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**Reciprocating internal combustion  
engines — Exhaust emission  
measurement —**

Part 9:

**Test cycles and test procedures for test-  
bed measurement of exhaust gas smoke  
emissions from compression ignition  
engines operating under transient  
conditions**

*Moteurs alternatifs à combustion interne — Mesurage des émissions de  
gaz d'échappement —*

*Partie 9: Cycles et procédures d'essai pour le mesurage au banc d'essai  
des émissions de fumées de gaz d'échappement des moteurs alternatifs à  
combustion interne à allumage par compression fonctionnant en régime  
transitoire*



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## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 3.

Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this part of ISO 8178 may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

International Standard ISO 8178-9 was prepared by Technical Committee ISO/TC 70, *Internal combustion engines*, Subcommittee SC 8, *Exhaust gas emission measurement*.

ISO 8178 consists of the following parts, under the general title *Reciprocating internal combustion engines — Exhaust emission measurement*.

- *Part 1: Test-bed measurement of gaseous and particulate exhaust emissions*
- *Part 2: Measurement of gaseous and particulate exhaust emissions at site*
- *Part 3: Definitions and methods of measurement of exhaust gas smoke under steady-state conditions*
- *Part 4: Test cycles for different engine applications*
- *Part 5: Test fuels*
- *Part 6: Report of measuring results and test*
- *Part 7: Engine family determination*
- *Part 8: Engine group determination*
- *Part 9: Test cycles and test procedures for test-bed measurement of exhaust gas smoke emissions from compression ignition engines operating under transient conditions*
- *Part 10: Test cycles and test procedures for field measurement of exhaust gas smoke emissions from compression ignition engines operating under transitory conditions*

Annexes A and B form a normative part of this part of ISO 8178. Annexes C and D are for information only.

## Introduction

Throughout the world there currently exists many smoke measurement procedures in various forms. Some of these smoke measurement procedures are designed for test-bed testing and may be used for certification or type-approval purposes. Others are designed for field-testing and may be used in inspection and maintenance programmes. Different smoke measurement procedures exist to meet the needs of various regulatory agencies and industries. The two methods typically used are the filter smokemeter method and the opacimeter.

The purpose of ISO 8178 is to combine the key features of several existing smoke measurement procedures as much as technically possible. Part 4 of ISO 8178 specifies a number of different test cycles to be used to characterize gaseous and particulate emissions from nonroad engines. The test cycles in 8178-4 were developed in recognition of the differing operating characteristics of various categories of nonroad machines. Likewise, different smoke test cycles may be appropriate for different categories of nonroad engines and machines. Within ISO 8178-4 it was possible to characterize and control gaseous and particulate emissions from nonroad engines using a variety of steady-state operating points. To properly characterize and control smoke emissions from many engine applications a transient smoke test cycle is needed.

This part of ISO 8178 is intended for the measurement of the emissions of smoke from compression ignition internal combustion engines. It applies to engines operating under transient conditions, where the engine speed or load, or both, changes with time. It should be noted that the smoke emissions from typical well-maintained naturally-aspirated engines under transient conditions will generally be the same as the smoke emissions under steady-state conditions.

Only opacimeter-type smokemeters may be used for making the smoke measurements described in this part of ISO 8178 which allows the use of either full-flow or partial-flow opacimeters and corrects accounts for differences in response time between the two types of opacimeters, but does not account for any differences due to differences in temperatures at the sampling zone.

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# Reciprocating internal combustion engines — Exhaust emission measurement —

Part 9:

## Test cycles and test procedures for test-bed measurement of exhaust gas smoke emissions from compression ignition engines operating under transient conditions

### 1 Scope

This part of ISO 8178 specifies the measurement procedures and test cycles for the evaluation of smoke emissions from compression ignition engines on the test bed.

For transient smoke test cycles, smoke testing is conducted using smokemeters which operate on the light extinction principle. The purpose of this part of ISO 8178 is to define the smoke test cycles and the methods used to measure and analyse smoke. Specifications for measurement of smoke using the light extinction principle can be found in ISO 11614. The test procedures and measurement techniques described in clauses 1 to 11 of this part of ISO 8178 are applicable to reciprocating internal combustion (RIC) engines in general. However, an engine application can only be evaluated using this part of ISO 8178 once the appropriate test cycle has been developed. Annexes A and B to this part of ISO 8178 each contain a test cycle that is relevant only for those specific applications listed in the Scope of that annex. Where possible, the smoke test cycle described in the annex utilizes the engine and machine categories developed in part 4 of ISO 8178.

For certain categories of non-road engines "at site" rather than "test bed" smoke test procedures may prove to be necessary. For engines used in machinery covered by additional requirements (e.g. occupational health and safety regulations), additional test conditions and special evaluation methods may apply.

### 2 Normative references

The following normative documents contain provisions which, through reference in this text, constitute provisions of this part of ISO 8178. For dated references, subsequent amendments to, or revisions of, any of these publications do not apply. However, parties to agreements based on this part of ISO 8178 are encouraged to investigate the possibility of applying the most recent editions of the normative documents indicated below. For undated references, the latest edition of the normative document referred to applies. Members of ISO and IEC maintain registers of currently valid International Standards.

ISO 3046-3, *Reciprocating internal combustion engines — Performance — Part 3: Test measurements.*

ISO 8178-1, *Reciprocating internal combustion engines — Exhaust emission measurement — Part 1: Test-bed measurement of gaseous and particulate exhaust emissions.*

ISO 8178-4, *Reciprocating internal combustion engines — Exhaust emission measurement — Part 4: Test cycles for different engine applications.*

ISO 8178-5, *Reciprocating internal combustion engines — Exhaust emission measurement — Part 5: Test fuels.*

ISO 8178-6, *Reciprocating internal combustion engines — Exhaust emission measurement — Part 6: Report of measuring results and test.*

ISO 8178-7, *Reciprocating internal combustion engines — Exhaust emission measurement — Part 7: Engine family determination.*

ISO 8528-1, *Reciprocating internal combustion engine driven alternating current generating sets — Part 1: Application, ratings and performance.*

ISO 11614:1999, *Reciprocating internal combustion compression-ignition engines — Apparatus for measurement of the opacity and for determination of the light absorption coefficient of exhaust gas.*

### 3 Terms and definitions

For the purposes of this part of 8178 the following terms and definitions apply.

#### 3.1 exhaust gas smoke

visible suspension of solid and/or liquid particles in gases resulting from combustion or pyrolysis

NOTE Black smoke (soot) is mainly comprised of carbon particles; blue smoke is usually due to droplets resulting from the incomplete combustion of fuel or lubricating oil; white smoke is usually due to condensed water and/or liquid fuel; yellow smoke is caused by  $\text{NO}_2$ .

#### 3.2 transmittance

$\tau$   
fraction of light, expressed as a percentage, transmitted from a source through a smoke-obscured path and which reaches the observer or the instrument receiver

#### 3.3 opacity

$N$   
fraction of light, expressed as a percentage, transmitted from a source through a smoke-obscured path and which is prevented from reaching the observer or the instrument receiver

NOTE  $N = 100 - \tau$

#### 3.4 Optical path length

##### 3.4.1 effective optical path length

$L_A$   
length of the smoke-obscured optical path between the opacimeter light source and the receiver, expressed in metres and corrected, as necessary, for non-uniformity due to density gradients and fringe effect

NOTE Portions of the total light source to receiver path length which are not smoke obscured do not contribute to the effective optical path length.

