2.4 Physical Layer Message Notation.** Messages generated within the Physical Layer, either within or between PLS and the MAU (that is, PMA circuitry), are designated by an italic type to designate either form of physical or logical message used to execute the physical layer signaling process (for example, *input\_idle* or *mau\_available*).

### 1.3 References

- [1] CISPR Publication 22 (1985), Limits and Methods of Measurement of Radio Interference Characteristics of Information Technology Equipment.<sup>2</sup>
- [2] IEC Publication 96-1, Radio-frequency cables, Part 1: General requirements and measuring methods.
- [3] IEC Publication 96-1A, 1st Supplement to Radio-frequency cables, Part 1: Appendix Section 5.4, Terminated triaxial test method for transfer impedance up to 100 MHz.
- [4] IEC Publication 169-8 and -16, Radio-frequency connectors, Part 8: Radio-frequency coaxial connectors with inner diameter of outer conductor 6.5 mm (0.256 in) with bayonet lock—Characteristic impedance 50 ohms (Type BNC); Part 16: Radio-frequency coaxial connectors with inner diameter of outer conductor 7 mm (0.276 in) with screw coupling—Characteristic impedance 50 ohms (75 ohms) (Type N).
- [5] IEC Publication 380, Safety of electrically energized office machines.
- [6] IEC Publication 435, Safety of data processing equipment.
- [7] IEC Publication 807-2, Rectangular connectors for frequencies below 3 MHz, Part 2: Detail specification for a range of connectors with round contacts—Fixed solder contact types.
- [8] IEC Publication 950, Safety of Information Technology Equipment, Including Electrical Business Equipment.
- [9] ISO 2382-9 : 1984, Data processing—Vocabulary—Part 09: Data communication.<sup>3</sup>
- [10] ISO 7498 : 1984, Information processing systems—open systems interconnection—Basic reference model.
- [11] IEC Publication 60, High-voltage test techniques.
- [12] IEC Publication 68, Environmental testing.
- [13] IEC Publication 793-1, Optical fibres, Part 1: Generic specification.
- [14] IEC Publication 793-2, Optical fibres, Part 2: Product specifications.<sup>4</sup>

<sup>2</sup> CISPR and IEC documents are available in the US from the Sales Department, American National Standards Institute, 1430 Broadway, New York, NY 10018, USA. These documents are also available from International Electrotechnical Commission, 3 rue de Varembe, Case Postale 131, CH-1211, Genève 20, Switzerland/Suisse.

<sup>3</sup> ISO documents are available in the US from the Sales Department, American National Standards Institute, 1430 Broadway, New York, NY 10018, USA. ISO documents are also available from ISO member bodies and from ISO Central Secretariat, 1 rue de Varembe, Case Postale 56, CH-1211, Genève 20, Switzerland/Suisse.

<sup>4</sup> Subsection 9.9 is to be read with the understanding that the following changes to IEC Publication 793-2 [23] have been requested:

- (1) Correction of the numerical aperture tolerance in Table III to  $\pm 0.015$ .
- (2) Addition of another bandwidth category, of  $\geq 150$  MHz referred to 1 km, for the type A1b fiber in Table III.

- [15] IEC Publication 794-1, Optical fibre cables, Part 1: Generic specification.
- [16] IEC Publication 794-2, Optical fibres cables, Part 2: Product specifications.
- [17] IEC Publication 825, Radiation safety of laser products, equipment classification, requirements, and user's guide.
- [18] IEC Publication 874-1, Connectors for optical fibres and cables, Part 1: Generic specification.
- [19] IEC Publication 874-2, Connectors for optical fibres and cables, Part 2: Sectional specification for fibre optic connector type F-SMA.

Local and national standards such as those supported by ANSI, EIA, IEEE, MIL, NFPA, and UL are not a formal part of the ISO/IEC 8802-3 standard. Reference to such local or national standards may be useful resource material and are identified by a bracketed number beginning with the letter A and located in Annex A.

**1.4 Definitions.** The definitions used in this standard are consistent with ISO 2382-9:1984 [9]. A more specific Part 25, pertaining to LAN systems, is in development.

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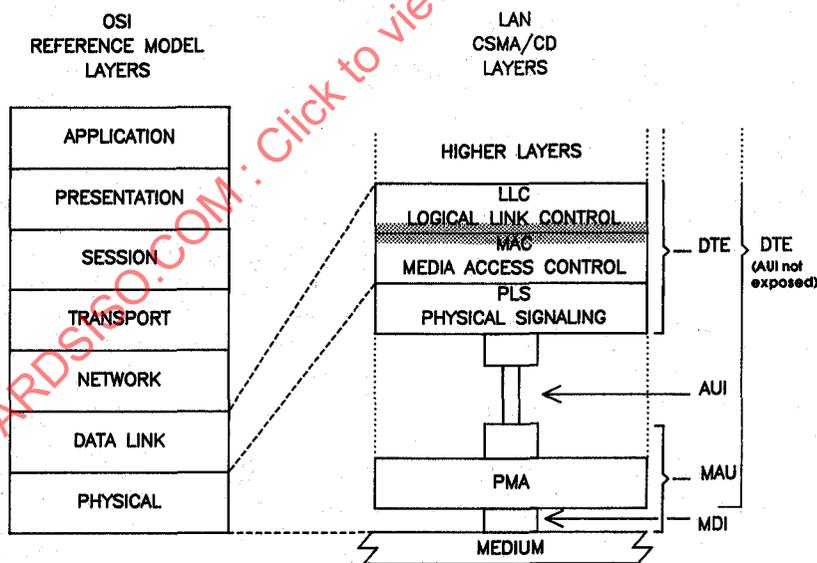
## 2. MAC Service Specification

**2.1 Scope and Field of Application.** This section specifies the services provided by the Media Access Control (MAC) sublayer to the Logical Link Control (LLC) sublayer for the ISO [IEEE] Local Area Network standard (see Fig 2-1). The services are described in an abstract way and do not imply any particular implementation, or any exposed interface. There is not necessarily a one-to-one correspondence between the primitives and the formal procedures and interfaces described in 4.2 and 4.3.

### 2.2 Overview of the Service

**2.2.1 General Description of Services Provided by the Layer.** The services

**Fig 2-1**  
**Service Specification Relation to the LAN Model**



- AUI = ATTACHMENT UNIT INTERFACE
- MAU = MEDIUM ATTACHMENT UNIT
- MDI = MEDIUM DEPENDENT INTERFACE
- PMA = PHYSICAL MEDIUM ATTACHMENT

provided by the MAC sublayer allow the local LLC sublayer entity to exchange LLC data units with peer LLC sublayer entities. Optional support may be provided for resetting the MAC sublayer entity to a known state.

**2.2.2 Model Used for the Service Specification.** The model used in this service specification is identical to that used in 1.2.

### 2.2.3 Overview of Interactions

MA\_DATA.request  
MA\_DATA.indication

**2.2.4 Basic Services and Options.** The MA\_DATA.request and MA\_DATA.indication service primitives described in this section are considered mandatory.

## 2.3 Detailed Service Specification

### 2.3.1 MA\_DATA.request

**2.3.1.1 Function.** This primitive defines the transfer of data from a local LLC sublayer entity to a single peer LLC entity or multiple peer LLC entities in the case of group addresses.

**2.3.1.2 Semantics of the Service Primitive.** The semantics of the primitive are as follows:

```
MA_DATA.request (  
    destination_address,  
    m_sdu,  
    service_class
```

The destination\_address parameter may specify either an individual or a group MAC entity address. It must contain sufficient information to create the DA field that is appended to the frame by the local MAC sublayer entity and any physical information. The m\_sdu parameter specifies the MAC service data unit to be transmitted by the MAC sublayer entity. There is sufficient information associated with m\_sdu for the MAC sublayer entity to determine the length of the data unit. The service\_class parameter indicates a quality of service requested by LLC or higher layer (see 2.3.1.5).

**2.3.1.3 When Generated.** This primitive is generated by the LLC sublayer entity whenever data shall be transferred to a peer LLC entity or entities. This can be in response to a request from higher protocol layers or from data generated internally to the LLC sublayer, such as required by Type 2 service.

**2.3.1.4 Effect of Receipt.** The receipt of this primitive will cause the MAC entity to append all MAC specific fields, including DA, SA, and any fields that are unique to the particular media access method, and pass the properly formed frame to the lower protocol layers for transfer to the peer MAC sublayer entity or entities.

**2.3.1.5 Additional Comments.** The CSMA/CD MAC protocol provides a single quality of service regardless of the service\_class requested.

**2.3.2 MA\_DATA.indication**

**2.3.2.1 Function.** This primitive defines the transfer of data from the MAC sublayer entity to the LLC sublayer entity or entities in the case of group addresses.

**2.3.2.2 Semantics of the Service Primitive.** The semantics of the primitive are as follows:

```
MA_DATA.indication (
    destination_address,
    source_address,
    m_sdu,
    reception_status
)
```

The destination\_address parameter may be either an individual or a group address as specified by the DA field of the incoming frame. The source\_address parameter is an individual address as specified by the SA field of the incoming frame. The m\_sdu parameter specifies the MAC service data unit as received by the local MAC entity. The reception\_status parameter is used to pass status information to the peer LLC sublayer entity.

**2.3.2.3 When Generated.** The MA\_DATA.indication is passed from the MAC sublayer entity to the LLC sublayer entity or entities to indicate the arrival of a frame to the local MAC sublayer entity. Such frames are reported only if they are validly formed, received without error, and their destination address designates the local MAC entity.

**2.3.2.4 Effect of Receipt.** The effect of receipt of this primitive by the LLC sublayer is unspecified.

**2.3.2.5 Additional Comments.** If the local MAC sublayer entity is designated by the destination\_address parameter of an MA\_DATA.request, the indication primitive will also be invoked by the MAC entity to the local LLC entity. This full duplex characteristic of the MAC sublayer may be due to unique functionality within the MAC sublayer or full duplex characteristics of the lower layers (for example, all frames transmitted to the broadcast address will invoke MA\_DATA.indication at all stations in the network including the station that generated the request).

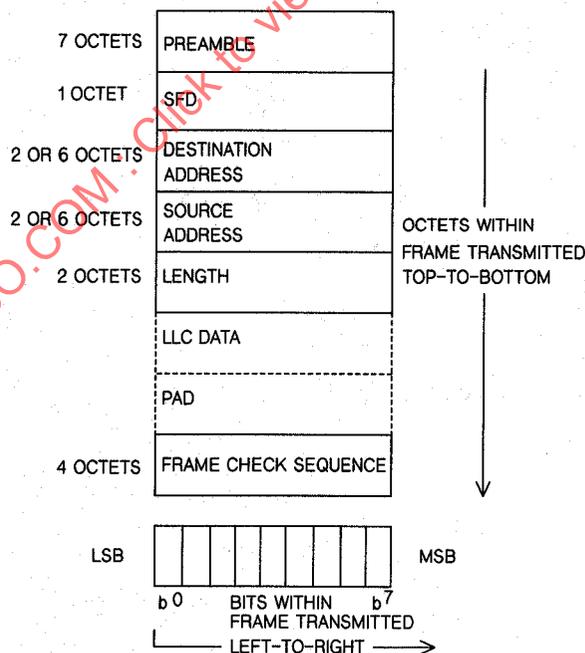
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### 3. Media Access Control Frame Structure

**3.1 Overview.** This section defines in detail the frame structure for data communication systems using local area network MAC procedures. It defines the relative positions of the various components of the MAC frame. It defines the method for representing station addresses. It defines a partition of the address space into individual (single station) and group (multicast or multi-station) addresses, and into user administered and globally administered addresses.

**3.1.1 MAC Frame Format.** Figure 3-1 shows the eight fields of a frame: the preamble, Start Frame Delimiter (SFD), the addresses of the frame's source and destination, a length field to indicate the length of the following field

**Fig 3-1  
MAC Frame Format**



containing the LLC data to be transmitted, a field that contains padding if required, and the frame check sequence field containing a cyclic redundancy check value to detect errors in received frames. Of these eight fields, all are of fixed size except the LLC data and PAD fields, which may contain any integer number of octets between the minimum and maximum values determined by the specific implementation of the CSMA/CD Media Access mechanism. See 4.4 for particular implementations.

The minimum and maximum frame size limits in 4.4 refer to that portion of the frame from the destination address field through the frame check sequence field, inclusive.

Relative to Fig 3-1, the octets of a frame are transmitted from top to bottom, and the bits of each octet are transmitted from left to right.

### 3.2 Elements of the MAC Frame

**3.2.1 Preamble Field.** The preamble field is a 7-octet field that is used to allow the PLS circuitry to reach its steady-state synchronization with the received frame timing (see 4.2.5).

**3.2.2 Start Frame Delimiter (SFD) Field.** The SFD field is the sequence 10101011. It immediately follows the preamble pattern and indicates the start of a frame.

**3.2.3 Address Fields.** Each MAC frame shall contain two address fields: the Destination Address field and the Source Address field, in that order. The Destination Address field shall specify the destination addressee(s) for which the frame is intended. The Source Address field shall identify the station from which the frame was initiated. The representation of each address field shall be as follows (see Fig 3-2):

(1) Each address field shall contain either 16 bits or 48 bits. However, at any

**Fig 3-2**  
**Address Field Format**

#### 48 BIT ADDRESS FORMAT

I/G	U/L	46 BIT ADDRESS
-----	-----	----------------

#### 16 BIT ADDRESS FORMAT

I/G	15 BIT ADDRESS
-----	----------------

I/G = 0 INDIVIDUAL ADDRESS  
I/G = 1 GROUP ADDRESS  
U/L = 0 GLOBALLY ADMINISTERED ADDRESS  
U/L = 1 LOCALLY ADMINISTERED ADDRESS

given time, the Source and Destination Address size shall be the same for all stations on a particular local area network.

(2) The support of 16 or 48 bit address length for Source and Destination Address shall be left to the manufacturer as an implementation decision. There is no requirement that manufacturers support both sizes.

(3) The first bit (LSB) shall be used in the Destination Address field as an address type designation bit to identify the Destination Address either as an individual or as a group address. In the Source Address field, the first bit is reserved and set to 0. If this bit is 0, it shall indicate that the address field contains an individual address. If this bit is 1, it shall indicate that the address field contains a group address that identifies none, one or more, or all of the stations connected to the local area network.

(4) For 48 bit addresses, the second bit shall be used to distinguish between locally or globally administered addresses. For globally administered (or U, universal) addresses, the bit is set to 0. If an address is to be assigned locally, this bit shall be set to 1. Note that for the broadcast address, this bit is also a 1.

(5) Each octet of each address field shall be transmitted least significant bit first.

**3.2.3.1 Address Designation.** A MAC sublayer address is of one of two types:

(1) *Individual Address.* The address associated with a particular station on the network.

(2) *Group Address.* A multidestination address, associated with one or more stations on a given network. There are two kinds of multicast address:

(a) *Multicast-Group Address.* An address associated by higher-level convention with a group of logically related stations.

(b) *Broadcast Address.* A distinguished, predefined multicast address that always denotes the set of all stations on a given local area network.

All 1's in the Destination Address field (for 16 or 48 bit address size LANs) shall be predefined to be the Broadcast address. This group shall be predefined for each communication medium to consist of all stations actively connected to that medium; it shall be used to broadcast to all the active stations on that medium. All stations shall be able to recognize the Broadcast address. It is not necessary that a station be capable of generating the Broadcast address.

The address space shall also be partitioned into locally administered and globally administered addresses. The nature of a body and the procedures by which it administers these global (U) addresses is beyond the scope of this standard.<sup>5</sup>

**3.2.4 Destination Address Field.** The Destination Address field specifies the station(s) for which the frame is intended. It may be an individual or multicast (including broadcast) address.

<sup>5</sup> Information concerning the Registration Authority and its procedures may be obtained on request to the Secretary General, ISO Central Secretariat, Case Postale 56, CH-1211 Genève, Switzerland, quoting the number of this International Standard. The ISO Global (U) address administration has been delegated to the Secretary, IEEE Standards Board, 345 East 47 Street, New York, NY 10017.

**3.2.5 Source Address Field.** The Source Address field specifies the station sending the frame. The Source Address field is not interpreted by the CSMA/CD MAC sublayer.

**3.2.6 Length Field.** The length field is a 2-octet field whose value<sup>6</sup> indicates the number of LLC data octets in the data field. If the value is less than the minimum required for proper operation of the protocol, a PAD field (a sequence of octets) will be added at the end of the data field but prior to the FCS field, specified below. The procedure that determines the size of the pad field is specified in 4.2.8. The length field is transmitted and received with the high order octet first.

**3.2.7 Data and PAD Fields.** The data field contains a sequence of n octets. Full data transparency is provided in the sense that any arbitrary sequence of octet values may appear in the data field up to a maximum number specified by the implementation of this standard that is used. A minimum frame size is required for correct CSMA/CD protocol operation and is specified by the particular implementation of the standard. If necessary, the data field is extended by appending extra bits (that is, a pad) in units of octets after the LLC data field but prior to calculating and appending the FCS. The size of the pad, if any, is determined by the size of the data field supplied by LLC and the minimum frame size and address size parameters of the particular implementation. The maximum size of the data field is determined by the maximum frame size and address size parameters of the particular implementation.

The length of PAD field required for LLC data that is n octets long is  $\max(0, \text{minFrameSize} - (8 \times n + 2 \times \text{addressSize} + 48))$  bits. The maximum possible size of the LLC data field is  $\text{maxFrameSize} - (2 \times \text{addressSize} + 48)/8$  octets. See 4.4 for a discussion of implementation parameters; see 4.2.3.3 for a discussion of the minFrameSize.

**3.2.8 Frame Check Sequence Field.** A cyclic redundancy check (CRC) is used by the transmit and receive algorithms to generate a CRC value for the FCS field. The frame check sequence (FCS) field contains a 4-octet (32-bit) cyclic redundancy check (CRC) value. This value is computed as a function of the contents of the source address, destination address, length, LLC data and pad (that is, all fields except the preamble, SFD, and FCS). The encoding is defined by the following generating polynomial.

$$G(x) = x^{32} + x^{26} + x^{23} + x^{22} + x^{16} + x^{12} + x^{11} + x^{10} + x^8 + x^7 + x^5 + x^4 + x^2 + x + 1$$

Mathematically, the CRC value corresponding to a given frame is defined by the following procedure:

- (1) The first 32 bits of the frame are complemented.
- (2) The n bits of the frame are then considered to be the coefficients of a polynomial  $M(x)$  of degree n-1. (The first bit of the Destination Address field cor-

<sup>6</sup> Packets with a length field value greater than those specified in 4.4.2 may be ignored, discarded, or used in a private manner. The use of such packets is beyond the scope of this standard.

responds to the  $x^{(n-1)}$  term and the last bit of the data field corresponds to the  $x^0$  term.)

(3)  $M(x)$  is multiplied by  $x^{32}$  and divided by  $G(x)$ , producing a remainder  $R(x)$  of degree  $<31$ .

(4) The coefficients of  $R(x)$  are considered to be a 32-bit sequence.

(5) The bit sequence is complemented and the result is the CRC.

The 32 bits of the CRC value are placed in the frame check sequence field so that the  $x^{31}$  term is the left-most bit of the first octet, and the  $x^0$  term is the right-most bit of the last octet. (The bits of the CRC are thus transmitted in the order  $x^{31}, x^{30}, \dots, x^1, x^0$ .) See reference [A18].

**3.3 Order of Bit Transmission.** Each octet of the MAC frame, with the exception of the FCS, is transmitted low-order bit first.

**3.4. Invalid MAC Frame.** An invalid MAC frame shall be defined as one that meets at least one of the following conditions:

(1) The frame length is inconsistent with the length field.

(2) It is not an integral number of octets in length.

(3) The bits of the incoming frame (exclusive of the FCS field itself) do not generate a CRC value identical to the one received.

The contents of invalid MAC frames shall not be passed to LLC. The occurrence of invalid MAC frames may be communicated to network management.

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## 4. Media Access Control

### 4.1 Functional Model of the Media Access Control Method

**4.1.1 Overview.** The architectural model described in Section 1 is used in this section to provide a functional description of the Local Area Network CSMA/CD MAC sublayer.

The MAC sublayer defines a medium-independent facility, built on the medium-dependent physical facility provided by the Physical Layer, and under the access-layer-independent local area network LLC sublayer. It is applicable to a general class of local area broadcast media suitable for use with the media access discipline known as Carrier Sense Multiple Access with Collision Detection (CSMA/CD).

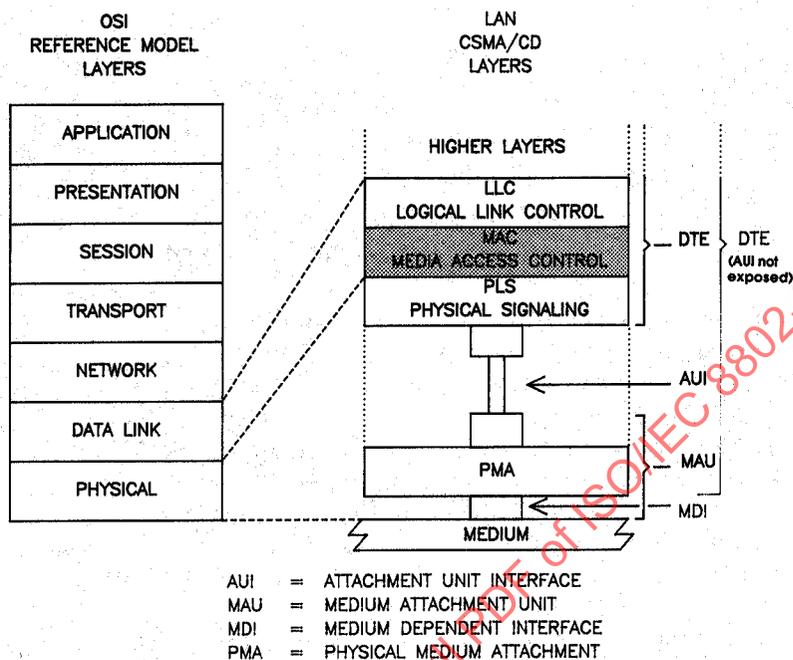
The LLC sublayer and the MAC sublayer together are intended to have the same function as that described in the OSI model for the Data Link Layer alone. In a broadcast network, the notion of a data link between two network entities does not correspond directly to a distinct physical connection. Nevertheless, the partitioning of functions presented in this standard requires two main functions generally associated with a data link control procedure to be performed in the MAC sublayer. They are as follows:

- (1) Data encapsulation (transmit and receive)
  - (a) Framing (frame boundary delimitation, frame synchronization)
  - (b) Addressing (handling of source and destination addresses)
  - (c) Error detection (detection of physical medium transmission errors)
- (2) Media Access Management
  - (a) Medium allocation (collision avoidance)
  - (b) Contention resolution (collision handling)

The remainder of this section provides a functional model of the CSMA/CD MAC method.

**4.1.2 CSMA/CD Operation.** This section provides an overview of frame transmission and reception in terms of the functional model of the architecture. This overview is descriptive, rather than definitional; the formal specifications of the operations described here are given in 4.2 and 4.3. Specific implementations for CSMA/CD mechanisms that meet this standard are given in 4.4. Figure 4-1 provides the architectural model described functionally in the sections that follow.

The Physical Layer Signaling (PLS) component of the Physical Layer provides an interface to the MAC sublayer for the serial transmission of bits onto the physical media. For completeness, in the operational description that follows some of these functions are included as descriptive material. The



**Fig 4-1**  
**MAC Sublayer Partitioning, Relationship to**  
**OSI Reference Model**

concise specification of these functions is given in 4.2 for the MAC functions and in Section 7 for PLS.

Transmit frame operations are independent from the receive frame operations. A transmitted frame addressed to the originating station will be received and passed to the LLC sublayer at that station. This characteristic of the MAC sublayer may be implemented by functionality within the MAC sublayer or full duplex characteristics of portions of the lower layers.

#### 4.1.2.1 Normal Operation

**4.1.2.1.1 Transmission Without Contention.** When a LLC sublayer requests the transmission of a frame, the Transmit Data Encapsulation component of the CSMA/CD MAC sublayer constructs the frame from the LLC-supplied data. It appends a preamble and a start of frame delimiter to the beginning of the frame. Using information passed by the LLC sublayer, the CSMA/CD MAC sublayer also appends a PAD at the end of the MAC information field of sufficient length to ensure that the transmitted frame length satisfies a minimum frame size requirement (see 4.2.3.3). It also appends destination and source addresses, a length count field, and a frame check sequence to provide for error detection. The frame is then handed to the Transmit Media Access Management component in the MAC sublayer for transmission.

Transmit Media Access Management then attempts to avoid contention with other traffic on the medium by monitoring the carrier sense signal provided by the Physical Layer Signaling (PLS) component and deferring to passing traffic. When the medium is clear, frame transmission is initiated (after a brief interframe delay to provide recovery time for other CSMA/CD MAC sublayers and for the physical medium). The MAC sublayer then provides a serial stream of bits to the PLS interface for transmission.

The PLS performs the task of actually generating the electrical signals on the medium that represent the bits of the frame. Simultaneously, it monitors the medium and generates the collision detect signal, which, in the contention-free case under discussion, remains off for the duration of the frame. A functional description of the Physical Layer is given in Sections 7 and beyond.

When transmission has completed without contention, the CSMA/CD MAC sublayer so informs the LLC sublayer using the LLC to MAC interface and awaits the next request for frame transmission.

**4.1.2.1.2 Reception Without Contention.** At each receiving station, the arrival of a frame is first detected by the PLS, which responds by synchronizing with the incoming preamble, and by turning on the carrier sense signal. As the encoded bits arrive from the medium, they are decoded and translated back into binary data. The PLS passes subsequent bits up to the MAC sublayer, where the leading bits are discarded, up to and including the end of the preamble and Start Frame Delimiter.

Meanwhile, the Receive Media Access Management component of the MAC sublayer, having observed carrier sense, has been waiting for the incoming bits to be delivered. Receive Media Access Management collects bits from the PLS as long as the carrier sense signal remains on. When the carrier sense signal is removed, the frame is truncated to an octet boundary, if necessary, and passed to Receive Data Decapsulation for processing.

Receive Data Decapsulation checks the frame's Destination Address field to decide whether the frame should be received by this station. If so, it passes the Destination Address (DA), the Source Address (SA), and the LLC data unit (LLCDU) to the LLC sublayer along with an appropriate status code indicating `reception_complete` or `reception_too_long`. It also checks for invalid MAC frames by inspecting the frame check sequence to detect any damage to the frame enroute, and by checking for proper octet-boundary alignment of the end of the frame. Frames with a valid FCS may also be checked for proper octet boundary alignment.

**4.1.2.2 Access Interference and Recovery.** If multiple stations attempt to transmit at the same time, it is possible for them to interfere with each other's transmissions, in spite of their attempts to avoid this by deferring. When transmissions from two stations overlap, the resulting contention is called a collision. A given station can experience a collision during the initial part of its transmission (the collision window) before its transmitted signal has had time to propagate to all stations on the CSMA/CD medium. Once the collision window has passed, a transmitting station is said to have acquired the

medium; subsequent collisions are avoided since all other (properly functioning) stations can be assumed to have noticed the signal (by way of carrier sense) and to be deferring to it. The time to acquire the medium is thus based on the round-trip propagation time of the physical layer whose elements include the PLS, PMA, and physical medium.

In the event of a collision, the transmitting station's Physical Layer initially notices the interference on the medium and then turns on the collision detect signal. This is noticed in turn by the Transmit Media Access Management component of the MAC sublayer, and collision handling begins. First, Transmit Media Access Management enforces the collision by transmitting a bit sequence called jam. In 4.4 an implementation that uses this enforcement procedure is provided. This ensures that the duration of the collision is sufficient to be noticed by the other transmitting station(s) involved in the collision. After the jam is sent, Transmit Media Access Management terminates the transmission and schedules another transmission attempt after a randomly selected time interval. Retransmission is attempted again in the face of repeated collisions. Since repeated collisions indicate a busy medium, however, Transmit Media Access Management attempts to adjust to the medium load by backing off (voluntarily delaying its own retransmissions to reduce its load on the medium). This is accomplished by expanding the interval from which the random retransmission time is selected on each successive transmit attempt. Eventually, either the transmission succeeds, or the attempt is abandoned on the assumption that the medium has failed or has become overloaded.

At the receiving end, the bits resulting from a collision are received and decoded by the PLS just as are the bits of a valid frame. Fragmentary frames received during collisions are distinguished from valid transmissions by the MAC sublayer's Receive Media Access Management component.

**4.1.3 Relationships to LLC Sublayer and Physical Layer.** The CSMA/CD MAC sublayer provides services to the LLC sublayer required for the transmission and reception of frames. Access to these services is specified in 4.3. The CSMA/CD MAC sublayer makes a best effort to acquire the medium and transfer a serial stream of bits to the PLS. Although certain errors are reported to the LLC, error recovery is not provided by MAC. Error recovery may be provided by the LLC or higher (sub)layers.

**4.1.4 CSMA/CD Access Method Functional Capabilities.** The following summary of the functional capabilities of the CSMA/CD MAC sublayer is intended as a quick reference guide to the capabilities of the standard, as depicted in Fig 4-2:

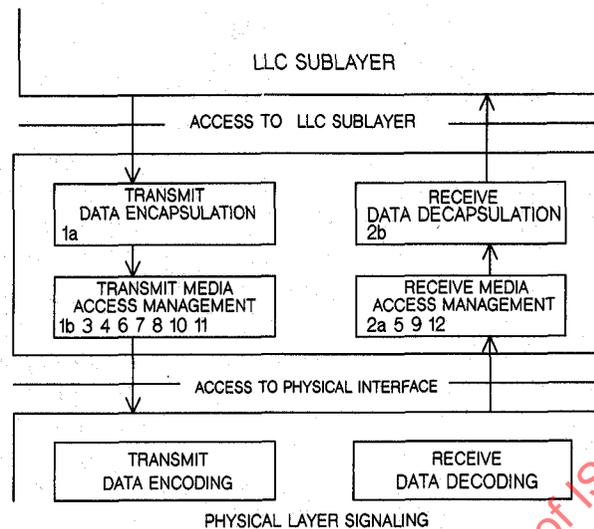
(1) For Frame Transmission

- (a) Accepts data from the LLC sublayer and constructs a frame
- (b) Presents a bit-serial data stream to the physical layer for transmission on the medium

NOTE: Assumes data passed from the LLC sublayer are octet multiples.

(2) For Frame Reception

- (a) Receives a bit-serial data stream from the physical layer



NOTE: Numbers refer to functions listed in 4.1.4.

**Fig 4-2**  
**CSMA/CD Media Access Control Functions**

(b) Presents to the LLC sublayer frames that are either broadcast frames or directly addressed to the local station

(c) Discards or passes to Network Management all frames not addressed to the receiving station

(3) Defers transmission of a bit-serial stream whenever the physical medium is busy

(4) Appends proper FCS value to outgoing frames and verifies full octet boundary alignment

(5) Checks incoming frames for transmission errors by way of FCS and verifies octet boundary alignment

(6) Delays transmission of frame bit stream for specified interframe gap period

(7) Halts transmission when collision is detected

(8) Schedules retransmission after a collision until a specified retry limit is reached

(9) Enforces collision to ensure propagation throughout network by sending jam message

(10) Discards received transmissions that are less than a minimum length

(11) Appends preamble, Start Frame Delimiter, DA, SA, length count, and FCS to all frames, and inserts pad field for frames whose LLC data length is less than a minimum value

(12) Removes preamble, Start Frame Delimiter, DA, SA, length count, FCS and pad field (if necessary) from received frames

## 4.2 CSMA/CD Media Access Control Method (MAC): Precise Specification

**4.2.1 Introduction.** A precise algorithmic definition is given in this section, providing procedural model for the CSMA/CD MAC process with a program in the computer language Pascal. See references [A2] and [A17] for resource material. Note whenever there is any apparent ambiguity concerning the definition of some aspect of the CSMA/CD MAC method, it is the Pascal procedural specification in 4.2.7 through 4.2.10 which should be consulted for the definitive statement. Sections 4.2.2 through 4.2.6 provide, in prose, a description of the access mechanism with the formal terminology to be used in the remaining subsections.

**4.2.2 Overview of the Procedural Model.** The functions of the CSMA/CD MAC method are presented below, modeled as a program written in the computer language Pascal. This procedural model is intended as the primary specification of the functions to be provided in any CSMA/CD MAC sublayer implementation. It is important to distinguish, however, between the model and a real implementation. The model is optimized for simplicity and clarity of presentation, while any realistic implementation shall place heavier emphasis on such constraints as efficiency and suitability to a particular implementation technology or computer architecture. In this context, several important properties of the procedural model shall be considered.

### 4.2.2.1 Ground Rules for the Procedural Model

(1) First, it shall be emphasized that *the description of the MAC sublayer in a computer language is in no way intended to imply that procedures shall be implemented as a program executed by a computer.* The implementation may consist of any appropriate technology including hardware, firmware, software, or any combination.

(2) Similarly, it shall be emphasized that it is the behavior of any MAC sublayer implementations that shall match the standard, not their internal structure. The internal details of the procedural model are useful only to the extent that they help specify that behavior clearly and precisely.

(3) The handling of incoming and outgoing frames is rather stylized in the procedural model, in the sense that frames are handled as single entities by most of the MAC sublayer and are only serialized for presentation to the Physical Layer. In reality, many implementations will instead handle frames serially on a bit, octet or word basis. This approach has not been reflected in the procedural model, since this only complicates the description of the functions without changing them in any way.

(4) The model consists of algorithms designed to be executed by a number of concurrent processes; these algorithms collectively implement the CSMA/CD procedure. The timing dependencies introduced by the need for concurrent activity are resolved in two ways:

(a) *Processes Versus External Events.* It is assumed that the algorithms are executed "very fast" relative to external events, in the sense that a process never falls behind in its work and fails to respond to an external event in a timely manner. For example, when a frame is to be received, it is assumed

that the Media Access procedure ReceiveFrame is always called well before the frame in question has started to arrive.

(b) *Processes Versus Processes*. Among processes, no assumptions are made about relative speeds of execution. This means that each interaction between two processes shall be structured to work correctly independent of their respective speeds. Note, however, that the timing of interactions among processes is often, in part, an indirect reflection of the timing of external events, in which case appropriate timing assumptions may still be made.

It is intended that the concurrency in the model reflect the parallelism intrinsic to the task of implementing the LLC and MAC procedures, although the actual parallel structure of the implementations is likely to vary.

**4.2.2.2 Use of Pascal in the Procedural Model.** Several observations need to be made regarding the method with which Pascal is used for the model. Some of these observations are as follows:

(1) Some limitations of the language have been circumvented to simplify the specification:

(a) The elements of the program (variables and procedures, for example) are presented in logical groupings, in top-down order. Certain Pascal ordering restrictions have thus been circumvented to improve readability.

(b) The *process* and *cycle* constructs of the Pascal derivative. Concurrent Pascal have been introduced to indicate the sites of autonomous concurrent activity. As used here, a process is simply a parameterless procedure that begins execution at "the beginning of time" rather than being invoked by a procedure call. A cycle statement represents the main body of a process and is executed repeatedly forever.

(c) The lack of variable array bounds in the language has been circumvented by treating frames as if they are always of a single fixed size (which is never actually specified). The size of a frame depends on the size of its data field, hence the value of the "pseudo-constant" frameSize should be thought of as varying in the long-term, even though it is fixed for any given frame.

(d) The use of a variant record to represent a frame ( as fields and as bits) follows the spirit but not the letter of the Pascal Report, since it allows the underlying representation to be viewed as two different data types.

(2) The model makes no use of any explicit interprocess synchronization primitives. Instead, all interprocess interaction is done by way of carefully stylized manipulation of shared variables. For example, some variables are set by only one process and inspected by another process in such a manner that the net result is independent of their execution speeds. While such techniques are not generally suitable for the construction of large concurrent programs, they simplify the model and more nearly resemble the methods appropriate to the most likely implementation technologies (microcode, hardware state-machines, etc.)

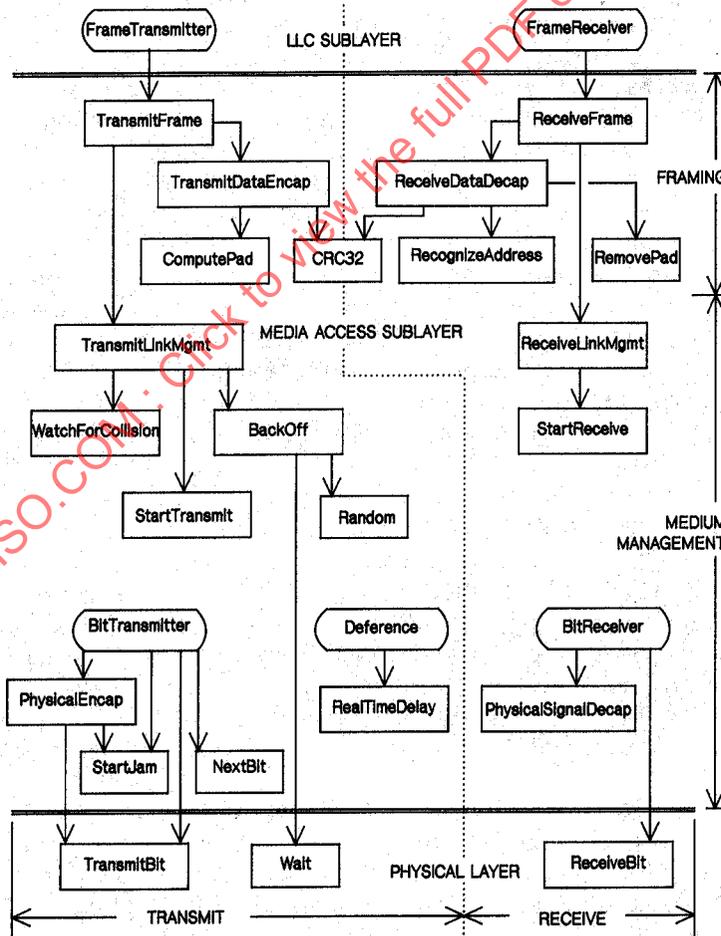
**4.2.2.3 Organization of the Procedural Model.** The procedural model used here is based on five cooperating concurrent processes. Three are actually defined in the MAC sublayer. The remaining two processes are provided by the clients of the MAC sublayer (which may include the LLC sublayer) and utilize

the interface operations provided by the MAC sublayer. The five processes are thus:

- (1) Frame Transmitter Process
- (2) Frame Receiver Process
- (3) Bit Transmitter Process
- (4) Bit Receiver Process
- (5) Deference Process

This organization of the model is illustrated in Fig 4-3 and reflects the fact that the communication of entire frames is initiated by the client of the MAC sublayer; while the timing of collision backoff and of individual bit transfers

**Fig 4-3**  
**Relationship Among CSMA/CD Procedures**



is based on interactions between the MAC sublayer and the Physical-Layer-dependent bit time.

Figure 4-3 depicts the static structure of the procedural model, showing how the various processes and procedures interact by invoking each other. Figures 4-4 and 4-5 summarize the dynamic behavior of the model during transmission and reception, focusing on the steps that shall be performed, rather than the procedural structure that performs them. The usage of the shared state variables is not depicted in the figures, but is described in the comments and prose in the following sections.

**4.2.3 Frame Transmission Model.** Frame transmission includes data encapsulation and Media Access management aspects:

(1) Transmit Data Encapsulation includes the assembly of the outgoing frame (from the values provided by the LLC sublayer) and frame check sequence generation.

(2) Transmit Media Access Management includes carrier deference, interframe spacing, collision detection and enforcement, and collision backoff and retransmission.

#### 4.2.3.1 Transmit Data Encapsulation

**4.2.3.1.1 Frame Assembly.** The fields of the CSMA/CD MAC frame are set to the values provided by the LLC sublayer as arguments to the Transmit-Frame operation (see 4.3) with the exception of the padding necessary to enforce the minimum framesize and the frame check sequence that is set to the CRC value generated by the MAC sublayer.

**4.2.3.1.2 Frame Check Sequence Generation.** The CRC value defined in 3.8 is generated and inserted in the frame check sequence field, following the fields supplied by the LLC sublayer.

#### 4.2.3.2 Transmit Media Access Management

**4.2.3.2.1 Carrier Deference.** Even when it has nothing to transmit, the CSMA/CD MAC sublayer monitors the physical medium for traffic by watching the carrierSense signal provided by the PLS. Whenever the medium is busy, the CSMA/CD MAC sublayer defers to the passing frame by delaying any pending transmission of its own. After the last bit of the passing frame (that is, when carrierSense changes from true to false), the CSMA/CD MAC sublayer continues to defer for a proper interFrameSpacing (see 4.2.3.2.2).

If, at the end of the interFrameSpacing, a frame is waiting to be transmitted, transmission is initiated independent of the value of carrierSense. When transmission has completed (or immediately, if there was nothing to transmit) the CSMA/CD MAC sublayer resumes its original monitoring of carrierSense.

When a frame is submitted by the LLC sublayer for transmission, the transmission is initiated as soon as possible, but in conformance with the rules of deference stated above.

