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10021-6

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Information technology — Message Handling Systems (MHS): Protocol specifications

Technologies de l'information — Systèmes de messagerie (MHS): Spécification des protocoles

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Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of the joint technical committee is to prepare International Standards. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights.

ISO/IEC 10021-6 was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 6, *Telecommunications and information exchange between systems*, in collaboration with ITU-T. The identical text is published as ITU-T Rec. X.419.

This third edition cancels and replaces the second edition (ISO/IEC 10021-6:1996), which has been technically revised.

ISO/IEC 10021 consists of the following parts, under the general title *Information technology — Message Handling Systems (MHS)*:

— *Part 1: System and service overview*

— *Part 2: Overall architecture*

— *Part 4: Message transfer system — Abstract service definition and procedures*

— *Part 5: Message store: Abstract service definition*

— *Part 6: Protocol specifications*

— *Part 7: Interpersonal messaging system*

— *Part 8: Electronic Data Interchange Messaging Service*

— *Part 9: Electronic Data Interchange Messaging System*

— *Part 10: MHS routing*

— *Part 11: MHS Routing — Guide for messaging systems managers* [Technical Report]

Introduction

This Protocol Specification is one of a set of Recommendations | International Standards defining Message Handling in a distributed open systems environment.

Message Handling provides for the exchange of messages between users on a store-and-forward basis. A message submitted by one user (the *originator*) is transferred through the Message Transfer System (MTS) and delivered to one or more other users (the *recipients*). A user may interact directly with the MTS, or indirectly via a Message Store (MS).

The MTS comprises a number of message-transfer-agents (MTAs), which transfer messages and deliver them to their intended recipients.

This Protocol Specification was developed jointly by ITU-T and ISO/IEC. It is published as common text as ITU-T Rec. X.419 | ISO/IEC 10021-6.

INTERNATIONAL STANDARD
ITU-T RECOMMENDATION

Information technology –
Message Handling Systems (MHS):
Protocol Specifications

SECTION 1 – INTRODUCTION

1   Scope

This Recommendation | International Standard specifies the MTS Access Protocol (P3) used between a remote user-agent and the MTS to provide access to the MTS Abstract Service defined in ITU-T Rec. X.411 | ISO/IEC 10021-4.

This Recommendation | International Standard also specifies the MS Access Protocol (P7) used between a remote user-agent and a message-store (MS) to provide access to the MS Abstract Service defined in ITU-T Rec. X.413 | ISO/IEC 10021-5.

This Recommendation | International Standard also specifies the MTS Transfer Protocol (P1) used between MTAs to provide the distributed operation of the MTS as defined in ITU-T Rec. X.411 | ISO/IEC 10021-4.

ITU-T Rec. X.402 | ISO/IEC 10021-2 identifies the other Recommendations | International Standards which define other aspects of Message Handling Systems.

Section two of this Recommendation | International Standard specifies the MHS Access Protocols (P3 and P7). Clause 6 provides an overview of the MHS Access Protocols. Clause 7 defines the abstract-syntax of the MTS Access Protocol (P3). Clause 8 defines the abstract-syntax of the MS Access Protocol (P7). Clause 9 defines the mapping of the MHS Access Protocols onto used services. Clause 10 specifies conformance requirements for systems implementing the MHS Access Protocols.

Section three of this Recommendation | International Standard specifies the MTS Transfer Protocol (P1). Clause 11 provides an overview of the MTS Transfer Protocol (P1). Clause 12 defines the abstract-syntax of the MTS Transfer Protocol (P1). Clause 13 defines the mapping of the MTS Transfer Protocol (P1) onto used services. Clause 14 specifies conformance requirements for systems implementing the MTS Transfer Protocol (P1).

Annex A provides a reference definition of the MHS protocol object identifiers cited in the ASN.1 modules in the body of this Recommendation | International Standard.

Annex B describes protocol rules for interworking with implementations of the CCITT Recommendation X.411 (1984) using the MTS Transfer Protocol (P1).

Annex C identifies the differences between the CCITT Recommendation X.411 (1984) and this Recommendation | International Standard.

Annex D identifies the technical differences between the ISO/IEC and ITU-T versions of ITU-T Rec. X.419 and ISO/IEC 10021-6.

Annex E covers use of lower layer services, and is only applicable to ITU-T Recommendation X.419.

Annex F provides an index to this Recommendation | International Standard, categorised into: Abbreviations; Terms; Information Items; ASN.1 modules; ASN.1 information object classes; ASN.1 types; and ASN.1 values.

2   Normative References

The following Recommendations and International Standards contain provisions which, through reference in this text, constitute provisions of this Recommendation | International Standard. At the time of publication, the editions indicated were valid. All Recommendations and Standards are subject to revision, and parties to agreements based on this Recommendation | International Standard are encouraged to investigate the possibility of applying the most recent

editions of the Recommendations and Standards listed below. Members of ISO and IEC maintain registers of currently valid International Standards. The Telecommunication Standardization Bureau of the ITU maintains a list of currently valid ITU-T Recommendations.

2.1　Open Systems Interconnection

This Protocol Specification cites the following OSI specifications:

–　ITU-T Recommendation X.216 (1994) | ISO/IEC 8822:1994, *Information technology – Open Systems Interconnection – Connection-oriented presentation service definition*.

–　ITU-T Recommendation X.217 (1995) | ISO/IEC 8649:1996, *Information technology – Open Systems Interconnection – Service Definition for the Association Control Service Element*.

–　ITU-T Recommendation X.218 (1993), *Reliable Transfer: Model and service definition*.

　　ISO/IEC 9066-1:1989, *Information processing systems – Text communication – Reliable Transfer – Part 1: Model and service definition*.

–　CCITT Recommendation X.228 (1988), *Reliable Transfer: Protocol specification*.

　　ISO/IEC 9066-2:1989, *Information processing systems – Text communication – Reliable Transfer – Part 2: Protocol specification*.

–　ITU-T Recommendation X.680 (1997) | ISO/IEC 8824-1:1998, *Information technology – Abstract Syntax Notation One (ASN.1) – Specification of Basic Notation*.

–　ITU-T Recommendation X.681 (1997) | ISO/IEC 8824-2:1998, *Information technology – Abstract Syntax Notation One (ASN.1) – Information Object Specification*.

–　ITU-T Recommendation X.682 (1997) | ISO/IEC 8824-3:1998, *Information technology – Abstract Syntax Notation One (ASN.1) – Constraint Specification*.

–　ITU-T Recommendation X.683 (1997) | ISO/IEC 8824-4:1998, *Information technology – Abstract Syntax Notation One (ASN.1) – Parameterization of ASN.1 Specifications*.

–　ITU-T Recommendation X.880 (1994) | ISO/IEC 13712-1:1995, *Information technology – Remote Operations – Concepts, Model and Notation*.

–　ITU-T Recommendation X.881 (1994) | ISO/IEC 13712-2:1995, *Information technology – Remote Operations – OSI Realizations: Remote Operations Service Element (ROSE) Service Definition*.

–　ITU-T Recommendation X.882 (1994) | ISO/IEC 13712-3:1995, *Information technology – Remote Operations – OSI Realizations: Remote Operations Service Element (ROSE) Protocol Specification*.

–　ISO/IEC 14766:1997, *Information technology – Telecommunications and information exchange between systems – Use of OSI applications over the Internet Transmission Control Protocol (TCP)*.

2.2　Message Handling Systems

This Protocol Specification cites the following Message Handling System specifications:

–　ITU-T Recommendation F.400/X.400 (1999), *Message handling: System and service overview*.

　　ISO/IEC 10021-1:2003, *Information technology – Message Handling Systems (MHS) – Part 1: System and service overview*.

–　ITU-T Recommendation X.402 (1999) | ISO/IEC 10021-2:2003, *Information technology – Message Handling Systems (MHS) – Overall architecture*.

–　CCITT Recommendation X.408 (1988), *Message handling systems: Encoded information type conversion rules*.

–　ITU-T Recommendation X.411 (1999) | ISO/IEC 10021-4:2003, *Information technology – Message Handling Systems (MHS) – Message transfer system – Abstract service definition and procedures*.

–　ITU-T Recommendation X.413 (1999) | ISO/IEC 10021-5:1999, *Information technology – Message Handling Systems (MHS) – Message store: Abstract service definition*.

–　ITU-T Recommendation X.420 (1999) | ISO/IEC 10021-7:2003, *Information technology – Message Handling Systems (MHS) – Interpersonal messaging system*.

2.3　Directory Systems

This Protocol Specification cites the following Directory System specification:

–   ITU-T Recommendation X.501 (1997) | ISO/IEC 9594-2:1998, *Information technology – Open Systems Interconnection – The Directory – Models.*

3   Definitions

For the purposes of this Protocol Specification the definitions given in ITU-T Rec. X.402 | ISO/IEC 10021-2 apply.

4   Abbreviations

For the purposes of this Protocol Specification the abbreviations given in ITU-T Rec. X.402 | ISO/IEC 10021-2 apply.

5   Conventions

This Protocol Specification uses the descriptive conventions described below.

5.1   Terms

Throughout this Protocol Specification the words of defined terms, and the names and values of service parameters and protocol fields, unless they are proper names, begin with a lower-case letter and are linked by a hyphen thus: defined-term. Proper names begin with an upper-case letter and are not linked by a hyphen thus: Proper Name. The names and values of the parameters of the MTS Abstract Service and the MTA Abstract Service (including components of OR address defined in ITU-T Rec. X.402 | ISO/IEC 10021-2) are printed in **bold**.

5.2   Abstract Syntax Definitions

This Protocol Specification defines the abstract-syntax of the MHS protocols using the abstract syntax notation (ASN.1) defined in ITU-T Rec. X.680 | ISO/IEC 8824-1, ITU-T Rec. X.681 | ISO/IEC 8824-2, ITU-T Rec. X.682 | ISO/IEC 8824-3 and ITU-T Rec. X.683 | ISO/IEC 8824-4 and the remote operations notation defined in ITU-T Rec. X.880 | ISO/IEC 13712-1, ITU-T Rec. X.881 | ISO/IEC 13712-2 and ITU-T Rec. X.882 | ISO/IEC 13712-3.

Although the abstract syntax in this Service Definition contains extension markers, it has not been verified that these are present in all instances that would be required before Packed Encoding Rules could safely be used.

SECTION 2 – MESSAGE HANDLING SYSTEM ACCESS PROTOCOL SPECIFICATIONS

6   Overview of the MHS Access Protocols

6.1   MHS Access Protocol Model

Clause 6 of ITU-T Rec. X.411 | ISO/IEC 10021-4 describes an abstract model of the Message Transfer System (MTS), and the MTS Abstract Service which it provides to its MTS-users.

Clause 6 of ITU-T Rec. X.413 | ISO/IEC 10021-5 describes an abstract model of a Message Store (MS), and the MS Abstract Service which it provides to its MS-user.

This clause describes how the MTS Abstract Service and the MS Abstract Service are supported by instances of OSI communication when an abstract-service user and an abstract-service provider are realised as application-processes located in different open systems.

In the OSI environment, communication between application-processes is represented in terms of communication between a pair of application-entities (AEs) using the presentation-service. The functionality of an application-entity is factored into a set of one or more application-service-elements (ASEs). The interaction between AEs is described in terms of their use of the services provided by the ASEs.

Access to the MTS Abstract Service is realized by the pairing of three ports between the MTS and the MTS-user. Each port is supported by an application-service-element; for some port types more than one version of the application-service-element is defined. The Message Submission Service Element (MSSE) supports the services of the submission-port. The Message Delivery Service Element 1988 (MDSE-88) and Message Delivery Service Element 1994 (MDSE-94) support the services of the delivery-port. The Message Administration Service Element 1988 (MASE-88) and Message Administration Service Element 1994 (MASE-94) support the services of the administration-port.

Similarly, access to the MS Abstract Service is realized by the pairing of three ports between the MS and the MS-user. Each port is supported by an application-service-element; for each port type more than one version of the application-service-element is defined. The Message Submission Service Element (MSSE) and the MS Message Submission Service Element (MS-MSSE) support the services of the MS-submission-port. The Message Retrieval Service Element 1988 (MRSE-88) and the Message Retrieval Service Element 1994 (MRSE-94) support the services of the retrieval-port. The Message Administration Service Element 1988 (MASE-88) and Message Administration Service Element 1994 (MASE-94) support the services of the administration-port. The MS-user ASEs act as the consumer, and the MS ASEs act as the supplier, of the MS Abstract Service.

These application-service-elements are in turn supported by other application-service-elements.

The Remote Operations Service Element (ROSE) supports the request/reply paradigm of the abstract operations that occur at the ports in the abstract model. The MSSE, MS-MSSE, MDSE-88, MDSE-94, MRSE-88, MRSE-94, MASE-88, and MASE-94 provide the mapping function of the abstract-syntax notation of an abstract-service onto the services provided by the ROSE.

Optionally, the Reliable Transfer Service Element (RTSE) may be used to reliably transfer the application-protocol-data-units (APDUs) that contain the parameters of the operations between AEs.

The Association Control Service Element (ACSE) supports the establishment and release of an application-association between a pair of AEs. Associations between an MTS-user and the MTS may be established by either the MTS-user or the MTS. Associations between an MS-user and an MS may be established only by the MS-user. Only the initiator of an established association can release it.

The combination of one or more of the MSSE, MS-MSSE, MDSE-88, MDSE-94, MRSE-88, MRSE-94, MASE-88, and MASE-94, together with their supporting ASEs, defines the application-context of application-association. A single application-association may be used to support one or more port types paired between two objects in the abstract model.

Table 1 identifies the application-contexts defined in this Protocol Specification for the MTS Access Protocol and MS Access Protocol.