##### 3.4.2 standard effective optical path length

$L_{AS}$   
measurement used to ensure meaningful comparisons of quoted opacity values

NOTE  $L_{AS}$  values are defined in 10.1.4.

### 3.5

#### light absorption coefficient

$k$

fundamental means of quantifying the ability of a smoke plume or smoke-containing gas sample to obscure light

NOTE By convention, the light absorption coefficient is expressed in reciprocal metres ( $\text{m}^{-1}$ ). The light absorption coefficient is a function of the number of smoke particles per unit gas volume, the size distribution of the smoke particles and the light absorption and scattering properties of the particles. In the absence of blue, white or yellow smoke or ash, the size distribution and the light absorption/scattering properties are similar for all diesel exhaust gas samples and the light absorption coefficient is primarily a function of the smoke particle density.

### 3.6

#### Beer-Lambert law

mathematical equation describing the physical relationships between the light absorption coefficient ( $k$ ), the smoke parameters of transmittance ( $\tau$ ) and effective optical path length ( $L_A$ )

NOTE Because the light absorption coefficient ( $k$ ) cannot be measured directly, the Beer-Lambert law is used to calculate  $k$ , when opacity ( $N$ ) or transmittance ( $\tau$ ), and effective optical path length ( $L_A$ ) are known:

$$k = \frac{-1}{L_A} \ln \left( \frac{\tau}{100} \right) \quad (1)$$

$$k = \frac{-1}{L_A} \ln \left( 1 - \frac{N}{100} \right) \quad (2)$$

### 3.7

#### opacimeter

instrument used for the measurement of smoke characteristics using the optical method of transmittance

#### 3.7.1

##### full-flow opacimeter

instrument in which all flow of exhaust gas passes through the smoke measuring chamber

##### 3.7.1.1

##### full-flow end-of-line opacimeter

instrument which measures the opacity of the full exhaust plume as it exits the tailpipe

NOTE The light source and receiver for this type of opacimeter are located on opposite sides of the smoke plume and in close proximity to the open end of the tailpipe. When applying this type of opacimeter, the effective optical path length is a function of the tailpipe design.

##### 3.7.1.2

##### full-flow in-line opacimeter

instrument which measures the opacity of the full exhaust plume within the tailpipe

NOTE The light source and receiver for this type of opacimeter are located on opposite sides of the smoke plume and in close proximity to the outer wall of the tailpipe. With this type of opacimeter the effective optical path length is dependent on the instrument.

#### 3.7.2

##### partial-flow opacimeter

instrument which samples a representative portion of the total exhaust flow and passes the sample through the measuring chamber

NOTE With this type of opacimeter the effective optical path length is a function of the opacimeter design.

### 3.7.3 Opacimeter response time

#### 3.7.3.1

##### opacimeter physical response time

$t_p$

difference between the times when the raw  $k$ -signal reaches 10 % and 90 % of the full deviation when the light absorption coefficient of the gas being measured is changed in less than 0,01 s

NOTE The physical response time of the partial flow opacimeter is defined with the sampling probe and transfer tube. Additional information on the physical response time can be found in 8.2.1 and 11.7.2 of ISO 11614:1999.

#### 3.7.3.2

##### opacimeter electrical response time

$t_e$

difference between the times when the instrument recorder output signal or display reaches 10 % and 90 % of full scale when the light source is interrupted or completely extinguished in less than 0,01 s

NOTE Additional information on the electrical response time can be found in 6.2.6.2 of ISO 11614:1999.

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## 4 Symbols and units

See Table 1.

Table 1 — Symbols and units for terms used in this part of ISO 8178

Symbol	Term	Unit
$B$	Bessel function constant	1
$C$	Bessel function constant	1
$D$	Bessel function constant	1
$E$	Bessel constant	1
$f_a$	Atmospheric factor	1
$f_c$	Bessel filter cut-off frequency	s <sup>-1</sup>
$k$	Light absorption coefficient	m <sup>-1</sup>
$k_{\text{corr}}$	Ambient condition corrected light absorption coefficient	m <sup>-1</sup>
$k_{\text{obs}}$	Observed light absorption coefficient	m <sup>-1</sup>
$K$	Bessel constant	1
$K_s$	Smoke ambient correction factor	1
$L_A$	Effective optical path length	m
$L_{AS}$	Standard effective optical path length	m
$N$	Opacity	%
$N_A$	Opacity at effective optical path length	%
$N_{AS}$	Opacity at standard effective optical path length	%
$p_{\text{me}}$	Brake effective mean pressure	kPa
$p_s$	Dry atmospheric pressure	kPa
$P$	Engine power	kW
$S_i$	Instantaneous smoke value	m <sup>-1</sup> or %
$t_{\text{Aver}}$	Overall response time	s
$t_e$	Opacimeter electrical response time	s
$t_F$	Filter response time for Bessel function	s
$t_p$	Opacimeter physical response time	s
$\Delta t$	Time between successive smoke data (=1/sampling rate)	s
$T_a$	Engine intake air temperature	K
$X$	Desired overall response time	s
$Y_i$	Bessel averaged smoke value	m <sup>-1</sup> or %
$\rho$	Dry ambient density	kg/m <sup>3</sup>
$\tau$	Smoke transmittance	%
$\Omega$	Bessel constant	1

## 5 Test conditions

### 5.1 Ambient test conditions

#### 5.1.1 Test condition parameter

The absolute temperature  $T_a$ , of the engine intake air expressed in kelvin, and the dry atmospheric pressure  $p_s$ , expressed in kPa, shall be measured, and the atmospheric factor  $f_a$ , shall be determined using equations (3) to (5).

For naturally aspirated and mechanically supercharged compression-ignition engines and compression-ignition engines with wastegates operating:

$$f_a = \left( \frac{99}{p_s} \right) \times \left( \frac{T_a}{298} \right)^{0,7} \quad (3)$$

NOTE This formula also applies if the wastegate is operating only during sections of the test cycle. If the wastegate is not operating during any section of the test cycle, formula (4) or (5) shall be used depending on the type of charge cooling, if any.

For turbocharged compression-ignition engines without charge air cooling, or with charge air cooling by air/air cooler:

$$f_a = \left( \frac{99}{p_s} \right)^{0,7} \times \left( \frac{T_a}{298} \right)^{1,2} \quad (4)$$

For turbocharged compression-ignition engines with charge air to liquid charge air cooler:

$$f_a = \left( \frac{99}{p_s} \right)^{0,7} \times \left( \frac{T_a}{298} \right)^{0,7} \quad (5)$$

#### 5.1.2 Test validation criteria — test conditions

For a test to be recognized as valid the parameter  $f_a$  should be such that:

$$0,93 \leq f_a \leq 1,07 \quad (6)$$

NOTE It is recommended that tests be with the parameter  $f_a$  between 0,96 and 1,06.

Additional validation criteria are given in 7.3.2.3 and A.3.2.2.