**NOTE:** It is possible for the PLS carrier sense indication to fail to be asserted briefly during a collision on the media. If the Deference process simply times the interFrame gap based on this indication it is possible for a short interFrame gap to be generated, leading to a potential reception failure of a subsequent frame. To enhance system robustness the following optional measures, as specified in 4.2.8, are recommended when interFrame SpacingPart1 is other than zero:

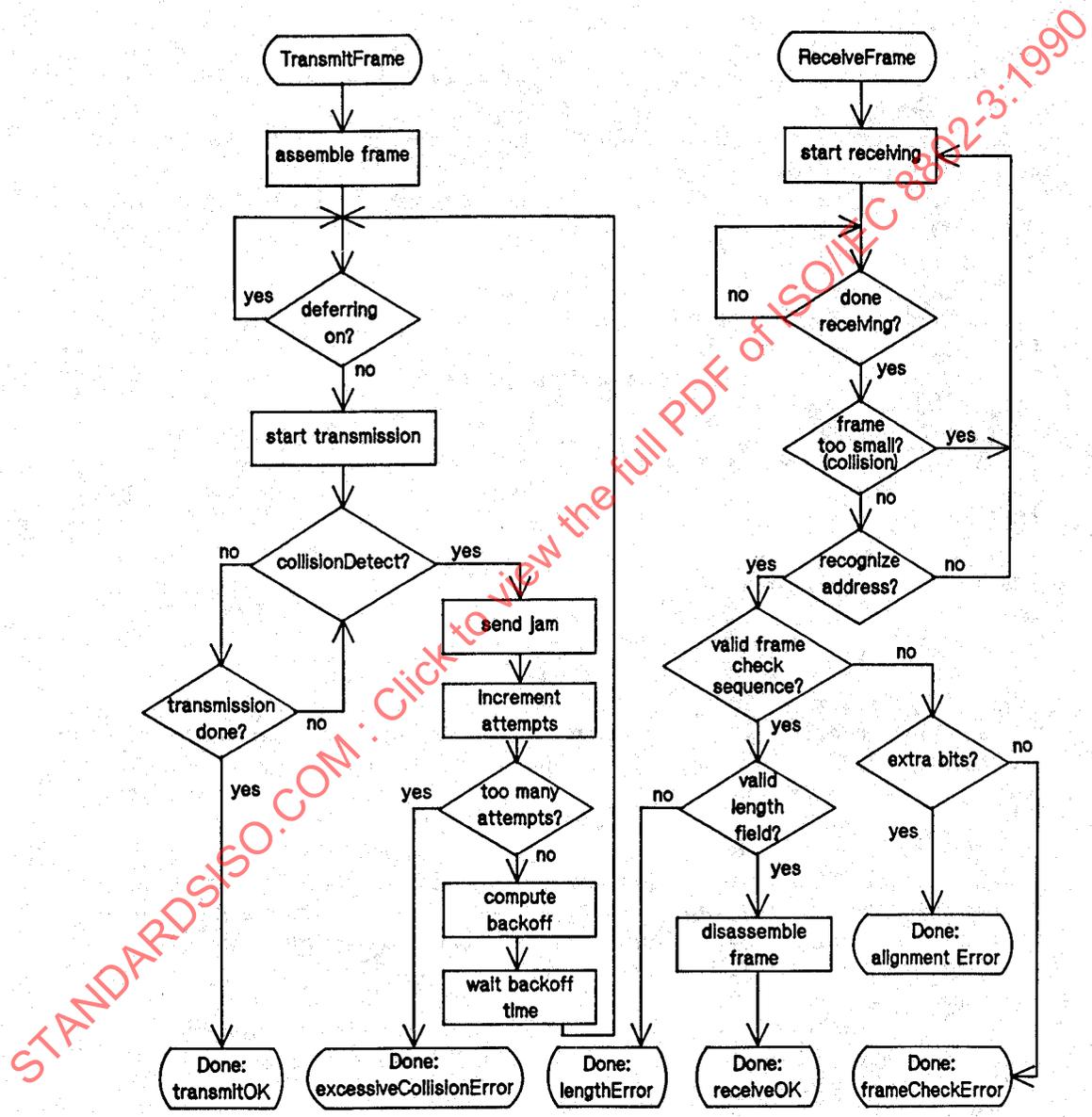


Fig 4-4  
Control Flow Summary

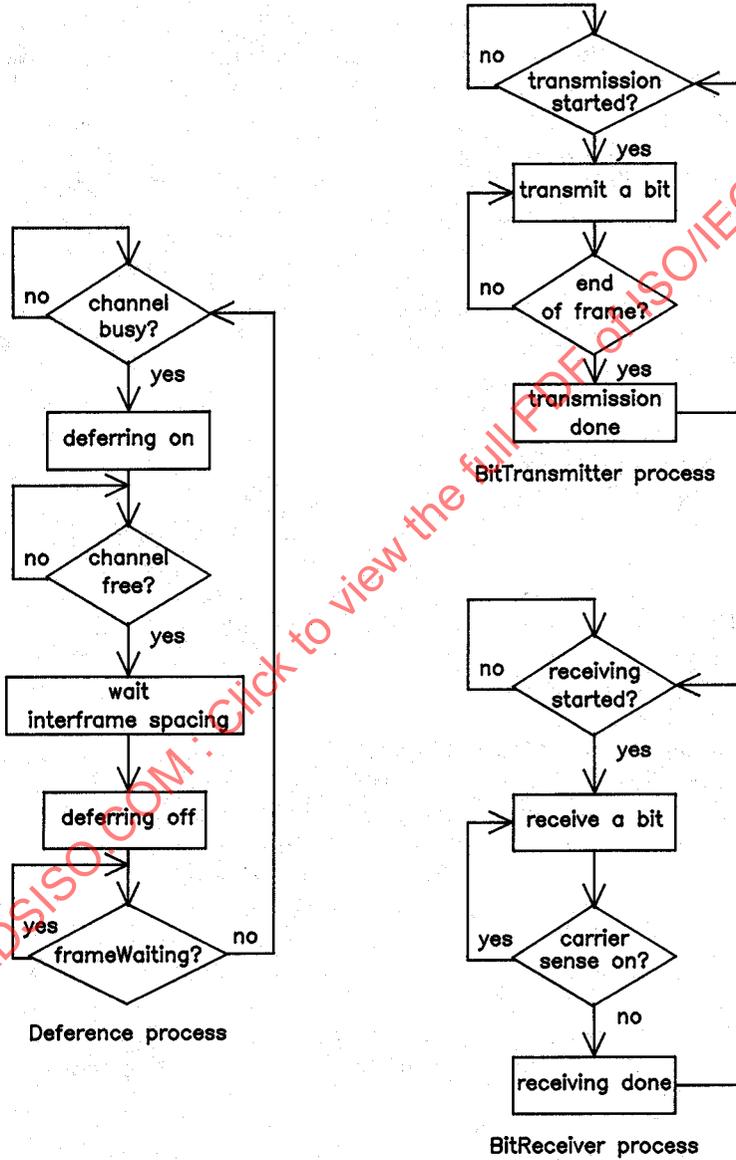


Fig 4-5  
Control Flow: MAC Sublayer

- (1) Upon completing a transmission, start timing the interpacket gap as soon as transmitting and carrierSense are both false.
- (2) When timing an interFrame gap following reception, reset the interFrame gap timing if carrierSense becomes true during the first 2/3 of the interFrame gap timing interval. During the final 1/3 of the interval the timer shall not be reset to ensure fair access to the medium. An initial period shorter than 2/3 of the interval is permissible including zero.

**4.2.3.2.2 Interframe Spacing.** As defined in 4.2.3.2.1, the rules for deferring to passing frames ensure a minimum interframe spacing of interFrameSpacing seconds. This is intended to provide interframe recovery time for other CSMA/CD sublayers and for the physical medium.

Note that interFrameSpacing is the minimum value of the interframe spacing. If necessary for implementation reasons, a transmitting sublayer may use a larger value with a resulting decrease in its throughput. The larger value is determined by the parameters of the implementation, see 4.4.

**4.2.3.2.3 Collision Handling.** Once a CSMA/CD sublayer has finished deferring and has started transmission, it is still possible for it to experience contention for the medium. Collisions can occur until acquisition of the network has been accomplished through the deference of all other stations' CSMA/CD sublayers.

The dynamics of collision handling are largely determined by a single parameter called the slot time. This single parameter describes three important aspects of collision handling:

- (1) It is an upper bound on the acquisition time of the medium.
- (2) It is an upper bound on the length of a frame fragment generated by a collision.
- (3) It is the scheduling quantum for retransmission.

To fulfill all three functions, the slot time shall be larger than the sum of the Physical Layer round-trip propagation time and the Media Access Layer maximum jam time. The slot time is determined by the parameters of the implementation, see 4.4.

**4.2.3.2.4 Collision Detection and Enforcement.** Collisions are detected by monitoring the collisionDetect signal provided by the Physical Layer. When a collision is detected during a frame transmission, the transmission is not terminated immediately. Instead, the transmission continues until additional bits specified by jamSize have been transmitted (counting from the time collisionDetect went on). This collision enforcement or jam guarantees that the duration of the collision is sufficient to ensure its detection by all transmitting stations on the network. The content of the jam is unspecified; it may be any fixed or variable pattern convenient to the Media Access implementation, however, the implementation shall not be intentionally designed to be the 32-bit CRC value corresponding to the (partial) frame transmitted prior to the jam.

**4.2.3.2.5 Collision Backoff and Retransmission.** When a transmission attempt has terminated due to a collision, it is retried by the transmitting CSMA/CD sublayer until either it is successful or a maximum number of attempts (attemptLimit) have been made and all have terminated due to collisions. Note that all attempts to transmit a given frame are completed before

any subsequent outgoing frames are transmitted. The scheduling of the re-transmissions is determined by a controlled randomization process called "truncated binary exponential backoff." At the end of enforcing a collision (jamming), the CSMA/CD sublayer delays before attempting to retransmit the frame. The delay is an integer multiple of slotTime. The number of slot times to delay before the *n*th retransmission attempt is chosen as a uniformly distributed random integer *r* in the range:

$$0 \leq r < 2^k$$

where

$$k = \min(n, 10)$$

If all attemptLimit attempts fail, this event is reported as an error. Algorithms used to generate the integer *r* should be designed to minimize the correlation between the numbers generated by any two stations at any given time.

Note that the values given above define the most aggressive behavior that a station may exhibit in attempting to retransmit after a collision. In the course of implementing the retransmission scheduling procedure, a station may introduce extra delays that will degrade its own throughput, but in no case may a station's retransmission scheduling result in a lower average delay between retransmission attempts than the procedure defined above.

**4.2.3.3 Minimum Frame Size.** The CSMA/CD Media Access mechanism requires that a minimum frame length of minFrameSize bits be transmitted. If frameSize is less than minFrameSize, then the CSMA/CD MAC sublayer shall append extra bits in units of octets, after the end of the LLC data field but prior to calculating, and appending, the FCS. The number of extra bits shall be sufficient to ensure that the frame, from the DA field through the FCS field inclusive, is at least minFrameSize bits. The content of the pad is unspecified.

**4.2.4 Frame Reception Model.** CSMA/CD MAC sublayer frame reception includes both data decapsulation and Media Access management aspects:

(1) Receive Data Decapsulation comprises address recognition, frame check sequence validation, and frame disassembly to pass the fields of the received frame to the LLC sublayer.

(2) Receive Media Access Management comprises recognition of collision fragments from incoming frames and truncation of frames to octet boundaries.

#### **4.2.4.1 Receive Data Decapsulation**

**4.2.4.1.1 Address Recognition.** The CSMA/CD MAC sublayer is capable of recognizing individual and group addresses.

(1) *Individual Addresses.* The CSMA/CD MAC sublayer recognizes and accepts any frame whose DA field contains the individual address of the station.

(2) *Group Addresses*. The CSMA/CD MAC sublayer recognizes and accepts any frame whose DA field contains the Broadcast address.

The CSMA/CD MAC sublayer is capable of activating some number of group addresses as specified by higher layers. The CSMA/CD MAC sublayer recognizes and accepts any frame whose Destination Address field contains an active group address. An active group address may be deactivated.

**4.2.4.1.2 Frame Check Sequence Validation.** FCS validation is essentially identical to FCS generation. If the bits of the incoming frame (exclusive of the FCS field itself) do not generate a CRC value identical to the one received, an error has occurred and the frame is identified as invalid.

**4.2.4.1.3 Frame Disassembly.** Upon recognition of the Start Frame Delimiter at the end of the preamble sequence, the CSMA/CD MAC sublayer accepts the frame. If there are no errors, the frame is disassembled and the fields are passed to the LLC sublayer by way of the output parameters of the Receive-Frame operation.

#### **4.2.4.2 Receive Media Access Management**

**4.2.4.2.1 Framing.** The CSMA/CD sublayer recognizes the boundaries of an incoming frame by monitoring the carrierSense signal provided by the PLS. There are two possible length errors that can occur, that indicate ill-framed data: the frame may be too long, or its length may not be an integer number of octets.

(1) *Maximum Frame Size*. The receiving CSMA/CD sublayer is not required to enforce the frame size limit, but it is allowed to truncate frames longer than maxFrameSize octets and report this event as an (implementation-dependent) error.

(2) *Integer Number of Octets in Frame*. Since the format of a valid frame specifies an integer number of octets, only a collision or an error can produce a frame with a length that is not an integer multiple of 8 bits. Complete frames (that is, not rejected as collision fragments; see 4.2.4.2.2) that do not contain an integer number of octets are truncated to the nearest octet boundary. If frame check sequence validation detects an error in such a frame, the status code alignmentError is reported.

**4.2.4.2.2 Collision Filtering.** The smallest valid frame shall be at least one slotTime in length. This determines the minFrameSize. Any frame containing less than minFrameSize bits is presumed to be a fragment resulting from a collision. Since occasional collisions are a normal part of the Media Access management procedure, the discarding of such a fragment is not reported as an error to the LLC sublayer.

**4.2.5 Preamble Generation.** In a LAN implementation, most of the Physical Layer components are allowed to provide valid output some number of bit times after being presented valid input signals. Thus it is necessary for a preamble to be sent before the start of data, to allow the PLS circuitry to reach its steady-state. Upon request by TransmitLinkMgmt to transmit the first bit of a new frame, PhysicalSignalEncap shall first transmit the preamble, a bit sequence used for physical medium stabilization and synchronization, followed by the Start Frame Delimiter. If, while transmitting the preamble, the PLS

asserts the collision detect signal, any remaining preamble bits shall be sent. The preamble pattern is:

10101010 10101010 10101010 10101010 10101010 10101010 10101010

The bits are transmitted in order, from left to right. The nature of the pattern is such that, for Manchester encoding, it appears as a periodic waveform on the medium that enables bit synchronization. It should be noted that the preamble ends with a "0."

**4.2.6 Start Frame Sequence.** The PLS recognizes the presence of activity on the medium through the carrier sense signal. This is the first indication that the frame reception process should begin. Upon reception of the sequence 10101011 immediately following a latter part of the preamble pattern, PhysicalSignalDecap shall begin passing successive bits to ReceiveLinkMgmt for passing to the LLC sublayer.

**4.2.7 Global Declarations.** This section provides detailed formal specifications for the CSMA/CD MAC sublayer. It is a specification of generic features and parameters to be used in systems implementing this media access method. Section 4.4 provides values for these sets of parameters for recommended implementations of this media access mechanism.

**4.2.7.1 Common Constants and Types.** The following declarations of constants and types are used by the frame transmission and reception sections of each CSMA/CD sublayer:

*const*

addressSize = ... ; (16 or 48 bits in compliance with 3.2.3)  
lengthSize = 16; (in bits)  
LLCdataSize = ...; (LLC Data, see 4.2.2.2, (1)(c))  
padSize = ...; (in bits, = max (0, minFrameSize - (2 x addressSize  
+ lengthSize + LLCdataSize + crcSize))).  
dataSize = ...; (= LLCdataSize + padSize)  
crcSize = 32; (32 bit CRC = 4 octets)  
frameSize = ...; (= 2 x addressSize + lengthSize + dataSize + crcSize,  
see 4.2.2.2(1))  
minFrameSize = ... ; (in bits, implementation-dependent, see 4.4)  
slotTime = ... ; (unit of time for collision handling,  
implementation-dependent, see 4.4)  
preambleSize = ... ; (in bits, physical-medium-dependent)  
sfdSize = 8; (8 bit start frame delimiter)  
headerSize = ...; (sum of preambleSize and sfdSize)

*type*

Bit = 0..1;  
AddressValue = array [1..addressSize] of Bit;  
LengthValue = array [1..lengthSize] of Bit;  
DataValue = array [1..dataSize] of Bit;  
CRCValue = array [1..crcSize] of Bit;  
PreambleValue = array [1..preambleSize] of Bit;

```
SfdValue = array [1..sfdSize] of Bit;
ViewPoint = (fields, bits); {Two ways to view the contents of a frame}
HeaderViewPoint = (headerFields, headerBits);
Frame = record {Format of Media Access frame}
  case view: ViewPoint of
    fields: (
      destinationField: AddressValue;
      sourceField: AddressValue;
      lengthField: LengthValue;
      dataField: DataValue;
      fcsField: CRCValue);
    bits: (contents: array [1..frameSize] of Bit)
  end; {Frame}
Header = record {Format of preamble and start frame delimiter}
  case headerView : HeaderViewPoint of
    headerFields : (
      preamble : PreambleValue;
      sfd : SfdValue);
    headerBits : (
      headerContents : array [1..headerSize] of Bit)
  end; {defines header for MAC frame}
```

**4.2.7.2 Transmit State Variables.** The following items are specific to frame transmission. (See also 4.4.)

```
const
  interFrameSpacing = ... ; {minimum time between frames}
  interFrameSpacingPart1 = ... ; {duration of first portion of interFrame
    timing. In range 0 up to 2/3
    interFrameSpacing}
  interFrameSpacingPart2 = ... ; {duration of remainder of interFrame
    timing. Equal to interFrameSpacing
    - interFrameSpacingPart1}
  attemptLimit = ... ; {Max number of times to attempt transmission}
  backOffLimit = ... ; {Limit on number of times to back off}
  jamSize = ... ; {in bits: the value depends upon medium and collision
    detect implementation}

var
  outgoingFrame: Frame; {The frame to be transmitted}
  outgoingHeader: Header;
  currentTransmitBit, lastTransmitBit: 1..frameSize;
  {Positions of current and last outgoing bits in outgoingFrame}
  lastHeaderBit: 1..headerSize;
  deferring: Boolean; {Implies any pending transmission must wait for
    the medium to clear}
  frameWaiting: Boolean; {Indicates that outgoingFrame is deferring}
```



```
var
  carrierSense: Boolean; {Indicates incoming bits}
  transmitting: Boolean; {Indicates outgoing bits}
  wasTransmitting: Boolean; {Indicates transmission in progress or
                             just completed}
  collisionDetect: Boolean; {Indicates medium contention}
procedure TransmitBit (bitParam: Bit); {Transmits one bit}
function ReceiveBit: Bit; {Receives one bit}
procedure Wait (bitTimes: integer); {Waits for indicated number of
                                     bit-times}
```

**4.2.7.5 State Variable Initialization.** The procedure Initialize must be run when the MAC sublayer begins operation, before any of the processes begin execution. Initialize sets certain crucial shared state variables to their initial values. (All other global variables are appropriately reinitialized before each use.) Initialize then waits for the medium to be idle, and starts operation of the various processes.

```
procedure Initialize;
begin
  frameWaiting := false;
  deferring := false;
  newCollision := false;
  transmitting := false; {In interface to Physical Layer; see below}
  receiving := false;
  while carrierSense do nothing;
  {Start execution of all processes}
end; {Initialize}
```

**4.2.8 Frame Transmission.** The algorithms in this section define MAC sublayer frame transmission. The function TransmitFrame implements the frame transmission operation provided to the LLC sublayer:

```
function TransmitFrame (
  destinationParam: AddressValue;
  sourceParam: AddressValue;
  lengthParam: LengthValue;
  dataParam: DataValue): TransmitStatus;
procedure TransmitDataEncap; ... {nested procedure; see body below}
begin
  TransmitDataEncap;
  TransmitFrame := TransmitLinkMgmt
end; {TransmitFrame}
```

First, TransmitFrame calls the internal procedure TransmitDataEncap to construct the frame. Next, TransmitLinkMgmt is called to perform the actual

transmission. The `TransmitStatus` returned indicates the success or failure of the transmission attempt.

`TransmitDataEncap` builds the frame and places the 32-bit CRC in the frame check sequence field:

```

procedure TransmitDataEncap;
begin
  with outgoingFrame do
    begin {assemble frame}
      view := fields;
      destinationField := destinationParam;
      sourceField := sourceParam;
      lengthField := lengthParam;
      dataField := ComputePad (lengthParam, dataParam);
      fcsField := CRC32(outgoingFrame);
      view := bits
    end {assemble frame}
    with outgoingHeader do
      begin
        headerView := headerFields;
        preamble := ...; { * '1010...10,' LSB to MSB* }
        sfd := ...; { * '10101011,' LSB to MSB* }
        headerView := headerBits
      end
    end; {TransmitDataEncap}

```

`ComputePad` appends an array of arbitrary bits to the `LLCdataField` to pad the frame to the minimum frame size.

```

function ComputePad(
  var lengthParam:LengthValue
  var dataParam:DataValue) :DataValue;
begin
  ComputePad := {Append an array of size padSize of arbitrary bits to
    the LLCdataField}
end;{ComputePadParam}

```

`TransmitLinkMgmt` attempts to transmit the frame, deferring first to any passing traffic. If a collision occurs, transmission is terminated properly and retransmission is scheduled following a suitable backoff interval:

```

function TransmitLinkMgmt: TransmitStatus;
begin
  attempts := 0; transmitSucceeding := false;
  while(attempts < attemptLimit) and (not transmitSucceeding)do
    begin {loop}

```

```
    if attempts > 0 then BackOff;
    frameWaiting := true;
    while deferring do nothing; (defer to passing frame, if any)
    frameWaiting := false;
    StartTransmit;
    while transmitting do WatchForCollision;
    attempts := attempts+1
end; (loop)
if transmitSucceeding then TransmitLinkMgmt := transmitOK
else TransmitLinkMgmt := excessiveCollisionError
end; (TransmitLinkMgmt)
```

Each time a frame transmission attempt is initiated, StartTransmit is called to alert the BitTransmitter process that bit transmission should begin:

```
procedure StartTransmit;
begin
    currentTransmitBit := 1;
    lastTransmitBit := frameSize;
    transmitSucceeding := true;
    transmitting := true;
    lastHeaderBit := headerSize
end; (StartTransmit)
```

Once frame transmission has been initiated, TransmitLinkMgmt monitors the medium for contention by repeatedly calling WatchForCollision:

```
procedure WatchForCollision;
begin
    if transmitSucceeding and collisionDetect then
    begin
        newCollision := true;
        transmitSucceeding := false
    end
end; (WatchForCollision)
```

WatchForCollision, upon detecting a collision, updates newCollision to ensure proper jamming by the BitTransmitter process.

After transmission of the jam has been completed, if TransmitLinkMgmt determines that another attempt should be made, BackOff is called to schedule the next attempt to retransmit the frame.

```
var maxBackOff: 2..1024; (Working variable of BackOff)
procedure BackOff;
begin
    if attempts = 1 then maxBackOff := 2
```

```

else if attempts ≤ backOffLimit
then maxBackOff := maxBackOff x 2;
Wait(slotTime x Random(0, maxBackOff))
end; {BackOff}

```

```

function Random (low, high: integer): integer;
begin
Random := ...{uniformly distributed random integer r such that
low ≤ r < high}
end; {Random}

```

BackOff performs the truncated binary exponential backoff computation and then waits for the selected multiple of the slot time.

The Deference process runs asynchronously to continuously compute the proper value for the variable deferring.

```

process Deference;
begin
cycle{main loop}
while not carrierSense do nothing; {watch for carrier to appear}
deferring := true; {delay start of new transmissions}
wasTransmitting:=transmitting;
while carrierSense or transmitting then
wasTransmitting: = wasTransmitting or transmitting;
if wasTransmitting do
begin
StartRealTimeDelay; {time out first part interframe gap}
while RealTimeDelay(interFrameSpacingPart1) do nothing
end
else
begin
StartRealTimeDelay;
repeat
while carrierSense do StartRealTimeDelay
until not RealTimeDelay(interFrameSpacingPart1)
end;
StartRealTimeDelay; {time out second part interframe gap}
while RealTimeDelay(interFrameSpacingPart2) do nothing;
deferring: = false; {allow new transmissions to proceed}
while frameWaiting do nothing; {allow waiting transmission
if any}
end {main loop}
end; {Deference}

```

```

procedure StartRealTimeDelay

```

```
begin  
  (reset the realltimetimer and start it timing)  
end; (StartRealTimeDelay)
```

```
function RealTimeDelay ( $\mu$ sec:real): Boolean;  
begin  
  (return the value true if the specified number of microseconds have  
  not elapsed since the most recent invocation of StartRealTimeDelay,  
  otherwise return the value false)  
end; (RealTimeDelay)
```

The BitTransmitter process runs asynchronously, transmitting bits at a rate determined by the Physical Layer's TransmitBit operation:

```
process BitTransmitter;  
begin  
  cycle (outer loop)  
    if transmitting then  
      begin (inner loop)  
        PhysicalSignalEncap; (Send preamble and start of frame  
                             delimiter)  
        while transmitting do  
          begin  
            TransmitBit(outgoingFrame[currentTransmitBit]);  
            (send next bit to Physical Layer)  
            if newCollision then StartJam else NextBit  
          end;  
        end; (inner loop)  
      end; (outer loop)  
end; (BitTransmitter)
```

```
procedure PhysicalSignalEncap;  
begin  
  while currentTransmitBit  $\leq$  lastHeaderBit do  
    begin  
      TransmitBit(outgoingHeader[currentTransmitBit]);  
      (transmit header one bit at a time)  
      currentTransmitBit := currentTransmitBit + 1;  
    end  
    if newCollision then StartJam else  
      currentTransmitBit := 1  
    end; (PhysicalSignalEncap)
```

```
procedure NextBit;  
begin  
  currentTransmitBit := currentTransmitBit+1;
```

```

    transmitting := (currentTransmitBit ≤ lastTransmitBit)
end; {NextBit}

```

```

procedure StartJam;
begin
    currentTransmitBit := 1;
    lastTransmitBit := jamSize;
    newCollision := false
end; {StartJam}

```

BitTransmitter, upon detecting a new collision, immediately enforces it by calling startJam to initiate the transmission of the jam. The jam should contain a sufficient number of bits of arbitrary data so that it is assured that both communicating stations detect the collision. (StartJam uses the first set of bits of the frame up to jamSize, merely to simplify this program).

**4.2.9 Frame Reception.** The algorithms in this section define CSMA/CD Media Access sublayer frame reception:

The procedure ReceiveFrame implements the frame reception operation provided to the LLC Sublayer:

```

function ReceiveFrame (
    var destinationParam: AddressValue;
    var sourceParam: AddressValue;
    var lengthParam: LengthValue;
    var dataParam: DataValue): ReceiveStatus;
    function ReceiveDataDecap: ReceiveStatus; ... {nested function;
                                                see body below}
begin
    repeat
        ReceiveLinkMgmt;
        ReceiveFrame := ReceiveDataDecap;
    until receiveSucceeding
end; {ReceiveFrame}

```

ReceiveFrame calls ReceiveLinkMgmt to receive the next valid frame, and then calls the internal procedure ReceiveDataDecap to return the frame's fields to the LLC sublayer if the frame's address indicates that it should do so. The returned ReceiveStatus indicates the presence or absence of detected transmission errors in the frame.

```

function ReceiveDataDecap: ReceiveStatus;
begin
    receiveSucceeding := RecognizeAddress (incomingFrame,
                                          destinationField);
    if receiveSucceeding then with incomingFrame do

```

```
begin (disassemble frame)
  view := fields;
  destinationParam := destinationField;
  sourceParam := sourceField;
  lengthParam := lengthField;
  dataParam := RemovePad (lengthField, dataField);
  if fcsField = CRC32 (incomingFrame) then
    begin
      if validLength then ReceiveDataDecap := receiveOK
      else ReceiveDataDecap := lengthError
    end
  else
    begin
      if excessBits = 0 then ReceiveDataDecap :=
        frameCheckError
      else ReceiveDataDecap := alignmentError;
    end;
  view := bits
end (disassemble frame)
end; (ReceiveDataDecap)

function RecognizeAddress (address: AddressValue): Boolean;
begin
  RecognizeAddress := ... (Returns true for the set of physical, broadcast,
    and multicast-group addresses corresponding
    to this station)
end; (RecognizeAddress)

function RemovePad(
  var lengthParam: LengthValue
  var dataParam: DataValue): DataValue;
begin
  validLength := (Check to determine if value represented by
    lengthParam matches received LLCdataSize);
  if validLength then
    RemovePad := (truncate the dataParam (when present) to value
      represented by lengthParam (in octets)
      and return the result)
  else
    RemovePad := dataParam
end; (RemovePad)
```

ReceiveLinkMgmt attempts repeatedly to receive the bits of a frame, discarding any fragments from collisions by comparing them to the minimum valid frame size:

```

procedure ReceiveLinkMgmt;
begin
  repeat
    StartReceive;
    while receiving do nothing; (wait for frame to finish arriving)
    excessBits := frameSize mod 8;
    frameSize := frameSize - excessBits; (truncate to octet boundary)
    receiveSucceeding := (frameSize ≥ minFrameSize);
                        (reject collision fragments)

    until receiveSucceeding
  end; (ReceiveLinkMgmt)

procedure StartReceive;
begin
  currentReceiveBit := 1;
  receiving := true
end; (StartReceive)

```

The BitReceiver process runs asynchronously, receiving bits from the medium at the rate determined by the Physical Layer's ReceiveBit operation:

```

process BitReceiver;
  var b: Bit;
  begin
    cycle {outer loop}
      while receiving do
        begin {inner loop}
          if currentReceiveBit = 1 then
            PhysicalSignalDecap; (Strip off the preamble and
                                start frame delimiter)
            b := ReceiveBit; (Get next bit from physical Media Access)
            if carrierSense then
              begin {append bit to frame}
                incomingFrame[currentReceiveBit] := b;
                currentReceiveBit := currentReceiveBit+1
              end; {append bit to frame}
            receiving := carrierSense
          end {inner loop}
          frameSize := currentReceiveBit - 1
        end {outer loop}
      end; (BitReceiver)

procedure PhysicalSignalDecap;
  begin
    (Receive one bit at a time from physical medium until a valid sfd is
     detected, discard bits and return)
  end; (PhysicalSignalDecap)

```

**4.2.10 Common Procedures.** The function CRC32 is used by both the transmit and receive algorithms to generate a 32 bit CRC value:

```
function CRC32 (f: Frame): CRCValue;  
begin  
  CRC32 := {The 32-bit CRC }  
end; {CRC32}
```

Purely to enhance readability, the following procedure is also defined:

```
procedure nothing; begin end;
```

The idle state of a process (that is, while waiting for some event) is cast as repeated calls on this procedure.

### 4.3 Interfaces to/from Adjacent Layers

**4.3.1 Overview.** The purpose of this section is to provide precise definitions of the interfaces between the architectural layers defined in Section 1 in compliance with the Media Access Service Specification given in Section 2. In addition, the services required from the physical medium are defined.

The notation used here is the Pascal language, in keeping with the procedural nature of the precise MAC sublayer specification (see 4.2). Each interface is described as a set of procedures or shared variables, or both, that collectively provide the only valid interactions between layers. The accompanying text describes the meaning of each procedure or variable and points out any implicit interactions among them.

Note that the description of the interfaces in Pascal is a notational technique, and in no way implies that they can or should be implemented in software. This point is discussed more fully in 4.2, that provides complete Pascal declarations for the data types used in the remainder of this section. Note also that the "synchronous" (one frame at a time) nature of the frame transmission and reception operations is a property of the architectural interface between the LLC and MAC sublayers, and need not be reflected in the implementation interface between a station and its sublayer.

**4.3.2 Services Provided by the MAC Sublayer.** The services provided to the LLC sublayer by the MAC sublayer are transmission and reception of LLC frames. The interface through which the LLC sublayer uses the facilities of the MAC sublayer therefore consists of a pair of functions.

*Functions:*  
 TransmitFrame  
 ReceiveFrame

Each of these functions has the components of a LLC frame as its parameters (input or output), and returns a status code as its result. Note that the `service_class` defined in 2.3.1 is ignored by CSMA/CD MAC.

The LLC sublayer transmits a frame by invoking `TransmitFrame`:

```
function TransmitFrame (
    destinationParam: AddressValue;
    sourceParam: AddressValue;
    lengthParam: LengthValue;
    dataParam: DataValue): TransmitStatus;
```

The `TransmitFrame` operation is synchronous. Its duration is the entire attempt to transmit the frame; when the operation completes, transmission has either succeeded or failed, as indicated by the resulting status code:

```
type TransmitStatus = (transmitOK, excessiveCollisionError);
```

Successful transmission is indicated by the status code `transmitOK`; the code `excessiveCollisionError` indicates that the transmission attempt was aborted due to excessive collisions, because of heavy traffic or a network failure.

The LLC sublayer accepts incoming frames by invoking `ReceiveFrame`:

```
function ReceiveFrame (
    var destinationParam: AddressValue;
    var sourceParam: AddressValue;
    var lengthParam: LengthValue;
    var dataParam: DataValue): ReceiveStatus;
```

The `ReceiveFrame` operation is synchronous. The operation does not complete until a frame has been received. The fields of the frame are delivered via the output parameters with a status code:

```
type ReceiveStatus = (receiveOK, lengthError, frameCheckError,
    alignmentError);
```

Successful reception is indicated by the status code `receiveOK`. The code `frameCheckError` indicates that the frame received was damaged by a transmission error. The code `alignmentError` indicates that the frame received was damaged, and that in addition, its length was not an integer number of octets. The `lengthError` indicates the `lengthParam` value was inconsistent with the `frameSize` of the received frame.

**4.3.3 Services Required from the Physical Layer.** The interface through which the CSMA/CD MAC sublayer uses the facilities of the Physical Layer consists of a function, a pair of procedures and three Boolean variables.

*Function:*  
ReceiveBit

*Procedures:*  
TransmitBit  
Wait

*Variables:*  
collisionDetect  
carrierSense  
transmitting

During transmission, the contents of an outgoing frame are passed from the MAC sublayer to the Physical Layer by way of repeated use of the TransmitBit operation:

*procedure* TransmitBit (bitParam: Bit);

Each invocation of TransmitBit passes one new bit of the outgoing frame to the Physical Layer. The TransmitBit operation is synchronous. The duration of the operation is the entire transmission of the bit. The operation completes, when the Physical Layer is ready to accept the next bit and it transfers control to the MAC sublayer.

The overall event of data being transmitted is signaled to the Physical Layer by way of the variable transmitting:

*var* transmitting: Boolean;

Before sending the first bit of a frame, the MAC sublayer sets transmitting to true, to inform the Physical Media Access that a stream of bits will be presented via the TransmitBit operation. After the last bit of the frame has been presented, the MAC sublayer sets transmitting to false to indicate the end of the frame.

The presence of a collision in the physical medium is signaled to the MAC sublayer by the variable collisionDetect:

*var* collisionDetect: Boolean;

The collisionDetect signal remains true during the duration of the collision.

**NOTE:** Since an entire collision may occur during preamble generation, the MAC sublayer shall handle this possibility by monitoring collisionDetect concurrently with its transmission of outgoing bits. See 4.2 for details.

The collisionDetect signal is generated only during transmission and is never true at any other time; in particular, it cannot be used during frame reception to detect collisions between overlapping transmissions from two or more other stations.

During reception, the contents of an incoming frame are retrieved from the Physical Layer by the MAC sublayer via repeated use of the ReceiveBit operation:

*function* ReceiveBit: Bit;

Each invocation of `ReceiveBit` retrieves one new bit of the incoming frame from the Physical Layer. The `ReceiveBit` operation is synchronous. Its duration is the entire reception of a single bit. Upon receiving a bit, the MAC sub-layer shall immediately request the next bit until all bits of the frame have been received. (See 4.2 for details.)

The overall event of data being received is signaled to the MAC sub-layer by the variable `carrierSense`:

```
var carrierSense: Boolean;
```

When the Physical Layer sets `carrierSense` to true, the MAC sublayer shall immediately begin retrieving the incoming bits by the `ReceiveBit` operation. When `carrierSense` subsequently becomes false, the MAC sublayer can begin processing the received bits as a completed frame. Note that the true/false transitions of `carrierSense` are not defined to be precisely synchronized with the beginning and end of the frame, but may precede the beginning and lag the end, respectively. If an invocation of `ReceiveBit` is pending when `carrierSense` becomes false, `ReceiveBit` returns an undefined value, which should be discarded by the MAC sublayer. (See 4.2 for details.)

The MAC sublayer shall also monitor the value of `carrierSense` to defer its own transmissions when the medium is busy.

The Physical Layer also provides the procedure `Wait`:

```
procedure Wait (bitTimes: integer);
```

This procedure waits for the specified number of bit times. This allows the MAC sublayer to measure time intervals in units of the (physical-medium-dependent) bit time.

Another important property of the Physical Layer, which is an implicit part of the interface presented to the MAC sublayer, is the round-trip propagation time of the physical medium. Its value represents the maximum time required for a signal to propagate from one end of the network to the other, and for a collision to propagate back. The round-trip propagation time is primarily (but not entirely) a function of the physical size of the network. The round-trip propagation time of the Physical Layer is defined in 4.4 for a selection of physical media.

#### 4.4 Specific Implementations

**4.4.1 Compatibility Overview.** To provide total compatibility at all levels of the standard, it is required that each network component implementing the CSMA/CD MAC sublayer procedure adheres rigidly to these specifications. The information provided in 4.4.2.1 below provides design parameters for a specific implementation of this access method. Variations from these values result in a system implementation that violates the standard.

##### 4.4.2 Allowable Implementations

**4.4.2.1 Parameterized Values.** The following table identifies the parameter values that shall be used in the 10Mb/s implementation (type 10BASE5) of

a CSMA/CD MAC procedure. The primary assumptions are that the physical medium is a baseband coaxial cable with properties given in the Physical Layer section(s) of this standard.

<u>Parameters</u>	<u>Values</u>
slotTime	512 bit times
interFrameGap	9.6 $\mu$ s
attemptLimit	16
backoffLimit	10
jamSize	32 bits
maxFrameSize	1518 octets
minFrameSize	512 bits (64 octets)
addressSize	48 bits

**WARNING:** Any deviation from the above values specified for a 10 Mb/s system may affect proper operation of the LAN.

**4.4.2.2 Parameterized Values.** (Other implementations under consideration.)

## 5. Network Management

In CSMA/CD no peer management functions<sup>7</sup> are necessary for initiating, terminating, or handling abnormal conditions. Monitoring of ongoing activities is done by the carrier sense and collision detect mechanisms. These are necessary for the normal operation of the protocol. Hence they are not considered as part of network management. Also, other monitoring of ongoing activities, which are media independent, should be done by LLC or higher layers. This allows the media access method to be implemented in a cost effective manner.

It is useful to identify local or nodal management activities for the LAN. Protocols and interfaces to support such functions have not yet been defined. Defining local or nodal management functions are very useful for designers and users, although such protocols are not necessary for compatibility between two systems.

Standardizing these functions will promote compatibility of components (for example, LSI controller chips) which implement portions of this standard. It will also make practical high level protocols for distributed control and maintenance of LANs.

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<sup>7</sup>The elaboration of management functions is under active consideration.

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## 6. PLS Service Specifications

**6.1 Scope and Field of Application.** This section specifies the services provided by the Physical Signaling (PLS) sublayer to the MAC sublayer for the CSMA/CD section of the Local Area Network Standard, Fig 6-1. The services are described in an abstract way and do not imply any particular implementation.

### 6.2 Overview of the Service

**6.2.1 General Description of Services Provided by the Layer.** The services provided by the PLS sublayer allow the local MAC sublayer entity to exchange data bits (PLS data\_units) with peer MAC sublayer entities.

**6.2.2 Model Used for the Service Specification.** The model used in this service specification is identical to that used in 1.2.2.1.

**6.2.3 Overview of Interactions.** The primitives associated with the MAC sublayer to PLS sublayer interface fall into two basic categories:

- (1) Service primitives that support MAC peer-to-peer interactions
- (2) Service primitives that have local significance and support sublayer-to-sublayer interactions

The following primitives are grouped into these two categories:

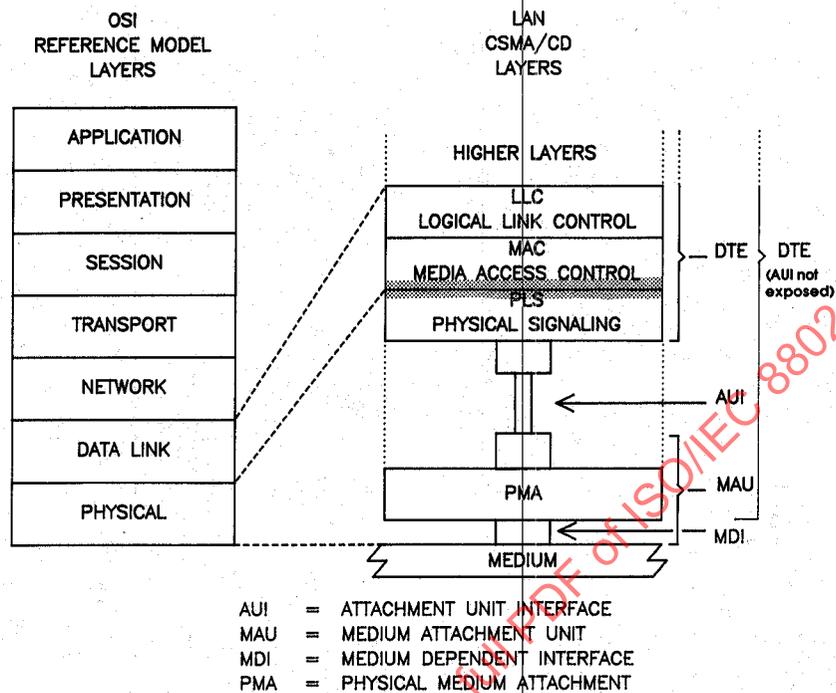
- (1) Peer-to-Peer
  - PLS\_DATA.request
  - PLS\_DATA.indication
- (2) Sublayer-to-Sublayer
  - PLS\_CARRIER.indication
  - PLS\_SIGNAL.indication

The PLS\_DATA primitives support the transfer of data from a single MAC sublayer entity to all other peer MAC sublayer entities contained within the same local area network defined by the broadcast medium.

NOTE: This also means that all bits transferred from a given MAC sublayer entity will in turn be received by the entity itself.

The PLS\_CARRIER and the PLS\_SIGNAL primitives provide information needed by the local MAC sublayer entity to perform the media access functions.

**6.2.4 Basic Services and Options.** All of the service primitives described in this section are considered mandatory.



**Fig 6-1**  
**Service Specification Relationship to the LAN Model**

### 6.3 Detailed Service Specification

#### 6.3.1 Peer-to-Peer Service Primitives

##### 6.3.1.1 PLS\_DATA.request

**6.3.1.1.1 Function.** This primitive defines the transfer of data from the MAC sublayer to the local PLS entity.

**6.3.1.1.2 Semantics of the Service Primitive.** The primitive shall provide the following parameters:

PLS\_DATA.request (OUTPUT\_UNIT)

The OUTPUT\_UNIT parameter can take on one of three values: ONE, ZERO, or DATA\_COMPLETE and represent a single data bit. The DATA\_COMPLETE value signifies that the Media Access Control sublayer has no more data to output.

**6.3.1.1.3 When Generated.** This primitive is generated by the MAC sublayer to request the transmission of a single data bit on the physical medium or to stop transmission.

**6.3.1.1.4 Effect of Receipt.** The receipt of this primitive will cause the PLS entity to encode and transmit either a single data bit or to cease transmission.

### 6.3.1.2 PLS\_DATA.indicate

**6.3.1.2.1 Function.** This primitive defines the transfer of data from the PLS sublayer to the MAC sublayer.

**6.3.1.2.2 Semantics of the Service Primitive.** The semantics of the primitive are as follows:

PLS\_DATA.indicate (INPUT\_UNIT)

The INPUT\_UNIT parameter can take one of two values each representing a single bit: ONE or ZERO.

**6.3.1.2.3 When Generated.** The PLS\_DATA.indicate is generated to all MAC sublayer entities in the network after a PLS\_DATA.request is issued.

NOTE: An indicate is also presented to the MAC entity that issued the request.

**6.3.1.2.4 Effect of Receipt.** The effect of receipt of this primitive by the MAC sublayer is unspecified.

### 6.3.2 Sublayer-to-Sublayer Service Primitives

#### 6.3.2.1 PLS\_CARRIER.indicate

**6.3.2.1.1 Function.** This primitive transfers the status of the activity on the physical medium from the PLS sublayer to the MAC sublayer.