Table 1 – MHS Access Protocol Application Contexts

Application Context	Message Handling ASEs								Supporting ASEs		
	MSSE	MS-MSSE	MDSE -88	MDSE -94	MASE -88	MASE -94	MRSE -88	MRSE -94	ROSE	RTSE	ACSE
MTS Access Protocol											
mts-access-88	C	-	C	-	C	-	-	-	x	-	x
mts-forced-access-88	S	-	S	-	S	-	-	-	x	-	x
mts-reliable-access-88	C	-	C	-	C	-	-	-	x	x	x
mts-forced-reliable-access-88	S	-	S	-	S	-	-	-	x	x	x
mts-access-94	C	-	-	C	-	C	-	-	x	-	x
mts-forced-access-94	S	-	-	S	-	S	-	-	x	-	x
mts-reliable-access-94	C	-	-	C	-	C	-	-	x	x	x
mts-forced-reliable-access-94	S	-	-	S	-	S	-	-	x	x	x
MS Access Protocol											
ms-access-88	C	-	-	-	C	-	C	-	x	-	x
ms-reliable-access-88	C	-	-	-	C	-	C	-	x	x	x
ms-access-94	-	C	-	-	-	C	-	C	x	-	x
ms-reliable-access-94	-	C	-	-	-	C	-	C	x	x	x

```
    - Legend --------------------------------------------
   | x present  C   present with initiator the consumer |
   | - absent   S   present with initiator the supplier |
    ---------------------------------------------------
```

If the 1994 version of the MTS Access Protocol (P3) is supported, then support for the **mts-access-94** and **mts-forced-access-94** application-contexts is mandatory for an MTA. If the 1988 version of the MTS Access Protocol (P3) is supported, then support for the **mts-access-88** and **mts-forced-access-88** application-contexts is mandatory for an MTA. If an MTA supports the **mts-reliable-access-94** application-context, it shall also support the **mts-forced-reliable-access-94**, and vice versa. If an MTA supports the **mts-reliable-access-88** application-context, it shall also

support the **mts-forced-reliable-access-88**, and vice versa. Support for each of the MTS Access Protocol (P3) application-contexts is optional for an MTS-user. The 1994 versions of these application-contexts were introduced to provide revised versions of the Delivery-control and Register operations.

If the MS Access Protocol (P7) is supported, then support for the **ms-access-88** application-context is mandatory for an MS, and support for the **ms-reliable-access-88 ms-access-94**, and **ms-reliable-access-94** application-contexts is optional. If an MS supports the **ms-reliable-access-94** application-context, it shall also support the **ms-reliable-access-88** and **ms-access-94** application-contexts. Support for each of the MS Access Protocol (P7) application-contexts is optional for an MS-user. The **ms-access-94** and **ms-reliable-access-94** application-contexts were introduced in the 1994 version of this Protocol Specification in order to offer a broader range of Message Store services (see 7.4 of ITU-T Rec. F.400 (1994) | ISO/IEC 10021-1:1995). These 1994 application-contexts may be used to offer both the original (1988) range of services and the enhanced range of services. Nevertheless, these two application-contexts are intended to stay optional in the next version of this Protocol Specification.

> NOTE – An MS which supports one of the 1994 MS Access Protocols may be required to interwork with the MTS using one of the 1988 MTS Access Protocols. If the MS-user invokes Register (a 1994 operation), the MS should attempt to downgrade the Register argument to a Register-88 argument, and invoke the Register-88 operation over its association with the MTS. If this is not possible the MS returns a register-rejected error to the MS-user.

Figure 1 models an application-context between an MTS-user and the MTS. The consumer role of the MTS-user ASEs, and the supplier role of the MTS ASEs, is indicated by a subscript 'c', or 's', respectively. This illustrates only one of the possible application-contexts supporting the MTS Access Protocol; in the 1988 version of the MTS Access Protocol, the MDSE-88 replaces the MDSE-94, and the MASE-88 replaces the MASE-94.
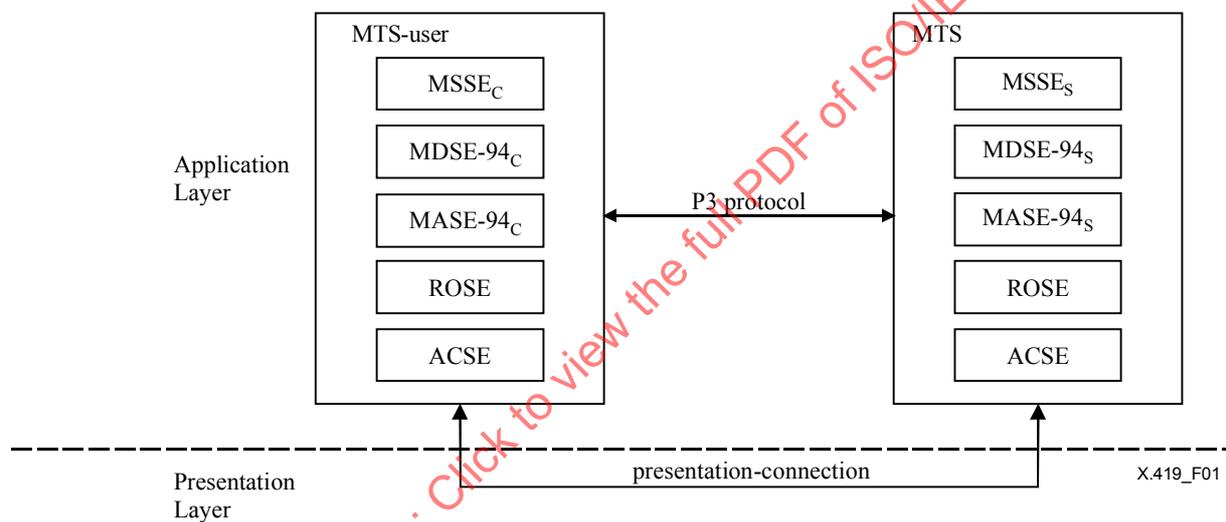
Figure 1 – An MTS Access Protocol Model

Similarly, Figure 2 models an application-context between an MS-user and the MS. This illustrates only one of the possible application-contexts supporting the MS Access Protocol; in the 1988 version of the MS Access Protocol, the MSSE replaces the MS-MSSE, the MRSE-88 replaces the MRSE-94, and the MASE-88 replaces the MASE-94.
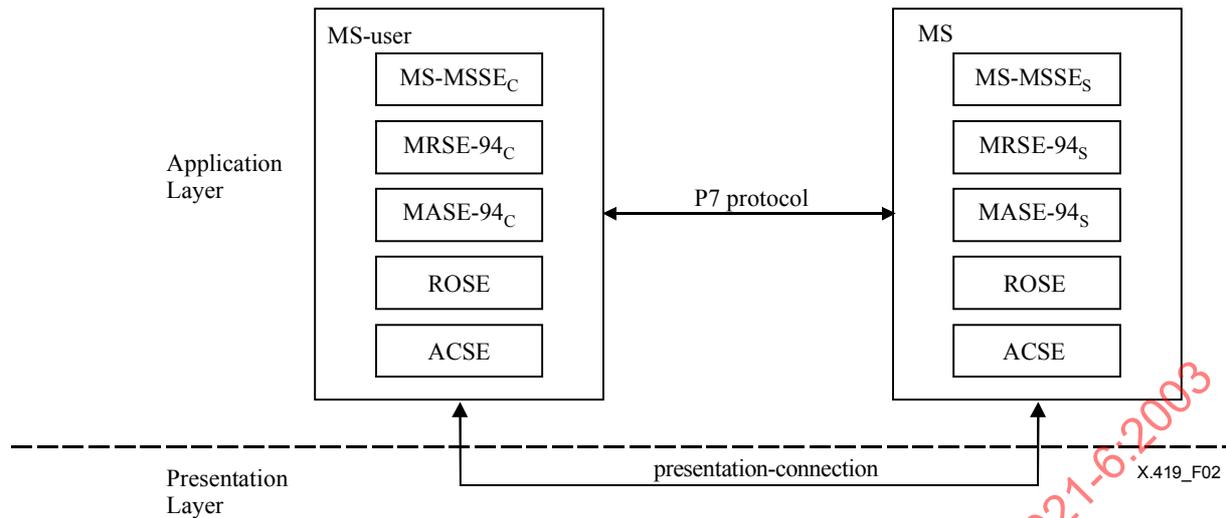
Figure 2 – An MS Access Protocol Model

6.2 Services Provided by the MTS Access Protocol

The MTS Access Protocol (P3) comprises the following operations which provide the services defined in ITU-T Rec. X.411 | ISO/IEC 10021-4:

MTS-bind and MTS-unbind

    a)   MTS-bind

    b)   MTS-unbind

Message Submission Service Element (MSSE)

    c)   Message-submission

    d)   Probe-submission

    e)   Cancel-deferred-delivery

    f)   Submission-control

Message Delivery Service Element 1988 (MDSE-88)

    g)   Message-delivery

    h)   Report-delivery

    i)   Delivery-control-88

Message Administration Service Element 1988 (MASE-88)

    j)   Register-88

    k)   Change-credentials

In the 1994 version of the MTS Access Protocol, the Message Delivery Service Element 1988 and Message Administration Service Element 1988 are replaced by the following:

Message Delivery Service Element 1994 (MDSE-94)

    l)   Message-delivery

    m)   Report-delivery

    n)   Delivery-control

Message Administration Service Element 1994 (MASE-94)

    o)   Register

    p)   Change-credentials.

6.3   Services Provided by the MS Access Protocol

The MS Access Protocol (P7) comprises the following operations which provide the services defined in ITU-T Rec. X.413 | ISO/IEC 10021-5:

MS-bind and MS-unbind

      a)   MS-bind

      b)   MS-unbind

Message Submission Service Element (MSSE)

      c)   Message-submission

      d)   Probe-submission

      e)   Cancel-deferred-delivery

      f)   Submission-control

Message Retrieval Service Element 1988 (MRSE-88)

      g)   Summarize

      h)   List

      i)   Fetch

      j)   Delete

      k)   Register-MS

      l)   Alert

Message Administration Service Element 1988 (MASE-88)

      m)   Register-88

      n)   Change-credentials

In the 1994 version of the MS Access Protocol, the Message Submission Service Element, the Message Retrieval Service Element 1988 and the Message Administration Service Element 1988 are replaced by the following:

MS Message Submission Service Element (MS-MSSE)

      o)   MS-message-submission

      p)   MS-probe-submission

      q)   MS-cancel-deferred-delivery

      r)   MS-submission-control

Message Retrieval Service Element 1994 (MRSE-94)

      s)   Modify (in addition to the operations defined for the MRSE-88)

Message Administration Service Element 1994 (MASE-94)

      t)   Register

      u)   Change-credentials.

6.4   Use of Underlying Services

The MHS Access Protocols make use of underlying services as described below.

6.4.1   Use of ROSE Services

The Remote Operations Service Element (ROSE) is defined in ITU-T Rec. X.880 | ISO/IEC 13712-1, ITU-T Rec. X.881 | ISO/IEC 13712-2 and ITU-T Rec. X.882 | ISO/IEC 13712-3.

The ROSE supports the request/reply paradigm of remote operations.

The MSSE, MS-MSSE, MDSE-88, MDSE-94, MRSE-88, MRSE-94, MASE-88 and MASE-94 are the sole users of the RO-INVOKE, RO-RESULT, RO-ERROR, RO-REJECT-U and RO-REJECT-P services of the ROSE.

The remote operations of the MTS Access Protocol (P3) and the MS Access Protocol (P7) are asynchronous operations, that return either a result or an error.

6.4.2 Use of RTSE Services

The Reliable Transfer Service Element (RTSE) is defined in CCITT Rec. X.218 | ISO/IEC 9066-1.

The RTSE provides for the reliable transfer of application-protocol-data-units (APDUs). The RTSE ensures that each APDU is completely transferred exactly once, or that the sender is warned of an exception. The RTSE recovers from communication and end-system failure and minimises the amount of retransmission needed for recovery.

Alternative application-contexts with and without RTSE are defined to support the MHS Access Protocols.

The RTSE is used in the normal mode. The use of the normal mode of the RTSE implies the use of the normal mode of the ACSE and the normal mode of the presentation-service.

If the RTSE is included in an application-context, the MHS Access Protocol MTS-bind and MTS-unbind (or MS-bind and MS-unbind) are the sole users of the RT-OPEN and RT-CLOSE services of the RTSE. The ROSE is the sole user of the RT-TRANSFER, RT-TURN-PLEASE, RT-TURN-GIVE, RT-P-ABORT and RT-U-ABORT services of the RTSE.

> NOTE – Implementors should be aware of a potential problem when using secure messaging and RTSE. In the event of using the RTS association recovery procedure, the recovered association will no longer have peer to peer authentication.

6.4.3 Use of ACSE Services

The Association Control Service Element (ACSE) is defined in ITU-T Rec. X.217 | ISO 8649.

The ACSE provides for the control (establishment, release, abort) of application-associations between AEs.

If the RTSE is not included in an application-context, the MHS Access Protocol MTS-bind and MTS-unbind (or MS-bind and MS-unbind) are the sole users of the A-ASSOCIATE and A-RELEASE services of the ACSE in normal mode. The ROSE is the user of the A-ABORT and A-P-ABORT services of the ACSE.

If the RTSE is included in the application-context, the RTSE is the sole user of the A-ASSOCIATE, A-RELEASE, A-ABORT and A-P-ABORT services of the ACSE. The use of the normal mode of the RTSE implies the use of the normal mode of the ACSE and the normal mode of the presentation-service.

6.4.4 Use of the Presentation-service

The presentation-service is defined in ITU-T Rec. X.216 | ISO 8822.

The Presentation Layer co-ordinates the representation (syntax) of the Application Layer semantics that are to be exchanged.

In normal mode, a different presentation-context is used for each abstract-syntax included in the application-context.

The ACSE is the sole user of the P-CONNECT, P-RELEASE, P-U-ABORT and P-P-ABORT services of the presentation-service.

If the RTSE is not included in the application-context, the ROSE is the sole user of the P-DATA service of the presentation-service.

If the RTSE is included in the application-context, the RTSE is the sole user of the P-ACTIVITY-START, P-DATA, P-MINOR-SYNCHRONIZE, P-ACTIVITY-END, P-ACTIVITY-INTERRUPT, P-ACTIVITY-DISCARD, P-U-EXCEPTION-REPORT, P-ACTIVITY-RESUME, P-P-EXCEPTION-REPORT, P-TOKEN-PLEASE and P-CONTROL-GIVE services of the presentation-service. The use of the normal mode of the RTSE implies the use of the normal mode of the ACSE and the normal mode of the presentation-service.

7 MTS Access Protocol Abstract Syntax Definition

The abstract-syntax of the 1994 and 1988 versions MTS Access Protocol (P3) is defined in Figure 3.

The abstract-syntax of the MTS Access Protocol (P3) is defined using the abstract syntax notation (ASN.1) defined in ITU-T Rec. X.680 | ISO/IEC 8824-1, ITU-T Rec. X.681 | ISO/IEC 8824-2, ITU-T Rec. X.682 | ISO/IEC 8824-3 and ITU-T Rec. X.683 | ISO/IEC 8824-4, and the remote operations notation defined in ITU-T Rec. X.880 | ISO/IEC 13712-1, ITU-T Rec. X.881 | ISO/IEC 13712-2 and ITU-T Rec. X.882 | ISO/IEC 13712-3.