### 5.2 Power

Those auxiliaries which are necessary only for the operation of the machine and which may be mounted on the engine shall be removed for the test. The following incomplete list is given as an example:

- air compressor for brakes;
- power steering pump;
- air conditioning compressor;
- pumps for hydraulic actuators.

For further details see 3.8 and Table B.1 of ISO 8178-1:1996.

### 5.3 Engine air inlet system

The test engine shall be equipped with an air inlet system presenting an air inlet restriction within  $\pm 10\%$  of the manufacturer's specified upper limit for a clean air-cleaner. The upper limit shall be at the engine operating condition, as specified by the manufacturer, that results in the maximum air flow for the respective engine application.

### 5.4 Engine exhaust system

The test engine shall be equipped with an exhaust system presenting an exhaust back pressure within  $\pm 10\%$  of the manufacturer's specified upper limit. The upper limit shall be at the engine operating condition, as specified by the manufacturer, that results in the maximum declared power for the respective engine application. Tests may be conducted with a muffler, as this will tend to reduced exhaust pulsations which may interfere with measurement of smoke. Further, the use of a muffler should provide better correlation between test-bed smoke measurement and any in-field smoke tests that may occur. The design of the muffler (i.e. volume) should be typical of that used in actual field applications of the engine being tested.

### 5.5 Cooling system

An engine cooling system with sufficient capacity to maintain the engine at normal operating temperatures prescribed by the manufacturer shall be used.

### 5.6 Lubricating oil

Specifications of the lubricating oil used for the test shall be recorded and presented with the results of the test.

### 5.7 Engines with charge air cooling

The temperature of the cooling medium and the temperature of the charge air shall be recorded.

The cooling system shall be set with the engine operating at the speed and load specified by the manufacturer. The charge air temperature and cooler pressure drop shall be set to within  $\pm 4\text{ K}$  and  $\pm 2\text{ kPa}$  respectively of the manufacturer's specification.

### 5.8 Test fuel temperature

The test fuel temperature shall be in accordance with the manufacturer's recommendations. In the event that the manufacturer does not specify the temperature, it shall be  $311\text{ K} \pm 5\text{ K}$ . Except for cases where "heavy" fuel is used, the temperature specified by the manufacturer shall not be greater than  $316\text{ K}$ . The fuel temperature shall be measured at the inlet to the fuel injection pump unless otherwise specified by the manufacturer, and the location of measurement shall be recorded.

## 6 Test fuels

Fuel characteristics influence the engine smoke emissions. Therefore, the characteristics of the fuel used for the test shall be determined, recorded and presented with the results of the test. Where fuels designated in ISO 8178-5 are used as reference fuels, the reference code and the analysis of the fuel shall be provided. For all other fuels the characteristics to be recorded are those listed in the appropriate universal data sheets in ISO 8178-5.

The selection of the fuel for the test depends on the purpose of the test. Unless otherwise agreed by the parties the fuel shall be selected in accordance with Table 2. When a suitable reference fuel is not available, a fuel with properties very close to the reference fuel may be used. The characteristics of the fuel shall be declared.

Table 2 — Selection of fuel

Test purpose	Interested parties	Fuel selection
Type approval (certification)	Certification body Manufacturer or supplier	Reference fuel, if one is defined Commercial fuel if no reference fuel is defined
Acceptance test	Manufacturer or supplier Customer or inspector	Commercial fuel as specified by the manufacturer <sup>a</sup>
Research/development	One or more of: — manufacturer; — research organization; — fuel and lubricant supplier; etc.	To suit the purpose of the test

<sup>a</sup> Customers and inspectors should note that the emission tests carried out using commercial fuel will not necessarily comply with limits specified when using reference fuels. The fuel used for acceptance tests should be within the range of fuel specifications allowed by the engine manufacturer, as specified in the engine manufacturer's technical literature.

## 7 Measurement equipment and accuracy

### 7.1 General

The following equipment shall be used for smoke tests on engines using dynamometers. This part of ISO 8178 does not contain details of pressure and temperature measuring equipment. Instead, only the accuracy requirements of such equipment necessary for conducting a smoke test are given in 7.4.

### 7.2 Dynamometer specification

An engine dynamometer with adequate characteristics to perform the test cycle as described in annexes A and B shall be used. Test cycle linearity requirements apply only when tests have been conducted using an electric dynamometer. The instrumentation for torque and speed measurement shall allow the measurement accuracy required for running the test cycle within the limits given in annexes A and B. Speed and torque shall be sampled at a frequency of at least 1 Hz. The accuracy of the measuring equipment shall be such that the maximum tolerances of the figures given in Table 3 are not exceeded. Engine driven equipment that meets these requirements may be used instead of dynamometers.

### 7.3 Determination of smoke

#### 7.3.1 General

Transient smoke tests must be conducted using opacimeter-type smokemeters. Three different types of opacimeters are allowed: in-line and end-of-line full-flow opacimeters and the partial-flow opacimeter. Specifications for the three types of opacimeters can be found in clause 11 of this part of ISO 8178 and in clauses 6 and 7 of ISO 11614:1999. Temperature correction has not been validated for transient tests, therefore, temperature correction of smoke results has not been included in this part of ISO 8178.

**Table 3 — Permissible deviations of instruments for engine-related parameters**

Item	Permissible deviation (% based on engine maximum values) in accordance with ISO 3046-3	Calibration intervals months
Engine speed	± 2 %	3
Torque	± 2 % or ± 5 Nm <sup>a</sup>	3
Power	± 3 %	not applicable
<sup>a</sup> Whichever is greater.		

### 7.3.2 Specifications — opacimeters

#### 7.3.2.1 General

Smoke tests require the use of a smoke measurement and data processing system which includes three functional units. These units may be integrated into a single component or provided as a system of interconnected components. The three functional units are:

- a full-flow or a partial-flow opacimeter meeting the specifications of this clause. Detailed specifications for opacimeters can be found in clause 11 and in ISO 11614;
- a data processing unit capable of performing the functions described in 10.2 and 10.3 and in annex D;
- a printer and/or electronic storage medium to record and output the required smoke values specified in annexes A and B.

#### 7.3.2.2 Linearity

Linearity is defined as the difference between the value measured by the opacimeter and the reference value of the calibrating device. The linearity shall not exceed 2 % opacity.

#### 7.3.2.3 Zero drift

The zero drift over either a one hour period or the duration of the test – whichever is the lesser – shall not exceed 1 % opacity.

#### 7.3.2.4 Opacimeter display and range

For display in both opacity and light absorption coefficient the opacimeter shall have a measuring range appropriate for accurately measuring the smoke of the engine being tested. The resolution shall be at least 0,1 % of full scale.

The optical path length selected for the smoke instrument shall be suitable for the smoke levels being measured in order to minimize errors in calibrations, measurements and calculations.

#### 7.3.2.5 Instrument response time

The physical response time of the opacimeter shall not exceed 0,2 s, and the electrical response time of the opacimeter shall not exceed 0,05 s.

### 7.3.2.6 Sampling requirements for partial-flow opacimeters

The sampling conditions shall conform to the requirements of 11.3.

### 7.3.2.7 Light source

The light source shall conform to the requirements of 11.2 and 11.3

### 7.3.2.8 Neutral density filters

Any neutral density filters used for calibrating and checking opacimeters must be known to an accuracy of  $\pm 1\%$  opacity and the filter's nominal value must be checked for accuracy at least yearly using a reference traceable to a national or International Standard.