**6.3.2.1.2 Semantics of the Service Primitive.** The semantics of the primitive are as follows:

PLS\_CARRIER.indicate (CARRIER\_STATUS)

The CARRIER\_STATUS parameter can take one of two values: CARRIER\_ON or CARRIER\_OFF. The CARRIER\_ON value indicates that the DTE Physical Layer had received an *input* message or a *signal\_quality\_error* message from the MAU. The CARRIER\_OFF value indicates that the DTE Physical Layer had received an *input\_idle* message and is not receiving an *SQE signal\_quality\_error* message from the MAU.

**6.3.2.1.3 When Generated.** The PLS\_CARRIER.indicate service primitive is generated whenever CARRIER\_STATUS makes a transition from CARRIER\_ON to CARRIER\_OFF or vice versa.

**6.3.2.1.4 Effect of Receipt.** The effect of receipt of this primitive by the MAC sublayer is unspecified.

#### 6.3.2.2 PLS\_SIGNAL.indicate

**6.3.2.2.1 Function.** This primitive transfers the status of the Physical Layer signal quality from the PLS sublayer to the MAC sublayer.

**6.3.2.2.2 Semantics of the Service Primitive.** The semantics of the service primitive are as follows:

PLS\_SIGNAL.indicate (SIGNAL\_STATUS)

The SIGNAL\_STATUS parameter can take one of two values: SIGNAL\_ERROR or NO\_SIGNAL\_ERROR. The SIGNAL\_ERROR value indicates to the MAC sublayer that the PLS has received a *signal\_quality\_error* message from the MAU. The NO\_SIGNAL\_ERROR value indicates that the PLS has ceased to receive *signal\_quality\_error* messages from the MAU.

**6.3.2.2.3 When Generated.** The PLS\_SIGNAL indicate service primitive is generated whenever SIGNAL\_STATUS makes a transition from SIGNAL\_ERROR to NO\_SIGNAL\_ERROR or vice versa.

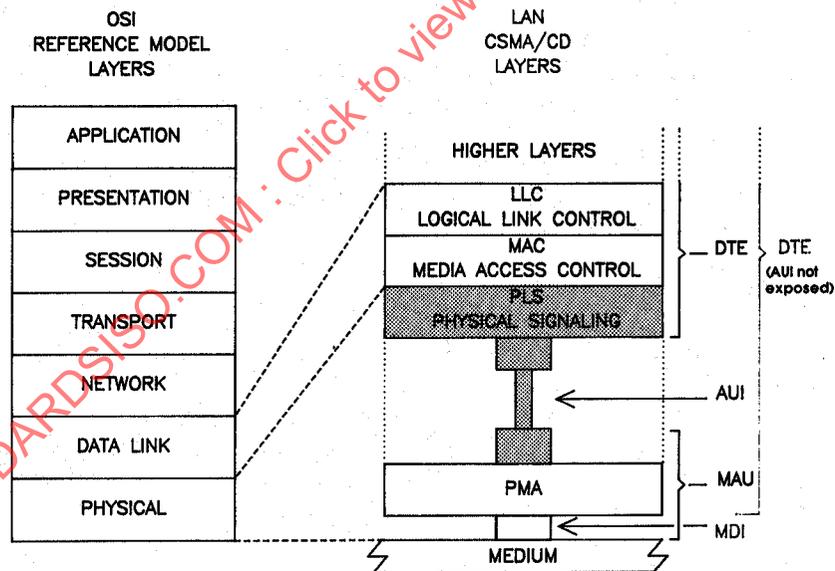
**6.3.2.2.4 Effect of Receipt.** The effect of receipt of this primitive by the MAC sublayer is unspecified.

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## 7. Physical Signaling (PLS) and Attachment Unit Interface (AUI) Specifications

**7.1 Scope.** This section defines the logical, electrical, and mechanical characteristics for the PLS and AUI between Data Terminal Equipment and Medium Attachment Units used in CSMA/CD local area networks. The relationship of this specification to the entire ISO [IEEE] Local Area Network standards is shown in Fig 7-1. The purpose of this interface is to provide an interconnection that is simple and inexpensive and that permits the development of simple and inexpensive MAUs.

**Fig 7-1**  
**Physical Layer Partitioning, Relationship to OSI Reference Model**



- AUI = ATTACHMENT UNIT INTERFACE
- MAU = MEDIUM ATTACHMENT UNIT
- MDI = MEDIUM DEPENDENT INTERFACE
- PMA = PHYSICAL MEDIUM ATTACHMENT

This interface has the following characteristics:

- (1) Capable of supporting one or more of the specified data rates
- (2) Capable of driving up to 50 m (164 ft) of cable
- (3) Permits the DTE to test the AUI, AUI cable, MAU, and the medium itself
- (4) Supports MAUs for baseband coax, broadband coax, and baseband fiber

### 7.1.1 Definitions

**Attachment Unit Interface (AU Interface) (AUI).** In a local area network, the interface between the medium attachment unit and the data terminal equipment within a data station.

NOTE: The AUI carries encoded control and data signals between the DTEs PLS sublayer and the MAUs PMA sublayer and provides for duplex data transmission.

**BR.** The rate of data throughput (bit rate) on the medium in bits per second.

**bit time.** The duration of one bit symbol ( $1/BR$ ).

**circuit.** The physical medium on which signals are carried across the AUI. The data and control circuits consist of an A circuit and a B circuit forming a balanced transmission system so that the signal carried on the B circuit is the inverse of the signal carried on the A circuit.

**Clocked Data One (CD1).** A Manchester encoded data "1." A CD1 is encoded as a LO for the first half of the bit-cell and a HI for the second half of the bit-cell.

**Clocked Data Zero (CD0).** A Manchester encoded data "0." A CD0 is encoded as a HI for the first half of the bit-cell and a LO for the second half of the bit-cell.

**Control Signal One (CS1).** An encoded control signal used on the Control In and Control Out circuits. A CS1 is encoded as a signal at half the bit rate ( $BR/2$ ).

**Control Signal Zero (CS0).** An encoded control signal used on the Control In and Control Out circuits. A CS0 is encoded as a signal at the bit rate ( $BR$ ).

**idle (IDL).** A signal condition where no transition occurs on the transmission line is used to define the end of a frame and ceases to exist after the next LO to HI transition on the AUI circuits. An IDL always begins with a HI signal level. A driver is required to send the IDL signal for at least 2 bit times and a receiver is required to detect IDL within 1.6 bit times. See 7.3 for additional details.

### 7.1.2 Summary of Major Concepts

- (1) Each direction of data transfer is serviced with two (making a total of four) balanced circuits: "Data" and "Control."
- (2) The Data and Control circuits are independently self-clocked, thereby, eliminating the need for separate timing circuits. This is accomplished with

encoding of all signals. The Control circuit signaling rate is nominally (but not of necessity exactly) equal to the Data circuit signaling rate.

(3) The Data circuits are used only for data transfer. No control signals associated with the interface are passed on these circuits. Likewise, the Control circuits are used only for control message transfer. No data signals associated with the interface are passed on these circuits.

**7.1.3 Application.** This standard applies to the interface used to interconnect Data Terminal Equipment (DTE) to a MAU that is not integrated as a physical part of the DTE. This interface is used to

(1) Provide the DTE with media independence for baseband coax, broadband coax, and baseband fiber media so that identical PLS, MAC and LLC may be used with any of these media.

(2) Provide for the separation by cable of up to 50 m (164 ft) the DTE and the MAU.

**7.1.4 Modes of Operation.** The AUI can operate in two different modes. All interfaces shall support the normal mode. The monitor mode is optional.

When the interface is being operated in the *normal* mode, the AUI is logically connected to the MDI. The DTE is required to follow the media access algorithms, which provide a single access procedure compatible with all local area network media, to send data over the AUI. The MAU always sends back to the DTE whatever data the MAU receives on the MDI.

When the interface is in the optional *monitor* mode, the MAU's transmitter is logically isolated from the medium. The MAU, in this mode, functions as an observer on the medium. Both the input function and the signal quality error function are operational (see the MAU state diagrams for specific details).

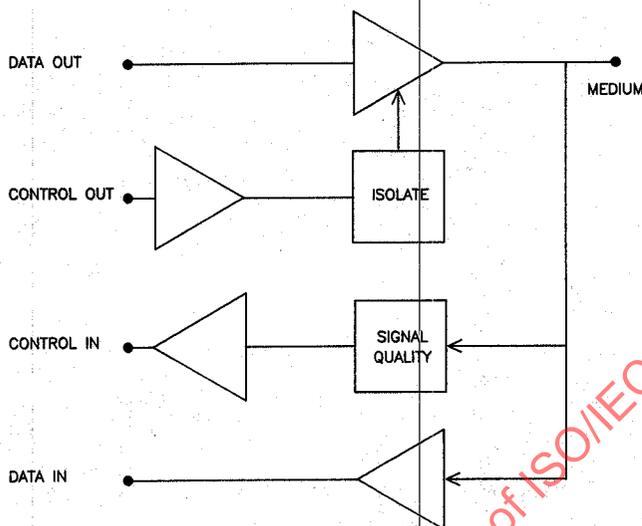
**7.1.5 Allocation of Function.** The allocation of functions in the AUI is such that the majority of the functionality required by the interface can be provided by the DTE, leaving the MAU as simple as possible. This division of functions is based upon the recognition of the fact that since, in many cases, the MAU may be located in an inaccessible location adjacent to the physical medium, service of the MAU may often be difficult and expensive.

**7.2 Functional Specification.** The AUI is designed to make the differences among the various media as transparent as possible to the DTE. The selection of logical control signals and the functional procedures are all designed to this end. Figure 7-2 is a reference model, a generalized MAU as seen by the DTE through the AUI.

Many of the terms used in this section are specific to the interface between this sublayer and the MAC sublayer. These terms are defined in the Service Specification for the PLS sublayer.

**7.2.1 PLS-PMA (DTE-MAU) Interface Protocol.** The DTE and MAU communicate by means of a simple protocol across the AUI.

**7.2.1.1 PLS to PMA Messages.** The following messages can be sent by PLS sublayer entities in the DTE to PMA sublayer entities in the MAU:



NOTE: The AUI (comprised of DO, DI, CO, C<sub>o</sub> circuits) is not exposed when the MAU is, optionally, part of the DTE.

**Fig 7-2**  
**Generalized MAU Model**

Message	Meaning
<i>output</i>	Output information
<i>output_idle</i>	No data to be output
<i>normal</i>	Cease to isolate the MAU
	(Optional)
<i>isolate</i>	Isolate MAU
<i>mau_request</i>	Request that the MAU be made available

**7.2.1.1.1 *output* Message.** The PLS sublayer sends an output message to the PMA sublayer when the PLS sublayer receives an OUTPUT\_UNIT from the MAC sublayer.

The physical realization of the *output* message is a CD0 or a CD1 sent by the DTE to the MAU on the Data Out circuit. The DTE sends a CD0 if the OUTPUT\_UNIT is a ZERO or a CD1 if the OUTPUT\_UNIT is a ONE. This message is time coded—that is, once this message has been sent, the function is not completed over the AUI until one bit time later. The *output* message cannot be sent again until the bit cell being sent as a result of sending the previous *output* message is complete.

**7.2.1.1.2 *output\_idle* Message.** The PLS sublayer sends an *output\_idle* message to the PMA sublayer at all times when the MAC sublayer is not in the process of transferring output data across the MAC to PLS interface. The *out-*

*put\_idle* message is no longer sent (and the first OUTPUT\_UNIT is sent using the *output* message) as soon after the arrival of the first OUTPUT\_UNIT as the MAU can be made available for data output. The *output\_idle* message is again sent to the MAU when the DATA\_COMPLETE is received from the MAC sublayer. The detailed usage of the *output\_idle* message is shown in Fig 7-5.

The physical realization of the *output\_idle* message is IDL sent by the DTE to the MAU on the Data Out circuit.

**7.2.1.1.3 normal Message.** The PLS sublayer sends a *normal* message to the PMA sublayer after it receives the PLS *start* message from the PLS Reset and Identify Function. The *normal* message is also sent after receipt of RESET\_MONITOR\_MODE from the management entity. The *normal* message is sent continuously by the PLS sublayer to the MAU, unless the PLS Output Function requires that the *mau\_request* message be sent to permit data output. If *mau\_request* is sent during data output, the sending of *normal* will be resumed when the PLS Output Function returns to the IDLE state. The *normal* signal is reset by the SET\_MONITOR\_MODE (this reset function is described more fully by Fig 7-4).

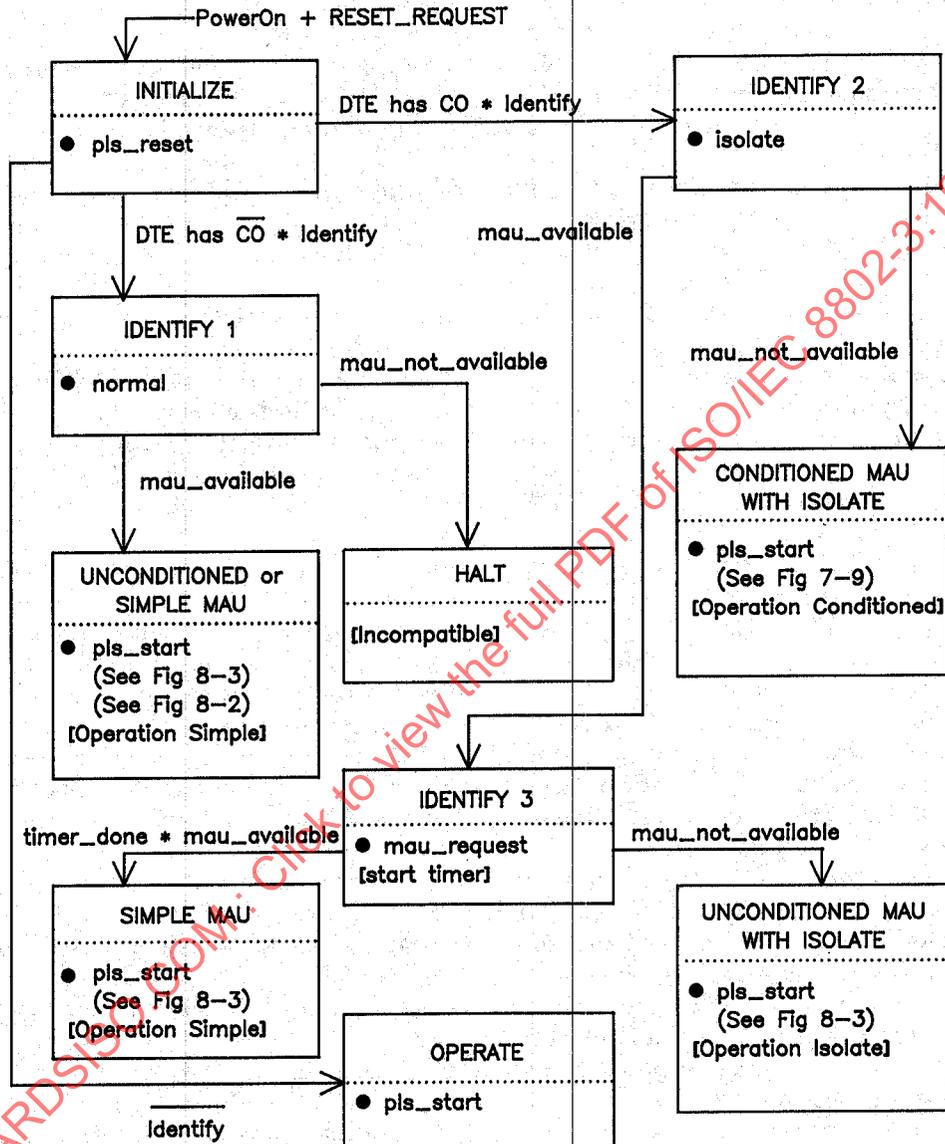
**7.2.1.1.4 isolate Message (Optional).** The PLS sublayer sends an *isolate* message to the PMA (in the MAU) whenever the PLS sublayer receives SET\_MONITOR\_MODE from the management entity. In response to the *isolate* message, the MAU causes the means employed to impress data on the physical medium to be positively prevented from affecting the medium. Since signaling and isolation techniques differ from medium to medium, the manner in which this positive isolation of the transmitting means is accomplished is specified in the appropriate MAU section. However, the intent of this positive isolation of the transmitter is to ensure that the MAU will not interfere with the physical medium in such a way as to affect transmissions of other stations even in the event that the means normally employed to prevent the transmitter from affecting the medium have failed to do so. The specification of positive isolation is not to be construed to preclude use of either active or passive devices to accomplish this function.

The physical realization of the *isolate* message is a CS0 signal sent by the DTE to the MAU over the Control Out circuit.

**7.2.1.1.5 mau\_request Message (Optional).** The PLS sublayer sends the *mau\_request* message to the PMA sublayer if the PMA sublayer is sending the *mau\_not\_available* message and the MAC sublayer has sent the first OUTPUT\_UNIT of a new transmission. The PLS sublayer continues to send the *mau\_request* message to the MAU until the MAC sublayer sends the DATA\_COMPLETE request to the PLS sublayer across the MAC to PLS interface. See Figs 7-3, 7-5, and 7-9 for details.

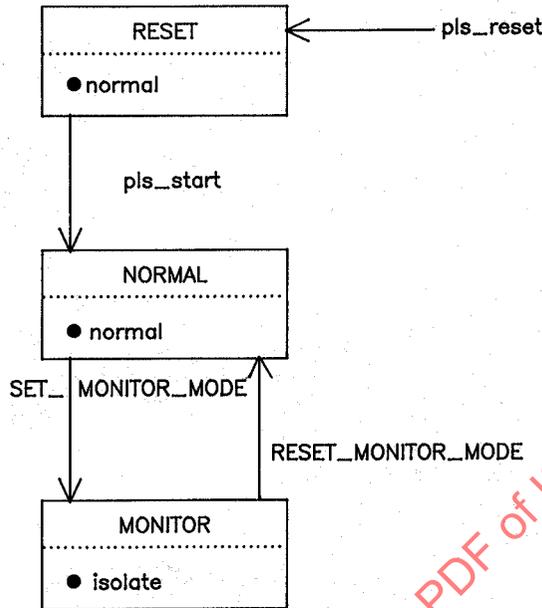
In addition, the *mau\_request* message is used by the Reset and Identify Function in the IDENTIFY 3 state to determine whether the MAU has the Isolate Function.

The physical realization of *mau\_request* is a CS1 sent by the DTE to the MAU on the Control Out circuit.



- NOTES: (1) All states may be omitted except INITIALIZE and OPERATE  
 (2) "Identify" means DTE can recognize uniquely all CI messages and the entire function has been implemented  
 (3) "Identify" with bar means DTE fails to recognize *mau\_not\_available* or has a partial implementation of the function

**Fig 7-3**  
**PLS Reset and Identify Function**



NOTE: Monitor State is optional.

**Fig 7-4**  
**PLS Mode Function**

The physical realization of the *normal* message is the IDL signal sent by the DTE to the MAU on the Control Out circuit. In the absence of the CO circuit, MAUs implementing the Isolate Function shall act as if the *normal* message is present. The CO circuit components may be absent from the DTE, AUI, or MAU.

**7.2.1.2 PMA to PLS Interface.** The following messages can be sent by the Physical Medium Attachment sublayer entities in the MAU to the PLS sublayer entities in the DTE:

Message	Meaning
<i>input</i>	Input information
<i>input_idle</i>	No input information
<i>signal_quality_error</i>	Error detected by MAU
<i>mau_available</i>	MAU is available for output
(Optional)	
<i>mau_not_available</i>	MAU is not available for output



**7.2.1.2.1 *input* Message.** The PMA sublayer sends an *input* message to the PLS sublayer when the MAU has received a bit from the medium and is prepared to transfer this bit to the DTE. The actual mapping of the signals on the medium to the type of *input* message to be sent to the DTE is contained in the specifications for each specific MAU type. In general, when the *signal\_quality\_error* message is being sent by the MAU, the symmetry specifications for circuit DI are not guaranteed to be met.

The physical realization of the *input* message consists of CD0 or CD1 waveforms. If the *signal\_quality\_error* message is being sent from the MAU, the input waveform is unpredictable.

NOTE: This signal is not necessarily retimed by the MAU. Consult the appropriate MAU specification for timing and jitter.

**7.2.1.2.2 *input\_idle* Message.** The PMA sublayer sends an *input\_idle* message to the PLS sublayer when the MAU does not have data to send to the DTE.

The physical realization of the *input\_idle* message is an IDL sent by the MAU to the DTE on the Data In circuit.

**7.2.1.2.3 *signal\_quality\_error* Message.** The PMA sublayer sends a *signal\_quality\_error* message to the PLS sublayer in response to any of three possible conditions. These conditions are improper signals on the medium, collision on the medium, and reception of the *output\_idle* message. They are described in the following numbered paragraphs. The physical realization of the *signal\_quality\_error* message is a CS0 sent by the MAU to the DTE on the Control In circuit.

NOTE: The MAU is required to assert the *signal\_quality\_error* message at the appropriate times whenever the MAU is powered, and not just when the DTE is requesting data output. See Figs 7-9, 8-2, and 8-3 for details.

(1) **Improper Signals on the Medium.** The MAU may send the *signal\_quality\_error* message at any time due to improper signals on the medium. The exact nature of these improper signals are medium-dependent. Typically, this condition might be caused by a malfunctioning MAU (for example, repeater or head-end) connected to the medium or by a break or short in the medium. See the appropriate MAU specification for specific conditions that may cause improper signals on a given medium.

(2) **Collision.** Collision occurs when more than one MAU is transmitting on the medium. The local MAU shall send the *signal\_quality\_error* message in every instance when it is possible for it to ascertain that more than one MAU is transmitting on the medium. The MAU shall make the best determination possible. The MAU shall not send the *signal\_quality\_error* message when it is unable to determine conclusively that more than one MAU is transmitting.

(3) ***signal\_quality\_error* Message Test.** The MAU sends the *signal\_quality\_error* message at the completion of the Output Function. See Fig 7-9 and Section 8 for a more complete description of this test.

**7.2.1.2.4 *mau\_available* Message.** The PMA sublayer sends the *mau\_available* message to the PLS sublayer when the MAU is available for

output. The *mau\_available* message is always sent by a MAU that is always prepared to output data except when it is required to signal the *signal\_quality\_error* message. Such a MAU does not require *mau\_request* to prepare itself for data output. See Figs 7-3, 7-5, and 7-9 for details.

The physical realization of the *mau\_available* message is an IDL sent by the MAU to the DTE on the Control In circuit.

**7.2.1.2.5 *mau\_not\_available* Message (OPTIONAL).** The PMA sublayer sends a *mau\_not\_available* message to the PLS sublayer when the MAU is not available for output. Figure 7-5 shows the relationship of *mau\_not\_available* to the Output Function.

The *mau\_not\_available* message is also used by a MAU that contains the Isolate Function and does not need to be conditioned for output to signal the presence of the Isolate Function during the PLS Reset Function (see Fig 7-3 and 8-3).

The physical realization of the *mau\_not\_available* message is a CS1 sent by the MAU to the DTE on the Control In circuit.

**7.2.2 PLS Interface to MAC and Management Entities.** The PLS sublayer interfaces described here are for reference only. This section specifies the services sent between the MAC sublayer and the PLS sublayer.

**7.2.2.1 PLS-MAC Interface.** The following messages can be sent between PLS sublayer entities and MAC sublayer entities:

Message	Meaning
OUTPUT_UNIT	Data sent to the MAU
OUTPUT_STATUS	Response to OUTPUT_UNIT
INPUT_UNIT	Data received from the MAU
CARRIER_STATUS	Indication of input activity
SIGNAL_STATUS	Indication of error/no error condition

**7.2.2.1.1 OUTPUT\_UNIT.** The MAC sublayer sends the PLS sublayer an OUTPUT\_UNIT every time the MAC sublayer has a bit to send. Once the MAC sublayer has sent an OUTPUT\_UNIT to the PLS sublayer, it may not send another OUTPUT\_UNIT until it has received an OUTPUT\_STATUS message from the PLS sublayer. The OUTPUT\_UNIT is a ONE if the MAC sublayer wants the PLS sublayer to send a CD1 to the PMA sublayer, a ZERO if a CD0 is desired, or a DATA\_COMPLETE if an IDL is desired.

**7.2.2.1.2 OUTPUT\_STATUS.** The PLS sublayer sends the MAC sublayer OUTPUT\_STATUS in response to every OUTPUT\_UNIT received by the PLS sublayer. OUTPUT\_STATUS sent is an OUTPUT\_NEXT if the PLS sublayer is ready to accept the next OUTPUT\_UNIT from the MAC sublayer, or an OUTPUT\_ABORT if the PLS sublayer was not able to process the previous OUTPUT\_UNIT. (The purpose of OUTPUT\_STATUS is to synchronize the MAC sublayer data output with the data rate of the physical medium.)

**7.2.2.1.3 INPUT\_UNIT.** The PLS Sublayer sends the MAC sublayer an INPUT\_UNIT every time the PLS receives an *input* message from the PMA

sublayer. The INPUT\_UNIT is a ONE if the PLS sublayer receives a CD1 from the PMA sublayer, a ZERO if the PLS sublayer receives a CD0 from the PMA sublayer.

**7.2.2.1.4 CARRIER\_STATUS.** The PLS sublayer sends the MAC sublayer CARRIER\_STATUS whenever the PLS sublayer detects a change in carrier status. The PLS sublayer sends CARRIER\_ON when it receives an *input* or *signal\_quality\_error* message from the PMA and the previous CARRIER\_STATUS that the PLS sublayer sent to the MAC sublayer was CARRIER\_OFF. The PLS sublayer sends CARRIER\_OFF when it receives an *input\_idle* from the PMA sublayer, no *signal\_quality\_error* (either *mau\_available* or *mau\_not\_available*) message and the previous CARRIER\_STATUS that the PLS sublayer sent to the MAC sublayer was CARRIER\_ON.

**7.2.2.1.5 SIGNAL\_STATUS.** The PLS sublayer sends the MAC sublayer SIGNAL\_STATUS whenever the PLS sublayer detects a change in the signal quality (as reported by the PMA). The PLS sublayer sends SIGNAL\_ERROR when it receives a *signal\_quality\_error* message from the PMA sublayer and the previous SIGNAL\_STATUS the PLS sublayer sent was NO\_SIGNAL\_ERROR. The PLS sublayer sends NO\_SIGNAL\_ERROR when it receives no *signal\_quality\_error* (either *mau\_available* or *mau\_not\_available*) message from the PMA sublayer and the previous CARRIER\_STATUS that the PLS sent to the MAC sublayer was SIGNAL\_ERROR.

**7.2.2.2 PLS-Management Entity Interface.** The following messages may be sent between the PLS sublayer entities and intralayer or higher layer management entities:

Message	Meaning
RESET_REQUEST	Reset PLS to initial "Power On" state
RESET_RESPONSE	Provides operational information
MODE_CONTROL	Control operation
SQE_TEST	Signal Quality Error test results

**7.2.2.2.1 RESET\_REQUEST.** The management entity sends the PLS sublayer RESET\_REQUEST when the PLS sublayer needs to be reset to a known state. Upon receipt of RESET\_REQUEST, the PLS sublayer resets all internal logic and restarts all functions. See Fig 7-3 for details.

**7.2.2.2.2 RESET\_RESPONSE.** The PLS sublayer sends the management entity RESET\_RESPONSE upon completion of the Reset and Identify Function (see Fig 7-3 and 7.2.4.1) whether invoked due to power on or due to a RESET\_REQUEST. Which RESET\_RESPONSE was sent is determined by the Reset and Identify Function. A RESET\_RESPONSE of OPERATION SIMPLE, OPERATION ISOLATE, or OPERATION CONDITIONED is sent if the MAU is compatible with the DTE and the MAU is simple (no isolate) or if the DTE does not support Isolate even if Isolate is supported by the MAU, sup-

ports Isolate but does not require conditioning, or supports Isolate and does require conditioning to output. A RESET\_RESPONSE of INCOMPATIBLE is sent if the MAU is not compatible with the DTE (that is, the MAU requires conditioning but the DTE does not support conditioning).

**7.2.2.2.3 MODE\_CONTROL.** The management entity sends MODE\_CONTROL to the PLS sublayer to control PLS functions. MODE\_CONTROL capabilities are

Message	Meaning
ACTIVATE_PHYSICAL	Supply power on circuit VP
DEACTIVATE_PHYSICAL	Remove power from circuit VP
SET_MONITOR_MODE	Send Isolate to MAU
RESET_MONITOR_MODE	Send Normal to MAU

**7.2.2.2.4 SQE\_TEST.** The PLS sublayer sends SQE\_TEST to the management entity at the conclusion of each *signal\_quality\_error* test (see Output Function, 7.2.4.3). The PLS sublayer sends SQE\_TEST\_ERROR if the *signal\_quality\_error* test fails or SQE\_TEST\_OK if the *signal\_quality\_error* test passes.

**7.2.3 Frame Structure.** Frames transmitted on the AUI shall have the following structure:

<silence><preamble><sfd><data><etd><silence>

The frame elements shall have the following characteristics:

<u>Element</u>	<u>Characteristics</u>
<silence>	= no transitions
<preamble>	= alternating (CD1) and (CD0)   56 bit times (ending in CD0)
<sfd>	= (CD1)(CD0)(CD1)(CD0)(CD1)(CD0)(CD1)(CD1)
<data>	= 8 x N
<etd>	= IDL

**7.2.3.1 Silence.** The <silence> delimiter provides an observation window for an unspecified period of time during which no transitions occur on the AUI. The minimum length of this period is specified by the access procedure.

**7.2.3.2 Preamble.** The <preamble> delimiter begins a frame transmission and provides a signal for receiver synchronization. The signal shall be an alternating pattern of (CD1) and (CD0). This pattern shall be transmitted on the Data Out circuit by the DTE to the MAU for a minimum of 56 bit times at the beginning of each frame. The last bit of the preamble (that is, the final bit of preamble before the start of frame delimiter) shall be a CD0.

The DTE is required to supply at least 56 bits of preamble in order to satisfy system requirements. System components consume preamble bits in order to perform their functions. The number of preamble bits sourced ensures an ad-

equate number of bits are provided to each system component to correctly implement its function.

**7.2.3.3 Start of Frame Delimiter (SFD).** The <sfd> indicates the start of a frame, and follows the preamble. The <sfd> element of a frame shall be

(CD1)(CD0)(CD1)(CD0)(CD1)(CD0)(CD1)(CD1)

**7.2.3.4 Data.** The <data> in a transmission shall be in multiples of eight (8) encoded data bits (CD0s and CD1s).

**7.2.3.5 End of Transmission Delimiter.** The <etd> delimiter indicates the end of a transmission and serves to turn off the transmitter. The signal shall be an IDL.

**7.2.4 PLS Functions.** The PLS sublayer functions consist of a Reset and Identify Function and five simultaneous and asynchronous functions. These functions are Output, Input, Mode, Error Sense, and Carrier Sense. All of the five functions are started immediately following the completion of the Reset and Identify Function. These functions are depicted in the state diagrams shown in Figs 7-3 through 7-8, using notation described in 1.2.1.

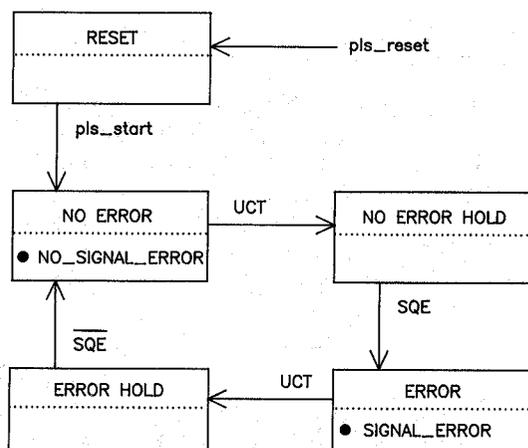
These functions are depicted in the state diagrams shown in Figs 7-3, 7-4, 7-5, 7-6, 7-7, and 7-8 using the notation described in 1.2.1.

**7.2.4.1 Reset and Identify Function.** The Reset and Identify Function is executed any time either of two conditions occur. These two conditions are "power on" and the receipt of RESET\_REQUEST from the management entity. The Reset and Identify Function initializes all PLS functions, and (optionally) determines the capability of the MAU attached to the AUI. Figure 7-3 is the state diagram of the Reset and Identify Function. The Identify portion of the function is optional.

**7.2.4.2 Mode Function.** The MAU functions in two modes: normal and monitor. The monitor mode is optional. The state diagram of Fig 7-4 depicts the operation of the Mode Function. When the MAU is operating in the normal mode, it functions as a direct connection between the DTE and the medium. Data sent from the DTE are impressed onto the medium by the MAU and all data appearing on the medium are sent to the DTE by the MAU. When the MAU is operating in the monitor mode, data appearing on the medium is sent to the DTE by the MAU as during the normal mode. *signal\_quality\_error* is also asserted on the AUI as during operation in the normal mode. However, in the monitor mode, the means employed to impress data on the physical medium is positively prevented from affecting the medium. Since signaling and isolation techniques differ from medium to medium, the manner in which this positive isolation of the transmitting means is accomplished is specified in the appropriate MAU document. However, the intent of this positive isolation of the transmitter is to ensure that the MAU will not interfere with the physical medium in such a way as to affect transmission of other stations even in the event of failure of the normal transmitter disabling control paths within the transmitting mechanism of the MAU.

The monitor mode is intended to permit a network station to determine if it is the source of interference observed on the medium.





NOTE: UCT = unconditional transition

**Fig 7-7**  
**PLS Error Sense Function**

NOTE: The monitor mode is intended to be used only by Network Management for fault isolation and network operation verification. It is intended that the *isolate* message provide direct control over the mode function so that these tasks can be performed. IMPROPER USE OF THE ISOLATE FUNCTION CAN CAUSE ERRONEOUS FRAMES. Section 5, Network Management, will provide details on the proper use of this function.

**7.2.4.3 Output Function.** The PLS sublayer Output Function transparently performs the tasks of conditioning the MAU for output and data transfer from the MAC sublayer to the MAU. The state diagram of Fig 7-5 depicts the Output Function operation.

At the conclusion of the Output Function, if a collision has not occurred, a test is performed to verify operation of the signal quality detection mechanism in the MAU and to verify the ability of the AUI to pass the *signal\_quality\_error* message to the PLS sublayer. The operation of this test in the DTE is shown in Fig 7-8.

**7.2.4.4 Input Function.** The PLS sublayer Input Function transparently performs the task of data transfer from the MAU to the MAC sublayer. The state diagram of Fig 7-6 depicts the Input Function operation.

**7.2.4.5 Error Sense Function.** The PLS sublayer Error Sense Function performs the task of sending SIGNAL\_STATUS to the MAC sublayer whenever there is a change in the signal quality information received from the MAU. The state diagram of Fig 7-7 depicts the Error Sense Function operation.

**7.2.4.6 Carrier Sense Function.** The PLS sublayer Carrier Sense Function performs the task of sending CARRIER\_STATUS to the MAC sublayer

every time there is a change in CARRIER\_STATUS. The state diagram of Fig 7-8 depicts the Carrier Sense Function operation.

Verification of the *signal\_quality\_error* detection mechanism occurs in the following manner (in the absence of a fault on the medium).

(1) At the conclusion of the output function, the DTE opens a time window during which it expects to see the *signal\_quality\_error* signal asserted on the Control In circuit. The time window begins when CARRIER\_STATUS becomes CARRIER\_OFF. If execution of the Output Function does not cause CARRIER\_ON to occur, no SQE test occurs in the DTE. The duration of the window shall be at least 4.0  $\mu$ s but no more than 8.0  $\mu$ s. During the time window (depicted as carrier\_inhibit\_timer, Fig 7-8) the Carrier Sense Function is inhibited.

(2) The MAU, upon waiting Tw (wait time) after the conclusion of output, activates as much of the signal quality error detecting mechanism as is possible without placing signals on the medium, thus sending the *signal\_quality\_error* message across the AUI for  $10 \pm 5$  bit times ( $10/BR \pm 5/BR$  seconds).

(3) The DTE interprets the reception of the *signal\_quality\_error* message from the MAU as indication that the *signal\_quality\_error* detecting mechanism is operational and the *signal\_quality\_error* message may be both sent by the MAU and received by the DTE.

NOTES:

(1) The occurrence of multiple (overlapping) transmitters on the medium during the time that the test window is open, as specified above, will satisfy the test and will verify proper operation of the signal quality error detecting mechanism and sending and receiving of the appropriate physical error message.

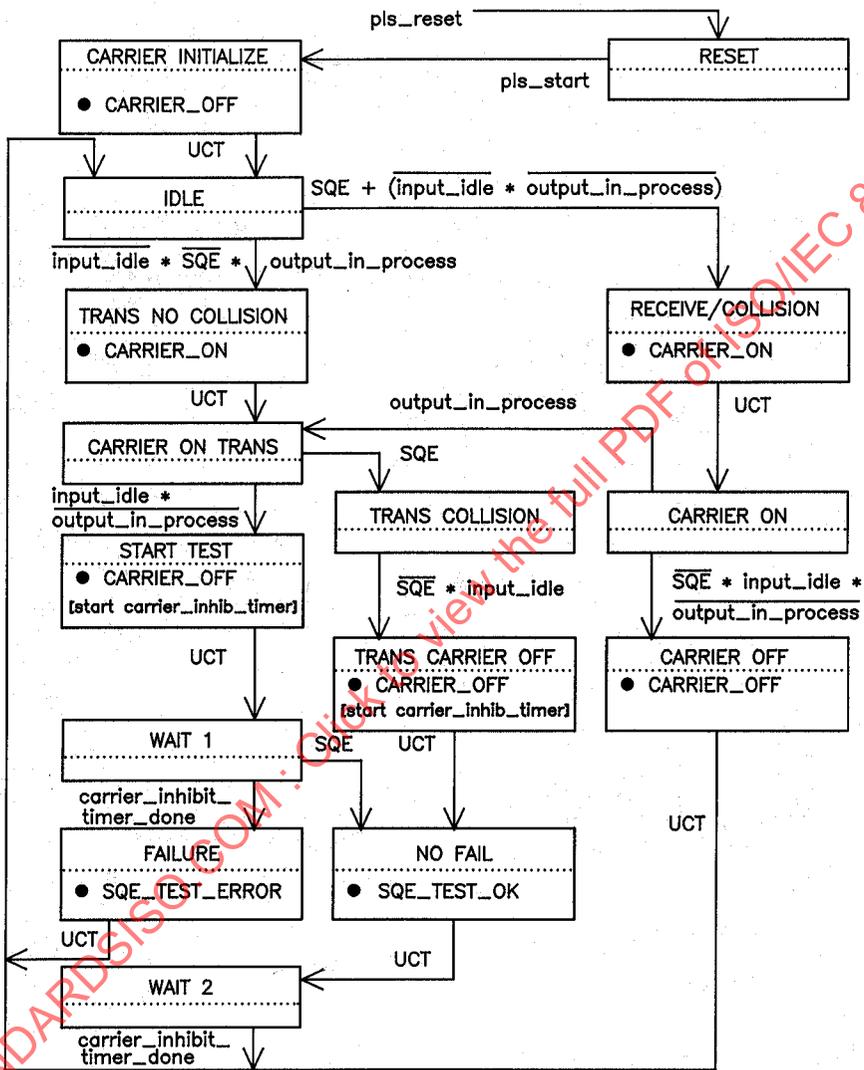
(2) If *signal\_quality\_error* exists at the DTE before CARRIER\_OFF occurs, then the Collision Presence test sequence within the PLS as described in 7.2.4.3 above shall be aborted as shown in Fig 7-8.

### 7.3 Signal Characteristics

**7.3.1 Signal Encoding.** Two different signal encoding mechanisms may be used by the AUI. One of the mechanisms is used to encode data, the other to encode control.

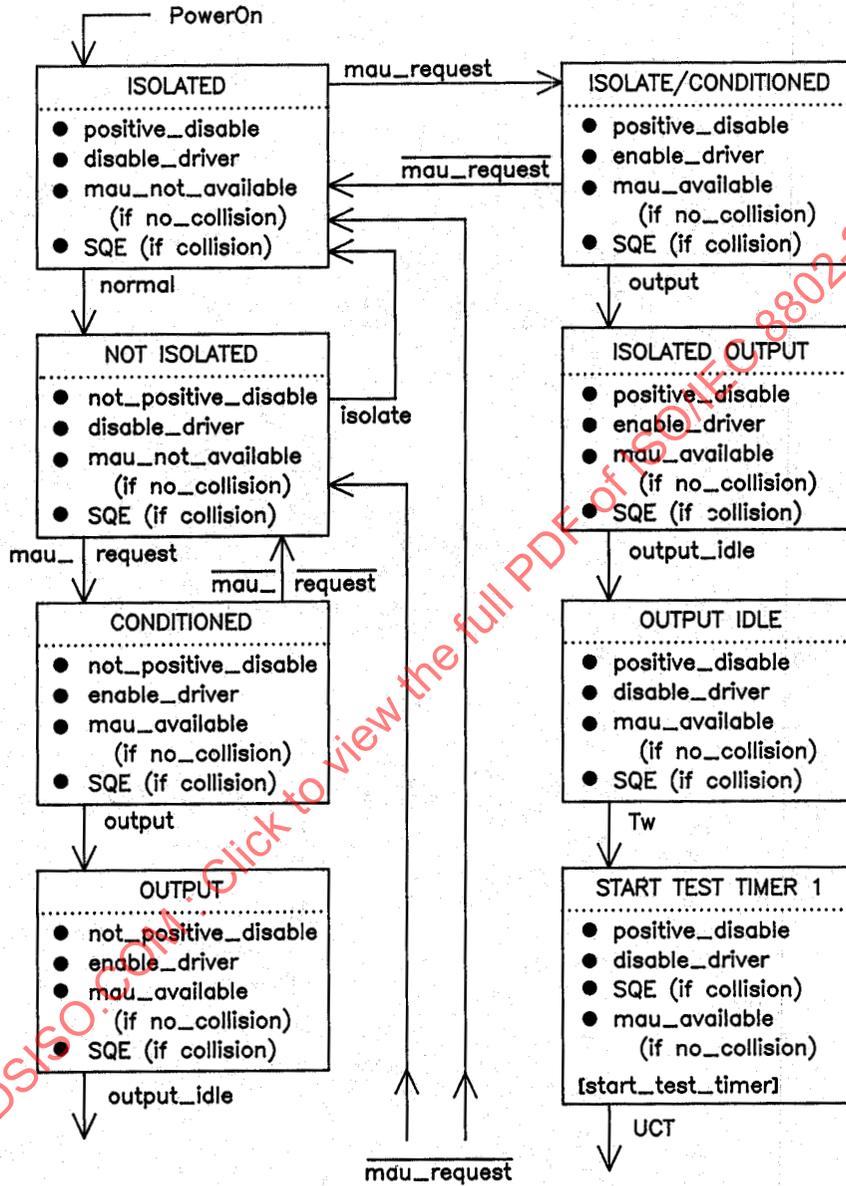
**7.3.1.1 Data Encoding.** Manchester encoding is used for the transmission of data across the AUI. Manchester encoding is a binary signaling mechanism that combines data and clock into "bit-symbols." Each bit-symbol is split into two halves with the second half containing the binary inverse of the first half; a transition always occurs in the middle of each bit-symbol. During the first half of the bit-symbol, the encoded signal is the logical complement of the bit value being encoded. During the second half of the bit-symbol, the encoded signal is the uncomplemented value of the bit being encoded. Thus, a CD0 is encoded as a bit-symbol in which the first half is HI and the second half is LO. A CD1 is encoded as a bit-symbol in which the first half is LO and the second half is HI. Examples of Manchester waveforms are shown in Fig 7-10.