The abstract-syntax definition of the MTS Access Protocol (P3) has the following major parts:

Prologue: declarations of the imports to the MTS Access Protocol (P3) module (Figure 3 Parts 1 and 2).

Application Contexts: definitions of application-contexts that may be used between an MTS-user and the MTS (Figure 3 Parts 2 and 3).

Abstract Syntaxes: definitions of the abstract-syntaxes for the supporting application-service-elements and for the three principal application-service-elements (each of which include ROSE):

    a)   Message Submission Service Element (Figure 3 Part 4)

    b)   Message Delivery Service Element 1994 and 1988 (Figure 3 Parts 4 and 5)

    c)   Message Administration Service Element 1994 and 1988 (Figure 3 Part 5)

```
MTSAccessProtocol { joint-iso-itu-t mhs(6) protocols(0) modules(0) mts-access-protocol(1)
          version-1999(1) }

DEFINITIONS IMPLICIT TAGS ::=

BEGIN

--      Prologue

IMPORTS

        -- MTS Abstract Service

        administration, delivery, mts-access-contract, mts-connect, mts-forced-access-contract,
        submission
                ----
                FROM MTSAbstractService { joint-iso-itu-t mhs(6) mts(3) modules(0)
                        mts-abstract-service(1) version-1999(1) }

        -- MTS Abstract Service (1988)

        administration-88, delivery-88, mts-access-contract-88, mts-forced-access-contract-88
                ----
                FROM MTSAbstractService88 { joint-iso-itu-t mhs(6) mts(3) modules(0)
                        mts-abstract-service(1) version-1988(1988) }

        -- Remote Operations

        APPLICATION-CONTEXT
                ----
                FROM Remote-Operations-Information-Objects-extensions { joint-iso-itu-t
                        remote-operations(4) informationObjects-extensions(8) version1(0) }

        Code
                ----
                FROM Remote-Operations-Information-Objects { joint-iso-itu-t
        remote-operations(4)
                        informationObjects(5) version1(0) }

        Bind { }, InvokeId, Unbind { }
                ----
                FROM Remote-Operations-Generic-ROS-PDUs { joint-iso-itu-t remote-operations(4)
                        generic-ROS-PDUs(6) version1(0) }

        ROS-SingleAS { }
                ----
                FROM Remote-Operations-Useful-Definitions { joint-iso-itu-t remote-operations(4)
                        useful-definitions(7) version1(0) }

        acse, association-by-RTSE, pData, transfer-by-RTSE
                ----
                FROM Remote-Operations-Realizations { joint-iso-itu-t remote-operations(4)
                        realizations(9) version1(0) }

        acse-abstract-syntax
                ----
                FROM Remote-Operations-Abstract-Syntaxes { joint-iso-itu-t remote-operations(4)
                        remote-operations-abstract-syntaxes(12) version1(0) }
```

Figure 3 – Abstract Syntax Definition of the MTS Access Protocol (P3) (Part 1 of 6)

       -- Reliable Transfer

```
        RTORQapdu, RTOACapdu, RTORJapdu
            ----
                FROM Reliable-Transfer-APDU { joint-iso-itu-t reliable-transfer(3) apdus(0) }
```

       -- Object Identifiers

```
        id-ac-mts-access-88, id-ac-mts-access-94, id-ac-mts-forced-access-88,
        id-ac-mts-forced-access-94, id-ac-mts-forced-reliable-access-88,
        id-ac-mts-forced-reliable-access-94, id-ac-mts-reliable-access-88,
        id-ac-mts-reliable-access-94, id-as-mase-88, id-as-mase-94, id-as-mdse-88,
        id-as-mdse-94, id-as-msse, id-as-mts, id-as-mts-rtse
            ----
                FROM MHSProtocolObjectIdentifiers { joint-iso-itu-t mhs(6) protocols(0)
                    modules(0) object-identifiers(0) version-1994(0) };

RTSE-apdus ::= CHOICE {
  rtorq-apdu   [16] IMPLICIT RTORQapdu,
  rtoac-apdu   [17] IMPLICIT RTOACapdu,
  rtorj-apdu   [18] IMPLICIT RTORJapdu,
  rttp-apdu    RTTPapdu,
  rttr-apdu    RTTRapdu,
  rtab-apdu    [22] IMPLICIT RTABapdu }
RTTPapdu ::= -- priority-- INTEGER
RTTRapdu ::= OCTET STRING
RTABapdu ::= SET {
 abortReason          [0] IMPLICIT AbortReason OPTIONAL,
 reflectedParameter   [1] IMPLICIT BIT STRING OPTIONAL, -- 8 bits maximum, only if
 abortReason is invalidParameter
 userdataAB                 [2] TYPE-IDENTIFIER.&Type OPTIONAL -- only in normal mode
 and if abortReason--
 -- is userError }
 AbortReason ::= INTEGER {
 localSystemProblem(0),
 invalidParameter(1),
   -- reflectedParameter supplied
 unrecognizedActivity(2),
 temporaryProblem(3),
   -- the RTSE cannot accept a session for a period of time
 protocolError(4), -- RTSE level protocol error
 permanentProblem(5), --provider-abort solely in normal mode
 userError(6), -- user-abort solely in normal mode
 transferCompleted(7)
   -- activity can't be discarded--}


--      APPLICATION CONTEXTS

--      1994 Application Contexts omitting RTSE

--      MTS-user initiated

mts-access-94  APPLICATION-CONTEXT ::= {
        CONTRACT                mts-access-contract
        ESTABLISHED BY          acse
        INFORMATION TRANSFER BY pData
        ABSTRACT SYNTAXES       {acse-abstract-syntax |
                                message-submission-abstract-syntax |
                                message-delivery-abstract-syntax |
                                message-administration-abstract-syntax-94 |
                                mts-bind-unbind-abstract-syntax }
        APPLICATION CONTEXT NAME  id-ac-mts-access-94 }
```

Figure 3 – Abstract Syntax Definition of the MTS Access Protocol (P3) (Part 2 of 6)

```
--      MTS initiated

mts-forced-access-94  APPLICATION-CONTEXT ::= {
        CONTRACT                  mts-forced-access-contract
        ESTABLISHED BY            acse
        INFORMATION TRANSFER BY   pData
        ABSTRACT SYNTAXES         {acse-abstract-syntax |
                                  message-submission-abstract-syntax |
                                  message-delivery-abstract-syntax |
                                  message-administration-abstract-syntax-94 |
                                  mts-bind-unbind-abstract-syntax }
        APPLICATION CONTEXT NAME  id-ac-mts-forced-access-94 }


--      1994 Application Contexts including RTSE in normal mode

--      MTS-user initiated

mts-reliable-access-94  APPLICATION-CONTEXT ::= {
        CONTRACT                  mts-access-contract
        ESTABLISHED BY            association-by-RTSE
        INFORMATION TRANSFER BY   transfer-by-RTSE
        ABSTRACT SYNTAXES         {acse-abstract-syntax |
                                  message-submission-abstract-syntax |
                                  message-delivery-abstract-syntax |
                                  message-administration-abstract-syntax-94 |
                                  mts-bind-unbind-rtse-abstract-syntax }
        APPLICATION CONTEXT NAME  id-ac-mts-reliable-access-94 }

--      MTS initiated

mts-forced-reliable-access-94  APPLICATION-CONTEXT ::= {
        CONTRACT                  mts-forced-access-contract
        ESTABLISHED BY            association-by-RTSE
        INFORMATION TRANSFER BY   transfer-by-RTSE
        ABSTRACT SYNTAXES         {acse-abstract-syntax |
                                  message-submission-abstract-syntax |
                                  message-delivery-abstract-syntax |
                                  message-administration-abstract-syntax-94 |
                                  mts-bind-unbind-rtse-abstract-syntax }
        APPLICATION CONTEXT NAME  id-ac-mts-forced-reliable-access-94 }

--      1988 Application Contexts omitting RTSE

--      MTS-user initiated

mts-access-88  APPLICATION-CONTEXT ::= {
        CONTRACT                  mts-access-contract-88
        ESTABLISHED BY            acse
        INFORMATION TRANSFER BY   pData
        ABSTRACT SYNTAXES         {acse-abstract-syntax |
                                  message-submission-abstract-syntax |
                                  message-delivery-abstract-syntax-88 |
                                  message-administration-abstract-syntax-88 |
                                  mts-bind-unbind-abstract-syntax}
        APPLICATION CONTEXT NAME  id-ac-mts-access-88 }

--      MTS initiated

mts-forced-access-88  APPLICATION-CONTEXT ::= {
        CONTRACT                  mts-forced-access-contract-88
        ESTABLISHED BY            acse
        INFORMATION TRANSFER BY   pData
        ABSTRACT SYNTAXES         {acse-abstract-syntax |
                                  message-submission-abstract-syntax |
                                  message-delivery-abstract-syntax-88 |
                                  message-administration-abstract-syntax-88 |
                                  mts-bind-unbind-abstract-syntax}
        APPLICATION CONTEXT NAME  id-ac-mts-forced-access-88 }
```

Figure 3 – Abstract Syntax Definition of the MTS Access Protocol (P3) (Part 3 of 6)

```
--      1988 Application Contexts including RTSE in normal mode

--      MTS-user initiated

mts-reliable-access-88  APPLICATION-CONTEXT ::= {
        CONTRACT                  mts-access-contract-88
        ESTABLISHED BY            association-by-RTSE
        INFORMATION TRANSFER BY   transfer-by-RTSE
        ABSTRACT SYNTAXES         {acse-abstract-syntax |
                                  message-submission-abstract-syntax |
                                  message-delivery-abstract-syntax-88 |
                                  message-administration-abstract-syntax-88 |
                                  mts-bind-unbind-rtse-abstract-syntax}
        APPLICATION CONTEXT NAME  id-ac-mts-reliable-access-88 }

--      MTS initiated

mts-forced-reliable-access-88 APPLICATION-CONTEXT ::= {
        CONTRACT                  mts-forced-access-contract-88
        ESTABLISHED BY            association-by-RTSE
        INFORMATION TRANSFER BY   transfer-by-RTSE
        ABSTRACT SYNTAXES         {acse-abstract-syntax |
                                  message-submission-abstract-syntax |
                                  message-delivery-abstract-syntax-88 |
                                  message-administration-abstract-syntax-88 |
                                  mts-bind-unbind-rtse-abstract-syntax}
        APPLICATION CONTEXT NAME  id-ac-mts-forced-reliable-access-88 }

--      ABSTRACT-SYNTAXES

--      Abstract Syntax for MTS-Bind and MTS-Unbind

mts-bind-unbind-abstract-syntax ABSTRACT-SYNTAX ::= {MTSBindUnbindPDUs IDENTIFIED BY
        id-as-mts}

MTSBindUnbindPDUs ::= CHOICE {
        bind    Bind {mts-connect.&bind},
        unbind  Unbind {mts-connect.&unbind} }

--      Abstract Syntax for MTS-Bind and MTS-Unbind with RTSE

mts-bind-unbind-rtse-abstract-syntax ABSTRACT-SYNTAX ::= {
        RTSE-apdus -- With MTS Bind and MTS Unbind -- IDENTIFIED BY id-as-mts-rtse }


--      Abstract Syntax for Message Submission Service Element

message-submission-abstract-syntax ABSTRACT-SYNTAX ::= {
        MessageSubmissionPDUs IDENTIFIED BY id-as-msse}

MessageSubmissionPDUs ::= ROS-SingleAS {{MTSInvokeIds}, submission}

MTSInvokeIds ::= InvokeId (ALL EXCEPT absent:NULL)

--      Remote Operations

op-message-submission                   Code ::= local:3

op-probe-submission                     Code ::= local:4

op-cancel-deferred-delivery             Code ::= local:7

op-submission-control                   Code ::= local:2
```

Figure 3 – Abstract Syntax Definition of the MTS Access Protocol (P3) (Part 4 of 6)

```
--        Remote Errors

err-submission-control-violated          Code ::= local:1

err-element-of-service-not-subscribed    Code ::= local:4

err-deferred-delivery-cancellation-rejected Code ::= local:8

err-originator-invalid                   Code ::= local:2

err-recipient-improperly-specified       Code ::= local:3

err-message-submission-identifier-invalid Code ::= local:7

err-inconsistent-request                 Code ::= local:11

err-security-error                       Code ::= local:12

err-unsupported-critical-function        Code ::= local:13

err-remote-bind-error                    Code ::= local:15
```

-- *Abstract Syntax for Message Delivery Service Element 1994*

```
message-delivery-abstract-syntax ABSTRACT-SYNTAX ::= {
      MessageDeliveryPDUs IDENTIFIED BY id-as-mdse-94 }

MessageDeliveryPDUs ::= ROS-SingleAS {{MTSInvokeIds}, delivery}
```

-- *Abstract Syntax for Message Delivery Service Element 1988*

```
message-delivery-abstract-syntax-88 ABSTRACT-SYNTAX ::= {
      MessageDeliveryPDUs88 IDENTIFIED BY id-as-mdse-88 }

MessageDeliveryPDUs88 ::= ROS-SingleAS {{MTSInvokeIds}, delivery-88}
```

-- *Remote Operations*

```
op-message-delivery                      Code ::= local:5

op-report-delivery                       Code ::= local:6

op-delivery-control                      Code ::= local:2
```

-- *Remote Errors*

```
err-delivery-control-violated            Code ::= local:1

err-control-violates-registration        Code ::= local:14

err-operation-refused                    Code ::= local:16
```

-- *Abstract Syntax for Message Administration Service Element 1994*

```
message-administration-abstract-syntax-94 ABSTRACT-SYNTAX ::= {
      MessageAdministrationPDUs IDENTIFIED BY id-as-mase-94 }

MessageAdministrationPDUs ::= ROS-SingleAS {{MTSInvokeIds}, administration}
```

Figure 3 – Abstract Syntax Definition of the MTS Access Protocol (P3) (Part 5 of 6)

-- *Abstract Syntax for Message Administration Service Element 1988*

```
message-administration-abstract-syntax-88 ABSTRACT-SYNTAX ::= {
      MessageAdministrationPDUs88 IDENTIFIED BY id-as-mase-88 }

MessageAdministrationPDUs88 ::= ROS-SingleAS {{MTSInvokeIds}, administration-88}
```

-- *Remote Operations*

```
op-register                          Code ::= local:1

op-change-credentials                Code ::= local:8
```

-- *Remote Errors*

```
err-register-rejected                Code ::= local:10

err-new-credentials-unacceptable     Code ::= local:6

err-old-credentials-incorrectly-specified  Code ::= local:5
```

END   -- *of MTSAccessProtocol*

Figure 3 – Abstract Syntax Definition of the MTS Access Protocol (P3) (Part 6 of 6)

8   MS Access Protocol Abstract Syntax Definition

The abstract-syntax of the 1994 and 1988 versions MS Access Protocol (P7) is defined in Figure 4.