NOTE Neutral density filters are precision devices and can easily be damaged during use. Handling should be minimized and, when required, should be done with care to avoid scratching or soiling of the filter.

## 7.4 Accuracy

The calibration of all measuring instruments shall be traceable to International Standards (or national standards if no International Standards exist) and comply with the requirements given in Table 3.

## 8 Calibration of the opacimeter

### 8.1 General

The opacimeter shall be calibrated as often as necessary in order to fulfil the accuracy requirements of this part of ISO 8178. The calibration method that shall be used is described in 8.2.

### 8.2 Calibration procedure

#### 8.2.1 Warming-up time

The opacimeter shall be warmed up and stabilized in accordance with the manufacturer's recommendations. If the opacimeter is equipped with a purge air system to prevent sooting of the instrument optics, this system should also be activated and adjusted in accordance with the manufacturer's recommendations.

#### 8.2.2 Establishment of the linearity response

With the opacimeter in the opacity readout mode, and with no blockage of the opacimeter light beam, the readout shall be adjusted to  $0\% \pm 1\%$  opacity.

With the opacimeter in the opacity readout mode, and all light prevented from reaching the receiver, the readout shall be adjusted to  $100\% \pm 1\%$  opacity.

The linearity of the opacimeter, when used in the opacity mode, shall be checked periodically in accordance with the manufacturer's recommendations. A neutral density filter between 30% and 60% opacity which meets the requirements of 7.3.2.8 shall be introduced to the opacimeter and the value recorded. The instrument readout must not differ by more than  $\pm 2\%$  opacity from the nominal value of the neutral density filter. Any non-linearity exceeding the above value shall be corrected prior to the test.

## 9 Test run

### 9.1 Installation of the measuring equipment

The opacimeter and sample probes, if applicable, shall be installed after the muffler or any after-treatment device, if fitted, according to the installation procedures specified by the instrument manufacturer. Additionally, the requirements of clause 10 of ISO 11614:1999 shall be observed, where appropriate.

### 9.2 Checking of the opacimeter

Prior to any zero and full-scale checks, the opacimeter shall be warmed up and stabilized in accordance with the instrument manufacturer's recommendations. If the opacimeter is equipped with a purge air system to prevent sooting of the meter optics, this system shall also be activated and adjusted in accordance with the manufacturer's recommendations.

The zero and full-scale checks shall be made in the opacity readout mode, since the opacity scale offers two truly definable calibration points, namely 0 % opacity and 100 % opacity. The light absorption coefficient is then correctly calculated based upon the measured opacity and  $L_A$ , as submitted by the opacimeter manufacturer, when the instrument is returned to the  $k$  readout mode for testing.

With no blockage of the opacimeter light beam, the readout shall be adjusted to  $0 \% \pm 1 \%$  opacity. With the light being prevented from reaching the receiver, the readout shall be adjusted to  $100 \% \pm 1 \%$  opacity.

### 9.3 Test cycle

The engine shall be run on the test cycle as described in annexes A and B, taking into account the considerations noted in annex C.

### 9.4 Determination of effective optical path length ( $L_A$ )

Portions of the light source to receiver path length which are not smoke obscured do not contribute to the effective optical path length. If the smokemeter light beam is located sufficiently close to the exhaust outlet (within 0,07 m), the cross section of the smoke plume as it passes by the smokemeter is essentially the same as the tailpipe outlet along the line of orientation of the smokemeter light beam. In general, this distance should be determined by direct measurement of the tailpipe outlet. To achieve corrected smoke results which are accurate within  $\pm 2 \%$  opacity, determination of  $L_A$  shall be made within  $\pm 6 \%$ . (The largest error in opacity occurs at an opacity of approximately 60 %, at lower and higher values of opacity, less accurate determination of  $L_A$  can be tolerated.) For the smallest standard effective optical path length (0,038 m),  $\pm 6 \%$  equates to an accuracy of 0,002 m.

It is often difficult, particularly in field testing, to gain access to and obtain direct measurements of the tailpipe outlets on many machines. Therefore, the extension of the exhaust stack pipe from three to a maximum of thirty times the stack pipe diameter should be considered if the engine manufacturer does not have any objections. Proper sealing of that joint is necessary to avoid exhaust dilution with air.

For many common tailpipe designs  $L_A$  can be determined with sufficient accuracy from external exhaust system dimensions which are more easily measured.

## 10 Data evaluation and calculation

### 10.1 Data evaluation

#### 10.1.1 General requirements – opacimeters

The smoke shall be sampled using a minimum frequency of 20 Hz. Smoke values shall be reported in units of either opacity ( $N$ ) or light absorption coefficient ( $k$ ). The measured smoke values (transmittance) shall be converted

into the respective smoke units and corrected for opacimeter optical path length differences, as necessary (see 10.1.2, 10.1.3 and 10.1.4). Ambient density correction, if necessary, shall be applied to the light absorption coefficient, only (see 10.3). The smoke data shall then be processed by means of the Bessel algorithm, as described in 10.2 and annex A.

The sample line length shall not affect the smoke trace (see 11.3). However, even though sample line length does not affect the shape of the smoke trace, it may introduce a delay between when the smoke is produced and when it is measured. The analysis of smoke traces shall account for any delay time associated with transport of smoke in the exhaust system.

The smoke values shall then be calculated as described in annex A.

### 10.1.2 Beer-Lambert relationships

The Beer-Lambert law defines the relationship between transmittance, light absorption coefficient and effective optical path length as shown in equation (7).

$$\frac{\tau}{100} = e^{-kL_A} \quad (7)$$

From the definitions of transmittance and opacity, the relationship between these parameters may be defined as shown in equation (8).

$$N = 100 - \tau \quad (8)$$

From equations (7) and (8) the following relationships are derived:

$$N_{AS} = 100 \times \left[ 1 - \left( 1 - \frac{N_A}{100} \right)^{\frac{L_{AS}}{L_A}} \right] \quad (9)$$

$$k = -\frac{1}{L_A} \times \ln \left( 1 - \frac{N_A}{100} \right) \quad (10)$$

### 10.1.3 Data conversion

Conversion from as-measured smoke values to appropriate reporting units is a two-step process. Since the basic measurement unit of all opacimeters is transmittance, the first step in all cases is to convert from transmittance ( $\tau$ ) to opacity at the as-measured effective optical path length ( $N_A$ ) using equation (8). For most opacimeters this step is done internally and is invisible to the user.

The second step of the process is to convert from  $N_A$  to the desired reporting units as follows:

If the test results are reported in opacity units, equation (9) must be used to convert from opacity at the as-measured effective optical path length ( $N_A$ ) to opacity at the standard effective optical path length ( $N_{AS}$ ).

NOTE In the event that the measured and standard effective optical path lengths are identical,  $N_{AS}$  is equal to  $N_A$  and this secondary conversion step is not required.

If the test results are reported in units of light absorption coefficient, then equation (10) shall be applied.

### 10.1.4 Effective optical path length input values

In order to apply equation (10), it is necessary to apply the as-measured effective optical path length ( $L_A$ ). To use equation (9), values shall be applied both for  $L_A$  and for the standard effective optical path length  $L_{AS}$ .