The line condition IDL is also used as an encoded signal. An IDL always starts with a HI signal level. Since IDL always starts with a HI signal, an additional transition will be added to the data stream if the last bit sent was a



NOTE: UCT = unconditional transition  
SQE = *signal\_quality\_error*

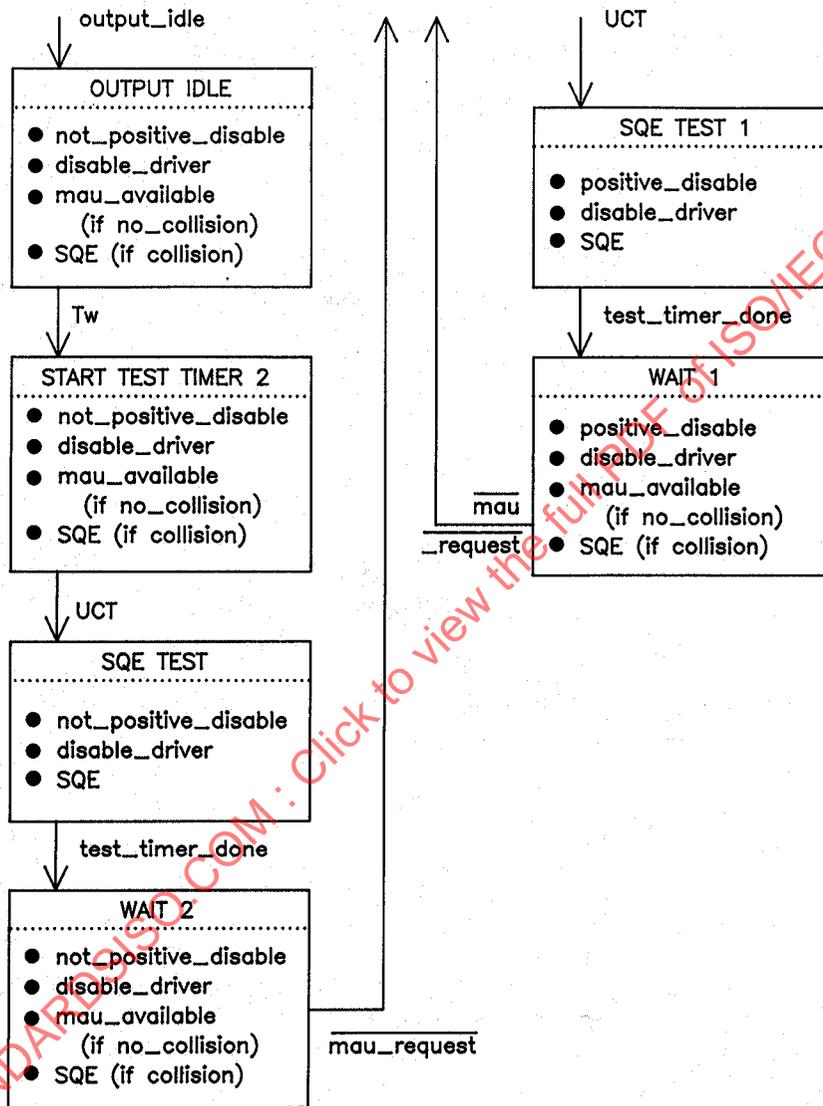
**Fig 7-8**  
**PLS Carrier Sense Function**



(a)

NOTE: See Figs 8-2 and 8-3 for simple and isolate type MAUs.

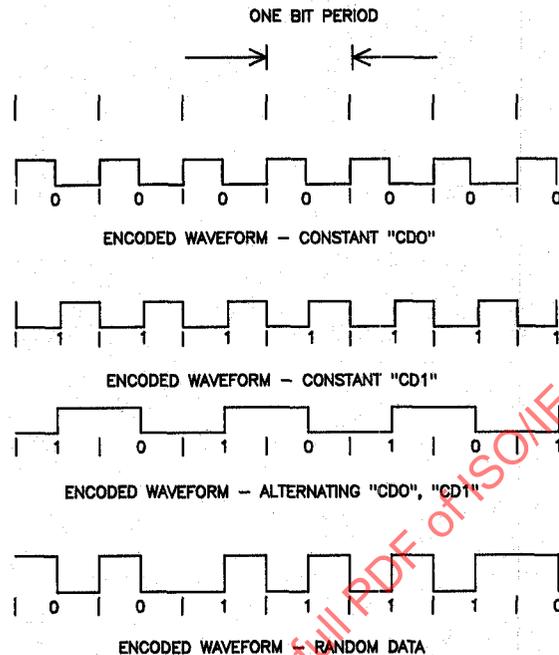
**Fig 7-9**  
**Interface Function for MAU with Conditioning**



(b)

NOTE: See Figs 8-2 and 8-3 for simple and isolate type MAUs.

**Fig 7-9**  
**Interface Function for MAU with Conditioning**



**Fig 7-10**  
**Examples of Manchester Waveforms**

zero. This transition cannot be confused with clocked data (CD0 or CD1) since the transition will occur at the start of a bit cell. There will be no transition in the middle of the bit cell. The IDL condition, as sent by a driver, shall be maintained for a minimum of 2 bit times. The IDL condition shall be detected within 1.6 bit times at the receiving device.

(1) System jitter considerations make detection of IDL (end, end transmission delimiter) earlier than 1.3 bit times impractical. The specific implementation of the phase-locked loop or equivalent clock recovery mechanism determines the lower bound on the actual IDL detection time. Adequate margin between lower bound and 1.6 bit times should be considered.

(2) Recovery of timing implicit in the data is easily accomplished at the receiving side of the interface because of the wealth of binary transitions guaranteed to be in the encoded waveform, independent of the data sequence. A phase-locked loop or equivalent mechanism maintains continuous tracking of the phase of the information on the Data circuit.

**7.3.1.2 Control Encoding.** A simpler encoding mechanism is used for control signaling than for data signaling. The encoded symbols used in this signaling mechanism are CS0, CS1, and IDL. The CS0 signal is a signal stream of frequency equal to the bit rate (BR). The CS1 signal is a signal stream of frequency equal to half of the bit rate (BR/2). If the interface supports

more than one bit rate (see 4.2), the bit rate in use on the data circuits is the one to which the control signals are referenced. The IDL signal used on the control circuits is the same as the IDL signal defined for the data circuits (see 7.3.1.1). The Control Out circuit is optional (O) as is one message on Control In. The tolerance on CO is  $BR \pm 5\%$  and on CI it is  $BR \pm 15\%$ . The nominal duty cycle is 50/50 and shall be no worse than 60/40.

The meaning of the signals on the Control Out circuit (DTE to MAU) are:

Signal	Message	Description
IDL	<i>normal</i>	Instructs the MAU to enter (remain in) normal mode
CS1	<i>mau_request</i> (O)	Requests that the MAU should be made available
CS0	<i>isolate</i> (O)	Instructs the MAU to enter (remain in) monitor mode

The meaning of the signals on the Control In circuit (MAU to DTE) are:

Signal	Message	Description
IDL	<i>mau_available</i>	Indicates that the MAU is ready to output data
CS1	<i>mau_not_available</i> (O)	Indicates that the MAU is not ready to output data
CS0	<i>signal_quality_error</i>	Indicates that the MAU has detected an error on input data

**7.3.2 Signaling Rate.** Signaling rates of from 1 to 20 Mb/s are encompassed by this standard. This edition of the standard specifies a signaling rate of 10 million bits per second  $\pm 0.01\%$ .

It is intended that a given MDI operate at a single data rate. It is not precluded that specific DTE and MAU designs be manually switched or set to alternate rates. A given local network shall operate at a single signaling rate. To facilitate the configuration of operational systems, DTE and MAU devices shall be labeled with the actual signaling rate used with that device.

**7.3.3 Signaling Levels.** Exact voltage and current specifications are listed in 7.4.

**7.4 Electrical Characteristics.** Terms BR and BR/2 have very specific meaning as used in this subsection. The term BR is used to mean the bit rate of the highest signaling rate supported by any one implementation of this interface, BR/2 is used to mean half the bit rate of the lowest signaling rate supported by any one implementation of this interface (see 7.3.2). An interface may support one or more signaling rates.

NOTE: The characteristics of the driver and receiver can be achieved with standard ECL logic with the addition of an appropriate coupling network; however, this implementation is not mandatory.

**7.4.1 Driver Characteristics.** The driver is a differential driver capable of driving the specified  $78 \Omega$  interface cable. Only the parameters necessary to ensure compatibility with the specified receiver and to assure personnel safety at the interface connector are specified in the following sections.

**7.4.1.1 Differential Output Voltage, Loaded.** Drivers shall meet all requirements of this section under *two* basic sets of test conditions (that is, each of two resistive values). For drivers located within a DTE, a combined inductive load of  $27 \mu\text{H} \pm 1\%$  and either a  $73$  or  $83 \Omega \pm 1\%$  resistive load shall be used. For a driver located within a MAU, a combined inductive load of  $50 \mu\text{H} \pm 1\%$  and either  $73$  or  $83 \Omega \pm 1\%$  resistive load shall be used.

The differential output voltage,  $V_{dm}$ , is alternately positive and negative in magnitude with respect to zero voltage. The value of  $V_{dm}$  into either of the two test loads identified above ( $R = 73 \Omega$  or  $83 \Omega \pm 1\%$ ) at the interface connector of the driving unit shall satisfy the conditions defined by values  $V_1$ ,  $V_2$ , and  $V_3$  shown in Fig 7-11 for signals in between BR and BR/2 meeting the frequency and duty cycle tolerances specified for the signal being driven. The procedure for measuring and applying the test condition is as follows:

(1) Measure the output voltage  $V_{dm}$  for the driver being tested at the waveform point after overshoot, before droop, under test load conditions of 7.4.1.1. This voltage is  $V_2$ .

(2) Calculate  $V_1$  and  $V_3$ .

(3)  $V_1$  shall be  $< 1315$  mV,  $V_3$  shall be  $> 450$  mV.

(4) The waveform shall remain within shaded area limits.

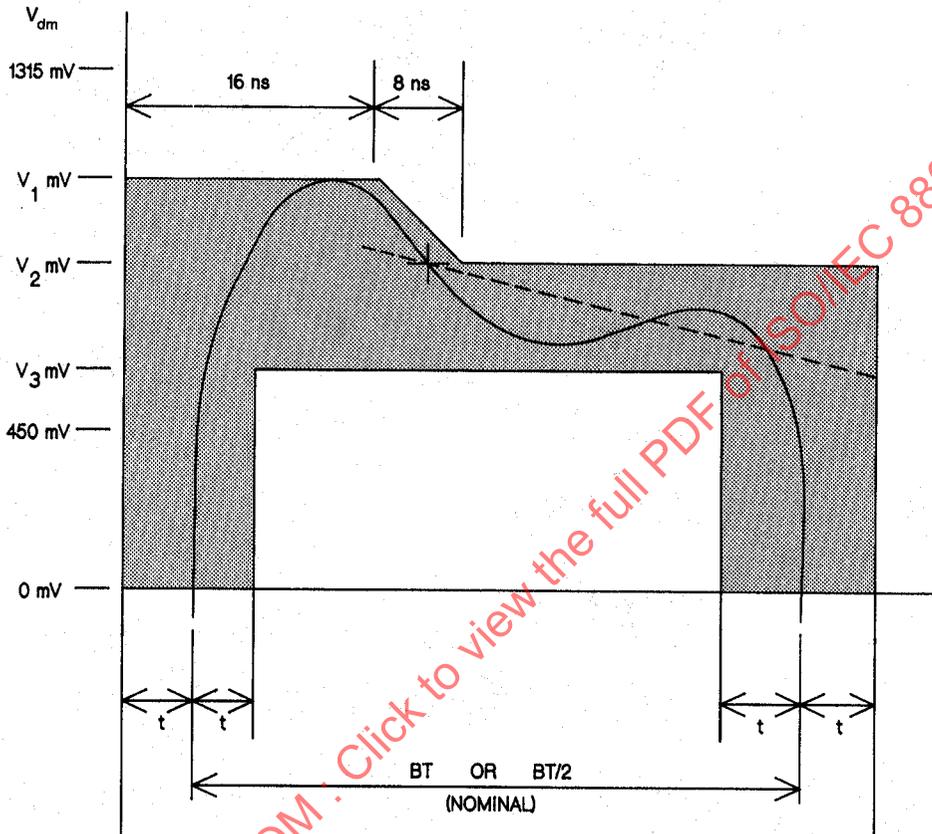
The differential output voltage magnitude,  $V_{dm}$ , into either of the two test loads identified above, at the interface connector of the driving unit during the idle state shall be within  $40$  mV of  $0$  V. The current into either of the two test loads shall be limited to  $4$  mA.

When a driver, connected to the appropriate two test loads identified above, enters the idle state, it shall maintain a minimum differential output voltage of at least  $0.7 \times V_2$  mV for at least 2 bit times after the last low to high transition. The driver differential output voltage shall then approach within  $40$  mV of  $0$  V within 80 bit times. In addition, the current into the appropriate test load shall be limited in magnitude to  $4$  mA within 80 bit times. Undershoot, if any, upon reaching  $0$  V shall be limited to  $-100$  mV. See Fig 7-12.

For drivers on either the CO or CI circuits, the first transition or the last positive going transition may occur asynchronously with respect to the timing of the following transitions or the preceding transition(s), respectively.

The receiving unit shall take precautions to ensure that a HI to idle transition is not falsely interpreted as an idle to nonidle transition, even in the presence of signal droop due to ac coupling in the interface driver or receiver circuits.

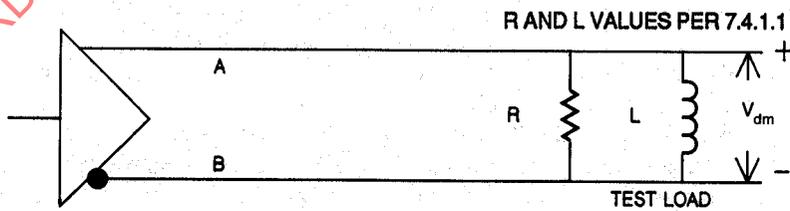
**7.4.1.2 Requirements After Idle.** When the driver becomes nonidle after a period of idle on the interface circuit, the differential output voltage at the in



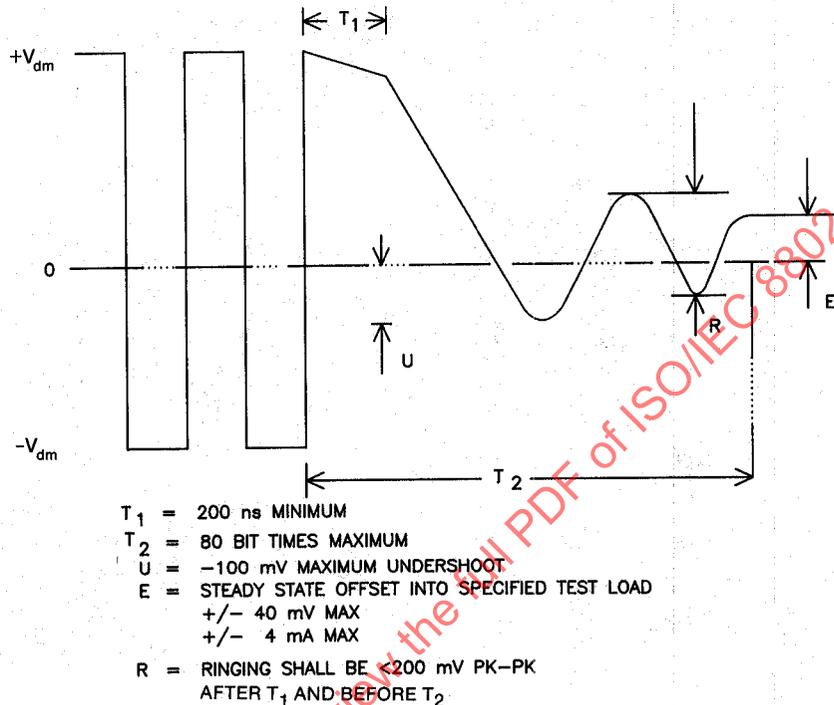
$t = 2.5 \text{ ns AT } 1\text{-}10 \text{ MHz DATA RATES}$

$V_2 = 0.89 V_1$

$V_3 = 0.82 V_2$



**Fig 7-11**  
**Differential Output Voltage, Loaded**



**Fig 7-12**  
**Generalized Driver Waveforms**

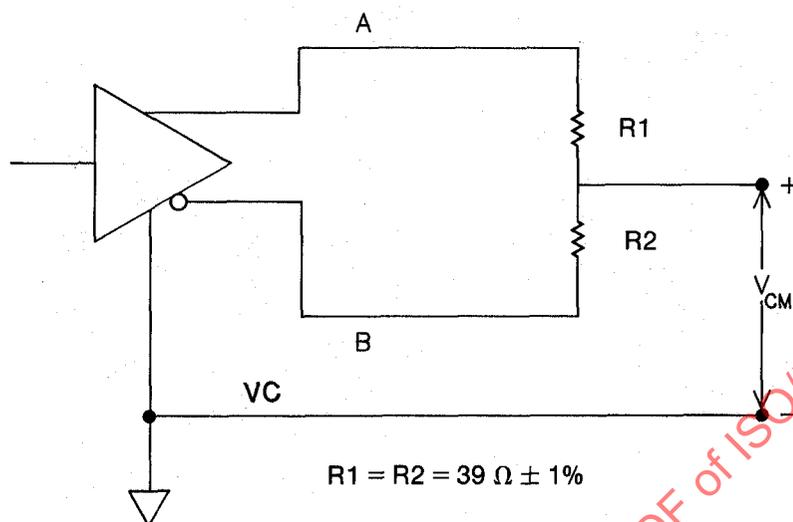
terface connector shall meet the requirements of 7.4.1.1 beginning with the first bit transmitted. The first transition may occur asynchronously with respect to the timing of the following transitions.

**7.4.1.3 AC Common-Mode Output Voltage.** The magnitude of the ac component of the common-mode output voltage of the driver, measured between the midpoint of a test load consisting of a pair of matched  $39 \Omega \pm 1\%$  resistors and circuit VC, as shown in Fig 7-13, shall not exceed 40 mV peak.

**7.4.1.4 Differential Output Voltage, Open Circuit.** The differential output voltage into an open circuit, measured at the interface connector of the driving unit, shall not exceed 13 V peak.

**7.4.1.5 DC Common-Mode Output Voltage.** The magnitude of the dc component of the common-mode output voltage of the driver, measured between the midpoint of a test load consisting of a pair of matched  $39 \Omega \pm 1\%$  resistors and circuit VC, as shown in Fig 7-13, shall not exceed 5.5 V.

**7.4.1.6 Fault Tolerance.** Any single driver in the interface, when idle or driving any permissible signal, shall tolerate the application of each of the



**Fig 7-13**  
**Common-Mode Output Voltage**

faults specified by the switch settings in Fig 7-14 indefinitely; and after the fault condition is removed, the operation of the driver, according to the specifications of 7.4.1.1 through 7.4.1.5, shall not be impaired.

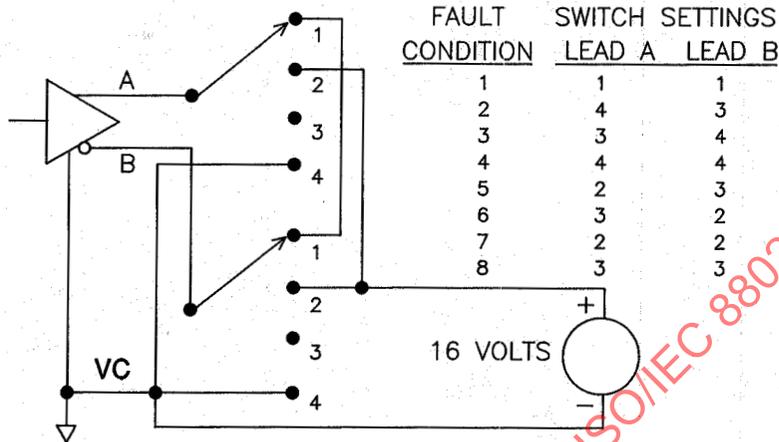
In addition, the magnitude of the output current from either output of the driver under any of the fault conditions specified shall not exceed 150 mA.

**7.4.2 Receiver Characteristics.** The receiver specified terminates the interface cable in its characteristic impedance. The receiver shall function normally over the specified dc and ac common-mode ranges.

**7.4.2.1 Receiver Threshold Levels.** When the receiving interface circuit at the interface connector of the receiving equipment is driven by a differential input signal at either BR or BR/2 meeting the frequency and duty cycle tolerances specified for the receiving circuit, when the A lead is 160 mV positive with respect to the B lead, the interface circuit is in the HI state, and when the A lead is 160 mV negative with respect to the B lead, the interface circuit is in the LO state. The receiver output shall assume the intended HI and LO states for the corresponding input conditions.

NOTE: The specified threshold levels do not take precedence over the duty cycle and jitter tolerance specified elsewhere. Both sets of specifications must be met.

**7.4.2.2 AC Differential Input Impedance.** The ac differential input impedance for AUI receivers located in MAUs shall have a real part of  $77.83 \Omega \pm 6\%$ , with the sign of the imaginary part positive, and the phase angle of the



**Fig 7-14**  
**Driver Fault Conditions**

impedance in degrees less than or equal to 0.0338 times the real part of the impedance, when measured with a 10 MHz sine wave.

The ac differential input impedance for AUI receivers located in the DTE shall have a real part of  $77.95 \Omega \pm 6\%$ , with the sign of the imaginary part positive, and the phase angle of the impedance in degrees less than or equal to 0.0183 times the real part of the impedance, when measured with a 10 MHz sine wave.

A  $78 \Omega \pm 6\%$  resistor in parallel with an inductance of greater than  $27 \mu\text{H}$  or  $50 \mu\text{H}$  for receivers in the MAU and DTE respectively, satisfies this requirement.

**7.4.2.3 AC Common-Mode Range.** When the receiving interface circuit at the receiving equipment is driven by a differential input signal at either BR or BR/2 meeting the frequency and duty cycle tolerances specified for the circuit being driven, the receiver output shall assume the proper output state as specified in 7.4.2.1, in the presence of a peak common-mode ac sine wave voltage either of from 30 Hz to 40 kHz referenced to circuit VC in magnitude from 0 to 3 V, or in magnitude 0 to 100 mV for ac voltages of from 40 kHz to BR as shown in Fig 7-15.

**NOTE:** The receiver shall also be able to reject small ac common-mode signals in frequencies outside of this range.

**7.4.2.4 Total Common-Mode Range.** When the receiving interface circuit at the receiving equipment is driven by a differential input signal at either BR or BR/2 meeting the frequency and duty cycle tolerances specified for the circuit being driven, the receiver output shall assume the intended output state as specified in 7.4.2.1 in the presence of a total common-mode voltage, dc plus ac,

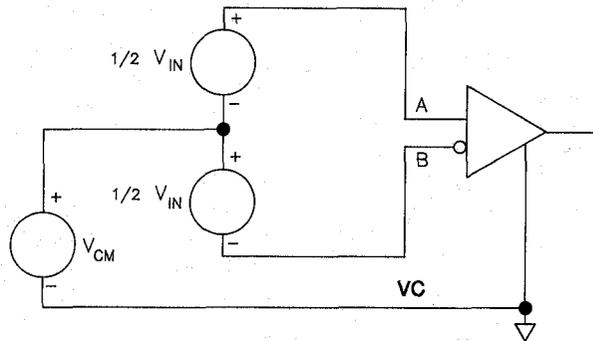


Fig 7-15  
Common-Mode Input Test

referenced to circuit VC in magnitude from 0 to 5.5 V, as shown in the test setup of Fig 7-15. The ac component shall not exceed the requirements of 7.4.2.3.

The receiver shall be so designed that the magnitude of the current from the common-mode voltage source used in the test shall not exceed 1 mA.

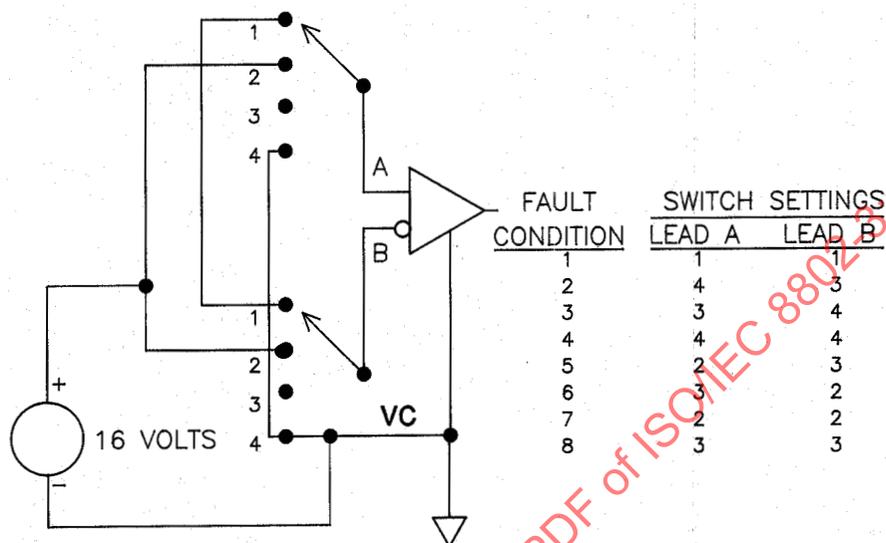
**7.4.2.5 Requirements After Idle.** When the receiver becomes nonidle after a period of idle on the interface circuit, the characteristics of the signal at the output of the receiver shall stabilize within the startup delay allowed for the device incorporating the receiver so that it is not prevented from meeting the jitter specifications established for that device.

**7.4.2.6 Fault Tolerance.** Any single receiver in the interface shall tolerate the application of each of the faults specified by the switch settings in Fig 7-16 indefinitely, and after the fault condition is removed, the operation of the receiver according to the specifications of 7.4.2.1 through 7.4.2.6 shall not be impaired.

In addition, the magnitude of the current into either input of the receiver under any of the fault conditions specified shall not exceed 3 mA.

**7.4.3 AUI Cable Characteristics.** The interface cable consists of individually shielded twisted pairs of wires with an overall shield covering these individual shielded wire pairs. These shields must provide sufficient shielding to meet the requirements of protection against rf interference and the following cable parameters. Individual shields for each signal pair are electrically isolated from the outer shield but not necessarily from each other.

The overall shield shall be returned to the MAU and DTE Units via the AUI connector shell as defined in 7.6.2 and 7.6.3. If a common drain wire is used for all the signal pair shields, then it shall be connected to pin 4. Individual drain wire returns for each signal pair may be used (see 7.6.3). It is recommended that individual drain wires be used on all control and data circuit shields to meet satisfactory crosstalk levels. If individual drain wires are used, they shall be interconnected within the AUI cable at each end and shall be connected at least to pin 4 at each end of the cable.



**Fig 7-16**  
**Receiver Fault Conditions**

The presence of the Control Out signal pair is optional. If driver or receiver circuit components for CO are not provided, consideration should be given to properly terminating the CO signal pair within the DTE and MAU to preclude erroneous operation.

**7.4.3.1 Conductor Size.** The dc power pair in the interconnecting cable, voltage common and voltage minus, shall be composed of a twisted pair of sufficient gauge stranded wires to result in a nominal dc resistance not to exceed 1.75  $\Omega$  per conductor.

Conductor size for the signal pairs shall be determined according to the ac related parameters in 7.4.3.2–7.4.3.6.

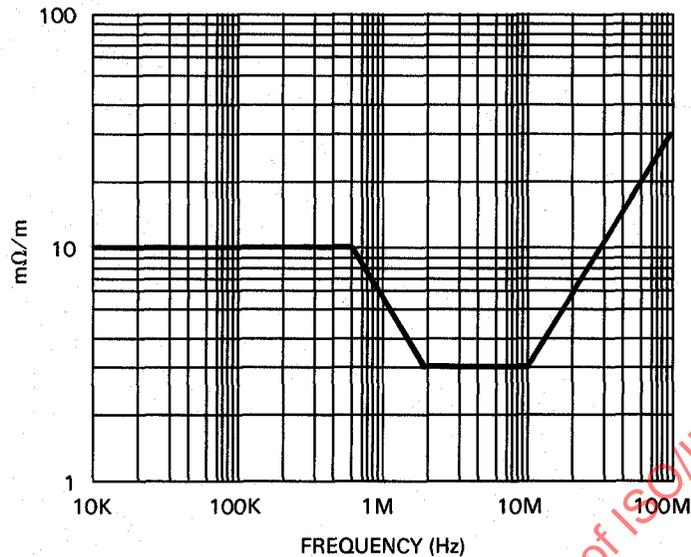
**7.4.3.2 Pair-to-Pair Balanced Crosstalk.** The balanced crosstalk from one pair of wires to any other pair in the same cable sheath (when each pair is driven per 7.4.1.1–7.4.1.5) shall have a minimum value of 40 dB of attenuation measured over the range of BR/2 to BR.

**7.4.3.3 Differential Characteristic Impedance.** The differential characteristic impedance for all pairs shall be equal within 3  $\Omega$  and shall be  $78 \pm 5 \Omega$  measured at a frequency of BR.

**7.4.3.4 Transfer Impedance**

(1) The common-mode transfer impedance shall not exceed the values shown in Fig 7-17 over the indicated frequency range.

(2) The differential mode transfer impedance for all pairs shall be at least 20 dB below the common-mode transfer impedance.



**Fig 7-17**  
**Common-Mode Transfer Impedance**

**7.4.3.5 Attenuation.** Total cable attenuation levels between driver and receiver (at separate stations) for each signal pair shall not exceed 3 dB over the frequency range of BR/2 to BR (Hz) for sine-wave measurements.

**7.4.3.6 Timing Jitter.** Cable meeting this specification shall exhibit edge jitter of no more than 1.5 ns at the receiving end when the longest legal length of the cable as specified in 7.4.3.1 through 7.4.3.7 is terminated in a  $78 \Omega \pm 1\%$  resistor at the receiving end and is driven with pseudorandom Manchester encoded binary data from a data generator which exhibits no more than 0.5 ns of edge jitter on half bit cells of exactly  $1/2$  BT and whose output meets the specifications of 7.4.1.1 through 7.4.1.5. This test shall be conducted in a noise-free environment. The above specified component is not to introduce more than 1 ns of edge jitter into the system.

NOTE: Special attention will have to be applied to the cable characteristics and length at 20 Mb/s.

**7.4.3.7 Delay.** Total signal delay between driver and receiver (at separate stations) for each signal pair shall not exceed 257 ns.

## 7.5 Functional Description of Interchange Circuits

**7.5.1 General.** The AUI consists of either three or four differential signal circuits, power, and ground. Two of the circuits carry encoded data and two carry encoded control information. Circuits DO (Data Out) and CO (Control Out) are sourced by the DTE, and circuits DI (Data In) and CI (Control In) are sourced by the MAU. The interface also provides for power transfer from the DTE to the MAU. The CO circuit is optional.

**7.5.2 Definition of Interchange Circuits.** The following circuits are defined by this specification:

Circuit	Name	Signal Direction		Remarks
		to MAU	from MAU	
DO	Data Out	X		Encoded Data
DI	Data In		X	Encoded Data
CO	Control Out	X		Encoded Control
CI	Control In		X	Encoded Control
VP	Voltage Plus	X		12 Volts
VC	Voltage Common	X		Return for VP
PG	Protective Ground	X		Shield

**7.5.2.1 Circuit DO-Data Out.** The Data Out (DO) circuit is sourced by the DTE. It is a differential pair consisting of DO-A (Data Out circuit A) and DO-B (Data Out circuit B).

The signal transferred over this circuit is Manchester encoded. An *output* message containing a zero bit is encoded as CD0. An *output* message containing a one bit is encoded as CD1. An *output\_idle* message is encoded as an IDL.

The following symmetry requirements shall be met when the DTE transfers pseudorandom Manchester encoded binary data over a DO circuit loaded by the test load specified in 7.4.1.1.

Bit cells generated internal to the DTE are required to be 1 BT within the permitted tolerance on data rate specified in 7.3.2. Half bit cells in each data bit are to be exactly 1/2 BT (that is, the reference point for edge jitter measurements) within the permitted tolerance on the data rate specified in 7.3.2. Each transition on the DO circuit is permitted to exhibit edge jitter not to exceed 0.5 ns in each direction. This means that any transition may occur up to 0.5 ns earlier or later than this transition would have occurred had no edge jitter occurred on this signal.

**7.5.2.2 Circuit DI-Data In.** The Data In (DI) circuit is sourced by the MAU. It is a differential pair consisting of DI-A (Data In circuit A) and DI-B (Data In circuit B).

The signal transferred over this circuit is Manchester encoded. An *input* message containing a zero bit is encoded as CD0. An *input* message containing a one bit is encoded as CD1. An *input\_idle* message is encoded as an IDL.

A DTE meeting this specification shall be able to receive, on the DI circuit without a detectable FCS error, normal preamble data arranged in legal length packets as sent by another station to the DTE. The test generator for the data on the DI circuit shall meet the requirements for drivers in MAUs specified in 7.4.1.1 through 7.4.1.5 and shall drive the DI circuit through a zero length AUI cable. Random amounts of edge jitter from 0 to 12 ns on either side of each transition shall be added by the test generator to transitions in bits in the preamble, and random amounts of edge jitter of from 0 to 18 ns on either side of each transition shall be added to the transitions in all bits in the frame.

Preamble length from the test generator shall be 47 bits of preamble, followed by the 8 bit SFD.

NOTE: A significant portion of the system jitter may be nonrandom in nature and consists of a steady-state shift of the midbit transitions in either direction from their nominal placement. A 16.5 ns edge jitter is expected on the transmitted signal at the receiving DTE, worst case. The difference between 16.5 ns and 18 ns jitter represents receiver design margin.

**7.5.2.3 Circuit CO—Control Out (Optional).** The Control Out (CO) circuit is sourced by the DTE. It is a differential pair consisting of CO-A (Control Out circuit A) and CO-B (Control Out circuit B).

The signal transferred over this circuit is encoded as described in 7.3.1.2. A *mau\_request* message is encoded as CS1. A *normal* message is encoded as IDL. An *isolate* message is encoded as CS0.

**7.5.2.4 Circuit CI—Control In.** The Control In (CI) circuit is sourced by the MAU. It is a differential pair consisting of CI-A (Control In circuit A) and CI-B (Control In circuit B).

The signal transferred over this circuit is encoded as described in 7.3.1.2. A *mau\_available* message is encoded as IDL. A *mau\_not\_available* message is encoded as CS1. A *signal\_quality\_error* message is encoded as a CS0.

**7.5.2.5 Circuit VP—Voltage Plus.** The Voltage Plus (VP) circuit is an optional circuit that may be sourced from the DTE. If this circuit is sourced from the DTE it shall be capable of operating at one fixed level between + 12 V dc – 6% and + 15 V dc + 5% with respect to circuit VC for all currents from 0 to 500 mA. The source shall provide protection for this circuit against an overload condition. The method of overload protection is not specified; however, under no conditions of operation, either normal or overload, shall the source apply a voltage to circuit VP of less than 0 or greater than + 15.75 V dc as specified above. MAU designers are cautioned that protection means employed by power sources may cause the voltage at signal VP to drop below the minimum operational voltage specified without going completely to zero volts when loads drawing in excess of the current supplied are applied between VP and VC. Adequate provisions shall be made to ensure that such a condition does not cause the MAU to disrupt the medium.

If the DTE does not support circuit VP, it shall have no connection to this circuit.

**7.5.2.6 Circuit VC—Voltage Common.** Circuit VC is the ground return to the power source for circuit VP, capable of sinking 2.0 A. Also, all common-mode terminators for AUI circuits shall be made to circuit VC.

**7.5.2.7 Circuit PG—Protective Ground.** Circuit PG shall be connected to chassis ground through a maximum dc resistance of 20 m $\Omega$  at the DTE end.

**7.5.2.8 Circuit Shield Terminations.** Individual pin terminations shall meet the following requirements:

- (1) Pins 1,4,8,11,14 connected to logic ground in the DTE
- (2) Pins 1,4,8,11,14 capacitively coupled to VC in MAU
- (3) Impedance to ground < 5  $\Omega$  at the lowest operational BR/2 in the MAU and at the highest BR in the DTE

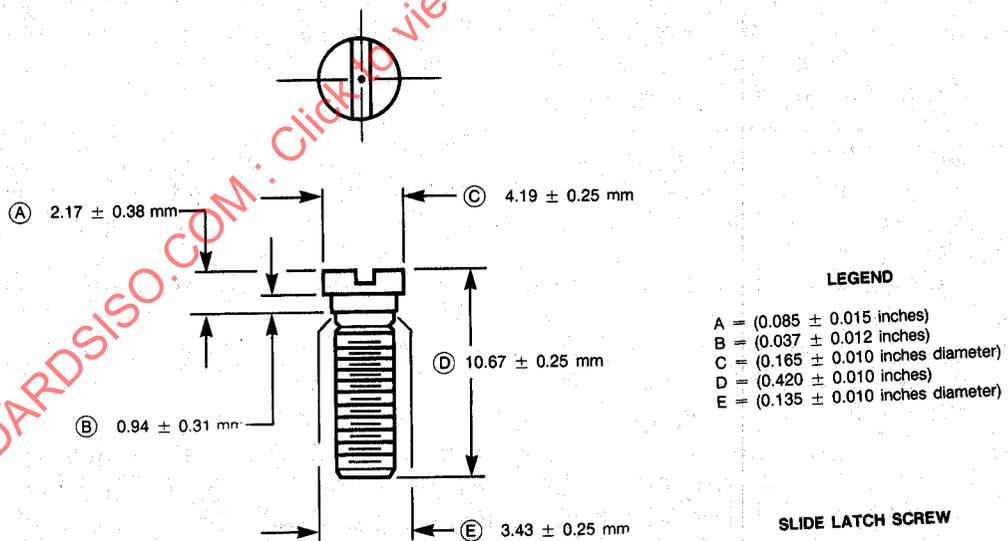
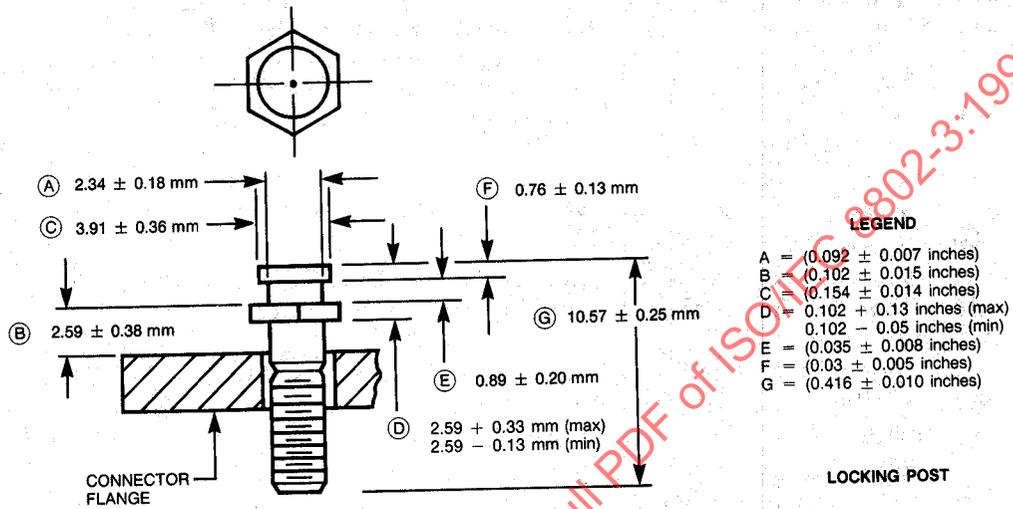


Fig 7-18  
Connector Locking Posts

## 7.6 Mechanical Characteristics

**7.6.1 Definition of Mechanical Interface.** All connectors used shall be as specified in 7.6.2. The DTE shall have a female connector and the MAU shall have a male connector. The MAU may be plugged directly into the DTE or may be connected by one or more cable segments whose total length is less than or equal to 50 m. All cable segments shall have a male connector on one end and a female connector on the other end. All female connectors shall have the slide latch, and all male connectors shall have the locking posts (as defined in Figs 7-18, 7-19, and 7-20) as the retention system.

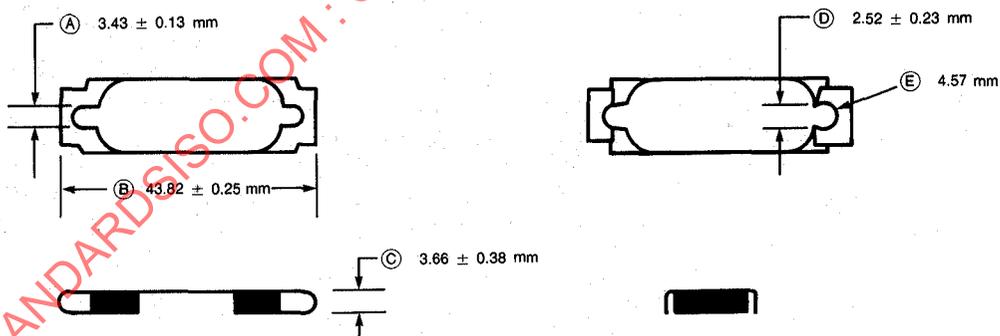
**7.6.2 Line Interface Connector.** A 15-pole connector having the mechanical mateability dimensions as specified in IEC 807-2 [7] shall be used for the line interface connector. The shells of these connectors shall be plated with conductive material to ensure the integrity of the cable shield to chassis current path. The resistance of the cable shield to equipment chassis shall not exceed 5 mΩ, after a minimum of 500 cycles of mating and unmating.

In order to ensure intermateability of connectors obtained from different manufacturers, the connector with female contacts shall conform to IEC 807-2 [7] and have a conductive plating. All additions to provide for female shell to male shell conductivity shall be on the shell of the connector with male contacts. There should be multiple contact points around the sides of this shell to provide for shield continuity.