The abstract-syntax of the MS Access Protocol (P7) is defined using the abstract syntax notation (ASN.1) defined in ITU-T Rec. X.680 | ISO/IEC 8824-1, ITU-T Rec. X.681 | ISO/IEC 8824-2, ITU-T Rec. X.682 | ISO/IEC 8824-3 and ITU-T Rec. X.683 | ISO/IEC 8824-4, and the remote operations notation defined in ITU-T Rec. X.880 | ISO/IEC 13712-1, ITU-T Rec. X.881 | ISO/IEC 13712-2 and ITU-T Rec. X.882 | ISO/IEC 13712-3.

The abstract-syntax definition of the MS Access Protocol (P7) has the following major parts:

Prologue: declarations of the imports to the MS Access Protocol (P7) module (Figure 4 Parts 1 and 2).

Application Contexts: definitions of application-contexts that may be used between an MS-user and an MS (Figure 4 Parts 2 and 3).

Abstract Syntaxes: definitions of the abstract-syntaxes for MS-bind and MS-unbind, for the MS Message Submission Service Element (MS-MSSE) and the Message Retrieval Service Element 1994 and 1988 (MRSE-94 and MRSE-88) (Figure 4 Parts 3 and 4). The Message Administration Service Element 1994 and 1988 (MASE-94 and MASE-88) are defined in Figure 3.

```
MSAccessProtocol { joint-iso-itu-t mhs(6) protocols(0) modules(0) ms-access-protocol(2)
          version-1999(1) }

DEFINITIONS ::=

BEGIN

--      Prologue

IMPORTS

        -- MS Abstract Service

    ms-access-contract-88, ms-access-contract-94, ms-submission, retrieval, retrieval-88
          ----
          FROM MSAbstractService { joint-iso-itu-t mhs(6) ms(4) modules(0)
                abstract-service(1) version-1999(1) }

        -- Remote Operations

    APPLICATION-CONTEXT
          ----
          FROM Remote-Operations-Information-Objects-extensions { joint-iso-itu-t
                remote-operations(4) informationObjects-extensions(8) version1(0) }

    Code
          ----
          FROM Remote-Operations-Information-Objects { joint-iso-itu-t
                remote-operations(4) informationObjects(5) version1(0) }

    Bind { }, InvokeId, Unbind { }
          ----
          FROM Remote-Operations-Generic-ROS-PDUs { joint-iso-itu-t remote-operations(4)
                generic-ROS-PDUs(6) version1(0) }

    ROS-SingleAS { }
          ----
          FROM Remote-Operations-Useful-Definitions { joint-iso-itu-t remote-operations(4)
                useful-definitions(7) version1(0) }

    acse, association-by-RTSE, pData, transfer-by-RTSE
          ----
          FROM Remote-Operations-Realizations { joint-iso-itu-t remote-operations(4)
                realizations(9) version1(0) }

    acse-abstract-syntax
          ----
          FROM Remote-Operations-Abstract-Syntaxes { joint-iso-itu-t remote-operations(4)
                remote-operations-abstract-syntaxes(12) version1(0) }

        -- Reliable Transfer

    RTORQapdu, RTOACapdu, RTORJapdu
          --
          FROM Reliable-Transfer-APDU { joint-iso-itu-t reliable-transfer(3) apdus(0) }

        -- MTS Access Protocol

    message-administration-abstract-syntax-88, message-administration-abstract-syntax-94,
    message-submission-abstract-syntax
          ----
          FROM MTSAccessProtocol { joint-iso-itu-t mhs(6) protocols(0) modules(0)
                mts-access-protocol(1) version-1999(1) }
```

Figure 4 – Abstract Syntax Definition of the MS Access Protocol (P7) (Part 1 of 4)

-- Object Identifiers

```
        id-ac-ms-access-88, id-ac-ms-access-94, id-ac-ms-reliable-access-88,
        id-ac-ms-reliable-access-94, id-as-ms-msse, id-as-mase-88, id-as-mase-94,
        id-as-mdse-88, id-as-mdse-94, id-as-mrse-88, id-as-mrse-94, id-as-ms-88, id-as-ms-94,
        id-as-ms-rtse, id-as-msse
                ----
            FROM MHSProtocolObjectIdentifiers { joint-iso-itu-t mhs(6) protocols(0)
                    modules(0) object-identifiers(0) version-1994(0) };
```

```
RTSE-apdus ::= CHOICE {
  rtorq-apdu  [16] IMPLICIT RTORQapdu,
  rtoac-apdu  [17] IMPLICIT RTOACapdu,
  rtorj-apdu  [18] IMPLICIT RTORJapdu,
  rttp-apdu   RTTPapdu,
  rttr-apdu   RTTRapdu,
  rtab-apdu   [22] IMPLICIT RTABapdu }
RTTPapdu ::= -- priority-- INTEGER
RTTRapdu ::= OCTET STRING
RTABapdu ::= SET {
 abortReason        [0] IMPLICIT AbortReason OPTIONAL,
 reflectedParameter [1] IMPLICIT BIT STRING OPTIONAL, -- 8 bits maximum, only if
  abortReason is invalidParameter
 userdataAB             [2] TYPE-IDENTIFIER.&Type OPTIONAL -- only in normal mode
  and if abortReason--
  -- is userError }
 AbortReason ::= INTEGER {
 localSystemProblem(0),
 invalidParameter(1),
  -- reflectedParameter supplied
 unrecognizedActivity(2),
 temporaryProblem(3),
  -- the RTSE cannot accept a session for a period of time
 protocolError(4), -- RTSE level protocol error
 permanentProblem(5), --provider-abort solely in normal mode
 userError(6), -- user-abort solely in normal mode
 transferCompleted(7)
  -- activity can't be discarded--}
```

```
--      APPLICATION-CONTEXTS
```

```
--      1994 Application Context omitting RTSE
```

```
ms-access-94 APPLICATION-CONTEXT ::= {
        CONTRACT                ms-access-contract-94
        ESTABLISHED BY          acse
        INFORMATION TRANSFER BY pData
        ABSTRACT SYNTAXES       {acse-abstract-syntax |
                                ms-message-submission-abstract-syntax |
                                message-retrieval-abstract-syntax-94 |
                                message-administration-abstract-syntax-94 |
                                ms-bind-unbind-abstract-syntax-94}
        APPLICATION CONTEXT NAME  id-ac-ms-access-94 }
```

```
--      1994 Application Context including RTSE
```

```
ms-reliable-access-94 APPLICATION-CONTEXT ::= {
        CONTRACT                ms-access-contract-94
        ESTABLISHED BY          association-by-RTSE
        INFORMATION TRANSFER BY transfer-by-RTSE
        ABSTRACT SYNTAXES       {acse-abstract-syntax |
                                ms-message-submission-abstract-syntax |
                                message-retrieval-abstract-syntax-94 |
                                message-administration-abstract-syntax-94 |
                                ms-bind-unbind-rtse-abstract-syntax}
        APPLICATION CONTEXT NAME  id-ac-ms-reliable-access-94 }
```

Figure 4 – Abstract Syntax Definition of the MS Access Protocol (P7) (Part 2 of 4)

```
--      1988 Application Context omitting RTSE

ms-access-88 APPLICATION-CONTEXT ::= {
        CONTRACT                ms-access-contract-88
        ESTABLISHED BY          acse
        INFORMATION TRANSFER BY pData
        ABSTRACT SYNTAXES       {acse-abstract-syntax |
                                message-submission-abstract-syntax |
                                message-retrieval-abstract-syntax-88 |
                                message-administration-abstract-syntax-88 |
                                ms-bind-unbind-abstract-syntax-88}
        APPLICATION CONTEXT NAME id-ac-ms-access-88 }


--      1988 Application Context including RTSE

ms-reliable-access-88 APPLICATION-CONTEXT ::= {
        CONTRACT                ms-access-contract-88
        ESTABLISHED BY          association-by-RTSE
        INFORMATION TRANSFER BY transfer-by-RTSE
        ABSTRACT SYNTAXES       {acse-abstract-syntax |
                                message-submission-abstract-syntax |
                                message-retrieval-abstract-syntax-88 |
                                message-administration-abstract-syntax-88 |
                                ms-bind-unbind-rtse-abstract-syntax}
        APPLICATION CONTEXT NAME id-ac-ms-reliable-access-88 }



--      ABSTRACT SYNTAXES

--      Abstract-syntax for 1994 MS-bind and MS-unbind

ms-bind-unbind-abstract-syntax-94 ABSTRACT-SYNTAX ::= {
        MSBindUnbindPDUs94 IDENTIFIED BY id-as-ms-94}

MSBindUnbindPDUs94 ::= CHOICE {
        bind    Bind {ms-access-contract-94.&connection.&bind},
        unbind  Unbind {ms-access-contract-94.&connection.&unbind} }

--      Abstract-syntax for 1988 MS-bind and MS-unbind

ms-bind-unbind-abstract-syntax-88 ABSTRACT-SYNTAX ::= {
        MSBindUnbindPDUs88 IDENTIFIED BY id-as-ms-88}

MSBindUnbindPDUs88 ::= CHOICE {
        bind    Bind {ms-access-contract-88.&connection.&bind},
        unbind  Unbind {ms-access-contract-88.&connection.&unbind} }

--      Abstract-syntax for MS-bind and MS-unbind with RTSE

ms-bind-unbind-rtse-abstract-syntax ABSTRACT-SYNTAX ::= {
        RTSE-apdus -- With MS-bind and MS-unbind -- IDENTIFIED BY id-as-ms-rtse }

--      Abstract Syntax for MS Message Submission Service Element

ms-message-submission-abstract-syntax ABSTRACT-SYNTAX ::= {
        MSMessageSubmissionPDUs IDENTIFIED BY id-as-ms-msse }

MSMessageSubmissionPDUs ::= ROS-SingleAS {{MSInvokeIds}, ms-submission}

MSInvokeIds ::= InvokeId (ALL EXCEPT absent:NULL)

--      Abstract Syntax for Message Retrieval Service Element 1994

message-retrieval-abstract-syntax-94 ABSTRACT-SYNTAX ::= {
        MessageRetrievalPDUs IDENTIFIED BY id-as-mrse-94}
```

Figure 4 – Abstract Syntax Definition of the MS Access Protocol (P7) (Part 3 of 4)

--   *Abstract Syntax for Message Retrieval Service Element 1988*

```
MessageRetrievalPDUs ::= ROS-SingleAS {{MSInvokeIds}, retrieval}

message-retrieval-abstract-syntax-88 ABSTRACT-SYNTAX ::= {
      MessageRetrievalPDUs88 IDENTIFIED BY id-as-mrse-88}

MessageRetrievalPDUs88 ::= ROS-SingleAS {{MSInvokeIds}, retrieval-88}
```

--   *Remote Operations*

```
op-ms-submission-control      Code ::= local:2

op-ms-message-submission      Code ::= local:3

op-ms-probe-submission        Code ::= local:4

op-ms-cancel-deferred-delivery  Code ::= local:7

op-summarize                  Code ::= local:20

op-list                       Code ::= local:21

op-fetch                      Code ::= local:22

op-delete                     Code ::= local:23

op-register-ms                Code ::= local:24

op-alert                      Code ::= local:25

op-modify                     Code ::= local:26
```

--   *Remote Errors*

```
err-attribute-error           Code ::= local:21

err-auto-action-request-error Code ::= local:22

err-delete-error              Code ::= local:23

err-fetch-restriction-error   Code ::= local:24

err-range-error               Code ::= local:25   -- 1988 Application Contexts only --

err-security-error            Code ::= local:26

err-service-error             Code ::= local:27

err-sequence-number-error     Code ::= local:28

err-invalid-parameters-error  Code ::= local:29

err-message-group-error       Code ::= local:30

err-ms-extension-error        Code ::= local:31

err-register-ms-error         Code ::= local:32

err-modify-error              Code ::= local:33

err-entry-class-error         Code ::= local:34
```

END   *-- of MSAccessProtocol*

Figure 4 – Abstract Syntax Definition of the MS Access Protocol (P7) (Part 4 of 4)

9 Mapping onto Used Services

This clause defines the mapping of the MHS Access Protocols onto the used services.

Clause 9.1 defines the mapping onto used services for application-contexts that omit the RTSE. Clause 9.2 defines the mapping onto used services for application-contexts that include the RTSE. Clause 9.3 defines the application-context negotiation mechanism for the MS Access Protocol.

9.1 Application-contexts omitting RTSE

This clause defines the mapping of the MHS Access Protocols onto the used services for application-contexts that omit the RTSE. Support for this mapping is optional for conformance to this Protocol Specification.

9.1.1 Mapping onto ACSE

This clause defines the mapping of the abstract-bind (MTS-bind or MS-bind) and abstract-unbind (MTS-unbind or MS-unbind) services onto the services of the ACSE in normal mode for application-contexts that omit the RTSE. The ACSE is defined in ITU-T Rec. X.217 | ISO 8649.

9.1.1.1 Abstract-bind onto A-ASSOCIATE

The abstract-bind service is mapped onto the A-ASSOCIATE service of the ACSE. The use of the parameters of the A-ASSOCIATE service is qualified in the following clauses.

9.1.1.1.1 Mode

This parameter shall be supplied by the initiator of the association in the A-ASSOCIATE request primitive, and shall have the value 'normal mode'.

9.1.1.1.2 Application Context Name

The initiator of the association shall propose one of the application-contexts defined in this Protocol Specification that omit the RTSE in the A-ASSOCIATE request primitive (see Table 1).

9.1.1.1.3 User Information

The mapping of the bind-operation of the abstract-bind service onto the User Information parameter of the A-ASSOCIATE request primitive is defined in ITU-T Rec. X.881 | ISO/IEC 13712-2.

9.1.1.1.4 Presentation Context Definition List

The initiator of the association shall supply the Presentation Context Definition List in the A-ASSOCIATE request primitive.