For full-flow end-of-line opacimeters,  $L_A$  is a function of the engine tailpipe design. For straight tailpipes with a circular cross section,  $L_A$  is equal to the tailpipe inner diameter.

For partial-flow (sampling) opacimeters and full-flow in-line opacimeters,  $L_A$  is a fixed function of the instrument measurement cell and purge air system design. Specification data supplied by the instrument manufacturer shall be used to determine the appropriate value for  $L_A$  when these types of opacimeters are used.

Typically, it is necessary to determine  $L_A$  to within 0,002 m in order to achieve corrected smoke results that are accurate to within 2 % opacity.

Smoke opacity readings depend on the effective optical path length of the instrument. Since limit values may be established in units of percent opacity, they must be referred to the standard effective optical path lengths (pipe diameter) at which the limit values apply. For meaningful smoke data comparisons, smoke opacity results shall be reported at the standard effective optical path lengths ( $L_{AS}$ ) shown in Table 4. Smoke opacity may be measured at non standard optical path lengths.

For the purposes of Table 4 engine power need not be measured. Engine power is typically available either from a label on the engine, from the owner's manual for the engine or from information used to apply certification or type approval of the engine. In the event that engine power cannot be determined, it is not possible to evaluate the engine's compliance with limit values that are expressed in percent opacity.

**Table 4 — Standard effective optical path lengths**

Engine power $P$ kW	Standard effective optical path length $L_{AS}$ m
$P < 37$	0,038
$37 \leq P < 75$	0,05
$75 \leq P < 130$	0,075
$130 \leq P < 225$	0,1
$225 \leq P < 450$	0,125
$P \geq 450$	0,15

## 10.2 Bessel algorithm

### 10.2.1 General

The Bessel algorithm shall be used to compute the average values from the instantaneous smoke readings. The algorithm can be applied to either values of smoke opacity or light absorption coefficient. However, if the smoke level is less than 40 % opacity, the algorithm may be applied to the opacity signal with negligible error. The algorithm emulates a low pass second order filter, and its use requires iterative calculations to determine the coefficients. These coefficients are a function of the response time of the opacimeter system and the sampling rate. Therefore, the calculations given in 10.2.2 must be repeated whenever the system response time and/or sampling rate changes.

**10.2.2 Calculation of filter response time and Bessel constants**

The required Bessel filter response time ( $t_F$ ) is a function of the physical and electrical response times of the opacimeter system, as defined in 3.7.3, and the desired overall response time  $X$  and shall be calculated using equation (11):

$$t_F = \sqrt{X^2 - (t_p^2 + t_e^2)} \tag{11}$$

where

$t_p$  is the physical response time, in seconds;

$t_e$  is the electrical response time, in seconds.

Equation (11) can be used to adjust differing opacimeters to a common response time provided that both  $t_p$  and  $t_e$  are  $\ll X$  (see 7.3.2.5) and provided that both  $t_p$  and  $t_e$  are  $\ll$  the duration of the transient test.

The calculations for estimating the filter cut-off frequency ( $f_c$ ) are based on a step input of 0 to 1 in  $< 0,01s$  (see annex D). The response time is defined as the time between when the Bessel output reaches 10 % ( $t_{10}$ ) and when it reaches 90 % ( $t_{90}$ ) of this step function. This must be obtained by iterating on  $f_c$  until  $t_{90} - t_{10} \cong t_F$ . The first iteration for  $f_c$  is calculated using equation (12).

$$f_c = \frac{\pi}{(10 \times t_F)} \tag{12}$$

The Bessel constants  $E$  and  $K$  shall be calculated using equations (13) and (14).

$$E = \frac{1}{1 + \Omega \times \sqrt{3 \times D} + D \times \Omega^2} \tag{13}$$

$$K = 2 \times E \times (D \times \Omega^2 - 1) - 1 \tag{14}$$

where

$$D = 0,618\ 034;$$

$$\Delta t = 1/\text{sampling rate};$$

$$\Omega = 1/[\tan(\pi \times \Delta t \times f_c)].$$

Using the values of  $E$  and  $K$ , the Bessel averaged response of  $X$  to a step input  $S_i$  shall be calculated as follows:

$$Y_i = Y_{i-1} + E \times (S_i + 2 \times S_{i-1} + S_{i-2} - 4 \times Y_{i-2}) + K \times (Y_{i-1} - Y_{i-2}) \tag{15}$$

where

$$S_{i-2} = S_{i-1} = 0;$$

$$S_i = 1;$$

$$Y_{i-2} = Y_{i-1} = 0.$$

The times  $t_{10}$  and  $t_{90}$  shall be interpolated. The difference in time between  $t_{90}$  and  $t_{10}$  defines the response time  $t_F$  for that value of  $f_c$ . If this response time is not close enough to the required response time, iteration shall be continued until the actual response time is within 1 % of the required response as follows:

$$|(t_{90} - t_{10}) - t_F| = 0,01 t_F \quad (16)$$

Example of calculations used for the first and second iteration are given in annex D.

### 10.2.3 Calculation of Bessel averaged smoke

Once the proper Bessel algorithm constants  $E$  and  $K$  have been calculated in accordance with 10.2.2, the Bessel algorithm shall then be applied to the instantaneous smoke trace using equation (15).

The Bessel algorithm is recursive in nature. Thus it needs some initial input values of  $S_{i-1}$  and  $S_{i-2}$  and initial output values  $Y_{i-1}$  and  $Y_{i-2}$  to get the algorithm started. These may be assumed to be 0.

The resultant Bessel averaged smoke values are then used to calculate the appropriate smoke values as described in annex A.

## 10.3 Ambient correction

### 10.3.1 General

For engine type approval (certification) the atmospheric factor,  $f_a$ , shall be within a band of 0,98 and 1,02 (see 5.1.2). If  $f_a$  lies within a band of 0,93 and 1,07, smoke shall be corrected in accordance with equation (19), since smoke is largely dependent on atmospheric conditions. However, no correction is allowed in the 0,98 to 1,02 band.

NOTE The air density correction equations provided in this clause reflect the best fit nominal sensitivity of a sample of evaluated engines/vehicles. Some engines are more sensitive and some are less sensitive to the air density changes predicted by the adjustment equations. In light of this, applying the correction equations to specific engines/vehicles of unknown air density sensitivity, the adjustment equations can only be considered approximate. It is recommended that regulatory agencies adopting this procedure in enforcement programmes make some allowance for the fact that the air density sensitivity of individual vehicles tested in the programme will, in general, not be known precisely and may be different than that indicated by nominal adjustment.

### 10.3.2 Reference conditions

The correction factor of 10.3.3 accounts for engine intake dry air density. The reference dry air density is  $1,1575 \text{ kg/m}^3$  at the reference temperature of 298 K and the reference pressure of 99 kPa (see 5.1.1).

### 10.3.3 Ambient density smoke correction

The correction shall be applied to smoke values expressed as a light absorption coefficient or "k". The correction shall be applied to the Bessel-averaged peak smoke values, and not to the raw smoke trace. Opacity values must be converted to  $k$  using equation (10) and may then be reconverted to opacity units after making the correction. Equation (17) shall be used.

$$K_s = \frac{1}{19,952\rho^2 - 48,259\rho + 30,126} \quad (17)$$

where

$$\rho = \frac{p_s \times 10^3}{287 \times T_a} \quad (18)$$

Using equation (17), smoke values in annexes A and B shall be corrected from “observed” to “corrected” values of light absorption coefficient using equation (19).

$$k_{\text{corr}} = K_s \times k_{\text{obs}} \tag{19}$$

**10.4 Test report**

The test report shall contain the data specified in ISO 8178-6.