The connector is not specified to prevent operator contact with the shield, and precautions shall be taken at installation time to ensure that the installer is

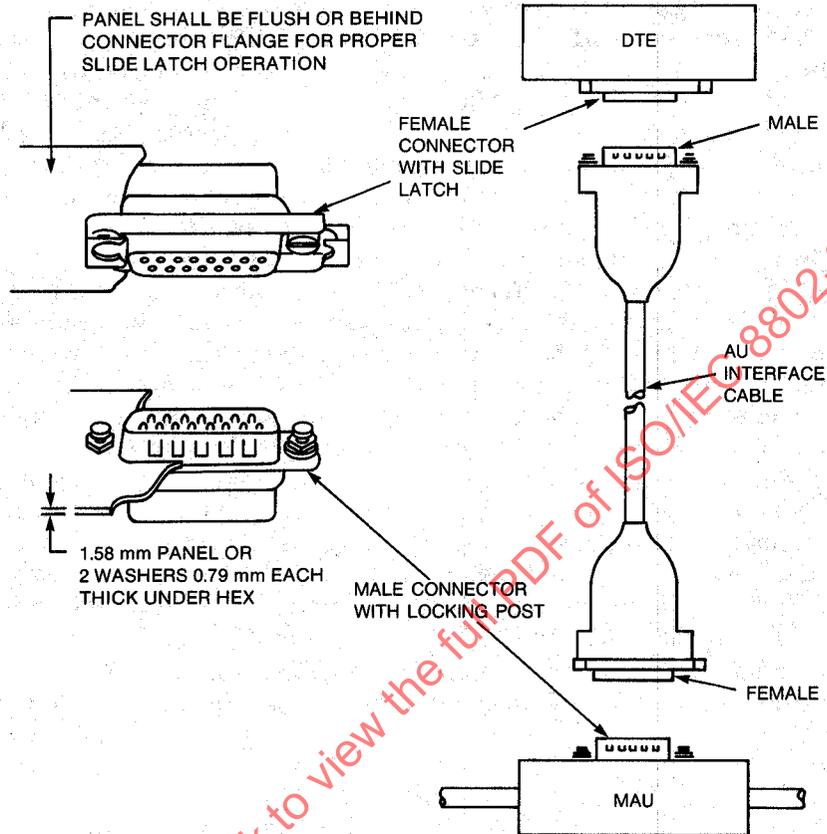
**Fig 7-19**  
**Connector Slide Latch**

(material 24 gauge maximum)



### LEGEND

- A = (0.135 ± 0.005 inches)
- B = (1.725 ± 0.010 inches)
- C = (0.144 ± 0.015 inches)
- D = (0.099 ± 0.009 inches)
- E = (0.180 inches diam. min)



**Fig 7-20**  
**Connector Hardware and AUI Cable Configuration**

warned that the shield is not to be brought into contact with any hazardous voltage while being handled by operating personnel.

See reference [A13].

**7.6.3 Contact Assignments.** The following table shows the assignment of circuits to connector contacts:

Contact	Circuit	Use
3	DO-A	Data Out circuit A
10	DO-B	Data Out circuit B
11	DO-S	Data Out circuit Shield
5	DI-A	Data In circuit A
12	DI-B	Data In circuit B
4	DI-S	Data In circuit Shield
7	CO-A	Control Out circuit A
15	CO-B	Control Out circuit B
8	CO-S	Control Out circuit Shield
2	CI-A	Control In circuit A
9	CI-B	Control In circuit B
1	CI-S	Control In circuit Shield
6	VC	Voltage Common
13	VP	Voltage Plus
14	VS	Voltage Shield
Shell	PG	Protective Ground (Conductive Shell)

NOTE: Voltage Plus and Voltage Common use a single twisted pair in the AUI cable.

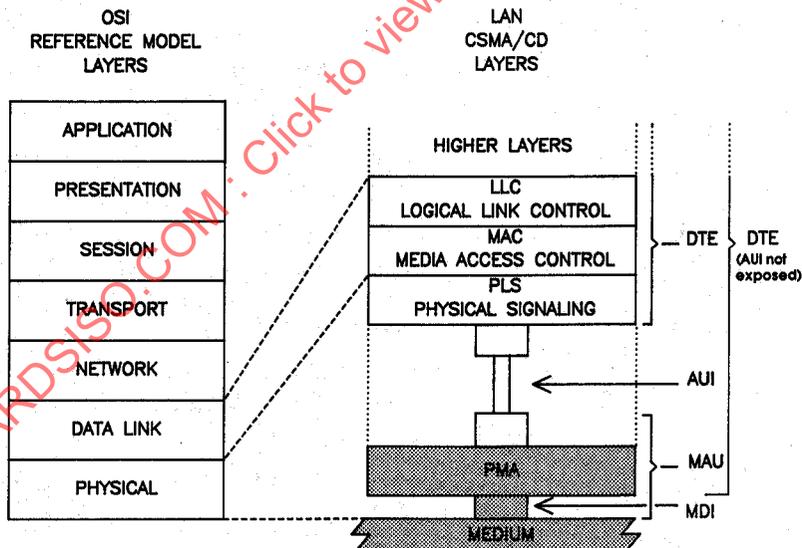
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## 8. Medium Attachment Unit and Baseband Medium Specifications, Type 10BASE5

### 8.1 Scope

**8.1.1 Overview.** This standard defines the functional, electrical, and mechanical characteristics of the MAU and one specific medium for use with local networks. The relationship of this specification to the entire ISO [IEEE] Local Network specification is shown in Fig 8-1. The purpose of the MAU is to provide a simple, inexpensive, and flexible means of attaching devices to the local network medium.

**Fig 8-1**  
**Physical Layer Partitioning, Relationship to the ISO**  
**Open System Interconnection Reference Model**



- AUI = ATTACHMENT UNIT INTERFACE
- MAU = MEDIUM ATTACHMENT UNIT
- MDI = MEDIUM DEPENDENT INTERFACE
- PMA = PHYSICAL MEDIUM ATTACHMENT

**8.1.1.1 Medium Attachment Unit.** The MAU has the following general characteristics:

(1) Enables coupling the PLS by way of the AUI to the explicit baseband coaxial transmission system defined in this section of the standard.

(2) Supports message traffic at a data rate of 10 Mb/s (alternative data rates may be considered in future additions to the standard).

(3) Provides for driving up to 500 m (1640 ft) of coaxial trunk cable without the use of a repeater.

(4) Permits the DTE to test the MAU and the medium itself.

(5) Supports system configurations using the CSMA/CD access mechanism defined with baseband signaling.

(6) Supports a bus topology interconnection means.

**8.1.1.2 Repeater Unit.** The repeater unit is used to extend the physical system topology, has the same general characteristics as defined in 8.1.1.1, and provides for coupling together two or more 500 m (1640 ft) coaxial trunk cable segments. Multiple repeater units are permitted within a single system to provide a maximum trunk cable connection path of 2.5 km (8200 ft) between any two MAUs.

### 8.1.2 Definitions

**baseband coaxial system.** A system whereby information is directly encoded and impressed on the coaxial transmission medium. At any point on the medium, only one information signal at a time can be present without disruption (see collision).

**BR.** The rate of data throughput (bit rate) on the medium in bits per second.

**BR/2.** One half of the BR in Hertz.

**branch cable.** The AUI cable interconnecting the DTE and MAU system components.

**carrier sense.** In a local area network, an ongoing activity of a data station to detect whether another station is transmitting.

**NOTE:** A collision presence signal is provided by the PLS to the PMA sublayer to indicate that one or more stations are currently transmitting on the trunk coaxial cable.

**coaxial cable.** A two-conductor (center conductor, shield system), concentric, constant impedance transmission line used as the trunk medium in the baseband system.

**coaxial cable interface.** The electrical and mechanical interface to the shared coaxial cable medium either contained within or connected to the MAU. Also known as MDI (Medium Dependent Interface).

**coaxial cable segment.** A length of coaxial cable made up from one or more coaxial cable sections and coaxial connectors, and terminated at each end in its characteristic impedance.

**collision.** An unwanted condition that results from concurrent transmissions on the physical medium.

**collision presence.** A signal provided by the PLS to the PMA sublayer (within the data link layer) to indicate that multiple stations are contending for access to the transmission medium.

**compatibility interfaces.** The MDI coaxial cable interface and the AUI branch cable interface, the two points at which hardware compatibility is defined to allow connection of independently designed and manufactured components to the baseband transmission system.

**Medium Attachment Unit (MAU).** In a local area network, a device used in a data station to couple the data terminal equipment to the transmission medium.

**Medium Dependent Interface (MDI).** The mechanical and electrical interface between the trunk cable medium and the MAU.

**Physical Medium Attachment (PMA).** The portion of the MAU that contains the functional circuitry.

**Physical Signaling (PLS).** That portion of the Physical Layer, contained within the DTE that provides the logical and functional coupling between MAU and Data Link Layers.

**repeater.** A device used to extend the length, topology, or interconnectivity of the physical medium beyond that imposed by a single segment, up to the maximum allowable end-to-end trunk transmission line length. Repeaters perform the basic actions of restoring signal amplitude, waveform, and timing applied to normal data and collision signals.

**trunk cable.** The trunk coaxial cable system.

**8.1.3 Application Perspective: MAU and MEDIUM Objectives.** This section states the broad objectives and assumptions underlying the specifications defined throughout this section of the standard.

#### 8.1.3.1 Object

(1) Provide the physical means for communication between local network data link entities.

NOTE: This standard covers a portion of the physical layer as defined in the OSI Reference Model and, in addition, the physical medium itself, which is beyond the scope of the OSI Reference Model.

(2) Define a physical interface that can be implemented independently among different manufacturers of hardware and achieve the intended level of compatibility when interconnected in a common local network.

(3) Provide a communication channel capable of high bandwidth and low bit error rate performance. The resultant mean bit error rate, at the physical layer service interface should be less than one part in  $10^8$  (on the order of one part in  $10^9$  at the link level).

(4) Provide for ease of installation and service.

(5) Provide for high network availability (ability of a station to gain access to the medium and enable the data link connection in a timely fashion).

(6) Enable relatively low-cost implementations.

**8.1.3.2 Compatibility Considerations.** All implementations of this baseband coaxial system shall be compatible at the MDI.

This standard provides one explicit trunk cable medium specification for the interconnection of all MAU devices. The medium itself, the functional capability of the MAU, and the AUI are defined to provide the highest possible level of compatibility among devices designed by different manufacturers. Designers are free to implement circuitry within the MAU in an application-dependent manner provided the MD Interface and AUI specifications are satisfied.

Subsystems based on this specification may be implemented in several different ways provided compatibility at the medium is maintained. It is possible, for example, to design an integrated station where the MAU is contained within a physical DTE system component, thereby eliminating the AUI cable. The device designer (and system user) shall then consider such factors as topological flexibility, system availability, and configurability.

**8.1.3.3 Relationship to PLS and AU Interface.** This section defines the primary physical layer for the local area network, a layer comprised of both the physical medium and the rudimentary circuitry necessary to couple a station's message path directly to/from the medium. The complete logical physical layer of the local area network may reside physically in two distinct locations, the MAU and the DTE. Therefore, a close relationship exists between this section and Section 7. This section specifies all of the physical medium parameters, all of the PMA logical functions residing in the physical MAU, and references the AUI associated with and defined throughout Section 7.

NOTE: The design of a physical MAU component requires the use of both this section and Section 7 for the PLS and AUI specifications.

**8.1.3.4 Modes of Operation.** The MAU is capable of operating in either a "Normal" mode or an optional "Monitor" mode.

(1) *Normal Mode.* The MAU functions as a direct connection between the baseband medium and the DTE. Data output from the DTE is output to the coaxial trunk medium and all data on the coaxial trunk medium is input to the DTE. This mode is the "normal" mode of operation for the intended message traffic between stations.

(2) *Monitor Mode.* The MAU Transmit Function is disabled to prevent data from being output on the trunk coaxial medium while the receive function and collision presence function remain active for purposes of monitoring medium message traffic. This mode also serves as a limited test mode at the same time it isolates the MAU transmitter from the medium. Under most local (that is, intrastation) fault conditions the monitor mode enables continued use of the network while the local station is being serviced.

**8.2 MAU Functional Specifications.** The MAU component provides the means by which signals on the four physically separate AUI signal circuits to/from the DTE and their associated interlayer messages are coupled to the single

coaxial cable baseband signal line. To achieve this basic objective, the MAU component contains the following functional capabilities to handle message flow between the DTE and the baseband medium:

(1) *Transmit Function*. The ability to transmit serial data bit streams on the baseband medium from the local DTE entity and to one or more remote DTE entities on the same network.

(2) *Receive Function*. The ability to receive serial data bit streams over the baseband medium.

(3) *Collision Presence Function*. The ability to detect the presence of two or more stations' concurrent transmissions.

(4) *Monitor Function* (Optional). The ability to inhibit the normal transmit data stream to the medium at the same time the normal receive function and collision presence function remain operational.

(5) *Jabber Function*. The ability to automatically interrupt the transmit function and inhibit an abnormally long output data stream.

### 8.2.1 MAU Physical Layer Functions

**8.2.1.1 Transmit Function Requirements.** At the start of a frame transmission on the coaxial cable, no more than 2 bits (2 full bit cells) of information may be received from the DO circuit and not transmitted onto the coaxial medium. In addition, it is permissible for the first bit sent to contain encoded phase violations or invalid data; however, all successive bits of the frame shall be reproduced with no more than the specified amount of jitter. The second bit cell transmitted onto the coaxial cable shall be carried from the DO signal line and transmitted onto the coaxial trunk cable medium with the correct timing and signal levels. The steady-state propagation delay between the DO circuit receiver input and the coaxial cable output shall not exceed one-half bit cell. There shall be no logical signal inversions between the branch cable DO circuit and the coaxial trunk cable (for example, a "high" logic level input to the MAU shall result in the less negative current flow value on the trunk coaxial medium). A positive signal on the A signal lead of the DO circuit shall result in a more positive voltage level on the trunk coaxial medium. It is assumed that the AUI shall provide adequate protection against noise. It is recommended that the designer provide an implementation in which a minimum threshold signal is required to establish a transmit bit stream.

The Transmit Function shall output a signal on the trunk coaxial medium whose levels and waveform comply with 8.3.1.3.

In addition, when the DO circuit has gone idle after a frame is output, the MAU shall then activate the collision presence function as close to the trunk coaxial cable as possible without introducing an extraneous signal on the trunk coaxial medium. The MAU shall initiate the collision presence state within 0.6  $\mu$ s to 1.6  $\mu$ s after the output idle signal and shall maintain an active collision presence state for a time equivalent to  $10 \pm 5$  bit cells.

**8.2.1.2 Receive Function Requirements.** The signal from the coaxial trunk cable shall be directly coupled to the receiver and subsequently ac coupled before reaching the receive circuit connected to the DTE. The receive

function shall output a signal onto the DI circuit of the AUI cable that complies with the AUI specification for drivers in MAUs.

At the start of a frame reception from the coaxial cable, no more than 5 bits (five full bit cells) of information may be received from the coaxial cable and not transmitted onto the receive (DI) circuit. In addition, it is permissible for the first bit sent over the receive circuit to contain encoded phase violations or invalid data; however, all successive bits of the frame shall reproduce the incoming signal with no more than the above specified amount of jitter. This implies that the second bit cell sent onto the DI circuit presents valid data to the branch cable. The steady-state propagation delay between the coaxial cable and the receive (DI) circuit output shall not exceed one-half bit cell. There are no logical signal inversions between the coaxial (trunk) cable and the MAU (branch) cable receive circuit. The circuit bandwidth of the receiver function shall be limited to 50 MHz.

A MAU meeting this specification shall exhibit edge jitter into the DI pair when terminated in the appropriate test load specified in 7.4.3.6, of no more than 8.0 ns in either direction when it is installed on the distant end of all lengths between 2.5 m and 500 m of the cable specified in 8.4.1.1 through 8.4.2.1.5 terminated at both ends with terminators meeting the impedance requirements of 8.5.2.1 and driven at one end with pseudorandom Manchester encoded binary data from a data generator that exhibits no more than 1.0 ns of edge jitter in either direction on half bit cells of exactly  $1/2$  BT and whose output meets the specifications of 8.3.1.3 except that the risetime of the signal must be  $30 \text{ ns} + 0, - 2 \text{ ns}$ . This test shall be conducted in a noise-free environment. The combination of coaxial cable and MAU receiver introduce no more than 6 ns of edge jitter into the system.

The local transmit and receive functions shall operate simultaneously while connected to the medium operating in the half duplex operating mode.

**8.2.1.3 Collision Presence Function Requirements.** The signal presented to the CI circuit in the absence of a collision shall be the IDL signal except when the MAU is required to signal the CS1 signal.

The signal presented to the CI circuit during the presence of a collision shall be the CS0 signal, a periodic waveform at the nominal BR  $\pm 15\%$  with a duty cycle no worse than a 40/60 ratio. This signal shall be presented to the CI circuit no more than 9 bit times after the signal (for example, dc average) on the coaxial cable at the MAU equals or exceeds that produced by two (or more) MAU outputs transmitting concurrently under the condition that the MAU detecting collision presence is transmitting. Under no conditions shall the collision presence function generate an output when only one MAU is transmitting. A MAU, while not transmitting, may detect the presence of two other MAUs transmitting and shall detect the presence of more than two other MAUs transmitting. Table 8-1 summarizes the allowable conditions under which collisions shall be detected.

The collision presence function may, in some implementations, be able to sense an abnormal (for example, open) medium. The use of MAUs in repeaters requires added considerations; see 8.3.1.5.

**Table 8-1**  
**Generation of Collision Presence Signal**

MAU	Numbers of Transmitters		
	<2	=2	>2
Transmitting	N	Y	Y
Not transmitting	N	May	Y

Y = will generate SQE message  
 N = will not generate SQE message  
 May = may generate SQE message

**8.2.1.4 Monitor Function Requirements (Optional).** Upon receipt of the *isolate* message the MAU shall, within 20 ms (implementations: solid-state preferred, relay switched permitted), disable the transmit function in such a way as to prevent both the transmission of signals on the trunk coaxial medium and any abnormal loading by the disabled transmitter on the trunk coaxial medium itself. The monitor function is intended to prevent a malfunctioning active component (for example, transmit driver) from bringing down the network. The *isolate* message shall not interact with the receive or collision presence functions, thus permitting the normal operational mode wherein all data appearing on the trunk coaxial medium are carried to the DTE on the DI signal circuit.

NOTE: Verification for successful execution of the *isolate* message requires use of the trunk coaxial medium itself. This level of guaranteed performance requires use of system layers above the physical layer and implies some interruption of normal trunk coaxial medium message traffic.

**8.2.1.5 Jabber Function Requirements.** The MAU shall contain a self-interrupt capability to inhibit transmit data from reaching the medium. Hardware within the MAU (with no external message other than the detection of output data, bits, or leakage, by way of the transmit function) shall provide a nominal window of at least 20 ms to at most 150 ms during which time a normal data link frame may be transmitted. If the frame length exceeds this duration, the jabber function shall inhibit further output data from reaching the medium.

When the transmit function has been positively disabled, the MAU shall then activate the collision presence function as close to the trunk coaxial medium as possible without introducing an extraneous signal on the trunk coaxial medium. A MAU without the monitor function and powered by the DTE may reset the jabber and collision presence functions on power reset once the error condition has been cleared. Alternatively, a self-powered MAU may reset these functions after a period of  $0.5 \text{ s} \pm 50\%$  if the monitor function has not been implemented. If the monitor function has been implemented then it shall be used to reset the collision presence and jabber functions.

### 8.2.2 MAU Interface Messages

**8.2.2.1 DTE Physical Layer to MAU Physical Layer Messages.** The following messages can be sent by the DTE physical layer entities to the MAU physical layer entities:

Message	Circuit	Signal	Meaning
<i>output</i>	DO	CD1, CD0	Output information
<i>output_idle</i>	DO	IDL	No data to be output
<i>normal</i>	CO	IDL	Assume the nonintrusive state on the trunk coaxial medium
(Optional Circuit)			
<i>isolate</i>	CO	CS0(BR)	Positively disable the trunk coaxial medium transmitter

**8.2.2.2 MAU Physical Layer to DTE Physical Layer.** The following messages can be sent by the MAU physical layer entities to the DTE physical layer entities:

Message	Circuit	Signal	Meaning
<i>input</i>	DI	CD1, CD0	Input information
<i>input_idle</i>	DI	IDL	No information to be input
<i>mau_available</i>	CI	IDL	MAU is available for output
<i>signal_quality_error</i>	CI	CS0	Error detected by MAU

**8.2.2.2.1 *input* Message.** The MAU physical layer sends an *input* message to the DTE physical layer when the MAU has a bit of data to send to the DTE. The physical realization of the input message is a CD0 or CD1 sent by the MAU to the DTE on the data in circuit. The MAU sends CD0 if the *input* bit is a zero or CD1 if the *input* bit is a one. No retiming of the CD1 or CD0 signals takes place within the MAU.

**8.2.2.2.2 *input\_idle* Message.** The MAU physical layer sends an *input\_idle* message to the DTE physical layer when the MAU does not have data to send to the DTE. The physical realization of the *input\_idle* message is the IDL signal sent by the MAU to the DTE on the data in circuit.

**8.2.2.2.3 *mau\_available* Message.** The MAU physical layer sends the *mau\_available* message to the DTE physical layer when the MAU is available for output. The *mau\_available* message is always sent by a MAU that is always prepared to output data unless the *signal\_quality\_error* message shall be sent instead. Such a MAU does not require *mau\_request* to prepare itself for data output. The physical realization of the *mau\_available* message is an IDL signal sent by the MAU to the DTE on the control in circuit.

**8.2.2.2.4 *signal\_quality\_error* Message.** The *signal\_quality\_error* message shall be implemented in the following fashion:

(1) The *signal\_quality\_error* message shall not be sent by the MAU if no MAU or only one MAU is transmitting on the trunk coaxial medium in the normal mode.

(2) If two or more remote MAUs are transmitting on the trunk coaxial medium, but the MAU connected to the local node is not transmitting, then the local MAU shall send the *signal\_quality\_error* message in every instance when it is possible for it to ascertain that more than one MAU is transmitting on the trunk coaxial medium. The MAU shall make the best determination possible. It is acceptable for the MAU to fail to send the *signal\_quality\_error* message when it is unable to conclusively determine that more than one MAU is transmitting.

(3) When the local MAU is transmitting on the trunk coaxial medium, all occurrences of one or more additional MAUs transmitting shall cause the *signal\_quality\_error* message to be sent by the local MAU to its DTE.

(4) When the MAU has completed each output frame it shall perform an SQE test sequence, as defined in Figs 8-2 and 8-3.

(5) When the MAU has inhibited the transmit function it shall send the *signal\_quality\_error* message in accordance with the jabber function requirements of 8.2.1.5.

The *signal\_quality\_error* message shall be asserted less than 9 bit cells after the occurrence of the multiple-transmission condition is present at the MDI and shall no longer be asserted within 20 bit cells after the indication of multiple transmissions ceases to be present at the MDI. It is to be noted that an extended delay in the removal of the *signal\_quality\_error* message may affect adversely the access method performance.

The physical realization of the *signal\_quality\_error* message is the CS0 signal sent by the MAU to the DTE on the control in circuit.

Note that the MAU is required to assert the *signal\_quality\_error* message at the appropriate times whenever the MAU is powered and not just when the DTE is providing output data.

**8.2.3 MAU State Diagrams.** The state diagrams Figs 8-2, 8-3, and 8-4 depict the full set of allowed MAU state functions relative to the control circuits of the DTE-MAU interface for MAUs without conditioning requirements. Messages used in these state diagrams are explained below:

(1) *positive\_disable*. Activates the positive means provided in the MAU transmitter to prevent interference with the trunk coaxial medium.

(2) *enable\_driver*. Activates the path employed during normal operation to cause the MAU transmitter to impress data onto the trunk coaxial medium.

(3) *disable\_driver*. Deactivates the path employed during normal operation to cause the MAU transmitter to impress data onto the trunk coaxial medium.

(4) *no\_collision*. Signifies that the condition of multiple transmitters simultaneously active on the trunk coaxial medium does not exist.

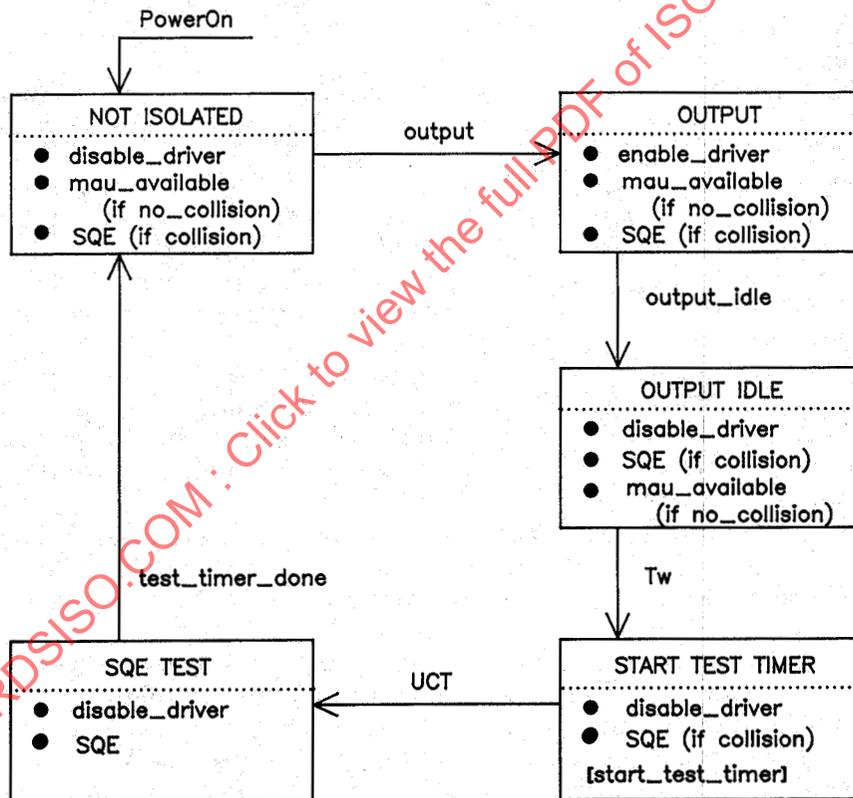
(5) *collision*. Signifies that the condition of multiple transmitters simultaneously active on the trunk coaxial medium does exist.

(6) *not\_positive\_disable*. Deactivates the positive means provided in the MAU transmitter to prevent interference with the trunk coaxial medium.

**8.3 MAU-Medium Electrical Characteristics**

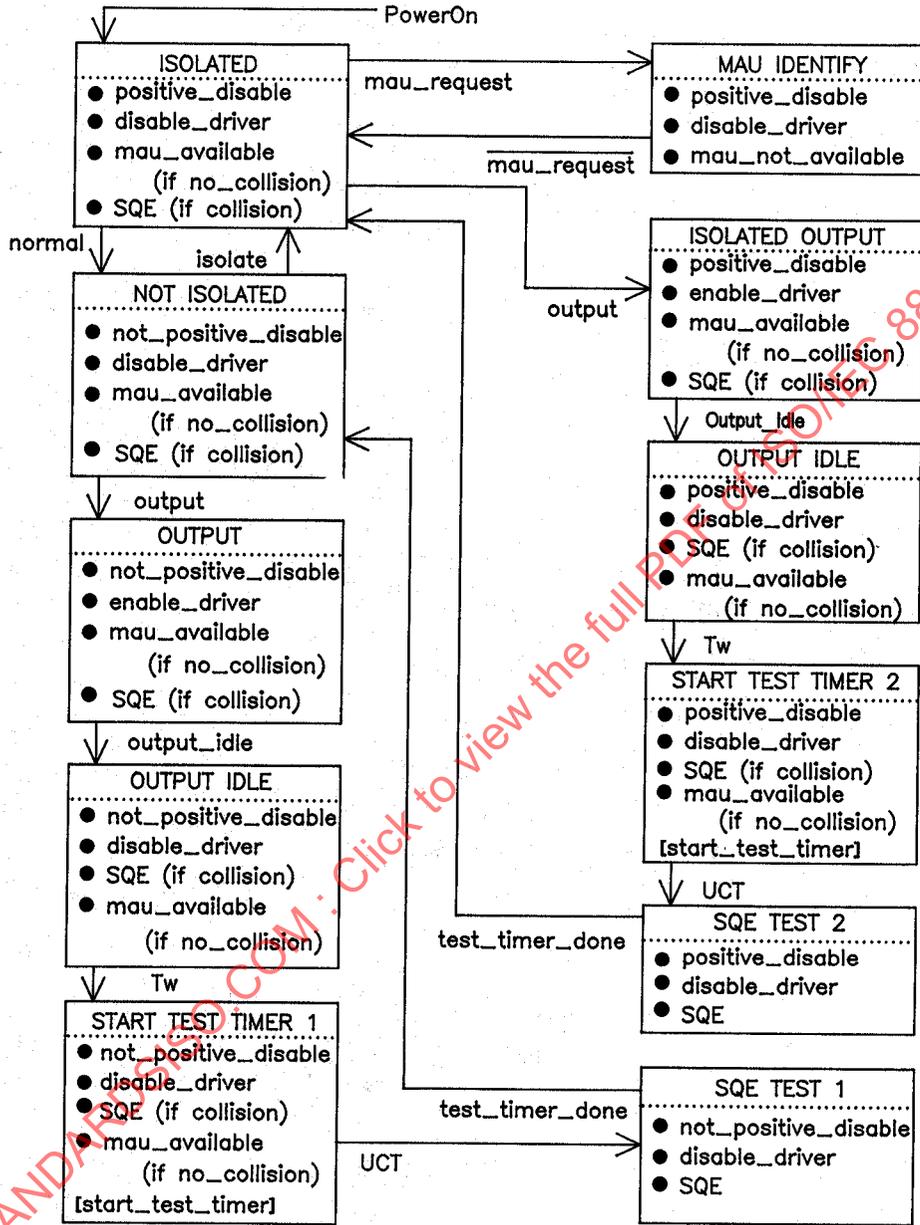
**8.3.1 MAU-to-Coaxial Cable Interface.** The following sections describe the interface between the MAU and the coaxial cable. Negative current is defined as current into the MAU (out of the center conductor of the cable).

**8.3.1.1 Input Impedance.** The shunt capacitance presented to the coaxial cable by the MAU circuitry (not including the means of attachment to the coaxial cable) is recommended to be no greater than 2 pF. The resistance to the coaxial cable shall be greater than 100 kΩ.



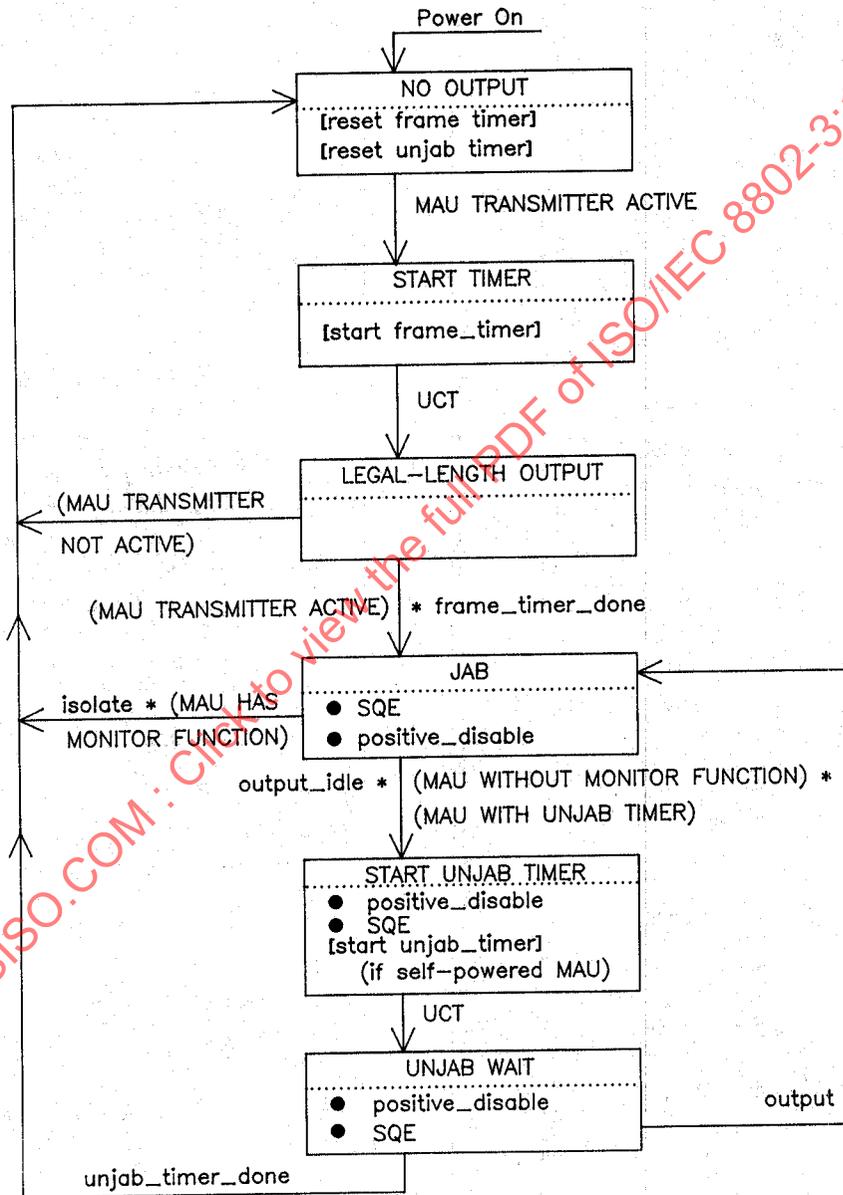
NOTE: UCT = unconditional transition  
Tw = wait time, see 8.2.1.1

**Fig 8-2**  
**Interface Function: Simple MAU without Isolate Capability**



NOTE: UCT = unconditional transition  
Tw = wait time, see 8.2.1.1

**Fig 8-3**  
**Interface Function: Simple MAU with Isolate Capability**



**Fig 8-4**  
**Jabber Function**

**The total capacitive load due to MAU circuitry and the mechanical connector as specified in 8.5.3.2 shall be no greater than 4 pF.**

These conditions shall be met in the power-off and power-on, not transmitting states (over the frequencies BR/2 to BR).

The magnitude of the reflection from a MAU shall not be more than that produced by a 4 pF capacitance when measured by both a 25 ns rise time and 25 ns fall time waveform. This shall be met in both the power on and power off, not transmitting states.

**8.3.1.2 Bias Current.** The MAU shall draw (from the cable) between +2  $\mu$ A and -25  $\mu$ A in the power-off and the power-on, not transmitting states.

**8.3.1.3 Coaxial Cable Signaling Levels.** The signal on the coaxial cable due to a single MAU as measured at the MAU transmitter output is composed of an ac component and an offset component. Expressed in terms of current immediately adjacent to the MAU connection (just prior to splitting the current flow in each direction) the recommended signal has an offset component (direct current including the effects of timing distortion) of from -37 mA minimum to -45 mA maximum and an ac component from +28 mA up to the offset value. The offset component of the drive current is allowed to have relaxed values of -36 mA to -48 mA, with an ac component from +28 mA up to the offset value.

The current drive limit shall be met even in the presence of one or more MAU transmitters.

The MAU shall sink no more than -25  $\mu$ A when the voltage on the center conductor of the cable drops to -7 V when the MAU is transmitting.

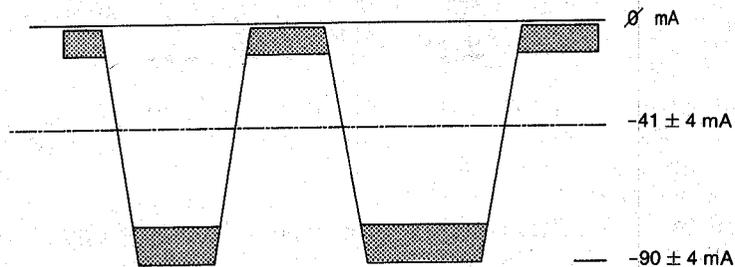
The actual current measured at a given point on the cable is a function of the transmitted current and the cable loss to the point of measurement. Negative current is defined as current out of the center conductor of the cable (into the MAU). The 10-90% rise/fall times shall be  $25 \pm 5$  ns at 10 Mb/s. The rise and fall times shall match within 1 ns. Figures 8-5 and 8-6 shows typical waveforms present on the cable. Harmonic content generated from the BR fundamental periodic input shall meet the following requirements:

2nd and 3rd Harmonics:	at least 20 dB below fundamental
4th and 5th Harmonics:	at least 30 dB below fundamental
6th and 7th Harmonics:	at least 40 dB below fundamental
All Higher Harmonics:	at least 50 dB below fundamental

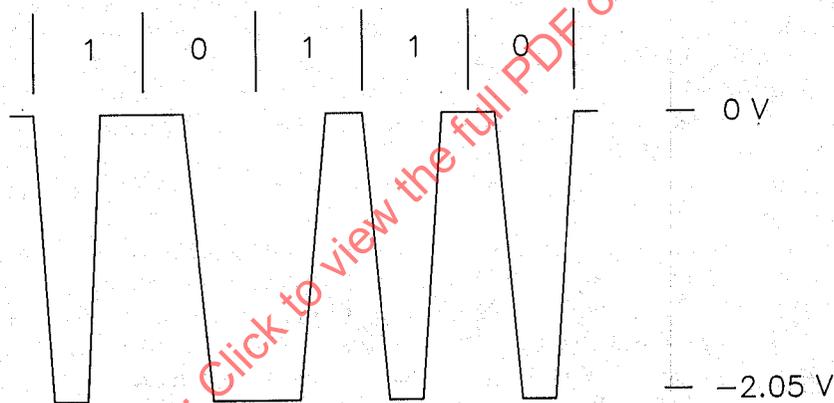
NOTE: Even harmonics are typically much lower

The above specifications concerning harmonics cannot be satisfied by a square-wave with a single-pole filter, nor can they be satisfied by an output waveform generator employing linear ramps without additional waveshaping. The signals as generated from the encoder within PLS shall appear on the coaxial cable without any inversions (see Fig 8-6).

**8.3.1.4 Transmit Output Levels Symmetry.** Signals received from the AUI DO circuit shall be transmitted onto the coaxial cable with the character-



**Fig 8-5**  
**Recommended Driver Current Signal Levels**



- NOTES: (1) Voltages given are nominal, for a single transmitter  
(2) Rise and fall time is 25 ns nominal at 10 Mb/s rate  
(3) Voltages are measured on terminated coaxial cable adjacent to transmitting MAU  
(4) Manchester coding

**Fig 8-6**  
**Typical Coaxial Trunk Cable Signal Waveform**

istics specified in 8.3.1.3. Since the coaxial cable proceeds in two directions from the MAU, the current into the MAU is nominally twice the current measured on the coaxial cable.

The output signal of a MAU meeting this specification shall exhibit edge jitter of no more than 2.5 ns into a  $25 \Omega \pm 1\%$  resistor substituted for the connection to the coaxial cable when the DO circuit into the MAU is driven through a zero length AUI cable with pseudorandom Manchester encoded binary data from a data generator that exhibits no more than 0.5 ns of edge jitter

on half bit cells of exactly 1/2 BT whose output meets the specifications of 7.4.1.1 through 7.4.1.5. The above specified component is not to introduce more than 2 ns of edge jitter into the system.

The MAU shall not transmit a negative going edge after cessation of the CD output data stream on DO or before the first edge of the next frame on the DO circuit.

**8.3.1.5 Receive Collision Detect Threshold.** It is recommended that the MAU implement the collision detect function with a - 1.492 V to - 1.629 V threshold range corresponding to the recommended tolerances for coax drive currents specified in 8.3.1.3. The threshold voltage is measured on the coax at the MAU connector.

Collision detection threshold voltages tighter than those recommended above may be used to improve collision detection performance in the presence of noise on the coax, poor system component tolerances, and coax transmit levels outside of the recommended range.

A MAU that implements the recommended receive threshold shall be considered to have implemented receive mode collision detect. Receive mode collision detect indicates that a nontransmitting MAU has the capability to detect collisions when two or more MAUs are transmitting simultaneously. Repeater units require both MAUs directly connected to it to implement receive mode collision detection.<sup>8</sup>

### 8.3.2 MAU Electrical Characteristics

**8.3.2.1 Electrical Isolation.** The MAU must provide isolation between the AUI cable and the coaxial trunk cable. The isolation impedance measured between each conductor (including shield) of the AUI cable and either the center conductor or shield of the coaxial cable shall be greater than 250 k $\Omega$  at 60 Hz and not greater than 15  $\Omega$  between 3 MHz and 30 MHz. The breakdown of the isolation means provided shall be at least 250 V ac, rms. See references [A7], [A8], and [A9].

**8.3.2.2 Power Consumption.** The current drawn by the MAU shall not exceed 0.5 A as powered by the AUI source. The MAU shall be capable of operating from all possible voltage sources as supplied by the DTE through the resistance of all permissible AUI cables. The MAU shall not disrupt the trunk coaxial medium should the DTE power source fall below the minimum operational level under abnormal MAU load conditions.

The MAU shall be labeled externally to identify the maximum value of current required by the device at any specified input voltage.

**8.3.2.3 Reliability.** The MAU shall be designed to provide an MTBF of at least 1 million hours of continuous operation without causing communication failure among other stations attached to the local network medium. Component failures within the MAU electronics should not prevent communication among other MAUs on the coaxial cable. Connectors and other passive com

<sup>8</sup> Repeatered networks may require all MAU components to use the recommended coaxial drive connect levels. This matter is under consideration.

ponents comprising the means of connecting the MAU to the coaxial cable shall be designed to minimize the probability of total network failure.

It should be noted that a fault condition that causes a MAU to draw in excess of 2 mA may cause communication failure among other stations.

**8.3.3 MAU-DTE Electrical Characteristics.** The electrical characteristics for the driver and receiver components connected to the branch cable within the MAU shall be identical to those as specified in Section 7 of this standard.

**8.3.4 MAU-DTE Mechanical Connection.** The MAU shall be provided with a 15-pin male connector as specified in detail in the AUI specification, Section 7.

**8.4 Characteristics of the Coaxial Cable.** The trunk cable is of constant impedance, coaxial construction. It is terminated at each end by a terminator (see 8.5.2), and provides the transmission path for MAU device connection. Coaxial cable connectors are used to make the connection from the cable to the terminators, and between cable sections (if needed). The cable has various electrical and mechanical requirements that shall be met to ensure proper operation.

#### **8.4.1 Coaxial Cable Electrical Parameters**

**8.4.1.1 Characteristic Impedance.** The average characteristic cable impedance shall be  $50 \pm 2 \Omega$ , measured according to IEC Publications 96-1 [2] and 96-1A [3]. Periodic variations in impedance along a single piece of cable may be up to  $\pm 3 \Omega$  sinusoidal centered around the average value, with a period of less than 2 m.

NOTE: If the requirements of 8.4.2.1.1 (2), 8.4.2.1.2, 8.4.2.1.3, 8.4.2.1.4 (2) are met, then it is expected that the characteristic impedance periodicity requirement shall be considered met.

**8.4.1.2 Attenuation.** The attenuation of a 500 m (1640 ft) cable segment shall not exceed 8.5 dB (17 dB/km) measured with a 10 MHz sine wave, nor 6.0 dB (12 dB/km) measured with a 5 MHz sine wave.

**8.4.1.3 Velocity of Propagation.** The minimum required velocity of propagation is 0.77 c.

**8.4.1.4 Edge Jitter, Untapped Cable.** Untapped coaxial cable meeting this specification shall exhibit edge jitter of no more than 8.0 ns in either direction at the receiving end when 500 m of the cable is terminated at both ends with terminators meeting the impedance requirements of 8.5.2.1 and is driven at one end with pseudorandom Manchester encoded binary data from a data generator that exhibits no more than 1.0 ns of edge jitter in either direction on half bit cells of exactly  $1/2$  BT and whose output meets the specifications of 8.3.1.3 except that the rise time of the signal must be  $30 \text{ ns} + 0, - 2 \text{ ns}$ , and no offset component in the output current is required. This test shall be conducted in a noise-free environment. The above specified component is not to introduce more than 7 ns of edge jitter into the system.