The Presentation Context Definition List comprises a presentation-context-definition for each abstract-syntax included in the application-context. A presentation-context-definition comprises a presentation-context-identifier and an abstract-syntax-name for the ASE. Each named abstract-syntax for the MSSE, MS-MSSE, MDSE-88, MDSE-94, MRSE-88. MRSE-94, MASE-88, and MASE-94 includes the ROSE APDUs.

Clauses 7 and 8 define the abstract-syntaxes included in the application-contexts.

9.1.1.1.5 Quality of Service

This parameter shall be supplied by the initiator of the association in the A-ASSOCIATE request primitive, and by the responder of the association in the A-ASSOCIATE response primitive. The parameters 'Extended Control' and 'Optimised Dialogue Transfer' shall be set to not required. The remaining parameters shall be such that default values are used.

9.1.1.1.6 Session Requirements

This parameter shall be set by the initiator of the association in the A-ASSOCIATE request primitive, and by the responder of the association in the A-ASSOCIATE response primitive. The parameter shall be set to specify the following functional units:

    a) Kernel

    b) Duplex.

9.1.1.2 Abstract-unbind onto A-RELEASE

The abstract-unbind service is mapped onto the A-RELEASE service of the ACSE. The use of the parameters of the A-RELEASE service is qualified in the following clause.

9.1.1.2.1 Result

This parameter shall have the value 'affirmative'.

9.1.1.3 Use of A-ABORT and A-P-ABORT Services

The ROSE is the user of the A-ABORT and A-P-ABORT services of the ACSE.

9.1.2 Mapping onto ROSE

The MSSE, MS-MSSE, MDSE-88, MDSE-94, MRSE-88. MRSE-94, MASE-88, and MASE-94 services are mapped onto the RO-INVOKE, RO-RESULT, RO-ERROR, RO-REJECT-U and RO-REJECT-P services of the ROSE. The mapping of the abstract-syntax notation of these ASEs onto the ROSE services is defined in ITU-T Rec. X.880 | ISO/IEC 13712-1.

9.2 Application-contexts including RTSE

This clause defines the mapping of the MHS Access Protocols onto the used services for application-contexts that include the RTSE in normal mode. Support for this mapping is optional for conformance to this Protocol Specification. No mappings are defined onto the RTSE in X.410-1984 mode. The RTSE is defined in CCITT Rec. X.218 | ISO/IEC 9066-1.

9.2.1 Mapping onto RT-OPEN and RT-CLOSE

This clause defines the mapping of the abstract-bind (MTS-bind or MS-bind) and abstract-unbind (MTS-unbind or MS-unbind) services onto the RT-OPEN and RT-CLOSE services of the RTSE in normal mode.

9.2.1.1 Abstract-bind onto RT-OPEN

The abstract-bind service is mapped onto the RT-OPEN service of the RTSE. The use of the parameters of the RT-OPEN service is qualified in the following clauses.

9.2.1.1.1 Mode

This parameter shall be supplied by the initiator of the association in the RT-OPEN request primitive, and shall have the value 'normal mode'.

9.2.1.1.2 Application Context Name

The initiator of the association shall propose one of the application-contexts defined in this Protocol Specification that include the RTSE in normal mode in the RT-OPEN request primitive (see Table 1).

9.2.1.1.3 User-data

The mapping of the bind-operation of the abstract-bind service onto the User-data parameter of the RT-OPEN request primitive is defined in ITU-T Rec. X.881 | ISO/IEC 13712-2.

9.2.1.1.4 Presentation Context Definition List

The initiator of the association shall supply the Presentation Context Definition List in the RT-OPEN request primitive.

The Presentation Context Definition List comprises a presentation-context-definition for each abstract-syntax included in the application context. A presentation-context-definition comprises a presentation-context-identifier and an abstract-syntax-name for the ASE. Each named abstract-syntax for the MSSE, MDSE, MRSE and MASE includes the ROSE APDUs. The named abstract-syntax for the RTSE includes the abstract-syntax for the bind-operation of the abstract-bind service.

Clauses 7 and 8 define the abstract-syntaxes included in the application-contexts.

9.2.1.2 Abstract-unbind onto RT-CLOSE

The abstract-unbind service is mapped onto the RT-CLOSE service of the RTSE.

9.2.2 Mapping onto ROSE

The MSSE, MS-MSSE, MDSE-88, MDSE-94, MRSE-88. MRSE-94, MASE-88, and MASE-94 services are mapped onto the RO-INVOKE, RO-RESULT, RO-ERROR, RO-REJECT-U and RO-REJECT-P services of the ROSE. The mapping of the abstract-syntax notation of these ASEs onto the ROSE services is defined in ITU-T Rec. X.880 | ISO/IEC 13712-1.

ROSE is the user of the RT-TRANSFER, RT-TURN-PLEASE, RT-TURN-GIVE, RT-P-ABORT and RT-U-ABORT services of the RTSE. The use of the RTSE services by the ROSE is defined in ITU-T Rec. X.882 | ISO/IEC 13712-3.

9.2.2.1 Managing the Turn

ITU-T Rec. X.882 | ISO/IEC 13712-3 defines the use by the ROSE of the RT-TURN-PLEASE and RT-TURN-GIVE services of the RTSE to manage the Turn.

Table 2 defines the values of the priority parameter of the RT-TURN-PLEASE service used by the ROSE to request the Turn.

Priority zero is the highest priority, and is reserved for the action of releasing the association by the initiator.

Priority one is used by the ROSE for the RORJ APDU and ROER APDU to provide the RO-REJECT-U and RO-ERROR services of the ROSE.

Priority two is used by the ROSE for the RORS APDU to provide the RO-RESULT services of the ROSE.

Priority three to seven shall be used for the ROIV APDU to provide the RO-INVOKE service for the MHS Access Protocol remote operations. In the case of a remote operation whose arguments include a message, the priority of the ROIV APDU is a function of the **priority** of the message - **urgent**, **normal** or **non-urgent**.

9.3 MS Access Application-context Negotiation

Where the application-context proposed by the MS-user is not supported by the MS, it may still be possible for the MS and MS-user to establish an association using a mutually acceptable application-context. This clause defines this mechanism.

9.3.1 Application Context Name

Where an MS-user which supports the Application Context Negotiation functional unit proposes the use of one application-context but is prepared to use a different application-context, the MS-user may identify these alternative application-contexts in the Application Context Name List. If the MS-user is prepared to accept alternative application contexts (whether or not explicitly identified in the Application Context Name List), it shall supply additional information as indicated in 9.3.2 and 9.3.3. If the MS-user proposes both a 1988 and a 1994 application-context, and the MS is capable of supporting either of these, it shall accept the association establishment for the 1994 application-context.

> NOTE – Where the MS-user proposes application contexts of the same vintage (e.g., ms-access-88 and ms-reliable-access-88) the choice between them is a local matter for the MS.

If the MS does not support the proposed application-context and no alternative application-contexts are identified in the Application Context Name List, the MS may respond with an alternative application-context provided that the MS-user has supplied the additional information indicated in 9.3.2 and 9.3.3.

If the MS does not support the proposed application-context but the MS-user has identified alternative application-contexts in the Application Context Name List, and has supplied the corresponding additional information specified in 9.3.2. and 9.3.3, the MS may respond with one of those alternative application-contexts. Support of the Application Context Negotiation functional unit by the MS is not essential, since the MS may analyse the User Information to discover the set of proposed alternative application-contexts.

In all cases where the MS accepts the association establishment, the Application Context Name present in the A-ASSOCIATE response shall indicate which application-context has been established.

9.3.2 User Information

If the MS-user proposes the use of an application-context but is prepared to accept the use of one or more alternative application-contexts, then the User Information parameter shall contain EXTERNAL values for the MS-bind arguments of each of these application-contexts (i.e. a value of the MS-bind argument for the application-context proposed, and one for each of the proposed alternatives), except where the values are identical.

9.3.3 Presentation Context Definition List

If the MS-user proposes the use of an application-context but is prepared to accept the use of one or more alternative application-contexts, then the Presentation Context Definition List shall include presentation-context-definitions for all the abstract-syntaxes that could be used by any of the proposed application-contexts (i.e. values for the application-context proposed, and for each of the proposed alternatives). A presentation-context-definition shall always be present for the ACSE abstract-syntax.

If the ms-access-94 application-context is proposed, presentation-context-definitions for the ACSE, MASE-94, MS-MSSE, MRSE-94, and MS-bind and MS-unbind abstract-syntaxes shall be specified.

If the ms-access-88 application-context is proposed, presentation-context-definitions for the ACSE, MASE-88, MSSE, MRSE-88, and MS-bind and MS-unbind abstract-syntaxes shall be specified.

If the ms-reliable-access-94 application-context is proposed, presentation-context-definitions for the ACSE, MASE-94, MS-MSSE, MRSE-94, and MS-bind and MS-unbind with RTSE abstract-syntaxes shall be specified.

If the ms-reliable-access-88 application-context is proposed, presentation-context-definitions for the ACSE, MASE-88, MSSE, MRSE-88, and MS-bind and MS-unbind with RTSE abstract-syntaxes shall be specified.

If several application-contexts are proposed, the Presentation Content Definition List shall contain the logical union of the presentation-context-definitions defined for each application-context.

Table 2 – Remote Operation Priorities

Priority	MSSE	MS-MSSE	MDSE-88	MDSE-94	MASE-88	MASE-94	MRSE-88	MRSE-94
0	Association release							
1	RO-REJECT-U RO-ERROR							
2	RO-RESULT							
3	Submission-control Cancel-deferred-delivery	MS-submission-control MS-cancel-deferred-delivery	Delivery-control-88	Delivery-control				
4	Message-submission (urgent)	MS-message-submission (urgent)	Message-delivery (urgent)	Message-delivery (urgent)			Alert	Alert
5	Probe-submission	MS-probe-submission	Report-delivery	Report-delivery	Register-88 Change-credentials	Register Change-credentials	Register-MS Summarize List Fetch Delete	Register-MS Summarize List Fetch Delete Modify
6	Message-submission (normal)	MS-message-submission (normal)	Message-delivery (normal)	Message-delivery (normal)				
	Message-submission (non-urgent)	MS-message-submission (non-urgent)	Message-delivery (non-urgent)	Message-delivery (non-urgent)				

10 Conformance

A system (UA, MS, or MTA) claiming conformance to the MHS Access Protocols specified in this Protocol Specification shall comply with the requirements in clauses 10.1, 10.2 and 10.3.

10.1   Statement Requirements

The following shall be stated:

- a)   the type of system for which conformance is claimed (UA, MS, MTA or MTA/MS);
- b)   the application-contexts defined in section two of this Protocol Specification for which conformance is claimed;
- c)   in the case of an MS or a UA accessing an MS:
  - –   the optional MS entry-classes, general-attribute-types, general-matching-rules, and general-auto-action-types for which conformance is claimed;
  - –   the content-types and corresponding content-type-specific attribute-types, matching-rules, and auto-action-types for which conformance is claimed;
  - –   for the IPM content-type, whether conformance is claimed for support of the attribute-types derived from the (unbounded) set of extended body part types.

Conformance can be claimed to the MTS Access Protocol (P3), or the MS Access Protocol (P7), or both. Table 3 classifies the support for application-contexts required for conformance to the MTS Access Protocol (P3). Table 4 classifies the support for application-contexts required for conformance to the MS Access Protocol (P7).

Table 3 – MTS Access Protocol Conformance Requirements

```
-----------------------------------------------------------------
| Application Context             | MTA                | MTS-user |
|---------------------------------+--------------------+----------|
| MTS Access Protocol             |                    |          |
| mts-access-88                   | Mandatory          | Optional |
| mts-forced-access-88            | Mandatory          | Optional |
| mts-reliable-access-88          | Optional - see Note| Optional |
| mts-forced-reliable-access-88   | Optional - see Note| Optional |
|---------------------------------+--------------------+----------|
| mts-access-94                   | Optional           | Optional |
| mts-forced-access-94            | Optional           | Optional |
| mts-reliable-access-94          | Optional - see Note| Optional |
| mts-forced-reliable-access-94   | Optional - see Note| Optional |
-----------------------------------------------------------------
```

NOTE – If an MTA claims conformance to the mts-reliable-access-88 application-context, it shall also claim conformance to the mts-forced-reliable-access-88 application-context, and vice versa. If an MTA claims conformance to the mts-access-94 application-context, it shall also claim conformance to the mts-forced-access-94 application-context, and vice versa. If an MTA claims conformance to the mts-reliable-access-94 or mts-forced-reliable-access-94 application-contexts, it shall claim conformance to all application-contexts in Table 3.

Table 4 – MS Access Protocol Conformance Requirements

```
-------------------------------------------------------------
| Application context      | MS                  | MS-user  |
|--------------------------+---------------------+----------|
| MS Access Protocol       |                     |          |
| ms-access-88             | Mandatory           | Optional |
| ms-reliable-access-88    | Optional            | Optional |
| ms-access-94             | Optional            | Optional |
| ms-reliable-access-94    | Optional - see Note | Optional |
-------------------------------------------------------------
```

NOTE – If an MS claims conformance to the ms-reliable-access-94 application-context, it shall also claim conformance to the ms-reliable-access-88 and ms-access-94 application-contexts.

10.2 Static Requirements

The system shall:

a) conform to the abstract-syntax definition(s) of the MHS Access Protocols defined in clauses 7 and 8 of this Protocol Specification, required by the application-contexts for which conformance is claimed;

b) in the case of an MS, or a UA accessing an MS, support the MS abstract-service definition in ITU-T Rec. X.413 | ISO/IEC 10021-5 as well as the entry-classes, general-attribute-types, and general-matching-rules classified as mandatory in 6.3.7.4, Tables 2 and 3, and 12.5 respectively of ITU-T Rec. X.413 | ISO/IEC 10021-5.

10.3 Dynamic Requirements

The system shall:

a) conform to the mapping onto used services defined in clause 9 of this Protocol Specification, required by the application-contexts for which conformance is claimed;

b) conform to the use of underlying services defined in 6.4 of this Protocol Specification.

SECTION 3 – MESSAGE TRANSFER SYSTEM TRANSFER PROTOCOL SPECIFICATION

11 Overview of the MTS Transfer Protocol

11.1 Model

Clause 10 of ITU-T Rec. X.411 | ISO/IEC 10021-4 refines the abstract model of the Message Transfer System (MTS), first presented in clause 6 of ITU-T Rec. X.411 | ISO/IEC 10021-4, to reveal that the MTS object comprises a collection of message-transfer-agent (MTA) objects, which cooperate together to form the MTS and offer the MTS Abstract Service to its users.