**11 Determination of smoke**

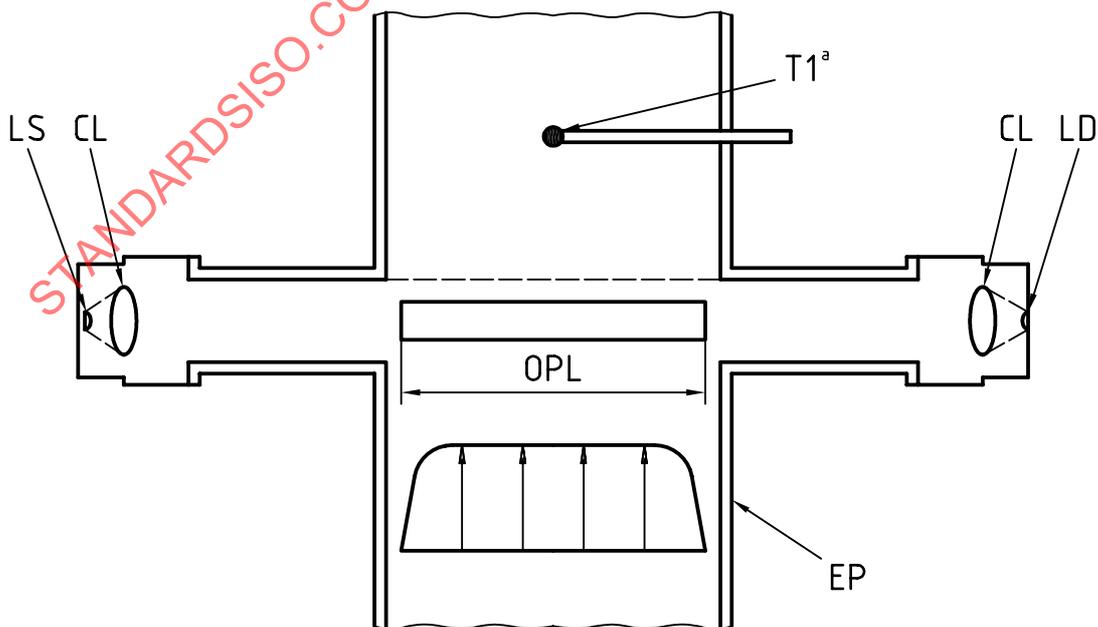
**11.1 General**

11.2 and 11.3 and Figures 1 and 2 contain detailed descriptions of the recommended opacimeter systems. Since various configurations can produce equivalent results, exact conformance with Figures 1 and 2 is not required. Additional components such as instruments, valves, solenoids, pumps and switches may be used to provide additional information and coordinate the functions of the component systems. Other components which are not needed to maintain the accuracy on some systems may be excluded if their exclusion is based upon good engineering judgement.

The principle of measurement is that light is transmitted through a specific length of the smoke under investigation and that proportion of the incident light which reaches a receiver is used to assess the light obscuration properties of the medium. The smoke measurement depends upon the design of the apparatus and may be carried out in the exhaust pipe (full-flow in-line opacimeter), at the end of the exhaust pipe (full-flow end-of-line opacimeter) or by taking a sample from the exhaust pipe (partial-flow opacimeter). For the determination of the light absorption coefficient from the opacity signal, the optical path length of the instrument shall be supplied by the instrument manufacturer.

**11.2 Full-flow opacimeter**

Two general types of full-flow opacimeters may be used, see Figure 1. With the in-line opacimeter, the opacity of the full exhaust plume within the exhaust pipe is measured. With this type of opacimeter, the effective optical path length is a function of the opacimeter design.



<sup>a</sup> Optional

**Figure 1 — Full-flow opacimeter**

With the end-of-line opacimeter, the opacity of the full exhaust plume is measured as it exits the exhaust pipe. With this type of opacimeter, the effective optical path length is a function of the exhaust pipe design and the distance between the end of the exhaust pipe and the opacimeter.

### Components of Figure 1

#### EP: exhaust pipe

With an in-line opacimeter, there shall be no change in the exhaust pipe diameter within 3 exhaust pipe diameters before and after the measuring zone. If the diameter of the measuring zone is greater than the diameter of the exhaust pipe, a pipe gradually convergent before the measuring zone is recommended.

With an end-of-line opacimeter, the terminal 0,6 m of the exhaust pipe shall be of circular cross section and be free from elbows and bends. The end of the exhaust pipe shall be cut off squarely. The opacimeter shall be mounted centrally to the plume within  $25 \text{ mm} \pm 5 \text{ mm}$  of the end of the exhaust pipe.

#### OPL: optical path length

The length of the smoke-obscured optical path between the opacimeter light source and the receiver, corrected as necessary for non-uniformity due to density gradients and fringe effect. The optical path length shall be submitted by the instrument manufacturer taking into account any measures against sooting (e.g. purge air). If the optical path length is not available, it shall be determined in accordance with 11.6.5 of ISO 11614:1999. For the correct determination of the optical path length, a minimum exhaust gas velocity of 20 m/s is required.

#### LS: light source

The light source shall be an incandescent lamp with a colour temperature in the range of 2 800 to 3 250 K or a green light emitting diode (LED) with a spectral peak between 550 nm and 570 nm. The light source shall be protected against sooting by means that do not influence the optical path length beyond the manufacturer's specifications.

#### LD: light detector

The detector shall be a photocell or a photodiode (with a filter, if necessary). In the case of an incandescent light source, the receiver shall have a peak spectral response similar to the photopic curve of the human eye (maximum response) in the range of 550 nm to 570 nm, to less than 4 % of that maximum response below 430 nm and above 680 nm. The light detector shall be protected against sooting by means that do not influence the optical path length beyond the manufacturer's specifications.

#### CL: collimating lens

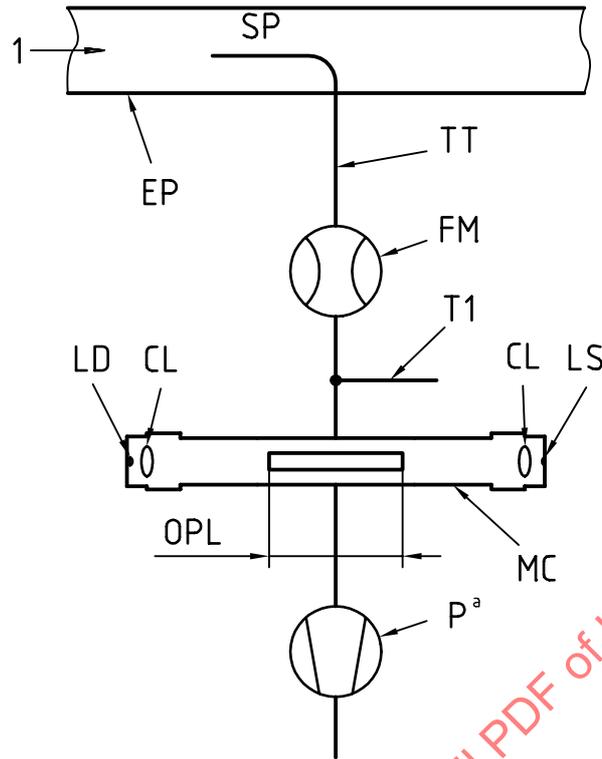
The light output shall be collimated to a beam with a maximum diameter of 30 mm. The rays of the light beam shall be parallel within a tolerance of  $3^\circ$  of the optical axis.