**8.4.1.5 Transfer Impedance.** The coaxial cable medium shall provide sufficient shielding capability to minimize its susceptibility to external noise and also to minimize the generation of interference by the medium and related signals. While the cable construction is not mandated, it is necessary to

indicate a measure of performance expected from the cable component. A cable's EMC performance is determined, to a large extent, by the transfer impedance value of the cable. See reference [A12].

The transfer impedance of the cable shall not exceed the values shown in Fig 8-7 as a function of frequency.

**8.4.1.6 Cable DC Loop Resistance.** The sum of the center conductor resistance plus the shield resistance, measured at 20 °C, shall not exceed 10 mΩ/m.

#### 8.4.2 Coaxial Cable Properties

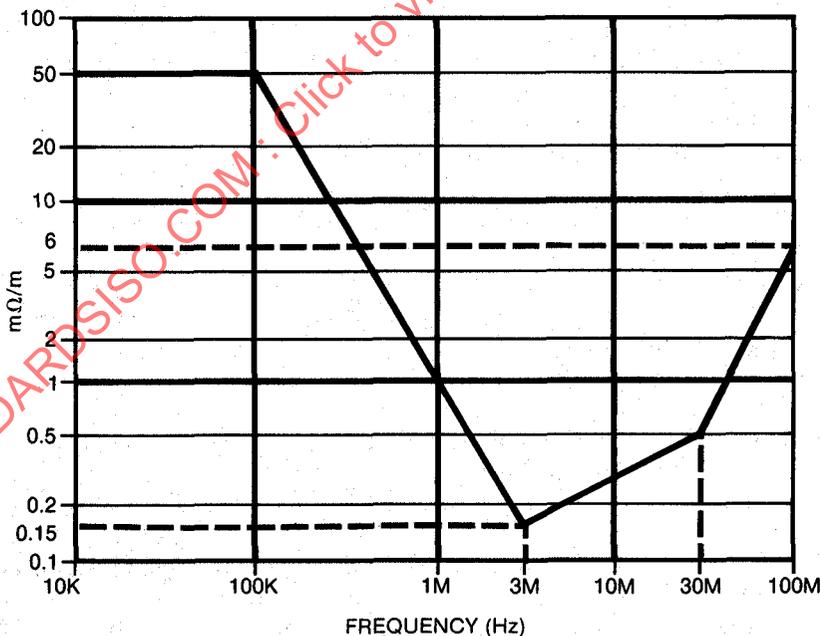
**8.4.2.1 Mechanical Requirements.** The cable used should be suitable for routing in various environments, including but not limited to, dropped ceilings, raised floors, cable troughs, and throughout open floor space. The jacket shall provide insulation between the cable sheath and any building structural metal. Also, the cable shall be capable of accepting coaxial cable connectors, described in 8.5. The cable shall conform to the following requirements.

##### 8.4.2.1.1 General Construction

(1) The coaxial cable shall consist of a center conductor, dielectric, shield system, and overall insulating jacket.

(2) The concentricity (for example, positional relationship between center conductor to shield system and outer jacket) of the coaxial cable elements

**Fig 8-7**  
**Maximum Coaxial Cable Transfer Impedance**



shall be greater than 92% as measured in accordance with the following general configuration:

$$\frac{(\text{jacket radius}) - (\text{center offset})}{\text{jacket radius}} \times 100 \geq 92\%$$

It is assumed that the offset and radius values are worst case at any point within the measured system.

(3) The coaxial cable jacket, shield system, and dielectric material shall be pierceable either by means of the connector type specified in 8.5.3.2 or by an external core tool. Overall cable system pierceability (the ability of a tap probe to pierce the jacket, shields, and dielectric cable system without substantial dielectric deformation and without causing a short circuit between center conductor and shield system) is a vital parameter affecting tap connection reliability.

Pierceability of the cable system can be measured in terms of the probe's load versus displacement signature. A pierceable cable exists where the displacement is  $\geq 1.52$  mm (0.06 in) between rupture (piercing) of the shield system and contact with the center conductor.

(4) The coaxial cable shall be sufficiently flexible to support a bend radius of 254 mm (10 in).

**8.4.2.1.2 Center Conductor.** The center conductor shall be 2.17 mm  $\pm$  0.013 mm (0.0855  $\pm$  0.0005 in) diameter solid copper.

**8.4.2.1.3 Dielectric Material.** The dielectric may be of any type provided the conditions of 8.4.1.2, 8.4.1.3, and 8.4.2.1.1(3) are met.

**8.4.2.1.4 Shielding System**

(1) The shielding system may contain both braid and foil elements sufficient to meet the transfer impedance of 8.4.1.5 and the EMC specifications of 8.7.2.

(2) The inside diameter of the innermost shield shall be 6.15 mm (0.242 in) minimum.

(3) The outside diameter of the outermost shield shall be 8.28 mm  $\pm$  0.178 mm (0.326  $\pm$  0.007 inches).

(4) The outermost shield shall be greater than 90% coverage. The use of tinned copper braid is advised to meet the contact resistance requirements.

**8.4.2.1.5 Overall Jacket**

(1) Any one of several jacket materials shall be used provided the specifications of 8.4.1 and 8.4.2 are met.

(2) Either of two jacket dimensions may be used for the two broad classes of materials, provided the specification of 8.4.2.1.1 are met:

(a) Polyvinyl Chloride (for example, PVC) or equivalent having an OD of 10.287 mm  $\pm$  0.178 mm (0.405 nominal  $\pm$  0.007 in).

(b) Fluoropolymer (for example, FEP, E-CTFE) or equivalent having an OD of 9.525 mm  $\pm$  0.254 mm (0.375 nominal  $\pm$  0.010 in).

The cable shall meet applicable flammability and smoke criteria and local and national codes for the installed environment. See 8.7.4. Different types of cable sections (for example, polyvinyl chloride and fluoropolymer dielectric)

may be interconnected, while meeting the sectioning requirements of 8.6. See references [A6] and [A14].

**8.4.2.2 Jacket Marking.** The cable jacket shall be marked with annular rings in a color contrasting with the background color of the jacket. The rings shall be spaced at  $2.5 \text{ m} \pm 5 \text{ cm}$  regularly along the entire length of the cable. It is permissible for the 2.5 m spacing to be interrupted at discontinuities between cable sections joined by connectors. (See 8.6.2.2 for MAU placement rules that mandate cable markings.) It is recommended that the base color of the cable jacket itself be a bright color (for example, yellow) other than that normally used for power mains.

**8.4.3 Total Segment DC Loop Resistance.** The sum of the center conductor, connectors, and shield resistance shall not exceed  $5 \Omega$  total per segment.

Each in-line connector pair or MAU shall be no more than  $10 \text{ m}\Omega$ . Use of these components reduces the overall allowable segment length accordingly. Values given above are at  $20 \text{ }^\circ\text{C}$ . For temperature variations, cable length shall be adjusted accordingly such that the  $5 \Omega$  total is not exceeded.

If a trunk coaxial cable segment consists of several cable sections, then all connectors and internal resistance of the shield and center conductor shall be included in the loop resistance measurement.

**8.5 Coaxial Trunk Cable Connectors.** The trunk coaxial medium requires termination and may be extended or partitioned into sections. Devices to be attached to the medium as MAUs require a means of connection to the medium. Two basic connector types provide the necessary connection means:

- (1) Standard Type N connectors (IEC Publication 169-16 [4])
- (2) A coaxial "tap" connector

All Type N connectors shall be of the  $50 \Omega$  constant impedance type. Since the frequencies present in the transmitted data are well below UHF range (being band-limited to approximately 20 MHz), high-quality versions of the connectors are not required (but are recommended).

All of the coaxial tap connectors shall follow the requirements as defined in 8.5.3.

**8.5.1 Inline Coaxial Extension Connector.** All coaxial cables shall be terminated with the Type N plug connectors. A means shall be provided to ensure that the connector shell (which connects to the cable sheath) does not make contact with any building metal or other unintended conductor. An insulating sleeve or boot slipped over the connector at installation time is suitable.

Inline coaxial extensions between two sections of coaxial cable shall be made with a pair of Type N receptacle connectors joined together to form one "barrel." An insulating sleeve or boot shall also be provided with each barrel assembly.

#### **8.5.2 Coaxial Cable Terminator**

**8.5.2.1 Termination.** Coaxial cable terminators are used to provide a termination impedance for the cable equal in value to its characteristic impedance, thereby minimizing reflection from the ends of the cables. Terminators shall be packaged within an inline female receptacle connector.

The termination impedance shall be  $50 \Omega \pm 1\%$  measured from 0 – 20 MHz, with the magnitude of the phase angle of the impedance not to exceed  $5^\circ$ . The terminator power rating shall be 1 W or greater.

**8.5.2.2 Earthing.** Either the coaxial cable terminator or inline extension connector provides a convenient location for meeting the earth grounding requirement of 8.6.2.3. It is recommended that a ground lug with current rating of at least 1500 ampacity be provided on one of the two terminators or on one extension connector used within a cable segment.

NOTES:

(1) A single ground return lug on an inline connector located in the center of the cable transmission system may be used to satisfy this requirement.

(2) Alternatively, terminators might be supplied in pairs, one with and one without the ground lug connection point.

**8.5.3 MAU-to-Coaxial Cable Connection.** A means shall be provided to allow for attaching a MAU to the coaxial cable. The connection shall not disturb the transmission line characteristics of the cable significantly; it shall present a predictably low shunt capacitance, and therefore a negligibly short stub length. This is facilitated by the MAU being located as close to its cable connection as possible; the MAU and connector are normally considered to be one assembly. Long (greater than 30 mm) connections between the coaxial cable and the input of the MAU jeopardize this objective.

Overall system performance is dependent largely on the MAU-to-coaxial cable connection being of low shunt capacitance.

If the design of the connection is such that the coaxial cable is to be severed to install the MAU, the coaxial cable segment shall still meet the sectioning requirements of 8.6.2.1. Coaxial connectors used on a severed cable shall be type N, as specified in 8.5.1.

The type N connectors selected should be of high quality (that is, low contact resistance) to minimize the impact on system performance.

If the design of the connection is such that the piercing tap connector is to be used without severing the cable, then the tap connector and cable assembly shall conform to the mechanical and electrical requirements as defined throughout 8.5.3.1 and 8.5.3.2.

**8.5.3.1 Electrical Requirements.** Requirements for the coaxial tap connector are as follows:

- (1) Capacitance: 2 pF nominal connector loading measured at 10 MHz.

NOTE: Total capacitance of tap and active circuitry connected directly shall be no greater than 4 pF. Specific implementations may allocate capacitance between tap and circuitry as deemed appropriate.

- (2) Contact resistance (applies to center conductor and shield contacts): 50 m $\Omega$  maximum for both shield and center conductor over useful connector lifetime.

- (3) Contact material: surface material on signal probe or shield sufficient to meet contact resistance requirements in environment and over time.

- (4) Voltage rating: 600 V dc or ac rms maximum.

- (5) Insulation: dc leakage resistance of tap housing shall be higher than 1 G $\Omega$  between braid and external conductors in the normal operating environment.

- (6) Probe current rating: 0.1 A per contact (probe and shield)
- (7) Shield current rating: 1 A surge for 1 s

### 8.5.3.2 Mechanical Requirements

**8.5.3.2.1 Connector Housing.** Shielding characteristics: > 40 dB at 50 MHz.

**8.5.3.2.2 Contact Reliability.** Overall performance of the LAN system depends to a large extent on the reliability of the coaxial cable medium and the connection to that medium. Tap connection systems should consider the relevant electrical and mechanical parameters at the point of electrical connection between tap probe and cable center conductor to ensure that a reliable electrical contact is made and retained throughout the useful life of these components. It is recommended that some means be provided to ensure relatively constant contact loading over time, with creep, in temperature, and typical environment. Typical coaxial tap connector configurations are shown in Figs 8-8 and 8-9. See references [A1], [A15], and [A16].

**8.5.3.2.3 Shield Probe Characteristics.** The shield probe shall penetrate the cable jacket and outer layer(s) of the shield system to make effective capture of the outer braid (pick 2 or more typical strands).

## 8.6 System Considerations

**8.6.1 Transmission System Model.** Certain physical limits have been placed on the physical transmission system. These revolve around maximum cable lengths (or maximum propagation times), as these affect critical time values for the CSMA/CD access method. These maxima in terms of propagation times, were derived from the physical configuration model described here. The maximum configuration is as follows:

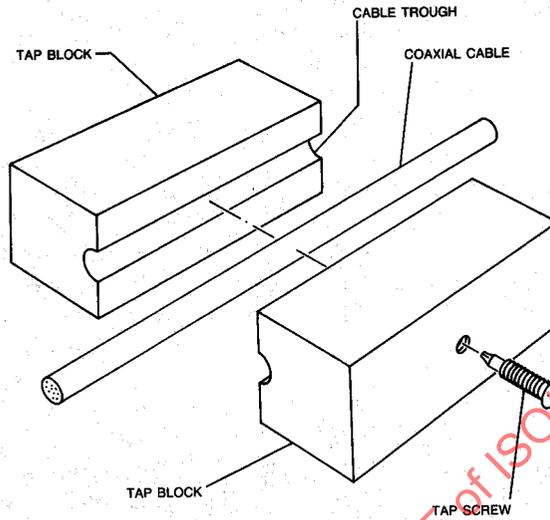
(1) A trunk coaxial cable, terminated in its characteristic impedance at each end, constitutes a coax segment. A coax segment may contain a maximum of 500 m of coaxial cable and a maximum of 100 MAUs. The propagation velocity of the coaxial cable is assumed to be 0.77 c minimum ( $c = 300\,000$  km/s). The maximum end-to-end propagation delay for a coax segment is 2165 ns.

(2) A point-to-point link constitutes a link segment. A link segment may contain a maximum end-to-end propagation delay of 2570 ns and shall terminate in a repeater set at each end. It is not permitted to connect stations to a link segment.

(3) Repeater sets are required for segment interconnection. Repeater sets occupy MAU positions on coax segments and count toward the maximum number of MAUs on a coax segment. Repeater sets may be located in any MAU position on a coax segment but shall only be located at the ends of a link segment.

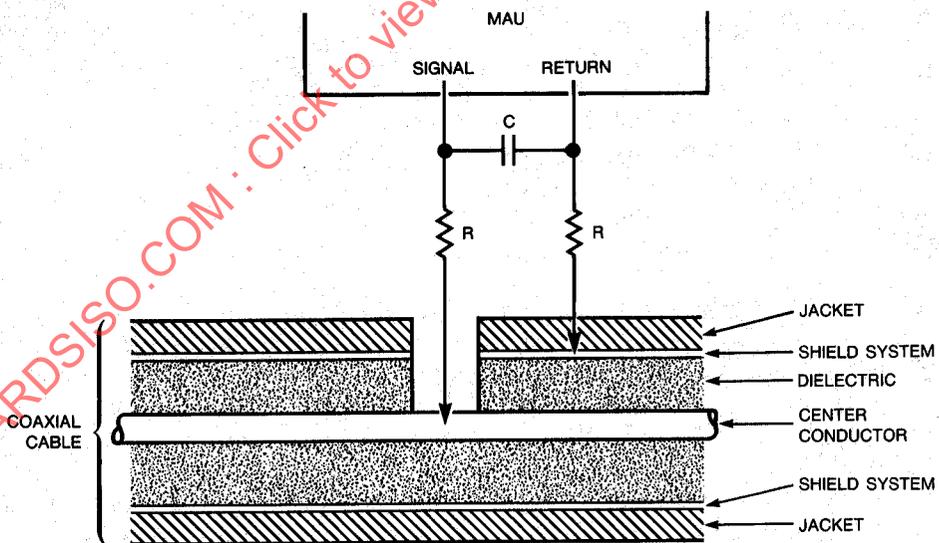
(4) The maximum length, between driver and receivers, of an AUI cable is 50 m. The propagation velocity of the AUI cable is assumed to be 0.65 c minimum. The maximum allowable end-to-end delay for the AUI cable is 257 ns.

(5) The maximum transmission path permitted between any two stations is five segments, four repeater sets (including optional AUIs), two MAUs, and



NOTE: Tutorial only and not part of specification

**Fig 8-8**  
**Coaxial Tap Connector Configuration Concepts**



C = CAPACITIVE LOADING, SEE 8.5.3.1

R = CONTACT RESISTANCE, SEE 8.5.3.1

**Fig 8-9**  
**Typical Coaxial Tap Connection Circuit**

two AUIs. Of the five segments a maximum of three may be coax segments; the remainder are link segments.

NOTE: If only two link segments are used in the entire network and they are adjacent, the repeater set joining them is not required (see Fig 8-14). End-to-end jitter, propagation delay, and attenuation requirements shall still be met.

The maximum transmission path consists of 5 segments, 4 repeater sets (with AUIs), 2 MAUs, and 2 AUIs (see Fig 8-10). The total number of segments equals the number of link segments plus the number of coax segments. If there are two link segments on the transmission path, there may be a maximum of three coax segments on that path. If there are no link segments on a transmission path, there may be a maximum of three coax segments on that path given current repeater technology.

Figures 8-11, 8-12, 8-13, and 8-14 show transmission systems of various sizes to illustrate the boundary conditions on topologies generated according to the specifications in this section.

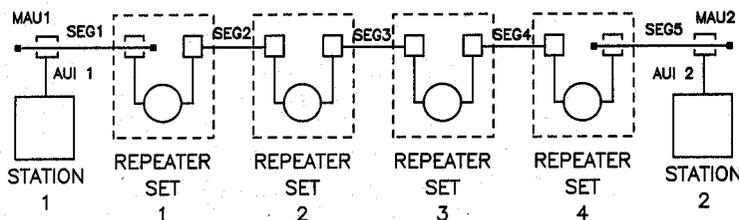
### 8.6.2 Transmission System Requirements

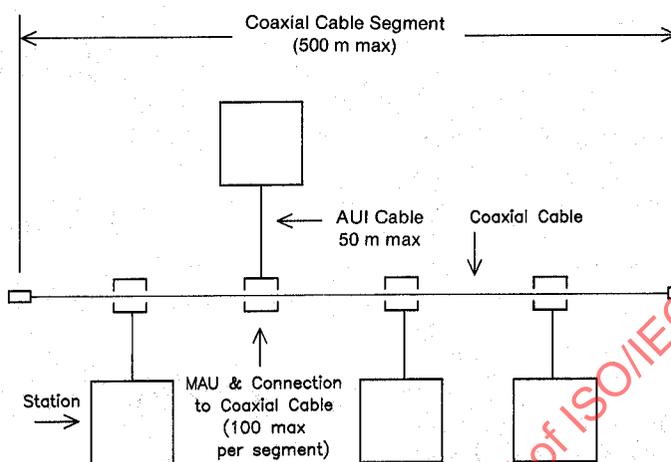
**8.6.2.1 Cable Sectioning.** The 500 m (1640 ft) maximum length coaxial cable segment need not be made from a single, homogeneous length of cable. The boundary between two cable sections (joined by coaxial connectors: two male plugs and a barrel) represents a signal reflection point due to the impedance discontinuity caused by the batch-to-batch impedance tolerance of the cable. Since the worst-case variation from  $50 \Omega$  is  $2 \Omega$ , a possible worst-case reflection of 4% may result from the joining of two cable sections. The configuration of long cable segments (up to 500 m) from smaller sections must be made with care. The following *recommendations* apply, and are *given in order of preference*:

(1) If possible, the total segment should be made from one homogeneous (no breaks) cable. This is feasible for short segments, and results in minimal reflections from cable impedance discontinuities.

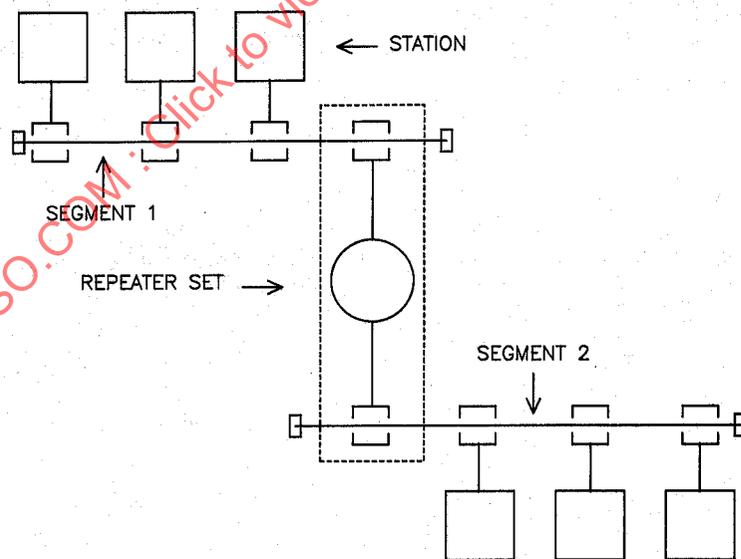
(2) If cable segments are built up from smaller sections, it is recommended that all sections come from the same manufacturer and lot. This is equivalent to using a single cable, since the cable discontinuities are due to extruder limitations, and not extruder-to-extruder tolerances. There are no restric-

Fig 8-10  
Maximum Transmission Path

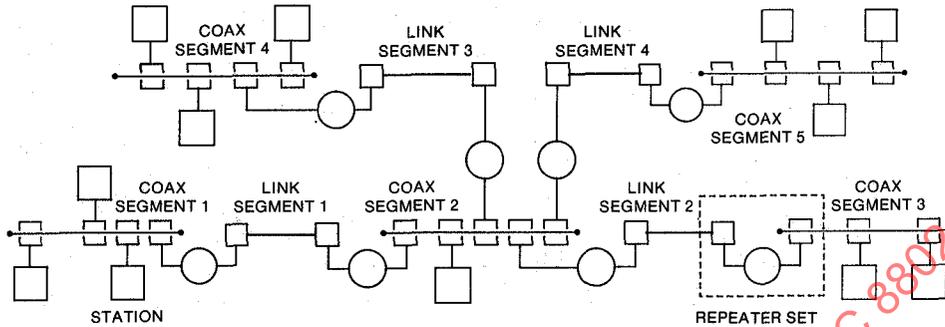




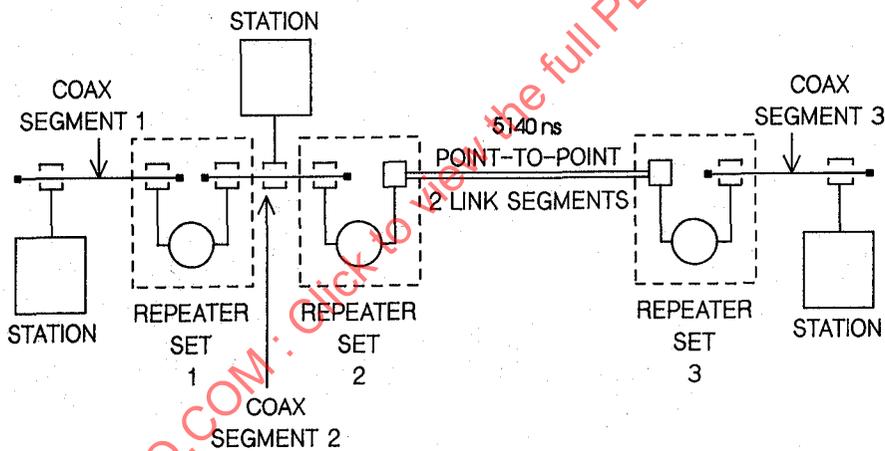
**Fig 8-11**  
**Minimal System Configuration**



**Fig 8-12**  
**Minimal System Configuration**  
**Requiring a Repeater Set**



**Fig 8-13**  
**An Example of a Large System with**  
**Maximum Transmission Paths**



**Fig 8-14**  
**An Example of a Large Point-to-Point**  
**Link System (5140 ns)**

tions in cable sectioning if this method is used. However, if a cable section in such a system is later replaced, it shall be replaced either with another cable from the same manufacturer and lot, or with one of the standard lengths described below.

(3) If uncontrolled cable sections must be used in building up a longer segment, the lengths should be chosen so that reflections, when they occur, do not have a high probability of adding in phase. This can be accomplished by using lengths that are odd integral multiples of a half wavelength in the cable at

5 MHz; this corresponds to using lengths of 23.4 m, 70.2 m, and 117 m ( $\pm 0.5$  m) for all sections. These are considered to be the standard lengths for all cable sections. Using these lengths exclusively, any mix or match of cable sections may be used to build up a 500 m segment without incurring excessive reflections.

NOTE: If cable segments are to be added to existing installations, then care shall be taken (explicit physical or TDR measurements) to ensure that no more than a 500 m cable segment results.

(4) As a last resort, an arbitrary configuration of cable sections may be employed, if it has been confirmed by analysis or measurement that the worst-case signal reflection due to the impedance discontinuities at any point on the cable does not exceed 7% of the incident wave when driven by a MAU meeting these specifications.

**8.6.2.2 MAU Placement.** MAU components and their associated connections to the cable cause signal reflections due to their noninfinite bridging impedance. While this impedance shall be implemented as specified in Section 7, placement of MAUs along the coaxial cable must also be controlled to ensure that reflections from the MAU do not add in phase to a significant degree.

Coaxial cables marked as specified in 8.4.2.2 have marks at regular 2.5 m spacing; a MAU shall only be placed at a mark on the cable. This guarantees both a minimum spacing between MAUs of 2.5 m, and controlling the relative spacing of MAUs to ensure nonalignment on fractional wavelength boundaries.

The total number of MAUs on a cable segment shall not exceed 100.

**8.6.2.3 Trunk Cable System Grounding.** The *shield conductor* of each coaxial cable segment shall make electrical contact with an effective earth reference (see Annex) at one point and shall not make electrical contact with earth elsewhere on such objects as building structural metal, ducting, plumbing fixture, or other unintended conductor. Insulators may be used to cover any coaxial connectors used to join cable sections and terminators, to ensure that this requirement is met. A sleeve or boot attached at installation time is acceptable.

This specification is intended for use within (intraplant) buildings. Applications requiring interplant connections by way of external (outdoors) means may require special consideration beyond the scope of the standard.

The sheath conductor of the AUI cable shall be connected to the earth reference or chassis of the DTE.

**8.6.3 Labeling.** It is recommended that each MAU (and supporting documentation) be labeled in a manner visible to the user with at least these parameters:

- (1) Data rate capability in Mb/s
- (2) Power level in terms of maximum current drain
- (3) Safety warning (for example, shock hazard)

## 8.7 Environmental Specifications

**8.7.1 General Safety Requirements.** All stations meeting this standard shall conform to one of the following IEC Publications: 380 [5], 435 [6], or 950 [8].

**8.7.2 Network Safety Requirements.** This section sets forth a number of recommendations and guidelines related to safety concerns, the list is neither complete nor does it address all possible safety issues. The designer is urged to consult the relevant local, national, and international safety regulations to ensure compliance with the appropriate standards. References [A5] and [A9] provide additional guidance.

Local area network trunk cable systems as described in this standard are subject to at least four direct electrical safety hazards during their use. These hazards are

- (1) Direct contact between local network components and power or lighting circuits.
- (2) Static charge buildup on local network cables and components.
- (3) High-energy transients coupled onto the local network cabling system.
- (4) Potential differences between safety grounds to which various network components are connected.

These electrical safety hazards, to which all similar cabling systems are subject, should be alleviated properly for a local network to perform properly. In addition to provisions for properly handling these faults in an operational system, special measures must be taken to ensure that the intended safety features are not negated during installation of a new network or during modification of an existing network.

Proper implementation of the following provisions will greatly decrease the likelihood of shock hazards to persons installing and operating the local area network.

**8.7.2.1 Installations.** Sound installation practice, as defined by applicable local codes and regulations, shall be followed in every instance in which such practice is applicable.

**8.7.2.2 Grounding.** The shield of the trunk coaxial cable shall be effectively grounded at only one point along the length of the cable. Effectively grounded means permanently connected to earth through a ground connection of sufficiently low impedance and having sufficient ampacity to prevent the building up of voltages that may result in undue hazard to connected equipment or to persons.

**8.7.2.3 Safety.** All portions of the trunk cabling system that are at the same potential as the trunk cable shall be insulated by adequate means to prevent their contact by either persons or by unintended conductors or grounds. The insulation employed shall provide the same or greater dielectric resistance to current flow as the insulation required between the outermost shield of the trunk cable and the above-mentioned unintended conductors. The use of insulating boots is permitted, provided that such boots (or sleeves) are mechanically and electrically equivalent to the trunk cable outer insulation characteristics and are not removed easily (that is, they shall prevent inadvertent removal by a system operator).

The MAU shall be so designed that the provisions of 8.7.2.3 and 8.7.2.4 are not defeated if the connector affixing the AUI cable to the MAU is removed.

Portions of the trunk cabling system that may become live during the dissipation of a high-energy transient by the cabling system shall also be insulated as described in 8.7.2.3.

**8.7.2.4 Breakdown Path.** MAUs meeting this standard should provide a controlled breakdown path that will shunt high-energy transients to an effective ground either through a separate safety ground connection or through the overall shield of the branch cable. The breakdown voltage of this controlled breakdown path must meet the isolation requirements for the MAU specified in 8.3.2.1.

**8.7.2.5 Isolation Boundary.** The isolation boundary between the branch cable and trunk cable specified in 8.3.2.1 shall be maintained to properly meet the safety requirements of this standard.

**WARNING:** It is assumed that the DTE equipment is properly earthed and not left floating or serviced by "doubly insulated ac power distribution system." The use of floating or insulated DTEs is beyond the scope of this standard.

#### **8.7.2.6 Installation and Maintenance Guidelines**

(1) When exposing the shield of the trunk coaxial cable for any reason, care shall be exercised to ensure that the shield does not make electrical contact with any unintended conductors or grounds. Personnel performing the operation should not do so if dissipation of a high energy transient by the cabling system is likely during the time the shield is to be exposed. Personnel should not contact both the shield and any grounded conductor at any time.

(2) Before breaking the trunk coaxial cable for any reason, a strap with ampacity equal to that of the shield of the coaxial cable shall be affixed to the cable shield in such a manner as to join the two pieces and to maintain continuity when the shield of the trunk cable is severed. This strap shall not be removed until after normal shield continuity has been restored.

(3) At no time should the shield of any portion of the coaxial trunk cable to which an MAU or MAUs are attached be permitted to float without an effective ground connection. If a section of floating cable is to be added to an existing cable system, the installer shall take care not to complete the circuit between the shield of the floating cable section and the grounded cable section through body contact.

(4) The installation instructions for network components shall contain language which familiarizes the installer with the cautions mentioned in the above paragraphs.

(5) Network components shall contain prominent warning labels that refer installers and service personnel to the safety notes in the installation instructions.

#### **8.7.3 Electromagnetic Environment**

**8.7.3.1 Susceptibility Levels.** Sources of interference from the environment include electromagnetic fields, electrostatic discharge, transient voltages between earth connections, and similar interference. Multiple sources of

interference may contribute to voltage build-up between the coaxial cable and the earth connection of a DTE.

The physical channel hardware shall meet its specifications when operating in either of the following conditions:

(1) Ambient plane wave field of 2 V/m from 10 kHz through 30 MHz, 5 V/m from 30 MHz through 1 GHz.

NOTE: Levels typically 1 km from broadcast stations.

(2) Interference voltage of 1 V/ns peak slope, between coaxial cable shield and DTE earth connection; for example, 15.8 V peak for a 10 MHz sine wave with a 50  $\Omega$  source resistance.

MAUs meeting this standard should provide adequate rf ground return to satisfy the referenced EMC specifications.

**8.7.3.2 Emission Levels.** The physical MAU and trunk cable system shall comply with applicable local and national codes such as FCC Docket 20780-1980 [A11] in the USA. Equipment shall comply with local and national requirements for limitation of electromagnetic interference. Where no local or national requirements exist, equipment shall comply with CISPR Publication 22 [1].

**8.7.4 Temperature and Humidity.** The MAU and associated connector/cable systems are expected to operate over a reasonable range of environmental conditions related to temperature, humidity, and physical handling such as shock and vibration. Specific requirements and values for these parameters are considered to be beyond the scope of this standard. Manufacturers are requested to indicate in the literature associated with the MAU (and on the MAU if possible) the operating environment specifications to facilitate selection, installation, and maintenance of these components. See reference [A10] for specification terminology.

**8.7.5 Regulatory Requirements.** The design of MAU and medium components should take into consideration applicable local or national requirements. See references [A5], [A6], [A7], [A8], [A9], and [A11] and Appendix A for helpful resource material.<sup>9</sup>

<sup>9</sup> Appendix A provides useful system guidelines on delays and bit budgets.

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## 9. Repeater Unit for 10 Mb/s Baseband Networks

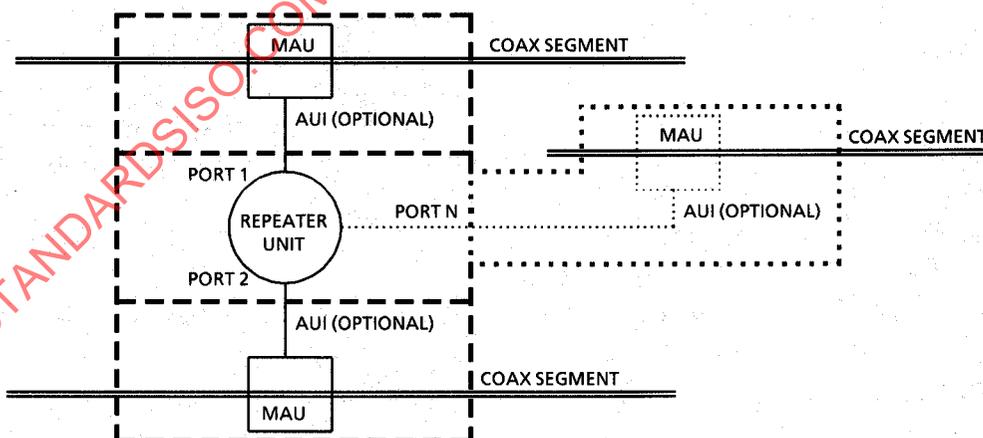
**9.1 Overview.** This section specifies a repeater for use with type 10BASE5 and 10BASE2 networks and fiber optic inter-repeater links (FOIRLs). A repeater for any other ISO 8802-3 network type is beyond the scope of this section.

A repeater set connects segments of network medium together, thus allowing larger topologies and a larger MAU base than are allowed by rules governing individual segments (that is, for 10BASE5, 500 m and 100 stations; for 10BASE2, 185 m and 30 stations).

Repeater sets are used to extend the network length and topology beyond what could be achieved by a single coaxial segment, as defined in 8.6 or 10.7. Segments may be connected directly by a repeater set (Fig 9-1) or by pairs of repeater units which are, in turn, connected by inter-repeater links (IRLs). Allowable topologies shall contain only one operative signal path between any two points on the network. A maximum of four repeater sets may be in the signal path between any two stations on the network (this assumes two IRLs).

If the repeater set uses MAUs connected via AUIs to a repeater unit, the external MAUs shall be basic MAUs with the exception of the *signal\_quality\_error*

**Fig 9-1**  
**Repeater Set, Coax-to-Coax Configuration**



NOTE: The AUI is not necessarily exposed when the MAU is, optionally, part of the physical repeater.

test function. A manufacturer may, optionally, integrate one or all MAUs into a single package with the repeater unit (internal MAUs). In all cases, the MAU portion of the repeater set must be counted toward the maximum number of MAUs on each segment, as specified in 8.6 and 10.7. A repeater set is not a station and does not count toward the overall limit of 1024 stations on a network.

A repeater set can receive and decode data from any segment under worst-case noise, timing, and signal amplitude conditions. It retransmits the data to all other segments attached to it with timing and amplitude restored. The retransmission of data occurs simultaneously with reception. If a collision occurs, the repeater set propagates the collision event throughout the network by transmitting a Jam signal.

## 9.2 Definitions

**data frame.** Consists of the Destination Address, Source Address, Length Field, LLC Data, Pad, and Frame Check Sequence.

**Fiber Optic Medium Attachment Unit (FOMAU).** The portion of the physical layer between the FOMDI and AUI (or repeater unit physical layer signaling [PLS] when the AUI is not implemented) which contains the electronics that transmit, receive, and manage the encoded signals impressed on, and recovered from, the optical fiber cable link segment.

**Fiber Optic Medium-Dependent Interface (FOMDI).** The mechanical and optical interface between the optical fiber cable link segment and the FOMAU.

**Fiber Optic Physical Medium Attachment (FOPMA).** The portion of the FOMAU that contains the functional circuitry.

**FOIRL BER.** Mean bit error rate of the FOIRL.

**FOIRL Collision.** Simultaneous transmission and reception of data in a FOMAU.

**FOIRL Compatibility Interfaces.** The FOMDI and the AUI (optional); the two points at which hardware compatibility is defined to allow connection of independently designed and manufactured components to the baseband optical fiber cable link segment.

**FOMAU's Transmit Optical Fiber.** The optical fiber into which the local FOMAU transmits signals.

**FOMAU's Receive Optical Fiber.** The optical fiber from which the local FOMAU receives signals.

**IRL (Inter-Repeater Link).** A mechanism for interconnecting two and only two repeater units.

**optical fiber.** A filament-shaped optical waveguide made of dielectric materials.

**Optical Fiber Cable Interface.** See FOMDI.

**Optical Fiber Cable Link Segment.** A length of optical fiber cable that contains two optical fibers, as specified in 9.9.5.1, and is comprised of one or more optical fiber cable sections and their means of interconnection, with each optical fiber terminated at each end in the optical connector plug specified in 9.9.5.2.

**Optical Idle Signal.** The signal transmitted by the FOMAU into its transmit optical fiber during the idle state of the DO circuit.

**Packet.** Consists of a Data Frame as defined previously, preceded by the Preamble and the Start Frame Delimiter.

**Port.** A segment or IRL interface of a repeater unit.

**Repeater Unit.** The portion of a repeater set that is inboard of its PMA/PLS interfaces.

**Repeater Set.** A repeater unit plus its associated MAUs and, if present, AU Interfaces (AUIs).

**9.3 References.** See 1.3.

**9.4 Compatibility Interface.** The repeater shall attach to its network segments by any of the means specified below.

**9.4.1 AUI Compatibility.** The repeater unit shall be compatible at its AUI connector (if so equipped) as specified in Section 7 with the exception of the *signal\_quality\_error* message Test, 7.2.1.2.3, which shall not be implemented.

The MAUs associated with the repeater shall be as specified in Section 8 for type 10BASE5 or Section 10 for type 10BASE2 with the following restrictions:

- (1) The MAU shall implement receive mode collision detect as defined in 8.3.1.5 or 10.4.1.5.
- (2) The MAU shall not implement the *signal\_quality\_error* message Test function as defined in 8.2.1.1 and 10.3.1.1.
- (3) The MAU shall not activate its Jabber function when operated under the worst-case Jabber Lockup Protection condition as specified in 9.6.5.

**9.4.2 Direct Cable Compatibility.** The repeater set, which includes MAUs integrated with the repeater package (internal MAUs), may have any of the interfaces specified in the following subsections.

**9.4.2.1 Direct Cable Attachment Compatibility.** The repeater shall be compatible at its coaxial tap connector (if so equipped) as specified in 8.5.3 of the 10BASE5 standard. The MAUs associated with the repeater that are connected in this manner shall be subject to the restrictions of MAUs as specified in 9.4.1.

**9.4.2.2 "N" Connector Compatibility.** The repeater shall be compatible at its Type N connector (if so equipped) as specified in 8.5. The MAUs associated with the repeater that are connected in this manner shall be subject to the restrictions of MAUs as specified in 9.4.1.

**9.4.2.3 BNC Compatibility.** The repeater shall be compatible at its BNC connector (if so equipped) as specified in 10.6. The MAUs associated with the repeater that are connected in this manner shall be subject to the restrictions of MAUs as specified in 9.4.1.

**9.4.3 IRL Compatibility.** The compatibility interface for the IRL segment may be vendor-dependent, or may conform to the specification in 9.4.3.2.

**9.4.3.1 Vendor-Dependent IRL.** The budget allowances for the topology supported by the IRL shall ensure that the total network round-trip delay requirement is met and the maximum collision frame size of 511 bits is not exceeded. (See 8.6.1 and 10.7.1.)

**9.4.3.2 Vendor-Independent FOIRL.** A vendor-independent FOIRL provides a standard means of connecting two repeater units. It comprises a fiber optic medium link segment, a FOMAU at each end of the link segment, and if present, AU Interfaces. A vendor-independent FOIRL is suitable for interconnecting coaxial segments, especially segments located in different buildings.

The vendor-independent FOMAU should be compatible at its FOMDI, as specified in 9.9. If a FOMAU contains an AU Interface, it shall be electrically and mechanically compatible at its AUI connector as specified in Section 7, with the exception of the *signal\_quality\_error* message Test, 7.2.1.2.3, which shall not be implemented.

## 9.5 Basic Functions

**9.5.1 Repeater Set Network Properties.** The repeater set shall be transparent to all network acquisition activity and to all DTEs. The repeater set shall not alter the basic fairness criterion for all DTEs to access the network or weigh it towards any DTE or group of DTEs regardless of network location. A repeater set shall not attempt to be a packet store and forward device.

Repeaters are not addressable. An addressable station on the network that controls a repeater is outside the scope of this standard.

**9.5.2 Signal Amplification.** The repeater set (including its associated or integral MAUs) shall ensure that the amplitude characteristics of the signals at the MAU outputs of the repeater set are within the tolerance of the specification for MAU outputs in 8.3.1.3 or 10.4.1.3. Therefore, any loss of signal-to-noise ratio due to cable loss and noise pickup is regained at the output of the repeater set as long as the incoming data is within the system specification.

**9.5.3 Signal Symmetry.** The repeater set shall ensure that the symmetry characteristics of the signals at the MAU outputs of the repeater set are within the tolerance of the specification for MAU outputs in 8.3.1.4 or 10.4.1.4. Therefore, any loss of symmetry due to MAUs and cable distortion is regained at the output of the repeater set.

**9.5.4 Signal Retiming.** The repeater unit shall ensure that the encoded data output from the repeater unit is within the jitter tolerance of a transmitting DTE as specified in 7.3. Therefore jitter cannot accumulate over multiple segments.

**9.5.5 Data Handling.** The repeater unit, when presented a packet at any of its ports, shall pass the data frame of said packet intact and without modification, subtraction, or addition to all other ports connected with the repeater unit. The only exceptions to this rule are when contention exists among any of the ports or when the receive port is partitioned as defined in 9.6.6. Between unpartitioned ports, the rules for collision handling (9.5.6) take precedence.

**9.5.5.1 Start of Packet Propagation Delays.** The start of packet propagation delay for a repeater set is the time delay between the first edge transition of the packet on its repeated from (input) port to the first edge transition of the packet on its repeated to (output) port (or ports).

For a repeater unit with AUI connectors at input and output ports, this time shall be less than or equal to 8 bit times.

For a repeater set with internal MAUs on both input and output ports, an additional 6.5 bit times delay for an input port MAU and 3.5 bit times delay for an output port MAU shall be allowed. This added delay does not include any dc rise time for the coaxial cable.