In the refined abstract model, interactions between MTAs are modelled as a set of abstract operations which occur at the transfer-port paired between MTAs.

This clause describes how the MTA Abstract Service is supported by instances of OSI communication when the MTAs are realised as application-processes located in different open systems.

In the OSI environment, communication between application-processes is represented in terms of communication between a pair of application-entities (AEs) using the presentation-service. The functionality of an AE is factored into a set of one or more application-service-elements (ASEs). The interaction between AEs is described in terms of their use of the services provided by the ASEs.

The transfer-port services of the abstract model are supported by an application-service-element - the Message Transfer Service Element (MTSE), which in turn is supported by two other application-service-elements - the Reliable Transfer Service Element (RTSE) and the Association Control Service Element (ACSE).

The Reliable Transfer Service Element (RTSE) is used to reliably transfer application-protocol-data-units (APDUs) that contain the message, probes and reports between AEs.

The Association Control Service Element (ACSE) supports the establishment and release of an application-association between a pair of AEs. Associations between MTAs can be established by either MTA. Only the initiator of an established association can release it.

The combination of the MTSE, the RTSE and the ACSE defines the application-context of an application-association.

Figure 5 models the application-context between MTAs.

Three application-contexts are defined for the MTS Transfer Protocol as identified in Table 5.

**Table 5 – MTS Transfer Protocol Application Contexts**

```
----------------------------------------------------------
| Application Context       | P1              | RTSE mode   |
|---------------------------+-----------------+-------------|
| mts-transfer              | 1988 P1         | normal      |
|---------------------------+-----------------+-------------|
| mts-transfer-protocol     | 1988 P1         | X.410-1984  |
|---------------------------+-----------------+-------------|
| mts-transfer-protocol-1984 | 1984 P1        | X.410-1984  |
----------------------------------------------------------
```

The **mts-transfer** application-context is supported by the RTSE in normal mode. It is envisaged that, over time, most systems will migrate to support the **mts-transfer** application-context. Support for the **mts-transfer** application-context is mandatory for conformance to this Protocol Specification.

The **mts-transfer-protocol** is supported by the RTSE in X.410-1984 mode. Support for the **mts-transfer-protocol** is not required for conformance to this Protocol Specification.

NOTE – The **mts-transfer-protocol** is defined to enable implementations to upgrade easily to achieve conformance to CCITT Recommendation X.419 (1988). This is made possible by the availability of RTSE X.410-1984 mode.

The **mts-transfer-protocol-1984** is defined for interworking with implementations of the 1984 CCITT Recommendation X.411. In this application-context, the abstract-syntax of the MTSE is constrained to that defined in the 1984 CCITT Recommendation X.411. These constraints are identified by underlining of the 1988 extensions to the abstract syntax of the MTSE in the defining ASN.1 module in ITU-T Rec. X.411 | ISO/IEC 10021-4. The significant changes are also listed in Annex C of this Protocol Specification for reference. The **mts-transfer-protocol-1984** is supported by the RTSE in X.410-1984 mode. Support for the **mts-transfer-protocol-1984** is optional for MTAs and PRMDs but mandatory for ADMDs for conformance to this Protocol Specification. A future version of this Recommendation | **International Standard** will make support for **mts-transfer-protocol-1984** optional.
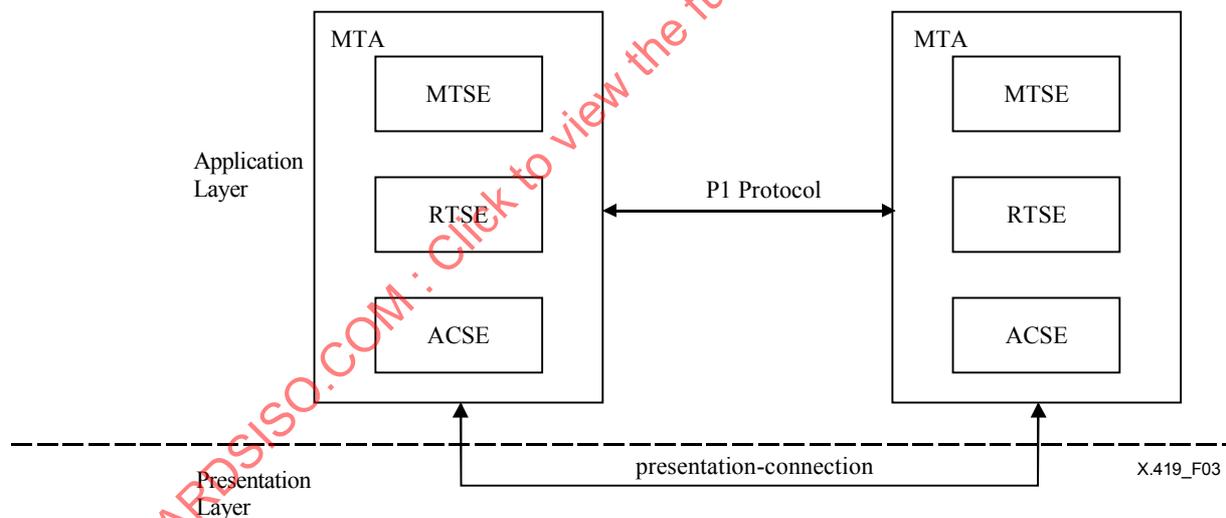
Figure 5 – MTS Transfer Protocol Model

11.2   Services Provided by the MTS Transfer Protocol

The MTS Transfer Protocol (P1) provides the following services defined in ITU-T Rec. X.411 | ISO/IEC 10021-4:

MTA-bind and MTA-unbind

    a)   MTA-bind

    b)   MTA-unbind

Message Transfer Service Element (MTSE)

    c)   Message-transfer

    d)   Probe-transfer

    e)   Report-transfer

11.3   Use of Underlying Services

The MTS Transfer Protocol (P1) makes use of underlying services as described below.

11.3.1   Use of the RTSE Services

The Reliable Transfer Service Element (RTSE) is defined in CCITT Rec. X.218 | ISO/IEC 9066-1.

The RTSE provides for the reliable transfer of application-protocol-data-units (APDUs). The RTSE ensures that each APDU is completely transferred once, or that the sender is warned of an exception. The RTSE recovers from communication and end-system failure and minimises the amount of retransmission needed for recovery.

The RTSE services are used to support the MTS Transfer Protocol (P1). Support for the RTSE in normal mode is mandatory. Support for RTSE in X.410-1984 mode is optional for MTAs and PRMDs but mandatory for ADMDs.

The use of the normal mode of the RTSE implies the use of the normal mode of the ACSE and the normal mode of the presentation-service. The use of the X.410-1984 mode of the RTSE implies the use of the X.410-1984 mode of the ACSE and the X.410-1984 mode of the presentation-service.

The MTS Transfer Protocol (P1) is the sole user of the RT-OPEN, RT-CLOSE, RT-TRANSFER, RT-TURN-PLEASE, RT-TURN-GIVE, RT-P-ABORT and RT-U-ABORT services of the RTSE.

> NOTE – Implementors should be aware of a potential problem when using secure messaging and RTSE. In the event of using the RTS association recovery procedure, the recovered association will no longer have peer to peer authentication.

11.3.2   Use of the ACSE Services

The Association Control Service Element (ACSE) is defined in ITU-T Rec. X.217 | ISO 8649.

The ACSE provides for the control (establishment, release, abort) of application-associations between AEs.

The RTSE is the sole user of the A-ASSOCIATE, A-RELEASE, A-ABORT and A-P-ABORT services of the ACSE. The use of the normal mode of the RTSE implies the use of the normal mode of the ACSE and the normal mode of the presentation-service. The use of the X.410-1984 mode of the RTSE implies that use of the X.410-1984 mode of the ACSE and the X.410-1984 mode of the presentation-service.

11.3.3   Use of the Presentation-service

The presentation-service is defined in ITU-T Rec. X.216 | ISO 8822.

The Presentation Layer co-ordinates the representation (syntax) of the Application Layer semantics that are to be exchanged.

In normal mode, a different presentation-context is used for each abstract-syntax included in the application-context.

In X.410-1984 mode, a single default presentation-context is used for the underlying presentation-connection. This presentation-context includes a single abstract-syntax for all of the ASEs included in the application-context (i.e., MTSE, RTSE and ACSE).

Presentation Layer addressing is not used for the Message Transfer Protocol (P1) in X.410-1984 mode.

The ACSE is the sole user of the P-CONNECT, P-RELEASE, P-U-ABORT and P-P-ABORT services of the presentation-service.

The RTSE is the sole user of the P-ACTIVITY-START, P-DATA, P-MINOR-SYNCHRONIZE, P-ACTIVITY-END, P-ACTIVITY-INTERRUPT, P-ACTIVITY-DISCARD, P-U-EXCEPTION-REPORT, P-ACTIVITY-RESUME, P-P-EXCEPTION-REPORT, P-TOKEN-PLEASE, and P-CONTROL-GIVE services of the presentation-service. The use of the normal mode of the RTSE implies the use of the normal mode of the ACSE and the normal mode of the presentation-service. The use of the X.410-1984 mode of the RTSE implies the use of the X.410-1984 mode of the ACSE and the X.410-1984 mode of the presentation-service.

11.4   Establishing and Releasing Associations

Associations between two MTAs are created in accordance with bilateral agreements covering the following:

       a)   the maximum number of associations that may exist simultaneously;

       b)   whether monologue or two-way-alternate associations are used;

       c)   which application-context is used;

       d)   which MTA has responsibility for establishing the associations;

       e)   whether associations are permanently established, or established and released as required.

If more than one association is established between two MTAs, MTS-APDUs may be assigned to associations in accordance with their priorities. Several associations may be used to carry MTS-APDUs of the same priority. On any one association, higher priority MTS-APDUs are sent before lower priority MTS-APDUs; MTS-APDUs of the same priority are sent 'first-in-first-out'.

12   MTS Transfer Protocol Abstract Syntax Definition

The abstract-syntax of the MTS Transfer Protocol (P1) is defined in Figure 6.

The abstract-syntax of the MTS Transfer Protocol (P1) is defined using the abstract-syntax notation (ASN.1) defined in ITU-T Rec. X.680 | ISO/IEC 8824-1, ITU-T Rec. X.681 | ISO/IEC 8824-2, ITU-T Rec. X.682 | ISO/IEC 8824-3 and ITU-T Rec. X.683 | ISO/IEC 8824-4, and the remote operations notation defined in ITU-T Rec. X.880 | ISO/IEC 13712-1, ITU-T Rec. X.881 | ISO/IEC 13712-2 and ITU-T Rec. X.882 | ISO/IEC 13712-3.

The abstract-syntax definition of the MTS Transfer Protocol (P1) has the following major parts (Figure 6):

Prologue: declarations of the exports from, and imports to, the MTS Transfer Protocol (P1) module.

Application Contexts: definitions of the application-contexts used between MTAs.

Abstract Syntaxes: definitions of the abstract-syntaxes for MTA-bind and MTA-unbind, and for the Message Transfer Service Element.

```
MTSTransferProtocol { joint-iso-itu-t mhs(6) protocols(0) modules(0) transfer-protocol(3)
          version-1999(1) }

DEFINITIONS IMPLICIT TAGS ::=

BEGIN

--      Prologue

IMPORTS

        -- MTA Abstract Service

    Message, mta-transfer, Probe, Report
          --
          FROM MTAAbstractService { joint-iso-itu-t mhs(6) mts(3) modules(0)
              mta-abstract-service(2) version-1999(1) }

    -- Remote Operations

    APPLICATION-CONTEXT
          ----
          FROM Remote-Operations-Information-Objects-extensions { joint-iso-itu-t
              remote-operations(4) informationObjects-extensions(8) version1(0) }

    Bind { }, Unbind { }
          ----
          FROM Remote-Operations-Generic-ROS-PDUs { joint-iso-itu-t remote-operations(4)
              generic-ROS-PDUs(6) version1(0) }
```

Figure 6 – Abstract Syntax Definition of the MTS Transfer Protocol (P1) (Part 1 of 3)

```
          association-by-RTSE, transfer-by-RTSE
                  ----
                  FROM Remote-Operations-Realizations { joint-iso-itu-t remote-operations(4)
                       realizations(9) version1(0) }

          acse-abstract-syntax
                  ----
                  FROM Remote-Operations-Abstract-Syntaxes { joint-iso-itu-t remote-operations(4)
                       remote-operations-abstract-syntaxes(12) version1(0) }

      -- Reliable Transfer

          RTORQapdu, RTOACapdu, RTORJapdu
                  ----
                  FROM Reliable-Transfer-APDUs { joint-iso-itu-t reliable-transfer(3) apdus(0) }

      -- Object Identifiers

          id-ac-mts-transfer, id-as-mta-rtse, id-as-mtse
                  ----
                  FROM MHSProtocolObjectIdentifiers { joint-iso-itu-t mhs(6) protocols(0)
                       modules(0) object-identifiers(0) version-1994(0) };

RTSE-apdus ::= CHOICE {
  rtorq-apdu   [16] IMPLICIT RTORQapdu,
  rtoac-apdu   [17] IMPLICIT RTOACapdu,
  rtorj-apdu   [18] IMPLICIT RTORJapdu,
  rttp-apdu        RTTPapdu,
  rttr-apdu        RTTRapdu,
  rtab-apdu    [22] IMPLICIT RTABapdu }
RTTPapdu ::= -- priority-- INTEGER
RTTRapdu ::= OCTET STRING
RTABapdu ::= SET {
 abortReason         [0] IMPLICIT AbortReason OPTIONAL,
 reflectedParameter  [1] IMPLICIT BIT STRING OPTIONAL, -- 8 bits maximum, only if
  abortReason is invalidParameter
 userdataAB              [2] TYPE-IDENTIFIER.&Type OPTIONAL -- only in normal mode
  and if abortReason--
  -- is userError }
 AbortReason ::= INTEGER {
  localSystemProblem(0),
  invalidParameter(1),
   -- reflectedParameter supplied
  unrecognizedActivity(2),
  temporaryProblem(3),
   -- the RTSE cannot accept a session for a period of time
  protocolError(4), -- RTSE level protocol error
  permanentProblem(5), --provider-abort solely in normal mode
  userError(6), -- user-abort solely in normal mode
  transferCompleted(7)
   -- activity can't be discarded--}


--      APPLICATION CONTEXTS

--      Application Context including RTSE in normal mode

mts-transfer APPLICATION-CONTEXT ::= {
      CONTRACT                  mta-transfer
      ESTABLISHED BY            association-by-RTSE
      INFORMATION TRANSFER BY   transfer-by-RTSE
      ABSTRACT SYNTAXES         {acse-abstract-syntax |
                                 message-transfer-abstract-syntax |
                                 mta-bind-unbind-rtse-abstract-syntax }
      APPLICATION CONTEXT NAME  id-ac-mts-transfer }
```

Figure 6 – Abstract Syntax Definition of the MTS Transfer Protocol (P1) (Part 2 of 3)

--   Application Context including RTSE in X.410-1984 mode

```
mts-transfer-protocol INTEGER ::= 12
```

--   Application Context for Interworking with 1984 P1

```
mts-transfer-protocol-1984 INTEGER ::= 1
```

--   ABSTRACT-SYNTAXES

--   Abstract Syntax for MTABind and MTAUnbind

```
mta-bind-unbind-rtse-abstract-syntax ABSTRACT-SYNTAX ::= {
        RTSE-apdus -- With MTA-bind and MTA-unbind -- IDENTIFIED BY id-as-mta-rtse}
```

--   Abstract Syntax for Message Transfer Service Element

```
message-transfer-abstract-syntax ABSTRACT-SYNTAX ::= {
        MTS-APDU IDENTIFIED BY id-as-mtse}
```

--   MTS Application Protocol Data Units

```
MTS-APDU ::= CHOICE {
        message [0] Message,
        probe [2] Probe,
        report [1] Report }
```

END   *-- of MTSTransferProtocol*

Figure 6 – Abstract Syntax Definition of the MTS Transfer Protocol (P1) (Part 3 of 3)

13   Mapping onto Used Services

This clause defines the mapping of the MTS Transfer Protocol (P1) onto the used services.