#### T1: temperature sensor (optional)

For monitoring the exhaust gas temperature during the test.

### 11.3 Partial-flow-opacimeter

With the partial flow opacimeter (Figure 2), a representative exhaust sample is taken from the exhaust pipe and passed through a transfer line to the measuring chamber. With this type of opacimeter, the effective optical path length is a function of the opacimeter design. The response times referred to in 11.2 apply to the minimum flow rate of the opacimeter, as specified by the instrument manufacturer.



**Key**

1 Exhaust

<sup>a</sup> Optional

**Figure 2 — Partial-flow opacimeter**

**Components of Figure 2**

**EP: exhaust pipe**

The exhaust pipe shall be a straight pipe of at least 6 pipe diameters upstream and 3 pipe diameters downstream of the tip of the probe.

**SP: sampling probe**

The sampling probe shall be an open tube facing upstream on or about the exhaust pipe centerline. The clearance with the wall of the tailpipe shall be at least 5 mm. The probe diameter shall ensure a representative sampling and a sufficient flow through the opacimeter.

**TT: transfer tube**

The transfer tube shall:

- be as short as possible and ensure an exhaust gas temperature of  $373\text{ K} \pm 30\text{ K}$  ( $100\text{ °C} \pm 30\text{ °C}$ ) at the entrance to the measuring chamber;
- have a wall temperature sufficiently above the dew point of the exhaust gas to prevent condensation;
- be equal to the diameter of the sampling probe over the entire length;
- have a response time which is part of the physical response time  $t_p$  of less than 0,05 s at minimum instrument flow, as determined in accordance with 3.7.3;
- have no significant effect on the smoke peak.

**FM: flow monitoring device**

Flow monitoring to detect the correct flow into the measuring chamber. The minimum and maximum flow rates shall be specified by the instrument manufacturer, and shall be such that the response time requirement of TT and the optical path length specifications are met. The flow monitoring device may be close to the sampling pump, P, if used.

**MC measuring chamber**

The measuring chamber shall have a non-reflective internal surface or equivalent optical environment. The impingement of stray light on the detector due to internal reflections or diffusion effects shall be reduced to a minimum.

The pressure of the gas in the measuring chamber shall not differ from the atmospheric pressure by more than 0,75 kPa. Where this is not possible by design, the opacimeter reading shall be converted to atmospheric pressure.

The wall temperature of the measuring chamber shall be set to within  $\pm 5$  K between 343 K (70 °C) and 373 K (100 °C), but in all cases sufficiently above the dew point of the exhaust gas to prevent condensation. The measuring chamber shall be equipped with appropriate devices for measuring the temperature.

**OPL: optical path length**

The length of the smoke-obscured optical path between the opacimeter light source and the receiver, corrected as necessary for non-uniformity due to density gradients and fringe effect. The optical path length shall be submitted by the instrument manufacturer taking into account any measures against sooting (e.g. purge air). If the optical path length is not available, it shall be determined in accordance with 11.6.5 of ISO 11614:1999.

**LS: light source**

The light source shall be an incandescent lamp with a colour temperature in the range of 2 800 K to 3 250 K or a green light emitting diode (LED) with a spectral peak between 550 nm and 570 nm. The light source shall be protected against sooting by means that do not influence the optical path length beyond the manufacturer's specifications.

**LD: light detector**

The detector shall be a photocell or a photodiode (with a filter, if necessary). In the case of an incandescent light source, the receiver shall have a peak spectral response similar to the photopic curve of the human eye (maximum response) in the range of 550 nm to 570 nm, to less than 4 % of that maximum response below 430 nm and above 680 nm. The light detector shall be protected against sooting by means that do not influence the optical path length beyond the manufacturer's specifications.

**CL: collimating lens**

The light output shall be collimated to a beam with a maximum diameter of 30 mm. The rays of the light beam shall be parallel within a tolerance of 3° of the optical axis.

**T1: temperature sensor**

For monitoring the exhaust gas temperature at the entrance to the measuring chamber.

**P: sampling pump (optional)**

A sampling pump downstream of the measuring chamber may be used to transfer the sample gas through the measuring chamber.

## Annex A (normative)

### Test cycle for variable-speed off-road engines

#### A.1 Scope

The smoke cycle described in this annex consist of two parts: a free acceleration test and a loaded acceleration test. This smoke cycle is applicable to those variable speed engines that are included in the C1 cycle of ISO 8178-4. The transient smoke cycle is expected to complement the steady-state emission measurements and the two together provide for control of emissions under a wide variety of operating conditions. Furthermore, the smoke test is intended to offer a method of characterizing an engine's emissions when installed in a machine, and to provide for measurement of smoke emissions both at the manufacturer and in the field.

The C1 category of ISO 8178-4 is for "Off-road vehicles, diesel powered off-road industrial equipment". Typical applications for C1 engines included in the scope of this annex include, but are not limited to:

- industrial drilling rigs, compressors etc.;
- construction equipment including wheel loaders, bulldozers, crawler tractors, crawler loaders;
- truck-type loaders, off-highway trucks, hydraulic excavators etc.;
- agricultural equipment, rotary tillers;
- forestry equipment;
- self-propelled agricultural vehicles (including tractors);
- material handling equipment;
- fork lift trucks;
- road maintenance equipment (motor graders, road rollers, asphalt finishers);
- snow plough equipment;
- airport support equipment;
- aerial lifts;
- mobile cranes.

The transient smoke test described in this annex contains acceleration rates that may not be achievable by all sizes of engines, or may not be relevant to certain applications. The scope of this annex has thus far been confirmed for engines with a rated power output up to 1 500 kW. Engines with only one or two cylinders may have special difficulty in running the cycles. Additionally, smoke measurement from one- or two-cylinder engines may include a pulsation that precludes reliable measurements unless a damping volume (muffler) is used. Special test procedures for unique applications may be used if agreed upon by the parties involved.

## A.2 Terms and definitions

### A.2.1

#### free acceleration test

portion of the procedure consisting of accelerating the engine against its own internal inertia, including flywheel, from low idle speed to high idle speed

### A.2.2

#### free acceleration time

##### FAT

time, in seconds, required for the engine to go from 5 % above low idle speed to 95 % of rated speed in the free acceleration test, this time being used as the basis for the acceleration times used in the loaded transient test

### A.2.3

#### free acceleration smoke

##### FAS

highest 1 s Bessel averaged smoke value obtained during an individual free acceleration in A.3.2.1 e) and the average of the three individual free accelerations of A.3.2.1 e)

### A.2.4

#### loaded transient test

portion of the procedure consisting of running the engine through a clearly defined cycle consisting of a loaded acceleration mode, a rated speed, full load mode, and a lug mode

NOTE Three different loaded acceleration times are used, with times of  $3 \times$ ,  $6 \times$ , and  $9 \times$  FAT.