### 9.5.6 Collision Handling

**9.5.6.1 Collision Presence.** The repeater set shall implement the Collision Presence Function using receive-mode collision detection as specified for the media with which it is connected.

**9.5.6.2 Jam Generation.** If a collision is detected on any of the ports to which the repeater set is transmitting, the repeater set shall transmit a Jam to all of the ports to which it is connected. The Jam shall be transmitted in accordance with the Repeater Unit State Diagram in Fig 9-2 and shall be as specified in 4.2.3.2.4 with the further constraint that the first 62 bits transmitted to any port shall be a pattern of alternate 1's and 0's starting with the first bit transmitted as a 1.

**9.5.6.3 Collision-Jam Propagation Delays.** The start of collision propagation delay for a repeater set is the time delay between the first edge transition of the *signal\_quality\_error* signal on any of its ports to the first edge transition of the Jam on its (output) port (or ports).

For a repeater unit with AUI connectors at input and output ports, this time shall be less than or equal to 6.5 bit times.

For a repeater set with internal MAUs on both input and output ports, an additional allowance of 9 bit times delay for an input port MAU and 3.5 bit times delay for an output port MAU shall be made. This added delay does not include any dc rise time for the coaxial cable.

The cessation of Jam propagation delay for a repeater unit is the time delay between the input signals at its ports reaching a state such that Jam should end at a port and the last transition of Jam at that port. The states of the input signals that should cause Jam to end are covered in detail in the repeater state diagrams.

For a repeater unit with AUI connectors at input and output ports, this time shall be less than or equal to 5 bit times when not extending fragments. When extending fragments, this delay may be longer as required by the fragment extension algorithm. See 9.6.4.

For a repeater set with internal MAUs on its input ports, an additional allowance of 0.5 bit time delay for DI and 20 bit times for *signal\_quality\_error* deassertion shall be made. For a repeater set with internal MAUs on its output ports, an additional allowance of 0.5 bit time delay shall be made. This added delay does not include any dc fall time for the coaxial cable.

**9.5.6.4 Transmit Recovery Time.** It is essential that the repeater unit not monitor a port for input for a short time after the repeater stops transmitting to that port. This recovery time prevents the repeater from receiving its own transmission as a new receive activity. The minimum recovery time allowable for a repeater is implementation-dependent, but must be greater than the sum of the delays in the transmit and receive paths for the port. In all cases the recovery time must be less than 10 bit times from the last transition on the transmitting AU Interface.

**9.5.6.5 Carrier Recovery Time.** During a collision, the *input-idle* signal is unreliable for short periods of time (bits) because of the possibility of signal cancellation on the collision segment. In order to prevent premature detection of the true end of the collision, the repeater unit must wait for data to become sensed from a port for a short time after *signal\_quality\_error* has gone inactive from that port. This recovery time prevents the repeater from prematurely ending a Jam on an active network. The minimum carrier recovery time allowable for a repeater is implementation-dependent, but shall be greater than the CARRIER ON time after *signal\_quality\_error* is deasserted. In all cases, the carrier recovery time shall be less than 4 bit times from the last transition on the AU Interface.

**9.5.7 Electrical Isolation.** Network segments that have different isolation and grounding requirements shall have those requirements provided by the port-to-port isolation of the repeater set.

**9.6 Detailed Repeater Functions and State Diagrams.** A precise algorithmic definition is given in this section, providing a complete procedural model for the operation of a repeater, in the form of state diagrams. Note that whenever there is any apparent ambiguity concerning the definition of repeater operation, the state diagrams should be consulted for the definitive statement.

The model presented in this section is intended as a primary specification of the functions to be provided by any repeater unit. It is important to distinguish, however, between the model and a real implementation. The model is optimized for simplicity and clarity of presentation, while any realistic implementation should place heavier emphasis on such constraints as efficiency and suitability to a particular implementation technology.

It is the functional behavior of any repeater unit implementation that shall match the standard, not the internal structure. The internal details of the procedural model are useful only to the extent that they help specify the external behavior clearly and precisely. For example, the model uses a separate Transmit Timer state machine for each port. However, in an actual implementation, the hardware may be shared.

**9.6.1 State Diagram Notation.** The notation used in the state diagrams (Figs 9-2 through 9-5) follows the conventions in 1.2.1.

## Description of State Diagram Variables

### Input/Output Variables

#### DataIn (X)

Status of DataIn input at port X.

Values: II = *input\_idle* ; indicates no activity

–II ; indicates activity

Note that DataIn (X) may be undefined during collision but that it is a don't care in all instances when this is true.

#### CollIn (X)

Status of ControlIn input at port X.

Values: SQE = *signal\_quality\_error* ; indicates collision

–SQE ; indicates no collision

#### Out (X)

Type of output repeater is sourcing at port X.

Values: Idle ; Repeater is not transmitting

–Idle ; Repeater is transmitting Preamble Pattern

or Data or Jam or TwoOnes.

Preamble Pattern ; Repeater is sourcing alternating 1's and 0's on port X.

Data ; Repeater is repeating data frame on port X.

Jam ; Repeater is sourcing Jam on port X.

TwoOnes ; Repeater is sourcing two consecutive Manchester encoded ones on port X.

#### DisableOut (X)

Override of Out (X)

Values: ON ; Disable repeater transmission regardless of value of Out (X).

–ON ; Repeater transmission depends on the value of Out (X).

### Port Variables

#### TT (X)

Transmit Timer indicates number of bits transmitted on port X.

Values: Positive integers

### Inter-Process Flags

#### AllDataSent

All received data frame bits have been sent.

#### Bit Transmitted

Indicates a bit has been transmitted by the repeater unit.

#### DataRdy

Indicates the repeater has detected the SFD and is ready to send the received data. The search for SFD shall not begin before 15 bits have been received. Note, transmit and receive clock differences shall also be accommodated.

#### Tw1

Wait Timer for the end of transmit recovery time (see 9.5.6.4). It is started by StartTw1. Tw1Done is satisfied when the end of transmit recovery time is completed.

#### Tw2

Wait Timer for the end of carrier recovery time (see 9.5.6.5). It is started by StartTw2. Tw2Done is satisfied when the timer has expired.

#### Tw3

Wait Timer for length of continuous output (see 9.6.5). It is started by StartTw3. Tw3Done is satisfied when the timer has expired.

#### Tw4

Wait Timer for time to disable output for Jabber Lockup Protection (see 9.6.5). It is started by StartTw4. Tw4Done is satisfied when the timer has expired.

### Port Functions

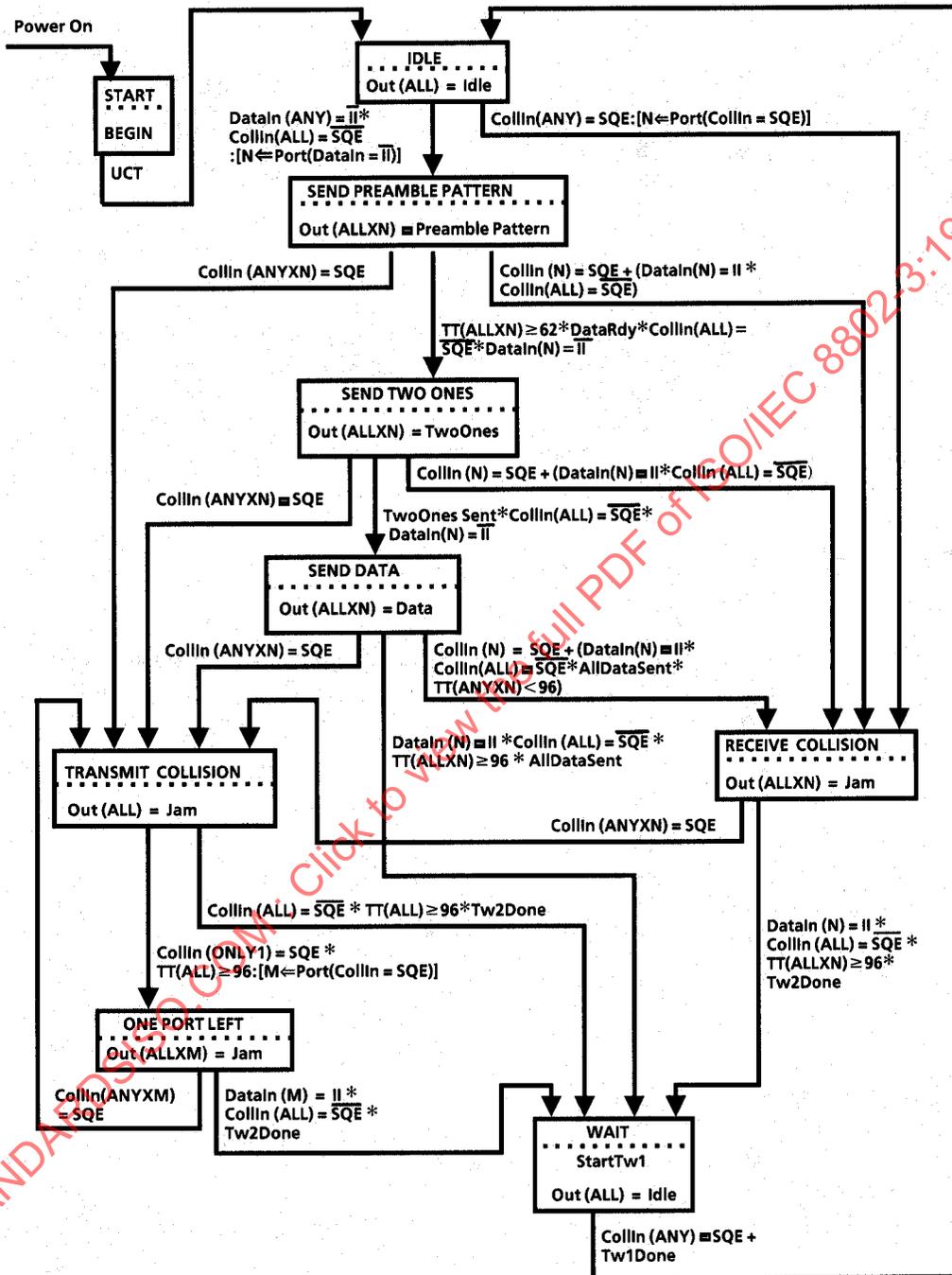
#### Port (*Test*)

A function that returns the designation of a port passing the test condition. For example, Port (CollIn=SQE) returns the designation: X for a port that has SQE true. If multiple ports meet the test condition, the Port function will be assigned one and only one of the acceptable values.

### Port Designation

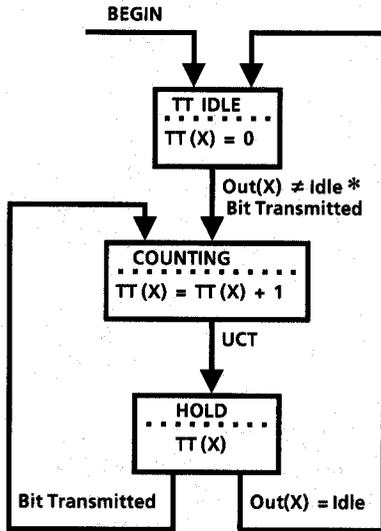
Ports are referred to by number. Port information is obtained by replacing the X in the desired function with the number of the port of interest. Ports are referred to in general as follows:

- ALL** Indicates all repeater ports are to be considered. All ports shall meet test conditions in order for the test to pass.
- ANY** Indicates all ports are to be considered. One or more ports shall meet the test conditions in order for the test to pass.
- ONLY1** Indicates all ports are to be considered. One, but not more than one, port shall meet the test condition in order for the test to pass.
- X** Generic port designator. When X is used in a state diagram, its value is local to that diagram and not global to the set of state diagrams.
- N** Is defined by the Port function on exiting the IDLE state of Fig 9-2. It indicates a port that caused the exit from the IDLE state.
- M** Is defined by the Port function on exiting the TRANSMIT COLLISION state of Fig 9-2. It indicates the only port where CollIn=SQE.
- ALLXN** Indicates all ports except N should be considered. All ports considered shall meet the test conditions in order for the test to pass.
- ALLXM** Indicates all ports except M should be considered. All ports considered shall meet the test conditions in order for the test to pass.
- ANYXN** Indicates any port other than N meeting the test conditions shall cause the test to pass.
- ANYXM** Indicates any port other than M meeting the test conditions shall cause the test to pass.

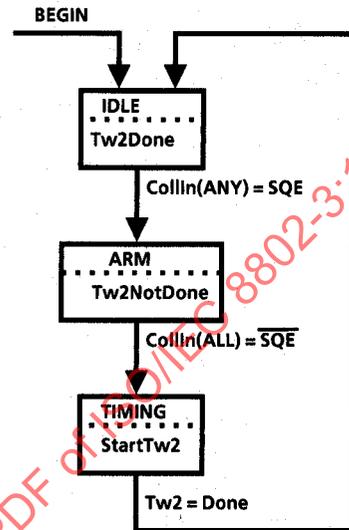


NOTE: Out (X) = Idle in all instances unless specified otherwise.

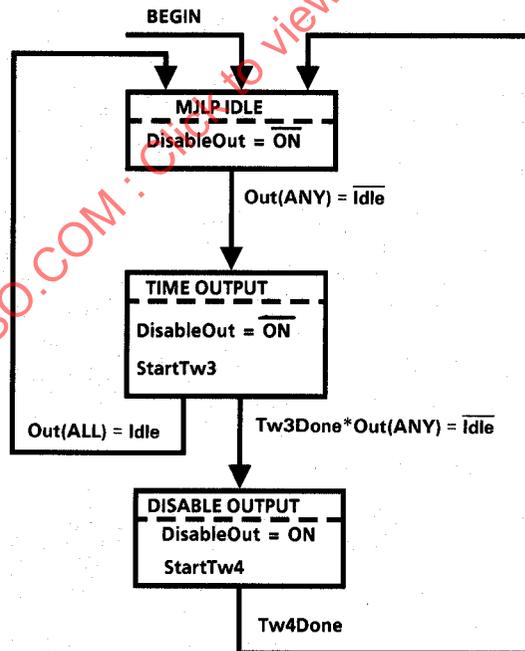
Fig 9-2  
Repeater Unit State Diagram



**Fig 9-3**  
Transmit Timer State  
Diagram for Port X



**Fig 9-4**  
Tw2 State Diagram



**Fig 9-5**  
MAU Jabber Lockup Protection State Diagram

**9.6.2 Data and Collision Handling.** The repeater unit shall implement the CARRIER\_ON function for all its ports. Upon detection of carrier from one port, the repeater unit shall repeat all received signals in the Data Frame from that port to the other port (or ports).

The repeater unit data and collision-handling algorithm shall be as defined in Fig 9-2.

**9.6.3 Preamble Regeneration.** The repeater unit shall output at least 56 bits of preamble followed by the SFD. When the repeater unit must send more than 56 bits, the maximum length preamble pattern it shall send is the number received plus 6.

**9.6.4 Fragment Extension.** If the received bit sequence from CARRIER\_ON to CARRIER\_OFF is fewer than 96 bits in length, including preamble, the repeater unit shall extend the output bit sequence with Jam such that the total number of bits output from the repeater unit shall equal 96.

**9.6.5 MAU Jabber Lockup Protection.** MAU Jabber Lockup Protection must operate as shown in the MAU Jabber Lockup Protection state diagram. The repeater unit shall interrupt its output if it has transmitted continuously for longer than 5 ms or 50 000 bit times  $- 20\% + 50\%$ . The repeater unit shall then, after 96 to 116 bit times (9.6 to 11.6  $\mu$ s), re-enable transmissions.

**9.6.6 Auto-Partitioning/Reconnection (Optional)**

**9.6.6.1 Overview.** In large multisegment networks it may be desirable that the repeater unit protect the network from some fault conditions that would halt all network communication. A potentially likely cause of this condition could be due to a cable break, a faulty connector, or a faulty or missing termination.

In order to isolate a faulty segment's collision activity from propagating through the network, the repeater unit may optionally implement an auto-partition algorithm and, on detection of the malfunction being cleared, an auto-reconnection algorithm.

**9.6.6.2 Detailed Auto-Partition/Reconnection Algorithm State Diagram.** The repeater unit may optionally implement an auto-partition/reconnection algorithm that protects the rest of the network from an open-circuited segment. If the repeater unit provides this function, it shall conform to the state diagram of Fig 9-6.

The algorithm defined in Fig 9-6 shall isolate a segment from the network when one of the following two conditions has occurred on the segment:

- (1) When a consecutive collision count has been reached; or
- (2) When a single collision duration has exceeded a specific amount of time.

When a segment is partitioned, DataIn (X) and CollIn (X) from that segment are forced to II (input idle) and  $-SQE$  (no collision), respectively, so that activity on the port will not affect the repeater unit. Output from the repeater to the segment is not blocked.

The segment will be reinstated when the repeater has detected activity on the segment for more than the number of bits specified for Tw5 without incurring a collision.

## Description of State Diagram Variables and Constants

### Port Constants

#### CCLimit

The number of consecutive collisions that must occur before a segment is partitioned. The value shall be greater than 30.

### Input/Output Variables

#### DIPresent(X)

Data in from the MAU on port X. (This input is gated by the partition state machine to produce DataIn (X) to the main state machine.)

Values: II = *input\_idle* ; no activity  
-II = Input not idle ; activity

#### CIPresent(X)

Control input from the MAU on port X. (This input is gated by the partition state machine to produce CollIn (X) to the main state machine.)

Values: SQE = *signal\_quality\_error* ; indicates collision  
-SQE ; indicates no collision

### Port Variables

#### CC(X)

Consecutive port collision count on a particular port X. Partitioning occurs on a terminal count of CCLimit being reached.

Values: Positive integers up to a terminal count of CCLimit.

### Inter-Process Flags

#### Tw5

Wait Timer for length of packet without collision. Its value shall be between 450 and 560 bit times. It is started by StartTw5. Tw5Done is satisfied when the timer has expired.

#### Tw6

Wait Timer for excessive length of collision. Its value shall be between 1000 and 30 000 bit times. It is started by StartTw6. Tw6Done is satisfied when the timer has expired.

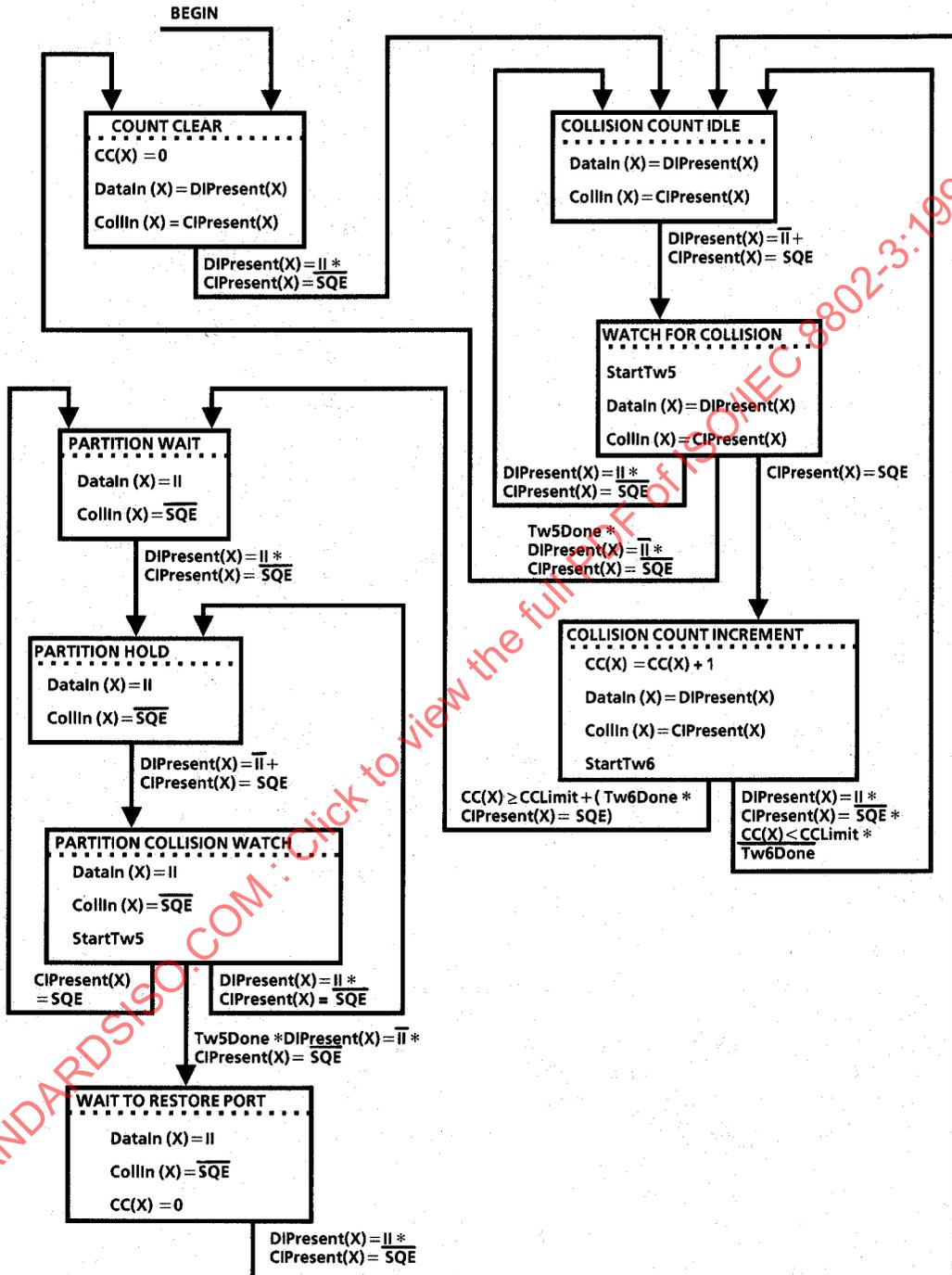


Fig 9-6  
Partitioning State Diagram for Port X

**9.7 Electrical Isolation.** There are two electrical power distribution environments to be considered that require different electrical isolation properties.

**Environment A** — When a LAN or LAN segment, with all its associated interconnected equipment, is entirely contained within a single low-voltage power distribution system and within a single building.

**Environment B** — When a LAN crosses the boundary between separate power distribution systems or the boundaries of a single building.

The repeater unit shall comply with applicable local and national codes related to safety. See ECMA-97 [A9].

**9.7.1 Environment A Requirements.** Attachment of network segments via repeaters (sets) possessing internal MAUs requires electrical isolation of 500 Vrms, 1 minute withstand, between the segment and the protective ground of the repeater unit.

For repeater ports that connect to external MAUs via an AU Interface, the requirement for isolation is encompassed within the isolation requirements of the basic AMU standard. (See 8.3.2.1 and 10.4.2.1.) The repeater unit shall not require any electrical isolation between exposed AU Interfaces or between exposed AU Interfaces and chassis ground of the repeater unit. No isolation boundary need therefore exist at any AUI compatible interface (that is "D" connector) provided by a repeater unit.

**9.7.2 Environment B Requirements.** The attachment of network segments, which cross environment A boundaries, requires electrical isolation of 1500 Vrms, 1 minute withstand, between each segment and all other attached segments and also the protective ground of the repeater unit.

It is recommended that this isolation be provided by the use of external MAUs connected by AU Interfaces. If internal MAUs are used the segments shall be installed such that it is not possible for an equipment user to touch the trunk cable screen or signal conductor. A repeater of this variety requires professional installation.

The requirements for interconnected coaxial cable/electrically conducting LAN segments that are partially or fully external to a single building environment may require additional protection against lightning strike hazards. Such requirements are beyond the scope of this standard. It is recommended that the above situation be handled by the use of a nonelectrically conducting IRL (for example, fiber optic).

It is assumed that any nonelectrically conducting segments will provide sufficient isolation within that media to satisfy the isolation requirements of environment B.

**9.8 Reliability.** A 2-port repeater set shall be designed to provide a mean time between failure (MTBF) of at least 50 000 hours of continuous operation without causing a communication failure among stations attached to the network

medium. Repeater sets with more than two ports shall add no more than  $3.46 \times 10^{-6}$  failures per hour for each additional port.

The repeater set electronics shall be designed to minimize the probability of component failures within the repeater electronics that prevent communication among the other MAUs on the individual coaxial cable segments. Connectors and other passive components comprising the means of connecting the repeater to the coaxial cable shall be designed to minimize the probability of total network failure.

## **9.9 Medium Attachment Unit and Baseband Medium Specification for a Vendor-Independent FOIRL**

### **9.9.1 Scope**

**9.9.1.1 Overview.** A vendor-independent FOIRL provides a standard means for connecting only two repeater units. It thus extends the network length and topology beyond that which could be achieved by interconnecting coax segments via repeater sets only, as defined in 8.6 or 10.7. A vendor-independent FOIRL is particularly suited for interconnecting coax segments located in different buildings. The FOMAU described in this document is not intended for use in connecting DTEs.

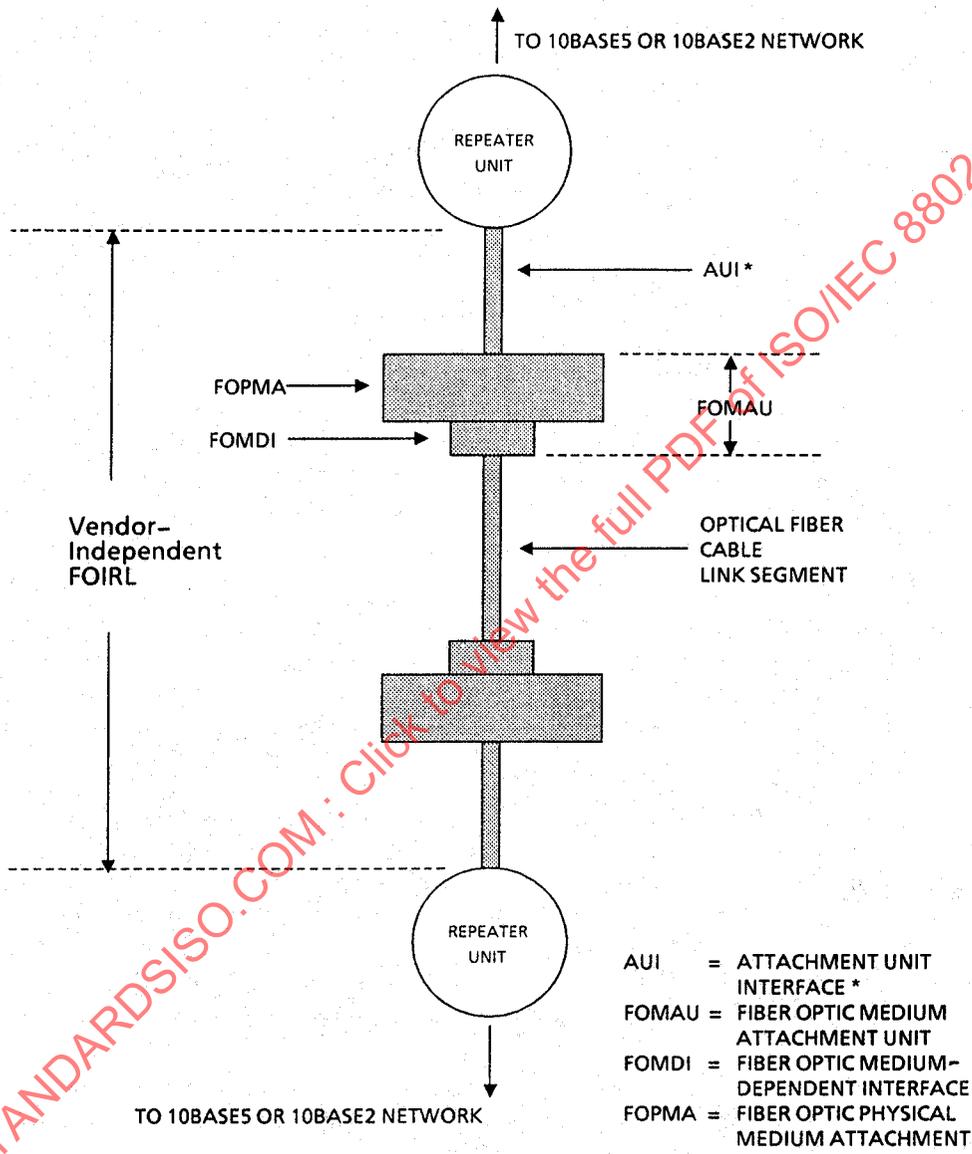
In particular, this section defines the following:

- (1) The functional, optical, electrical, and mechanical characteristics of a fiber optic MAU (FOMAU) suitable for interfacing to a repeater unit, either directly (FOMAU and repeater unit integrated into a single package) or via an AUI mechanical connection.
- (2) Various optical fiber sizes suitable for connecting only two FOMAUs.

A schematic of the vendor-independent FOIRL and its relationship to the repeater unit is shown in Fig 9-7. The vendor-independent FOIRL comprises an optical fiber cable link segment, a vendor-independent FOMAU at each end of the link segment and, if present, AUI cables.

The purpose of this specification is to enable interoperability of FOMAUs that originate from different manufacturers, thereby facilitating the development of simple and inexpensive inter-repeater links (IRLs). To satisfy this objective, the FOMAU has the following general characteristics:

- (a) Enables coupling the repeater unit PLS directly, or by way of the AUI mechanical connection, to the explicit baseband optical fiber cable link segment defined in this section of the standard.
- (b) Supports signaling at a data rate of 10 Mb/s.
- (c) Provides for driving up to 1000 m of an optical fiber cable link segment.
- (d) Operates indistinguishably from a repeater set MAU, as defined in Section 8 or 10, when viewed from the AU Interface.
- (e) Supports 10BASE5 and 10BASE2 system configurations as defined in Sections 8 and 10 of this standard.
- (f) Allows integration of the FOMAU into a single package with the repeater unit, thereby eliminating the need for an AUI mechanical connection.



\* See 9.9.1.3 for implementation requirements.

**Fig 9-7**  
**Schematic of the Vendor-Independent FOIRL**  
**and Its Relationship to the Repeater Unit**

The implementation may incorporate additional features, for example those that allow compatibility with vendor-dependent FOMAUs, as in 9.4.3.1. The means to support these features are beyond the scope of this subsection.

**9.9.1.2 Application Perspective: FOMAU and Medium Objectives.** This section states the broad objectives underlying the vendor-independent FOIRL specification defined throughout this section of the standard. These are as follows:

- (1) Provide the physical means for connecting only two repeater units.
- (2) Define a physical interface for the vendor-independent FOMAU component of the vendor-independent FOIRL that can be implemented independently among different manufacturers of hardware and achieve the intended level of compatibility when interconnected in a common IRL.
- (3) Provide a communication channel capable of high bandwidth and low bit error rate performance. The resultant BER of the FOIRL should be less than one part in  $10^{10}$ .
- (4) Provide a means to prevent packet transmission through an FOIRL when transmission capability in one or both directions is disrupted.

**9.9.1.3 Compatibility Considerations.** All implementations of the vendor-independent FOMAU shall be compatible at the FOMDI and at the AUI (when physically and mechanically implemented).

This standard provides an optical fiber cable link segment specification for the interconnection of only two FOMAU devices. The medium itself, the functional capability of the FOMAU, and the AUI are defined to provide the highest possible level of compatibility among devices designed by different manufacturers. Designers are free to implement circuitry within the FOMAU in an application-dependent manner provided the FOMDI and AUI are satisfied. (The provision of the physical and mechanical implementation of the AUI is optional.)

**9.9.1.4 Relationship to AUI.** A close relationship exists between this section and Section 7. This section specifies all of the physical medium parameters, all of the FOPMA logical functions residing in the FOMAU, and references the AUI defined in Section 7 with the exception of the *signal\_quality\_error* message Test of 7.2.1.2.3(3), which shall not be implemented, that is, shall not be enabled when connected to a repeater unit.

NOTE: The specification of a FOMAU component requires the use of both this section and Section 7 for the AUI specifications.

**9.9.1.5 Mode of Operation.** The FOMAU functions as a direct connection between the optical fiber cable link segment and the repeater unit. During collision-free operation, data from the repeater unit is transmitted into the FOMAU's transmit optical fiber, and all data in the FOMAU's receive optical fiber is transmitted to the repeater unit.

**9.9.2 FOMAU Functional Specifications.** The FOMAU component provides the means by which signals on the three AUI signal circuits are coupled:

- (1) From the repeater unit into the FOMAU's transmit optical fiber, and
- (2) From the FOMAU's receive optical fiber to the repeater unit.

To achieve this basic objective, the FOMAU component contains the following functional capabilities to handle message flow between the repeater unit and the optical fiber cable link segment:

- (a) *Transmit Function* : The ability to receive serial bit streams from the attached repeater unit and transmit them into the FOMAU's optical fiber.
- (b) *Receive Function* : The ability to receive serial data bit streams from the FOMAU's receive optical fiber and transmit them to the attached repeater unit.
- (c) *Collision Presence Function* : The ability to detect, and report to the attached repeater unit, an FOIRL collision.
- (d) *Jabber Function* : The ability to automatically interrupt the Transmit Function and inhibit an abnormally long output data stream.
- (e) *Low Light Level Detection Function* : The ability to automatically interrupt the Receive Function and inhibit the reception of signals from the FOMAU's receive optical fiber which could result in abnormally high BERs.

**9.9.2.1 Transmit Function Requirements.** At the start of a packet transmission into the FOMAU's transmit optical fiber, no more than two bits (two full bit cells) of information may be received from the DO circuit and not transmitted into the FOMAU's transmit optical fiber. In addition, it is permissible for the first bit sent to contain encoded phase violations or invalid data. All successive bits of the packet shall be transmitted into the FOMAU's transmit optical fiber and shall exhibit the following:

- (1) No more edge jitter than that given by the sum of the worst-case edge jitter components specified in 7.4.3.6, 7.5.2.1, and 9.9.4.1.7, and
- (2) The levels and waveforms specified in 9.9.4.1.

The FOMAU DO circuit shall comply with the AUI specification for receivers given in 7.4.2. The FOMAU's DI circuit driver shall comply with the AUI specification for drivers given in 7.4.1.

The steady-state propagation delay between the DO circuit receiver input and the FOMAU's transmit optical fiber input shall not exceed one-half a bit cell. It is recommended that the designer provide an implementation in which a minimum threshold level is required on the DO circuit to establish a transmit bit stream.

The higher optical power level transmitted into the FOMAU's transmit optical fiber shall be defined as the low (LO) logic state on the optical fiber link segment. There shall be no logical signal inversions between the DO circuit and the FOMAU's transmit optical fiber, as specified in 9.9.4.1.5.

The difference in the start-up delay (bit loss plus invalid bits plus steady-state propagation delay), as distinct from the absolute start-up delays, between any two packets that are separated by 9.6  $\mu$ s or less shall not exceed 2 bit cells.

The FOMAU shall loop back a packet received from the DO circuit into the DI circuit. At the start of a packet transmission, no more than five bits of information may be received from the DO circuit and not transmitted into the DI circuit. It is permissible for the first bit sent to contain encoded phase violations or invalid data. All successive bits of the packet shall be transmitted into the DI circuit and shall exhibit no more edge jitter than that specified for signals transmitted into the DI circuit by the Receive Function, as specified in 9.9.2.2. The steady-state propagation delay between the DO circuit receiver input and the DI circuit driver output for such signals shall not exceed one bit cell. There shall be no logical signal inversions between the DO circuit and the DI circuit during collision-free transmission.

When the DO circuit has gone idle after a packet has been transmitted into the FOMAU's transmit optical fiber, the FOMAU shall not activate the Collision Presence Function so as not to send the *signal\_quality\_error* message Test of 7.2.1.2.3(3) to the repeater unit.

During the idle state of the DO circuit, the Transmit Function shall output into the transmit optical fiber an optical idle signal as specified in 9.9.4.1.4. The transmitted optical signals shall exhibit the optical power levels specified in 9.9.4.1.8. At the end of a packet transmission, the first optical idle signal pulse transition to the higher optical power level must occur no sooner than 400 ns and no later than 2100 ns after the packet's last transition to the lower optical power level. This first optical pulse must meet the timing requirements of 9.9.4.1.4.

The FOMAU shall not introduce extraneous optical signals into the transmit optical fiber under normal operating conditions, including powering-up or powering-down of the FOMAU.

**9.9.2.2 Receive Function Requirements.** At the start of a packet reception from the FOMAU's receive optical fiber, no more than two bits (two full bit cells) of information may be received from the FOMAU's receive optical fiber and not transmitted into the DI circuit. It is permissible for the first bit transmitted into the DI circuit to contain encoded phase violations or invalid data. All successive bits of the packet shall be transmitted into the DI circuit and shall exhibit the following:

- (1) The levels and waveforms specified in 7.4.1, and
- (2) No more edge jitter than that given by the sum of the worst-case edge jitter components specified in 7.4.3.6, 7.5.2.1, 9.9.4.1.7, 9.9.4.2.2, and 9.9.5.1.

The steady-state propagation delay between the output of the FOMAU's receive optical fiber and the output of the DI circuit driver shall not exceed one-half a bit cell. There shall be no logical signal inversions between the FOMAU's receive optical fiber and the DI circuit during collision-free operation, as specified in 9.9.4.2.3.

The difference in the start-up delay (bit loss plus invalid bits plus steady-state propagation delay), as distinct from the absolute start-up delays, between any two packets that are separated by 9.6  $\mu$ s or less shall not exceed 2 bit cells.

The FOMAU shall not introduce extraneous signals into the DI circuit under normal operating conditions, including powering-up or powering-down of the FOMAU.

**9.9.2.3 Collision Presence Function Requirements.** The signal presented to the CI circuit in the absence of an SQE signal shall be the IDL signal.

The signal presented to the CI circuit during the presence of a collision shall be the CS0 signal, a periodic pulse waveform of frequency 10 MHz +25% -15% with pulse transitions that are no less than 35 ns and no greater than 70 ns apart at the zero crossing points. This signal shall be presented to the CI circuit no more than 3.5 bit times after the simultaneous appearance of signals at both the input of the FOMAU's transmit optical fiber and the output of the FOMAU's receive optical fiber. This signal shall be deasserted no earlier than 4.5 bit times and no later than 7 bit times after the above defined collision condition ceases to exist.

During a collision, if a packet is received at the DO circuit before a packet is received at the FOMAU's receive optical fiber, then only the packet received at the DO circuit shall be transmitted into the DI circuit, as specified in 9.9.2.1. Conversely, if during a collision a packet is received at the FOMAU's receive optical fiber before a packet is received at the DO circuit, then only the packet received at the FOMAU's receive optical fiber shall be transmitted into the DI circuit, as specified in 9.9.2.2. In the event of both packets being received at their respective ports within 3.5 bit times of each other, then either one, but only one, of the packets shall be selected to be transmitted into the DI circuit.

The Collision Function shall not introduce extraneous signals into the CI circuit under normal operating conditions, including powering-up or powering-down of the FOMAU.

**9.9.2.4 Jabber Function Requirements.** The FOMAU shall have the capability, as defined in Fig 9-9, to interrupt a transmission from the repeater unit that exceeds a time duration determined by the FOMAU. This time duration shall not be less than 20 ms nor more than 150 ms. If the packet being transmitted is still being transmitted after the specified time duration, the FOMAU shall activate the Jabber Function by the following:

- (1) First inhibiting the transmission of bits from its DO circuit into its transmit optical fiber,
- (2) Then transmitting into its transmit optical fiber the optical idle signal specified in 9.9.4.1.4, and
- (3) Presenting the CS0 signal to the CI circuit.

Once the error condition has been cleared, the FOMAU shall reset the Jabber Function and present the IDL signal to the CI circuit:

- (a) On power reset, and
- (b) Optionally, automatically after a continuous period of 0.5 s  $\pm$  50% of inactivity on the DO circuit.

The FOMAU shall not activate its Jabber Function when operated under the worst-case Jabber Lockup Protection condition specified in 9.6.5.

When both the Jabber Function and the Low Light Level Detection Function (see 9.9.2.5) have been activated, the Jabber Function shall override the Low Light Level Detection Function.

**9.9.2.5 Low Light Level Detection Function Requirements.** The FOMAU shall have a low light level detection capability, as defined in Fig 9-10, whereby it shall interrupt the reception of both the optical idle signal and packets from the FOMAU's receive optical fiber when reliable reception can no longer be assured. This error condition shall not be activated if the peak optical power level at the output of the FOMAU's receive optical fiber exceeds -27 dBm. It shall be activated before the peak optical power level at the output of the FOMAU's receive optical fiber has fallen to a level that is lower than the peak optical power level that corresponds to a BER =  $10^{-10}$  for the FOMAU under consideration. Once this error condition has been activated, the FOMAU shall, no earlier than 30 bit times and no later than 200 bit times

- (1) Disable its Receive Function so that the transmission of bits from its receive optical fiber to the DI circuit is inhibited.
- (2) Assure that only the optical idle signal is transmitted into its transmit optical fiber, irrespective of the state of the DO circuit.
- (3) Disable its Transmit Function during the period of time that the FOMAU recognizes the presence of a packet on the DO circuit such that the transmission of the packet from the DO circuit into the DI circuit is inhibited.

Once this error condition has been cleared, the FOMAU shall return automatically to its normal mode of operation within 40 bit times once the DO circuit is in the idle state.

When both the Jabber Function (see 9.9.2.4) and the Low Light Level Detection Function have been activated, the Jabber Function shall override the Low Light Level Detection Function.

NOTE : It is recommended that, for diagnostic purposes, the status of the Low Light Level Detection Function be indicated on the exterior of the FOMAU package.

**9.9.2.6 Repeater Unit to FOMAU Physical Layer Messages.** The following messages can be received by the FOMAU physical layer entities from the repeater unit :

Message	Circuit	Signal	Meaning
<i>output</i>	DO	CD1, CD0	Output information
<i>output_idle</i>	DO	IDL	No data to be output

**9.9.2.7 FOMAU Physical Layer to Repeater Unit Messages.** The following messages can be sent by the FOMAU physical layer entities to the repeater unit:

Message	Circuit	Signal	Meaning
<i>input</i>	DI	CD1, CD0	Input information
<i>input_idle</i>	DI	IDL	No information to be input
<i>fomau_available</i>	CI	IDL	FOMAU is available for output
<i>signal_quality_error</i>	CI	CS0	Collision or error detected by FOMAU

**9.9.2.7.1 *input* Message.** The FOMAU physical layer sends an *input* message to the repeater unit when the FOMAU has a bit of data to send to the repeater unit. The physical realization of the *input* message is a CD0 or CD1 sent by the FOMAU to the repeater unit on the DI circuit. The FOMAU sends CD0 if the input bit is a zero, or CD1 if the input bit is a one. No retiming of the CD1 or CD0 signals takes place within the FOMAU.

**9.9.2.7.2 *input\_idle* Message.** The FOMAU physical layer sends an *input\_idle* message to the repeater unit when the FOMAU does not have data to send to the repeater unit. The physical realization of the *input\_idle* message is the IDL signal sent by the FOMAU to the repeater unit on the DI circuit.