Clause 13.1 defines the mapping of the MTS Transfer Protocol (P1) onto used services for application-contexts that include the RTSE in normal mode. Clause 13.2 defines the mapping of the MTS Transfer Protocol (P1) onto used services for application-contexts that include the RTSE in X.410-1984 mode.

13.1   Mapping onto RTSE normal mode

This clause defines the mapping of the MTS Transfer Protocol (P1) onto used services for application-contexts that include the RTSE in normal mode. Support for this mapping is mandatory for conformance to this Protocol Specification.

Clause 13.1.1 defines the mapping of the MTA-bind and MTA-unbind services onto the RT-OPEN and RT-CLOSE services of the RTSE in normal mode. Clause 13.1.2 defines the mapping of the Message-transfer, Probe-transfer and Report-transfer services onto the RT-TRANSFER service of the RTSE. Clause 13.1.3 describes managing the Turn using the RT-TURN-PLEASE and RT-TURN-GIVE services of the RTSE. Clause 13.1.4 defines the use of the RT-P-ABORT service of the RTSE. Clause 13.1.5 defines the use of the RT-U-ABORT service of the RTSE.

13.1.1   Mapping onto RT-OPEN and RT-CLOSE

This clause defines the mapping of the MTA-bind and MTA-unbind services onto the RT-OPEN and RT-CLOSE services of the RTSE in normal mode.

13.1.1.1   MTA-bind onto RT-OPEN

The MTA-bind service is mapped onto the RT-OPEN service of the RTSE. The use of the parameters of the RT-OPEN service is qualified in the following clauses.

13.1.1.1.1 Mode

This parameter shall be supplied by the initiator of the association in the RT-OPEN request primitive, and shall have the value 'normal mode'.

13.1.1.1.2 Application Context Name

The initiator of the association shall propose the **mts-transfer** application-context defined in this Protocol Specification in the RT-OPEN request primitive.

13.1.1.1.3 User-data

The mapping of the bind-operation of the MTA-bind service onto the User-data parameter of the RT-OPEN service is defined in ITU-T Rec. X.880 | ISO/IEC 13712-1.

13.1.1.1.4 Presentation Context Definition List

The initiator of the association shall supply the Presentation Context Definition List in the RT-OPEN request primitive.

The Presentation Context Definition List comprises a presentation-context-definition for each abstract-syntax included in the application-context. A presentation-context-definition comprises a presentation-context-identifier and an abstract-syntax-name for the ASE. The named abstract-syntax for the RTSE includes the abstract-syntax for the bind-operation.

Clause 12 defines the abstract-syntaxes included in the application-context.

13.1.1.2 MTA-unbind onto RT-CLOSE

The MTA-unbind is mapped onto the RT-CLOSE service of the RTSE.

No parameters of the RT-CLOSE service are used in normal mode.

13.1.2   Mapping onto RT-TRANSFER

The Message-transfer, Probe-transfer and Report-transfer services are mapped onto the RT-TRANSFER service of the RTSE.

An MTSE may issue an RT-TRANSFER request primitive only if it possesses the Turn (see 13.1.3) and if there is no outstanding RT-TRANSFER confirm primitive.

The use of the parameters of the RT-TRANSFER service is qualified in the following clauses.

13.1.2.1 APDU

The value of the MTS-APDU shall be mapped onto the APDU parameter of the RT-TRANSFER request primitive by the sender.

For the Message-transfer service, the MTS-APDU is a Message. For the Probe-transfer service, the MTS-APDU is a Probe. For the Report-transfer service, the MTS-APDU is a Report.

13.1.2.2 Transfer-time

The value of this parameter is specified by a local rule of the sender. It may be related to the priority of the APDU (see 13.1.3.1.1).

13.1.3   Managing the Turn

This clause describes managing the Turn using the RT-TURN-PLEASE and RT-TURN-GIVE services of the RTSE.

The MTSE must possess the Turn before it can use the RT-TRANSFER service to transfer a message, probe or report.

The MTSE without the Turn may issue an RT-TURN-PLEASE request primitive, the priority parameter of which reflects the highest priority APDU awaiting transfer.

The MTSE with the Turn may issue an RT-TURN-GIVE request primitive when it has no further APDUs to transfer. It shall issue an RT-TURN-GIVE request primitive in response to an RT-TURN-PLEASE indication primitive when it has no further APDUs to transfer of priority equal to, or higher than, that indicated in the RT-TURN-PLEASE indication primitive. If it has APDUs of lower priority still to transfer, it may then issue an RT-TURN-PLEASE request primitive, the priority parameter of which reflects the highest priority APDU awaiting transfer.

13.1.3.1 Use of the RT-TURN-PLEASE Service

An MTSE issues the RT-TURN-PLEASE request primitive to request the Turn. It may do so only if it does not already possess the Turn.

If the initiator of the association supplied a Dialogue-mode parameter value of 'monologue' and an Initial-turn parameter value of 'association-initiator', the RT-TURN-PLEASE service shall not be used.

The use of the parameter of the RT-TURN-PLEASE service is qualified in the following clause.

13.1.3.1.1 Priority

The value of the Priority parameter is supplied by the MTSE requesting the Turn, and reflects the highest priority APDU awaiting transfer.

Priority zero is the highest priority, and is reserved for the action of releasing the association by the initiator.

Priority one shall be assigned to Messages whose **priority** field (defined in 8.2.1.1.1.8 of ITU-T Rec. X.411 | ISO/IEC 10021-4) has the value **urgent**. Priority one shall also be assigned to Probes and Reports.

Priority two shall be assigned to Messages whose **priority** field is **normal**.

Priority three shall be assigned to Messages whose **priority** field is **non-urgent**.

13.1.3.2 Use of the RT-TURN-GIVE Service

An MTSE issues the RT-TURN-GIVE request primitive to relinquish the Turn to its peer. It may do so only if it possesses the Turn.

If the initiator of the association supplied a Dialogue-mode parameter value of 'monologue' and an Initial-turn parameter value of 'association-initiator', the RT-TURN-GIVE service shall not be used.

The RT-TURN-GIVE service has no parameters.

13.1.4 Use of the RT-P-ABORT Service

The application-process is the user of the RT-P-ABORT service of the RTSE.

The RT-P-ABORT service provides an indication to the application-process that the application-association cannot be maintained (e.g., because recovery not possible).

The RT-P-ABORT service has no parameters.

13.1.5 Use of the RT-U-ABORT Service

The application-process is the user of the RT-U-ABORT service of the RTSE.

The RT-U-ABORT service enables the application-process to abort the application-association. The RT-U-ABORT service may be requested by either the initiator or the responder of the association.

No parameters of the RT-U-ABORT service are used in normal mode.

The RT-U-ABORT service is not available in X.410-1984 mode.

13.2 Mapping onto RTSE X.410-1984 mode

This clause defines the mapping of the MTS Transfer Protocol (P1) onto used services for application-contexts that include the RTSE in X.410-1984 mode. Support for this mapping is optional for MTAs and PRMDs but mandatory for ADMDs for conformance to this Protocol Specification.

Clause 13.2.1 defines the mapping of the MTA-bind and MTA-unbind services onto the RT-OPEN and RT-CLOSE services of the RTSE in X.410-1984 mode. Clause 13.2.2 defines the mapping of the Message-transfer, Probe-transfer and Report-transfer services onto the RT-TRANSFER service of the RTSE. Clause 13.2.3 describes managing the Turn using the RT-TURN-PLEASE and RT-TURN-GIVE services of the RTSE. Clause 13.2.4 defines the use of the RT-P-ABORT service of the RTSE. Clause 13.2.5 defines the use of the RT-U-ABORT service of the RTSE (not used in X.410-1984 mode).

13.2.1 Mapping onto RT-OPEN and RT-CLOSE

This clause defines the mapping of the MTA-bind and MTA-unbind services onto the RT-OPEN and RT-CLOSE services of the RTSE in X.410-1984 mode.

13.2.1.1 MTA-bind onto RT-OPEN

The MTA-bind service is mapped onto the RT-OPEN service of the RTSE. The use of the parameters of the RT-OPEN service is qualified in the following clauses.

13.2.1.1.1 Application-protocol

This parameter shall be supplied by the initiator of the association in the RT-OPEN request primitive, and shall have the value **mts-transfer-protocol** (an integer value of '12') or **mts-transfer-protocol-1984** (an integer value of '1').

13.2.1.1.2 User-data

The value of the type defined in the ARGUMENT clause of the MTA-bind service is mapped onto the User-data parameter of the RT-OPEN request primitive by the initiator of the association.

If the responder of the association supplies the Result parameter of the RT-OPEN response primitive with the value 'accepted', the value of the type defined in the RESULT clause of the MTA-bind service is mapped onto the User-data parameter of the RT-OPEN response primitive.

In the case of error the responder of the association supplies the Result parameter of the RT-OPEN response primitive with the value 'rejected (permanent)' or 'rejected (transient)'. In the case of 'rejected (permanent)', the User-data parameter of the RT-OPEN response primitive shall be either authentication-error or unacceptable-dialogue-mode.

13.2.1.1.3 Mode

This parameter shall be supplied by the initiator of the association in the RT-OPEN request primitive, and shall have the value 'X.410-1984 mode'.

13.2.1.2 MTA-unbind onto RT-CLOSE

The MTA-unbind is mapped onto the RT-CLOSE service of the RTSE. In the X.410-1984 mode, the RT-CLOSE service has no parameters.

13.2.2 Mapping onto RT-TRANSFER

The Message-transfer, Probe-transfer and Report-transfer services are mapped onto the RT-TRANSFER service of the RTSE.

The mapping of these services onto the RT-TRANSFER service in X.410-1984 mode is identical to the mapping in normal mode, defined in 13.1.2.

13.2.3 Managing the Turn

The MTSE must possess the Turn before it can use the RT-TRANSFER service to transfer a message, probe or report.

Managing the Turn in X.410-1984 mode is identical to managing the Turn in normal mode, defined in 13.1.3.

13.2.4 Use of the RT-P-ABORT Service

The application-process is the user of the RT-P-ABORT service of the RTSE.

The RT-P-ABORT service provides an indication to the application-process that the application-association cannot be maintained (e.g., because recovery not possible).

The RT-P-ABORT service has no parameters.

The use of the RT-P-ABORT service in X.410-1984 mode is identical to the use of the RT-P-ABORT service in normal mode.

13.2.5 Use of the RT-U-ABORT Service

The RT-U-ABORT service of the RTSE is not available in X.410-1984 mode.

14 Conformance

An MTA, PRMD or ADMD claiming conformance to the MTS Transfer Protocol (P1) specified in this Protocol Specification shall comply with the requirements in clauses 14.1, 14.2 and 14.3.

14.1   Statement Requirements

The following shall be stated:

a)   the application-contexts defined in section three of this Protocol Specification for which conformance is claimed;

b)   whether monologue, two-way alternate, or both monologue and two-way alternate dialogue-modes are supported;

c)   whether the MTA, PRMD or ADMD can act as the initiator, or the responder, or either the initiator or the responder, of an association.

Table 6 classifies the support for application-contexts required for conformance to the MTS Transfer Protocol (P1).

Table 6 – MTS Transfer Protocol Conformance Requirements

```
-----------------------------------------------------------------
| Application Context          |    MTA    |   PRMD    |   ADMD   |
|------------------------------+-----------+-----------+----------|
| MTS Transfer Protocol        |           |           |          |
| mts-transfer                 | Mandatory | Mandatory | Mandatory|
| mts-transfer-protocol        | Optional  | Optional  | Optional |
| mts-transfer-protocol-1984   | Optional  | Optional  | Mandatory|
-----------------------------------------------------------------
```

14.2   Static Requirements

The MTA, PRMD or ADMD shall:

a)   conform to the abstract-syntax definition of the MTS Transfer Protocol (P1) defined in clause 12 of this Protocol Specification.

14.3   Dynamic Requirements

The MTA, PRMD or ADMD shall:

a)   conform to the procedures for distributed operation of the MTS defined in ITU-T Rec. X.411 | ISO/IEC 10021-4;

b)   conform to the mapping onto used services defined in clause 13 of this Protocol Specification, required by the application-contexts for which conformance is claimed; support for the mapping onto the RTSE in normal mode is mandatory, and support for the mapping onto the RTSE in X.410-1984 mode is optional for MTAs and PRMDs but mandatory for ADMDs;

c)   conform to the rules for interworking with MDs conforming to CCITT Recommendation X.411 (1984) defined in Annex B of this Protocol Specification if conformance to this is claimed;

d)   conform to the use of underlying services defined in 11.3 of this Protocol Specification.