**9.9.2.7.3 *fomau\_available* Message.** The FOMAU physical layer sends the *fomau\_available* message to the repeater unit when the FOMAU is available for output, and when the FOMAU has activated the Low Light Level Detection Function in accordance with the Low Light Level Detection Function requirements of 9.9.2.5 and Fig 9-10. The *fomau\_available* message shall be sent by a FOMAU that is prepared to output data. The physical realization of the *fomau\_available* message is an IDL signal sent by the FOMAU to the repeater unit on the CI circuit.

**9.9.2.7.4 *signal\_quality\_error* Message.** The *signal\_quality\_error* message shall be implemented in the following fashion:

- (1) When the FOMAU has completed the transmission of a packet into its transmit optical fiber, it shall not send any *signal\_quality\_error* message Test sequence.
- (2) The simultaneous appearance of packets at both the input of a FOMAU's transmit optical fiber and the output of its receive optical fiber shall cause the *signal\_quality\_error* message to be sent by the FOMAU to the repeater unit.
- (3) When the FOMAU has activated the Jabber Function, it shall send the *signal\_quality\_error* message in accordance with the Jabber Function requirements of 9.9.2.4 and Fig 9-9.

The physical realization of the *signal\_quality\_error* message is the CS0 signal sent by the FOMAU to the repeater unit on the CI circuit.

The FOMAU is required to assert the *signal\_quality\_error* message at the appropriate times whenever the FOMAU is powered and not just when the repeater unit is providing output data.

**9.9.2.8 FOMAU State Diagrams.** The state diagrams, Figs 9-8, 9-9, and 9-10, depict the full set of allowed FOMAU state functions relative to the control circuits of the repeater unit/FOMAU interface for FOMAU's. Messages used in these state diagrams are explained as follows:

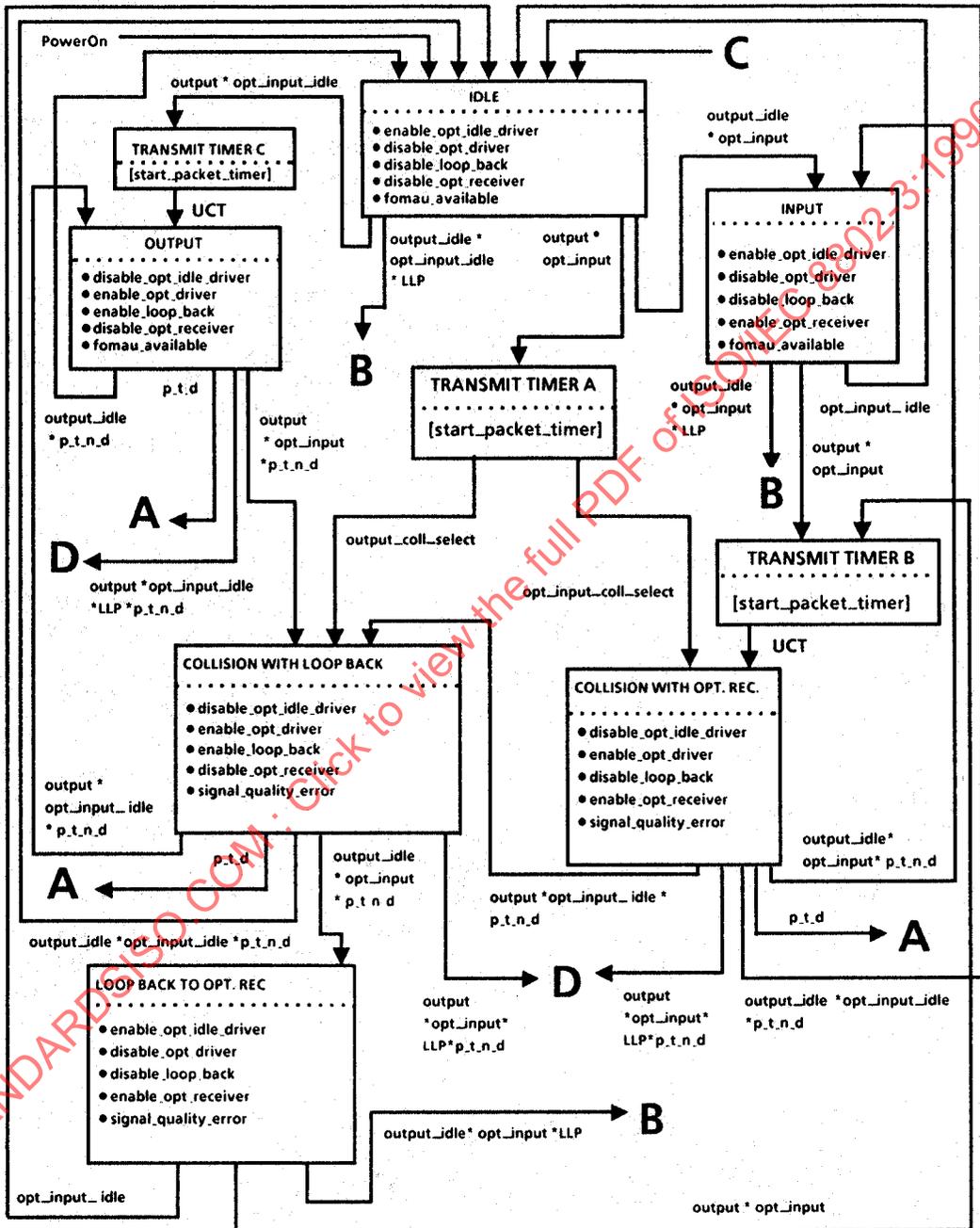
- (1) *enable\_opt\_driver* : Activates the path employed during normal operation to cause the FOMAU transmitter to impress the packet data received from the DO circuit into the FOMAU's transmit optical fiber.
- (2) *disable\_opt\_driver* : Deactivates the path employed during normal operation to cause the FOMAU transmitter to impress the packet data received from the DO circuit into the FOMAU's transmit optical fiber.
- (3) *enable\_opt\_idle\_driver* : Causes the FOMAU transmitter to impress the optical idle signal into the FOMAU's transmit optical fiber.
- (4) *disable\_opt\_idle\_driver* : Causes the FOMAU to stop transmitting the optical idle signal into the FOMAU's transmit optical fiber.
- (5) *enable\_loop\_back* : Activates the path employed during normal operation to cause the FOMAU Transmit Function to impress the packet data received from the DO circuit into the DI circuit.
- (6) *disable\_loop\_back* : Deactivates the path employed during normal operation to cause the FOMAU Transmit Function to impress the packet data received from the DO circuit into the DI circuit.
- (7) *enable\_opt\_receiver* : Activates the path employed during normal operation to cause the FOMAU to impress the packet data received from the FOMAU's receive optical fiber into the DI circuit.
- (8) *disable\_opt\_receiver* : Deactivates the path employed during normal operation to cause the FOMAU to impress the packet data received from the FOMAU's receive optical fiber into the DI circuit.

- (9) [*start\_packet\_timer*] : Starts a timing function which is used to monitor the amount of time the FOMAU is transmitting a packet into the transmit optical fiber. The timing function is maintained as long as *output* is true and is stopped on the transition to *output\_idle* true. The term *packet\_timer\_done* is satisfied when the timing function has run to expiration (see 9.9.2.4).
- (10) [*start\_unjab\_timer*] : Starts a timing function that is used to monitor the amount of time that the Jabber error condition has been clear. The timing function is maintained as long as *output\_idle* is true and is stopped on the transition to *output\_true*. The term *unjab\_timer\_done* is satisfied when the timing function has run to expiration (see 9.9.2.4).
- (11) *opt\_input* : Signifies that a packet is present at the FOMAU's receive optical fiber.
- (12) *opt\_input\_idle* : Signifies that a packet is no longer present at the FOMAU's receive optical fiber.
- (13) *opt\_input\_coll\_select* : Signifies that, during a collision, a packet has been received at the DO circuit within 3.5 bit times of a packet being received at the FOMAU's receive optical fiber, and that only the packet received at the FOMAU's receive optical fiber is to be transmitted into the DI circuit.
- (14) *output\_coll\_select* : Signifies that, during a collision, a packet has been received at the DO circuit within 3.5 bit times of the packet being received at the FOMAU's receive optical fiber, and that only the packet received at the DO circuit is to be transmitted into the DI circuit.

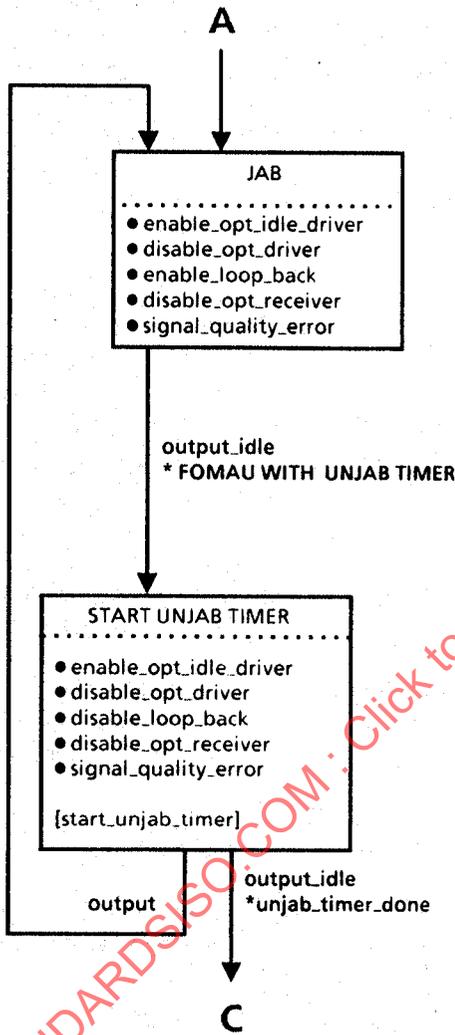
The following abbreviations have been used in Figs 9-8, 9-9, and 9-10:

- (1) LLP = Low Light Level Condition Present
- (2) LLNP = Low Light Level Condition Not Present
- (3) *p\_t\_d* = *packet\_timer\_done*
- (4) *p\_t\_n\_d* = *packet\_timer\_not\_done*
- (5) \* = logical AND operator

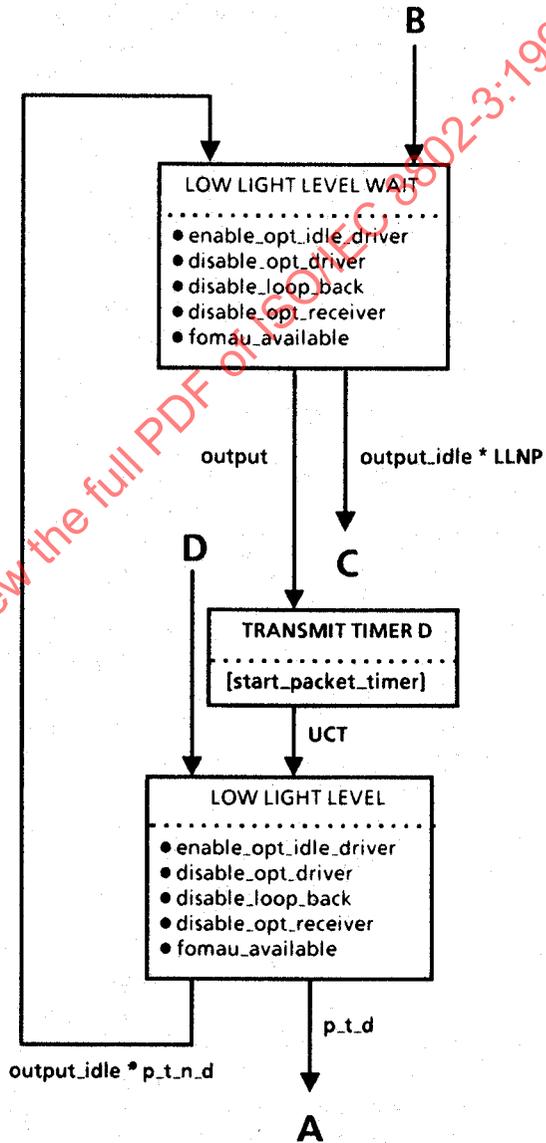
NOTE: Figs 9-8, 9-9, and 9-10 must all be considered together.



**Fig 9-8**  
**FOMA Transmit, Receive, and Collision Functions State Diagram**



**Fig 9-9**  
**FOMA Jabber Function**  
**State Diagram**



**Fig 9-10**  
**Low Light Level Detection**  
**Function State Diagram**

### 9.9.3 FOMAU Electrical Characteristics

**9.9.3.1 Electrical Isolation.** Electrical isolation shall be provided between FOMAU's attached to the FOIRL by the optical fiber cable link segment. There shall be no conducting path between the optical medium connector plug and any conducting element within the optical fiber cable link segment. This isolation shall withstand at least one of the following electrical strength tests:

- (1) 1500 V rms at 50–60 Hz for 60 s, applied as specified in 5.3.2 of IEC Publication 950 [8].
- (2) 2250 V dc for 60 s, applied as specified in 5.3.2 of IEC Publication 950 [8].
- (3) A sequence of ten 2400 V impulses of alternating polarity, applied at intervals of not less than 1 s. The shape of the impulses shall be 1.2/50  $\mu$ s (1.2  $\mu$ s virtual front time, 50  $\mu$ s virtual time of half value), as defined IEC Publication 60 [11].

There shall be no isolation breakdown, as defined in 5.3.2 of IEC Publication 950 [8], during the test. The resistance after the test shall be at least 2 M $\Omega$ , measured at 500 V dc.

NOTE: Although isolation is provided by the optical fiber cable link segment, it is recommended that the normal noise immunity provided by common-mode isolation on the AUI be retained.

**9.9.3.2 Power Consumption.** The current drawn by the FOMAU shall not exceed 0.5 A when powered by the AUI source. The FOMAU shall be capable of operating from all possible voltage sources as supplied by the repeater unit (7.5.2.5 and 7.5.2.6) through the resistance of all permissible AUI cables. The surge current drawn by the FOMAU on power-up shall not exceed 5 A peak for a period of 10 ms. In addition, the FOMAU shall be capable of powering-up from 0.5 A current limited sources.

It is permissible as an option to provide a separate power source for the FOMAU. If a separate power source is implemented, provision will be made to assure that power shall under no circumstances be sourced on pin 13 (Circuit VP) of the AUI.

The FOMAU shall be labeled externally to identify the maximum value of power supply current required by the device when the AUI mechanical connection is implemented.

The FOMAU shall not introduce into the FOMAU's transmit optical fiber or onto the DI or CI circuits of the AUI any extraneous signal on routine power-up or power-down under normal operating conditions.

The FOMAU shall be fully functional no later than 0.5 s after power is applied to it.

**9.9.3.3 Reliability.** The FOMAU shall be designed to provide a MTBF of at least 200 000 hours of operation without causing a communication failure amongst DTEs attached to the network. The FOMAU electronics shall be designed to minimize the probability of component failures within the FOMAU that prevent communication amongst other MAUs on the 10BASE5 and 10BASE2 segments. Connectors and other passive means of connection shall be designed to minimize the probability of total network failure.

**9.9.3.4 FOMAU/Repeater Unit Electrical Characteristics.** The electrical characteristics of the driver and receiver components connected to the AUI cable shall be identical to those specified in Section 7.

**9.9.3.5 FOMAU/Repeater Unit Mechanical Connection.** The FOMAU, if it implements the AUI mechanical connection, shall be provided with a 15-pin male connector, as specified in the AUI specification of Section 7.

#### **9.9.4 FOMAU/Optical Medium Interface**

##### **9.9.4.1 Transmit Optical Parameters**

**9.9.4.1.1 Wavelength.** The center wavelength of the optical source emission shall be between 790 and 860 nm. See Appendix D.

**9.9.4.1.2 Spectral Width.** The spectral width of the optical source shall be less than 75 nm full width half maximum (FWHM).

**9.9.4.1.3 Optical Modulation.** The optical modulation during packet transmission shall be on-off keying of the optical source power. The minimum extinction ratio shall be 13 dB.

**9.9.4.1.4 Optical Idle Signal.** During the idle state of the DO circuit, the Transmit Function shall input into the FOMAU's transmit optical fiber an optical idle signal. This signal shall consist of a periodic pulse waveform of frequency 1 MHz +25% -15% with a duty cycle ratio between 45/55 and 55/45.

**9.9.4.1.5 Transmit Optical Logic Polarity.** The higher optical power level transmitted into the FOMAU's transmit optical fiber shall correspond to the low (LO) logic state (see 7.4.2.1) of the AUI DO circuit.

**9.9.4.1.6 Optical Rise and Fall Times.** The optical rise and fall times of the FOMAU shall be no more than 10 ns from the 10% to the 90% levels. There shall be no more than 3 ns difference between the rise and fall times.

**9.9.4.1.7 Transmit Optical Pulse Edge Jitter.** The additional edge jitter introduced by the FOMAU from the input of the DO circuit receiver to the output of the electro-optic source shall be no more than 2 ns. The jitter measured at the input of the DO circuit receiver shall be measured at the zero crossing points, as determined from the previous 16 or more transitions in any valid bit stream. The jitter measured at the output of the electro-optic source shall be measured at the power level median of the optical waveform's upper and lower power levels, as determined from the previous 16 or more transitions in any valid optical bit stream.

**9.9.4.1.8 Peak Coupled Optical Power.** At the beginning of the FOMAU's lifetime, the peak optical power coupled into the FOMAU's transmit optical fiber, when terminated with an optical connector as specified in 9.9.5.2, shall be  $-12 \text{ dBm} \pm 2 \text{ dB}$ , when measured with a graded index optical fiber of nominal dimension of 62.5  $\mu\text{m}$  core diameter and 0.275 nominal numerical aperture. The actual optical power, which will be coupled into other fiber sizes listed in 9.9.5.1, may differ from the above value. The peak optical power shall be measured in the steady state, and the measurement shall be independent of optical pulse ringing effects. Peak optical overshoot shall not exceed 10%.

NOTE: The above value does not include an aging margin. The source is allocated an aging margin of 3 dB over its operating lifetime. The variation in the peak-coupled optical power due to tolerances allowed by IEC Publication 793-2 [14]<sup>10</sup> for type A1b (62.5/125  $\mu\text{m}$ ) fiber is  $\pm 1$  dB. Hence, the minimum power level at the start of life will be  $-15$  dBm.

#### 9.9.4.2 Receive Optical Parameters

**9.9.4.2.1 Receive Peak Optical Power Range.** The BER shall be  $< 10^{-10}$  for peak optical powers at the output of the FOMAU's receive optical fiber between  $-27$  dBm and  $-9$  dBm.

**9.9.4.2.2 Receive Optical Pulse Edge Jitter.** The additional edge jitter introduced by the FOMAU from the input of the opto-electric detector to the output of the DI circuit driver shall be no more than 4 ns. The jitter measured at the input of the opto-electric receiver shall be measured at the power level median of the optical waveform's upper and lower power levels as determined from the previous 16 or more transitions in any valid optical bit stream. The jitter measured at the output of the DI circuit driver shall be measured at the zero crossing points as determined from the previous 16 or more transitions in any valid bit stream. This requirement shall apply when the optical receive peak power level is in the range  $-27$  to  $-9$  dBm.

**9.9.4.2.3 Receive Optical Logic Polarity.** The low (LO) logic state (see 7.4.2.1) on the DI circuit shall correspond to the presence of the higher optical power level at the output of the FOMAU's receive optical fiber.

**9.9.5 Characteristics of the Optical Fiber Cable Link Segment.** The optical fiber cable link segment is a length of optical fiber cable (IEC Publications 794-1 [15] and 794-2 [16]) containing two optical fibers, as specified in 9.9.5.1, and comprising one or more optical fiber cable sections and their means of interconnection. Each optical fiber is terminated at each end in the optical connector plug specified in 9.9.5.2. The two optical fibers correspond to the FOMAU's transmit and receive optical fibers.

**9.9.5.1 Optical Fiber Medium.** The FOMAU can operate with a variety of optical fiber sizes, e.g., 50/125  $\mu\text{m}$ , 62.5/125  $\mu\text{m}$ , 85/125  $\mu\text{m}$ , 100/140  $\mu\text{m}$ .

Interoperability of FOMAUs that originate from different manufacturers, using any of these fiber sizes, is assured provided that the received peak optical power is between  $-27$  dBm and  $-9$  dBm and the optical fiber cable link segment bandwidth is greater than or equal to 150 MHz.

In order to satisfy the above attenuation and bandwidth criteria for all allowable FOIRL lengths, and assuming up to 4 dB of connection losses within the optical fiber cable link segment, it is recommended that the cabled optical fiber have an attenuation  $\leq 4$  dB/km and a bandwidth of  $\geq 150$  MHz referred to 1 km at a wavelength of 850 nm.

The total incremental optical pulse edge jitter introduced by the optical fiber cable link segment shall be less than 1 ns when driven by an optical transmit-

<sup>10</sup> This FOIRL specification is to be read with the understanding that the following changes to IEC Publication 793-2 [14] have been requested:

- (1) Correction of the numerical aperture tolerance in Table III to  $\pm 0.015$ .
- (2) Addition of another bandwidth category, of  $\geq 150$  MHz referred to 1 km, for the type A1b fiber in Table III.

ter as specified in 9.9.4.1. The pulse delay introduced by the optical fiber cable shall not exceed 50 bit times for a 1 km length.

In the specific case of 62.5/125  $\mu\text{m}$  fiber, to ensure interoperability of FOMAU's that originate from different manufacturers:

- (1) The two cabled optical fibers contained in the optical fiber cable link segment shall satisfy the optical fiber parameters specified in IEC Publication 793-2 [14] type A1b (62.5/125  $\mu\text{m}$ ),<sup>11</sup> and
- (2) The optical fiber cable link segment shall have an attenuation less than or equal to 8 dB and a bandwidth greater than or equal to 150 MHz.

**9.9.5.2 Optical Medium Connector Plug and Socket.** The two optical fibers contained in the optical fiber cable link segment shall be terminated at each end in an optical connector plug as specified in IEC Publications 874-1 [18] and 874-2 [19].

The corresponding mating connector socket shall conform with the specifications given in IEC Publications 874-1 [18] and 874-2 [19]. This document specifies the mechanical mating face dimensions to ensure mechanical intermateability without physical damage, of all F-SMA connectors covered by the document. In addition, the optical insertion loss when interconnecting two optical connector plugs shall not exceed 2.5 dB (measured using a socket adaptor conforming to the mechanical specifications given in IEC Publications 874-1 [18] and 874-2 [19] and also using two identical fibers, as specified in 9.9.5.1, assuming uniform mode distribution launch conditions).

#### 9.9.6 System Requirements

**9.9.6.1 Optical Transmission System Considerations.** 9.9.4.2.1 specifies that the BER shall be  $< 10^{-10}$  for peak optical powers at the output of the FOMAU's receive optical fiber between -27 dBm and -9 dBm. The value of -9 dBm corresponds to the maximum allowable peak optical power that can be coupled into the worst-case optical fiber specified in 9.9.5.1 at the beginning of the FOMAU's lifetime (see 9.9.4.1.8), and assumes zero optical loss between the optical source output and the optical detector input.

The value of -27 dBm is calculated by subtracting the FOIRL flux budget from the minimum allowable peak optical power that can be coupled into the FOMAU's transmit optical fiber at the beginning of the FOMAU's lifetime (see 9.9.4.1.8). The flux budget is the maximum loss allowed within the FOIRL to guarantee a BER  $< 10^{-10}$  assuming worst-case link components. A portion of the flux budget has been allocated as a design margin to allow for degradation and tolerance effects in the optical source. This is noted in the table below as the optical source lifetime degradation. The remaining flux budget of 9 dB assumes a system margin allowance for the optical fiber cable link segment over its lifetime, and may be allocated to the optical fiber cable link segment loss at the discretion of the network planner/installer. The fol-

<sup>11</sup> This FOIRL specification is to be read with the understanding that the following changes to IEC Publication 793-2 [23] have been requested:

- (1) Correction of the numerical aperture tolerance in Table III to  $\pm 0.015$ .
- (2) Addition of another bandwidth category, of  $\geq 150$  MHz referred to 1 km, for the type A1b fiber in Table III.

lowing summarizes the allocated optical flux budgets for the example graded index optical fiber of worst-case dimensions  $62.5 \mu\text{m} - 3 \mu\text{m}$  (i.e.,  $59.5 \mu\text{m}$ ) core diameter and  $0.275 - 0.015$  (i.e., 0.260) numerical aperture:

- Start of life minimum peak coupled optical power (9.9.4.1.8) : -15 dBm
- Optical source lifetime degradation : 3 dB
- Maximum optical fiber cable link segment loss including system margin allowance : 9 dB
- Resultant required receive peak optical power : -27 dBm

**9.9.6.2 Timing Considerations.** Table 9-1 summarizes the maximum allowable timing budget contributions to the system timing budget for the FOIRL. The Last Bit In to Last Bit Out Delay shall equal the Steady-State Propagation Delay.

**Table 9-1**  
**Maximum Allowable Timing Budget Contributions**  
**to the FOIRL System Timing Budget**

Symbol	Function	Bit Loss (bit times)	Invalid Bits (bit times)	Steady-State Propagation Delay (bit times)	Start-Up Delay (bit times)
I1	OPTICAL DATA IN ASSERT→INPUT	2.0	1.0	0.5	3.5
I2	OUTPUT→OPTICAL DATA OUT ASSERT	2.0	1.0	0.5	3.5
LOOP BACK	DO CIRCUIT ASSERT →DI CIRCUIT ASSERT	5.0	1.0	1.0	7.0
I3	OPTICAL COLLISION →SQE ASSERT	—	—	—	3.5
I4	COLLISION DEASSERT →SQE DEASSERT	—	—	—	7.0*
A1	AUI Propagation	—	—	2.57	2.57
F1	Optical Fiber Propagation per Kilometer	—	—	50	50

\* Minimum Start-Up Delay for I4 is 4.5 bit times.

## 9.9.7 Environmental Specifications

### 9.9.7.1 Safety Requirements

**9.9.7.1.1 Electrical Safety.** A major application for the vendor-independent FOIRL is for interconnecting 10BASE5 and/or 10BASE2 coaxial cable segments located within different buildings. The level of isolation provided by the optical fiber cable link segment shall be consistent with this application and provide adequate personnel and equipment safety from earth faults and lightning strike hazards.

**9.9.7.1.2 Optical Source Safety.** The recommendations of IEC 825 Publication [17], if applicable, shall be adhered to in determining the optical source safety and user warning requirements.

### 9.9.7.2 Electromagnetic Environment

**9.9.7.2.1 Susceptibility Levels.** Sources of interference from the environment include electromagnetic fields, electrostatic discharge, and transient voltages between earth connections. Several sources of interference contribute to voltage build-up between the optical fiber cable link segment (either a metallic strength member in the cable, a metallic optical connector plug, or the outermost conducting element of the FOMAU for the case of no metallic strength member) and the earth connection of a DTE.

For information on limits and methods of measurements of radio interference characteristics of information technology equipment, see 1.3 in CISPR Publication 22 [1].

The physical channel hardware shall meet its specifications when operating in both of the following conditions:

- (1) Ambient plane wave field of 2 V/m from 10 kHz through 30 MHz and 5 V/m from 30 MHz through 1 GHz.

NOTE: These are the levels typically found 1 km from radio broadcast stations.

- (2) Interference source voltage of 15.8 V peak sine wave of frequency 10 MHz in series with a 50  $\Omega$  source resistance applied between the optical fiber cable link segment (either a metallic strength member in the cable, a metallic optical connector plug, or the outermost conducting element of the FOMAU for the case of no metallic strength member) and the earth connection of a DTE.

NOTE: The optical fiber link segment is capable of withstanding higher levels of electromagnetic interference. The above specifications are the minimum requirements for the environment in which the FOMAU is required to operate.

**9.9.7.2.2 Emission Levels.** The FOMAU and optical fiber cable link segment shall comply with CISPR Publication 22 [1].

**9.9.7.3 Temperature and Humidity.** The FOMAU and associated connector/cable systems are expected to operate over a reasonable range of environmental conditions related to temperature, humidity, and physical handling such as shock and vibration. Specific requirements and values for these parameters are beyond the scope of this standard. Manufacturers should indicate in the literature associated with the FOMAU (and on the FOMAU if possible) the operating environment specifications to facilitate selection, installation, and maintenance of these components. It is further recommended that

such specifications be stated in standard terms, as specified in IEC Publications 68 [12], IEC 793-1 [13], IEC 794-1 [15], and IEC 874-1 [18].

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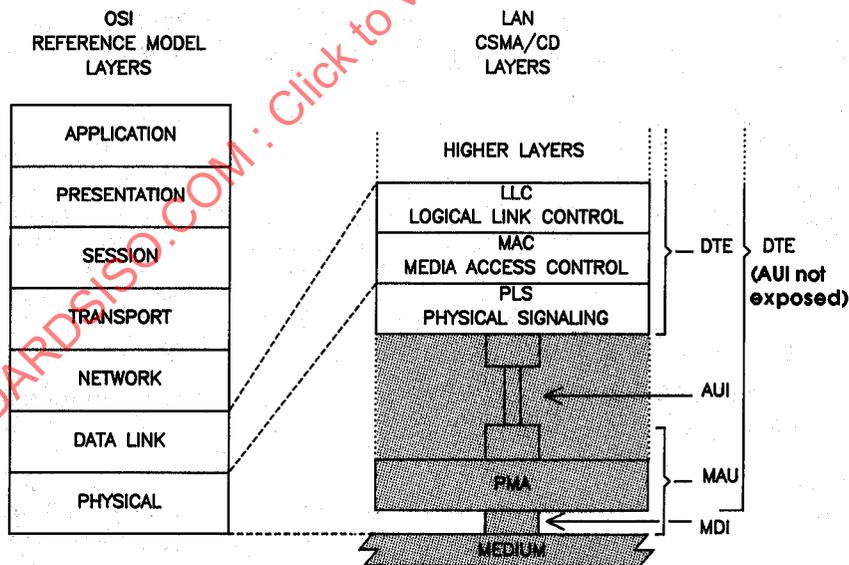
## 10. Medium Attachment Unit and Baseband Medium Specifications, Type 10BASE2

### 10.1 Scope

**10.1.1 Overview.** This standard defines the functional, electrical, and mechanical characteristics of the Medium Attachment Unit (MAU) and one specific medium for use with local area networks. The relationship of this specification to the entire CSMA/CD Local Area Network Specification is shown in Fig 10-1.

The purpose of the MAU is to provide a simple, inexpensive, and flexible

**Fig 10-1**  
**Physical Layer Partitioning;**  
**Relationship to the ISO Open System**  
**Interconnection (OSI) Reference Model**



- AUI = ATTACHMENT UNIT INTERFACE
- MAU = MEDIUM ATTACHMENT UNIT
- MDI = MEDIUM DEPENDENT INTERFACE
- PMA = PHYSICAL MEDIUM ATTACHMENT

means of attaching devices to the local area network medium. This standard defines a means of incorporating the MAU function within the DTE and bringing the trunk coaxial cable directly to the DTE. Interconnection of DTE units is easily achieved by the use of industry standard coaxial cables and BNC connectors.

This MAU and medium specification is aimed primarily at applications where there are a relatively small number of devices located in a work area. Installation and re-configuration simplicity is achieved by the type of cable and connectors used. An inexpensive implementation is achieved by eliminating the MAU and Attachment Unit Interface (AUI) as separate components and using widely available interconnection components.

**10.1.1.1 Medium Attachment Unit (normally contained within the data terminal equipment [DTE]).** The MAU has the following general characteristics:

- (1) Enables coupling the PLS to the explicit baseband coaxial transmission system defined in this section of the standard.
- (2) Supports message traffic at a data rate of 10 megabits per second (Mb/s).
- (3) Provides for driving up to 185 m (600 ft) coaxial trunk cable segment without a repeater.
- (4) Permits the DTE to test the MAU and the medium itself.
- (5) Supports system configurations using the CSMA/CD access mechanism defined in the ISO [IEEE] Local Area Network Specification.
- (6) Supports a bus topology interconnection means.
- (7) Supports low-cost capability by incorporating the MAU function within the physical bounds of the DTE, thereby eliminating the need for a separate AU connector and cable but containing the remaining AU interface functionality.

**10.1.1.2 Repeater Unit.** The Repeater Unit is used to extend the physical system topology and provides for coupling two or more coaxial trunk cable segments. Multiple Repeater Units are permitted within a single system to provide the maximum trunk cable connection path specified in 10.7. The repeater is not a DTE and therefore has slightly different attachment requirements.

**10.1.2 Definitions.** This section defines the specialized terminology applicable to MAUs and Repeater Units.

**Attachment Unit Interface (AUI).** In a local area network, the interface between the Medium Attachment Unit (MAU) and the data terminal equipment within a data station.

**baseband coaxial system.** A system whereby information is directly encoded and impressed on the coaxial transmission medium. At any point on the medium only one information signal at a time can be present without disruption.

**carrier sense.** In a local area network, an ongoing activity of a data station to detect whether or not another station is transmitting.

**NOTE:** A collision presence signal is provided by the PLS to the PMA sublayer to indicate that one or more stations are currently transmitting on the trunk coaxial cable.

**coaxial cable section.** A single length of coaxial cable terminated at each end with a BNC male connector. Cable sections are joined to other cable sections via BNC plug/receptacle barrel or Type T adapters.

**coaxial cable segment.** A length of coaxial cable made up from one or more coaxial cable sections and coaxial connectors, terminated at each end in its characteristic impedance.

**collision.** An unwanted condition that results from concurrent transmission on the physical medium.

**collision presence.** A signal provided by the PLS to the PMA sublayer (within the Data Link Layer) to indicate that multiple stations are contending for access to the transmission medium.

**Medium Attachment Unit (MAU).** In a local area network, a device used in a data station to couple the data terminal equipment (DTE) to the transmission medium.

**Medium Dependent Interface (MDI).** The mechanical and electrical interface between the trunk cable medium and the MAU.

**Physical Medium Attachment (PMA).** The portion of the MAU that contains the functional circuitry.

**Physical Signaling Sublayer (PLS).** The portion of the Physical Layer, contained within the DTE, that provides the logical and functional coupling between MAU and Data Link Layers.

**repeater.** A device used to extend the length, topology, or interconnectivity of the physical medium beyond that imposed by a single segment, up to the maximum allowable end-to-end trunk transmission line length. Repeaters perform the basic actions of restoring signal amplitude, waveform, and timing applied to normal data and collision signals.

**trunk cable.** The trunk coaxial cable system.

**NOTE:** For additional definitions, see 8.1.2.

**10.1.3 Application Perspective: MAU and Medium Objectives.** This section states the broad objectives and assumptions underlying the specifications defined throughout Section 10 of the standard.

#### 10.1.3.1 Object

(1) Provide the physical means for communication between local network Data Link entities.

**NOTE:** This specification covers a portion of the Physical Layer as defined in the OSI Reference Model and, in addition, the physical medium itself, which is beyond the scope of the OSI Reference Model.

(2) Define a physical interface that can be implemented independently among different manufacturers of hardware and achieves the intended level of compatibility when interconnected in a common local network.

(3) Provide a communication channel capable of high bandwidth and low bit error rate performance. The resultant mean bit error rate, at the Physical Layer service interface, should be less than one part in  $10^7$  (on the order of one part in  $10^8$  at the link level).

(4) Provide for ease of installation and service.

(5) Provide for high network availability (ability of a station to gain access to the medium and enable the Data Link connection in a timely fashion).

(6) Enable low-cost implementations.

NOTE: The figures and numerous textual references throughout the section refer to terminology associated with the AUI (that is, DO, DI, CI). Since the normal embodiment of the Type 10BASE2 configuration does not require an AUI, actual existence of the DO, DI, CI circuit may not be required. Use of this terminology, however, is retained throughout Section 10 for purposes of clarity and consistency.

**10.1.3.2 Compatibility Considerations.** All implementations of this baseband coaxial system shall be compatible at the Medium Dependent Interface (MDI).

This standard provides one explicit trunk cable medium specification for the interconnection of all MAU devices. The medium itself, and the functional capability of the MAU, are defined to provide the highest possible level of compatibility among devices designed by different manufacturers. Designers are free to implement circuitry within the MAU in an application-dependent manner provided the MDI specifications are satisfied.

**10.1.3.3 Relationship to PLS and AUI.** This section defines the Primary Physical Layer for the local area network, a layer comprised of both the physical medium and the rudimentary circuitry necessary to couple a station's message path directly to/from the medium. The complete Logical Physical Layer of the local area network resides within the DTE. Therefore, a close relationship exists between this section and Section 7. This section specifies the physical medium parameters, the PMA logical functions residing in the MAU, and references the signal circuits associated with the AUI as defined in Section 7.

The design of a MAU component requires the use of both this section and parts of the PLS and AUI specifications contained in Section 7.

**10.1.3.4 Mode of Operation.** The MAU functions as a direct connection between the baseband medium and the DTE. Data from the DTE is output to the coaxial trunk medium and all data on the coaxial trunk medium is input to the DTE.

**10.2 References.** References to such local or national standards that may be useful resource material for the reader are identified and located in the Annex at the end of this book.

**10.3 MAU Functional Specifications.** The MAU component provides the means by which signals on the three AUI signal circuits to/from the DTE and their associated interlayer messages are coupled to the single coaxial cable baseband signal line. To achieve this basic objective, the MAU component

contains the following functional capabilities to handle message flow between the DTE and the baseband medium:

(1) **Transmit Function.** The ability to transmit serial data bit streams on the baseband medium from the local DTE entity to one or more remote DTE entities on the same network.

(2) **Receive Function.** The ability to receive serial data bit streams over the baseband medium.

(3) **Collision Presence Function.** The ability to detect the presence of two or more stations' concurrent transmissions.

(4) **Jabber Function.** The ability to automatically interrupt the Transmit Function and inhibit an abnormally long output data stream.

### 10.3.1 MAU Physical Layer Functional Requirements

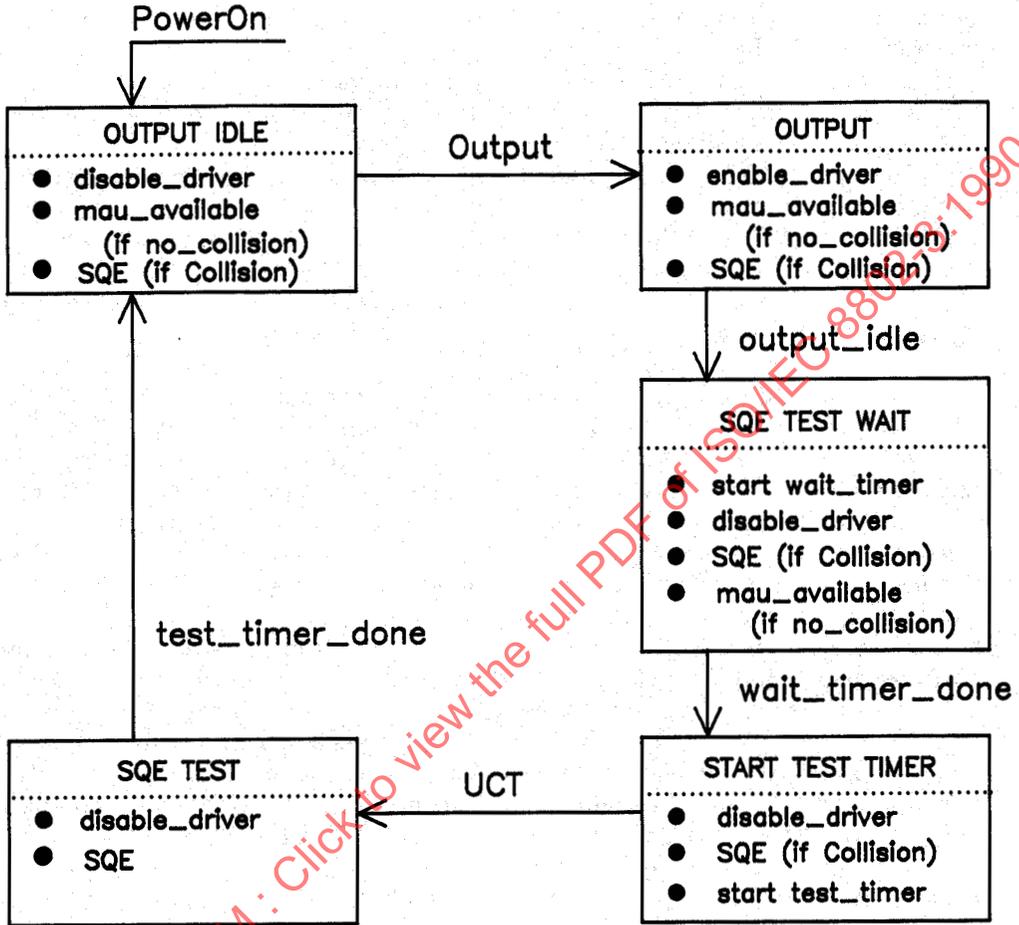
**10.3.1.1 Transmit Function Requirements.** At the start of a frame transmission on the coaxial cable, no more than 2 bits (2 full bit cells) of information may be received from the DO circuit and not transmitted onto the coaxial medium. In addition, it is permissible for the first bit sent to contain invalid data or timing; however, all successive bits of the frame shall be reproduced with no more than the specified amount of jitter. The 4th bit cell shall be carried from the DO signal line and transmitted onto the coaxial trunk cable medium with the correct timing and signal levels. The steady-state propagation delay between the DO circuit receiver input and the coaxial cable output shall not exceed 1/2 bit cell. There shall be no logical signal inversions between the branch cable DO circuit and the coaxial trunk cable (for example, a "high" logic level input to the MAU shall result in the less negative current flow value on the trunk coaxial medium). A positive signal on the A signal lead of the DO circuit shall result in a more positive voltage level on the trunk coaxial medium. It is assumed that the AUI shall provide adequate protection against noise. It is recommended that the designer provide an implementation in which a minimum threshold signal is required to establish a transmit bit stream.

The Transmit Function shall output a signal on the trunk coaxial medium whose levels and waveform comply with 10.4.1.3.

In addition, when the DO circuit has gone idle after a frame is output, the MAU shall then activate the Collision Presence Function as close to the trunk coaxial cable as possible without introducing an extraneous signal on the trunk coaxial medium. The MAU shall initiate the Collision Presence state within 0.6  $\mu$ s to 1.6  $\mu$ s after the Output Idle signal (Wait\_Timer\_Done in Fig 10-2) and shall maintain an active Collision Presence state for a time equivalent to  $10 \pm 5$  bit cells.

**10.3.1.2 Receive Function Requirements.** The signal from the coaxial trunk cable shall be ac coupled before reaching the receive DI circuit. The Receive Function shall output a signal onto the DI circuit that complies with the specification for drivers in MAUs (7.5).

At the start of a frame reception from the coaxial cable, no more than 5 bits (5 full bit cells) of information may be received from the coaxial cable and not



(UCT = unconditional transition)  
(Wait\_Timer\_Done is specified in 10.3.1.1)

**Fig 10-2**  
**MAU Interface Function**

transmitted onto the receive DI circuit. In addition, it is permissible for the first bit sent over the receive circuit to contain invalid data or timing; however, all successive bits of the frame shall reproduce the incoming signal with no more than the amount of jitter specified below. This implies that the 7th bit cell presents valid data to the PLS. The steady-state propagation delay between the coaxial cable and the receive DI circuit output shall not exceed 1/2 bit cell.