Annex A

Reference Definition of MHS Protocol Object Identifiers

(This annex forms an integral part of this Recommendation | International Standard)

This annex defines for reference purposes various object identifiers cited in the ASN.1 modules in the body of this Protocol Specification. The object identifiers are assigned in Figure A.1.

All object identifiers that this Protocol Specification assigns are assigned in this annex. However, this annex is not definitive for all assignments. Other definitive assignments occur in the modules in the body of this Protocol Specification and are referenced in this annex.

```
MHSProtocolObjectIdentifiers { joint-iso-itu-t mhs(6) protocols(0) modules(0)
               object-identifiers(0) version-1994(0) }
DEFINITIONS IMPLICIT TAGS ::=
BEGIN


--      Prologue

--      Exports Everything
IMPORTS-- nothing -- ;

ID ::= OBJECT IDENTIFIER

--      MHS Protocols

id-mhs-protocols ID ::= { joint-iso-itu-t mhs(6) protocols(0) } -- not definitive

--      Categories of Object Identifiers

id-mod ID ::= { id-mhs-protocols 0 } -- modules
id-ac  ID ::= { id-mhs-protocols 1 } -- application contexts
id-as  ID ::= { id-mhs-protocols 2 } -- abstract syntaxes
id-ase ID ::= { id-mhs-protocols 3 } -- application service elements (obsolete)

--      Modules

id-mod-object-identifiers    ID ::= { id-mod 0 } -- not definitive
id-mod-mts-access-protocol   ID ::= { id-mod 1 } -- not definitive
id-mod-ms-access-protocol    ID ::= { id-mod 2 } -- not definitive
id-mod-mts-transfer-protocol ID ::= { id-mod 3 } -- not definitive

--      Application Contexts

--      MTS Access Protocol

id-ac-mts-access-88                 ID ::= { id-ac 0 }
id-ac-mts-forced-access-88          ID ::= { id-ac 1 }
id-ac-mts-reliable-access-88        ID ::= { id-ac 2 }
id-ac-mts-forced-reliable-access-88 ID ::= { id-ac 3 }
id-ac-mts-access-94                 ID ::= { id-ac 7 }
id-ac-mts-forced-access-94          ID ::= { id-ac 8 }
id-ac-mts-reliable-access-94        ID ::= { id-ac 9 }
id-ac-mts-forced-reliable-access-94 ID ::= { id-ac 10 }

--      MS Access Protocol

id-ac-ms-access-88          ID ::= { id-ac 4 }
id-ac-ms-reliable-access-88 ID ::= { id-ac 5 }
id-ac-ms-access-94          ID ::= { id-ac 11 }
id-ac-ms-reliable-access-94 ID ::= { id-ac 12 }
```

Figure A.1 – Abstract Syntax Definition of MHS Protocol Object Identifiers (Part 1 of 2)

```
--      MTS Transfer Protocol

id-ac-mts-transfer ID ::= { id-ac 6 }
```

```
--      Abstract Syntaxes
```

```
id-as-msse      ID ::= { id-as 1 }
id-as-mdse-88   ID ::= { id-as 2 }
id-as-mrse-88   ID ::= { id-as 5 }
id-as-mase-88   ID ::= { id-as 6 }
id-as-mtse      ID ::= { id-as 7 }
id-as-mts-rtse  ID ::= { id-as 8 }
id-as-ms-88     ID ::= { id-as 9 }
id-as-ms-rtse   ID ::= { id-as 10 }
id-as-mts       ID ::= { id-as 11 }
id-as-mta-rtse  ID ::= { id-as 12 }
id-as-ms-msse   ID ::= { id-as 13 }
id-as-mdse-94   ID ::= { id-as 14 }
id-as-mrse-94   ID ::= { id-as 15 }
id-as-mase-94   ID ::= { id-as 16 }
id-as-ms-94     ID ::= { id-as 17 }
```

```
--      Application Service Elements
```

```
id-ase-msse ID ::= { id-ase 0 }
id-ase-mdse ID ::= { id-ase 1 }
id-ase-mrse ID ::= { id-ase 2 }
id-ase-mase ID ::= { id-ase 3 }
id-ase-mtse ID ::= { id-ase 4 }
```

```
END     --of MHSProtocolObjectIdentifiers
```

Figure A.1 – Abstract Syntax Definition of MHS Protocol Object Identifiers (Part 2 of 2)

Annex B

Interworking with 1984 Systems

(This annex forms an integral part of this Recommendation | International Standard)

This annex defines the rules to be obeyed by MTAs, PRMDs or ADMDs conforming to this Protocol Specification (hereafter referred to as '1988 systems') when claiming the ability to interwork with implementations conforming to CCITT Recommendation X.411 (1984) (hereafter referred to as '1984 systems') using the MTS Transfer Protocol (P1).

Clause B.1 defines the rules for establishing associations that a 1988 system shall obey when interworking with a 1984 system.

Clause B.2 defines the rules that a 1988 system shall obey when transferring an MTS-APDU to a 1984 system.

Clause B.3 defines the rules that a 1988 system shall obey when receiving an MTS-APDU from a 1984 system.

NOTE – As Recommendation X.411 (1984) only defines the interactions at the boundary of an ADMD, the interworking rules in this annex only apply at such a boundary.

Additional types have been added to the universal class of ASN.1 types compared to those defined in CCITT Recommendation X.409 (1984). The valid replacement specifications for an ANY type are therefore extended. 1984 systems may be unable to handle the extended universal types. It is likely that a 1984 system may correctly handle these fields even if they contain the extended types. However, such fields intended for a 1984 system should be restricted to the universal types defined in CCITT Recommendation X.409 (1984).

The Basic Encoding Rules for ASN.1 give more flexibility than Recommendation X.409 (1984) for the long form of the length octets. The former permits the use of more length octets than the minimum necessary, whereas the latter does not. Therefore, when interworking with a 1984 system, it is necessary to obey this restriction, and use the fewest possible number of octets, with no leading octets having the value 0.

B.1   Association Establishment

This clause defines the restrictions that a 1988 system shall observe with the MTA-bind when establishing an association with a 1984 system. There are no restrictions with the MTA-unbind.

The **mts-transfer-protocol-1984**, as defined in clause 12, shall be used for compatibility with the 1984 system.

B.1.1   Initiator-credentials/Responder-credentials

There are no restrictions placed on these elements as the corresponding elements in CCITT Recommendation X.411 (1984) were each defined to be an ANY type. Note, however, that a 1984 system will be restricted in its use of these elements when interworking with 1988 systems as described above.

B.1.2   Security-context

This optional element shall not be generated by a 1988 system when interworking with a 1984 system. A 1984 system is not capable of generating this element.

B.1.3   Bind-error

The bind-error value **unacceptable-security-context** shall not be generated by a 1988 system.

B.2   Rules for Transferring to 1984 systems

This clause defines the interworking rules that a 1988 system shall obey when transferring an MTS-APDU to a 1984 system. The transformation of an MTS-APDU conforming to ITU-T Rec. X.411 | ISO/IEC 10021-4 to one conforming to CCITT Recommendation X.411 (1984) is called *downgrading*. The rules are expressed in terms of the actions to be taken on each protocol element of the MTS Transfer Protocol (P1) by the 1988 system.

For a given MTS-APDU, if none of the rules deem that downgrading would fail, then the MTS-APDU shall be downgraded in accordance with all applicable rules before being transferred to the 1984 system.

If one or more of the rules deem that downgrading has failed, then the action taken by the MTA is the same as if the transfer had failed (see clause 14 of ITU-T Rec. X.411 | ISO/IEC 10021-4.

NOTE – The potential or actual loss of information caused by applying these rules may affect an MTA's routing strategy.

The remainder of this clause specifies the rules for each of the protocol elements. Protocol elements not specifically mentioned shall be transferred unchanged. Unless otherwise specified, the rules specified apply in whichever MTS-APDU the protocol elements appear.

B.2.1   Extensions

If any **extensions** elements are present, and no **extension-field** is marked **critical-for-transfer** or **critical-for-delivery**, the **extensions** elements shall be deleted.

If any **extensions** elements are present, and any **extension-field** (other than in the **per-recipient-fields** of a **message-transfer-envelope** or a **probe-transfer-envelope**) is marked **critical-for-transfer** or **critical-for-delivery**, downgrading shall fail.

These rules shall be applied before any of the rules described in the following subclauses.

B.2.2   Per-domain-bilateral-information

If a **private-domain-identifier** is present in an element of **per-domain-bilateral-information**, then the element of **per-domain-bilateral-information** which contains that **private-domain-identifier** shall be deleted.

Otherwise, the **per-domain-bilateral-information** shall be unchanged.

B.2.3   Trace-information/Subject-intermediate-trace-information

If an **other-actions** element is present in any **trace-information-elements** or **subject-intermediate-trace-information-elements**, the **other-actions** element shall be deleted.

Otherwise, the **trace-information** or **subject-intermediate-trace-information** shall be unchanged.

B.2.4   Originator-name/Report-destination-name

If the **originator-name** in a **message-transfer-envelope** or a **probe-transfer-envelope**, or if the **report-destination-name** in a **report-transfer-envelope**, cannot be downgraded according to the rules given for **OR-name** (see B.2.7), then downgrading shall fail.

Otherwise the element shall be unchanged.

B.2.5   Per-recipient-fields of Message- or Probe-Transfer

If a **recipient-name** in the **per-recipient-fields** of a **message-transfer-envelope** or a **probe-transfer-envelope** cannot be downgraded according to the rules given for **OR-name** (see B.2.7), or any per-recipient **extension-field** exists and is marked **critical-for-transfer** or **critical-for-delivery**, then:

  a) if the corresponding **responsibility** element has the value **responsible**, then downgrading for that recipient shall fail;

  b) if the corresponding **responsibility** element has the value **not-responsible**, then the element for that recipient shall be deleted from **per-recipient-fields**.

If downgrading has failed for every recipient for which **responsibility** has the value **responsible**, then downgrading shall fail. If downgrading has failed for some (but not all) recipients for which **responsibility** has the value **responsible**, then the Splitter procedure (see clause 14 of ITU-T Rec. X.411 | ISO/IEC 10021-4) is invoked to split the message.

  NOTE – The downgrading rules imply that **disclosure-of-recipients** is neither critical-for-transfer nor critical-for-delivery.

B.2.6   Per-recipient-fields of Report-transfer

If an **actual-recipient-name** or an **originally-intended-recipient-name** in the **per-recipient-fields** of a **report-transfer-content** cannot be downgraded according to the rules given for **OR-name** (see B.2.7), then the corresponding element of **per-recipient-fields** shall be deleted. If all the elements of **per-recipient-fields** are so deleted, downgrading shall fail.

B.2.7   OR-name

The **OR-name** shall be downgraded by deleting the **directory-name**, if present, and by downgrading the **OR-address** (see B.2.8).

B.2.8   OR-address

If the **OR-address** contains any attributes encoded both as universal strings (either the UniversalString or BMPString ASN.1 type) and as one of the other available string types, the universal strings should be deleted.

If the **OR-address** contains any attributes encoded only as universal strings and all the characters in those strings are from the printable string repertoire, the universal strings may be replaced by the equivalent printable strings; otherwise the **OR-address** cannot be downgraded.

> NOTE – Implementations conforming to earlier editions of this specification will not perform any processing related to universal strings. Such implementations will be unable to downgrade addresses containing universal strings unless printable or teletex string equivalents are present, and may refuse to downgrade addresses even if the equivalents are present.

If the **OR-address** contains any attributes encoded both as teletex strings and as printable strings, the teletex strings shall be deleted.

If the **OR-address** contains any attributes encoded only as teletex strings and the characters in these teletex strings are from the printable string repertoire, these teletex strings shall be replaced by the printable string equivalents, otherwise the **OR-address** cannot be downgraded.

If the **OR-address** contains the **common-name** attribute, a **domain-defined-attribute** shall be created with its type component set to "common" (not case sensitive) and its value component copied from the **common-name** attribute. The **common-name** attribute shall then be deleted. If the **OR-address** previously contained four **domain-defined-attributes**, the **OR-address** cannot be downgraded.

If the **OR-address** is a **numeric-OR-address** containing a **private-domain-name**, the **OR-address** cannot be downgraded.

If the **OR-address** is a **terminal-OR-address** that contains **terminal-type**, **common-name**, **organization-name**, **organizational-unit-name**, **personal-name** or **unformatted-postal-address**, these attributes shall be deleted.

If the **OR-address** is a **terminal-OR-address**:

a) that contains a **country-name**, an **administration-domain-name**, a **network-address**, optionally **domain-defined-attributes**, and no others, the **OR-address** shall be unchanged;

b) that contains a **network-address**, optionally a **terminal-identifier**, and no others, the **OR-address** shall be unchanged;

c) that contains combinations of attributes other than the above, all attributes except the **network-address** and the **terminal-identifier**, if present, shall be deleted.

If after applying all the above rules the **OR-address** still contains any **extension-attributes**, the **OR-address** cannot be downgraded.

B.2.9 Encoded-information-types

Basic **encoded-information-types** indicated by object identifiers shall be mapped to the corresponding bit in **built-in-encoded-information-types**, and the object identifiers shall be deleted.

Other **encoded-information-types** indicated by object identifiers shall be mapped to the **unknown** value of **built-in-encoded-information-types**, and the object identifiers shall be deleted.

Notwithstanding the above rules, **original-encoded-information-types** in a **report-transfer-content** shall be deleted.

B.2.10 Content-type and Content

If the **content-type** in a message or probe is indicated by an integer, it shall be unchanged. The **content** in the message shall also be unchanged.

If the **content-type** in a message is indicated by an object identifier, it shall be mapped to the integer value **external** in place of the object identifier. The object identifier and the **content** shall be combined together into a value of the EXTERNAL type, and this value shall be the contents of the new **content**. The object identifier shall be the EXTERNAL's direct-reference and the contents of the **content** OCTET STRING shall be its octet-aligned encoding. The encoding of the **content** OCTET STRING shall be the Basic Encoding Rules of ASN.1

If the **content-type** in a probe is indicated by an object identifier, downgrading shall fail.

The **content-type** in a report shall be deleted. The **returned-content** shall be unchanged.

B.3 Rules for Receiving from 1984 systems

This clause defines the interworking rules which a 1988 system shall obey upon receiving an MTS-APDU from a 1984 system.

B.3.1 Message originating from 1984 systems

If **non-basic-parameters** for the **g4-class-1** or **mixed-mode** types are present these shall be deleted.