### A.2.5

#### peak smoke value

##### PSV

highest 1 s Bessel averaged value that occurs in each of the three acceleration modes of the loaded transient test, there being three values of PSV, one each for the  $3 \times$  FAT,  $6 \times$  FAT, and  $9 \times$  FAT acceleration times

### A.2.6

#### lug smoke value

##### LSV

highest 1 s Bessel averaged value obtained during the lug mode of the loaded transient test and the average of the three individual values

NOTE The three lug modes (at the end of the  $3 \times$ ,  $6 \times$ , and  $9 \times$  FAT accelerations) are identical, and thus are expected to yield similar results.

### A.2.7

#### intermediate speed

endpoint of the lug mode of the loaded transient test as defined in 3.6 of ISO 8178-4:1996.

## A.3 Test cycle

Acceleration times between the free acceleration time and nine times the free acceleration time are employed in this test procedure. This will allow the smoke tests to bracket the free acceleration rates typical of those which occur when the engine undergoes a free acceleration in the machine and will also include loaded acceleration rates representative of those which occur during machine operation. The use of a number of acceleration times will provide smoke values under a variety of operating conditions, which will facilitate the use of the family or group testing concept contained in 8178-7 and 8. Different acceleration times may be more relevant for certain engines and applications, and may be used if agreed upon by the parties involved.

**A.3.1 Preconditioning of the engine**

The engine shall be warmed up at the rated power in order to stabilize the engine parameters in accordance with the recommendations of the manufacturer.

NOTE A preconditioning phase should also protect the actual measurement against the influence of deposits in the exhaust system resulting from a former test.

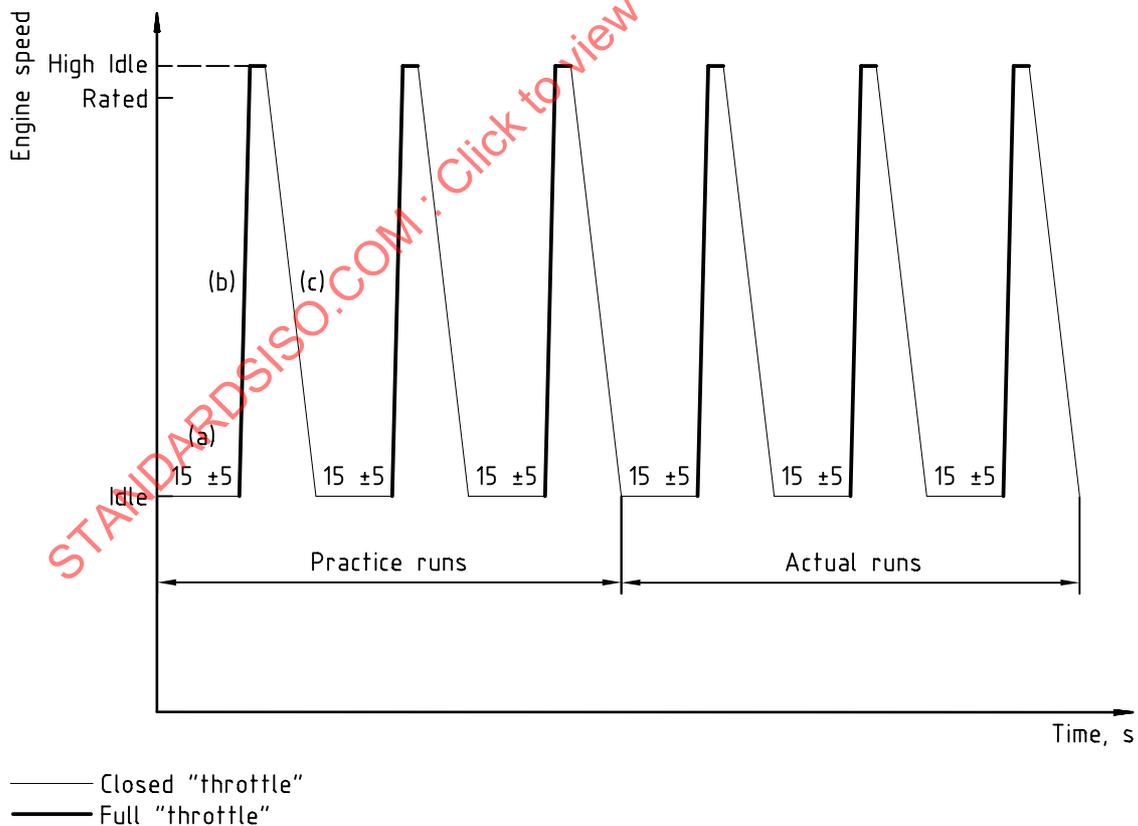
**A.3.2 Free acceleration test**

**A.3.2.1 General**

The free acceleration test is the first part of the test cycle for engine applications covered by this annex. It shall be performed immediately following the preconditioning, as described in A.3.1. The free acceleration test is a procedure that accelerates the engine from low idle speed to high idle speed against its own internal inertia and the inertia provided by the engine's flywheel. The engine tested shall be equipped with a flywheel and other rotating components that provide an inertia on the low end of the range of inertias available for the rating that is being tested. This will provide a value for FAT that is typically of the fastest acceleration that occurs in practice, thus providing for smoke control under the widest range of conditions. The free acceleration test is intended to be run with the engine decoupled from the dynamometer.

NOTE It is permissible to use a clutch to decouple the engine from the dynamometer as long as the inertia of that portion of the clutch that continues to rotate with the engine does not exceed 25 % of the total engine inertia. It is permissible to leave the engine coupled to the dynamometer if the dynamometer is used to simulate zero inertia. The free acceleration test may be run with the dynamometer connected if agreed upon by the parties involved.

The free acceleration test has the following general sequence. The sequence is shown graphically in Figure A.1.



(a), (b) and (c) refer to paragraphs in A.3.2.1.

**Figure A.1 — Free acceleration test**

- a) The engine shall be stabilized at low idle speed for  $15 \text{ s} \pm 5 \text{ s}$ .
- b) The speed control lever shall be moved rapidly to and held in the wide open position until the engine reaches its governed high idle (no load) speed.
- c) The speed control lever shall be returned to the closed position and the engine allowed to return to its low idle speed.
- d) The above sequence shall be repeated 2 times as practice runs in order to clean out the exhaust system.
- e) After the 3 practice runs, the above sequence shall be repeated until 3 successive runs meet the stability criteria as described in A.3.2.2.

#### A.3.2.2 Test validation criteria – Free acceleration test

The free acceleration test results shall be considered valid only after the following test cycle criteria have been met.

The arithmetical difference between the highest and lowest maximum 1 s Bessel averaged smoke values from the three successive free acceleration tests shall not exceed 5 % opacity.

Additional test validation criteria are given in 5.1.2 [ambient (atmospheric) conditions validation criteria] and 7.3.2.3 (opacimeter zero drift).

#### A.3.2.3 Determination of free acceleration time (FAT)

FAT is the basis for loaded acceleration times (A.3.4.2). The free acceleration time for an individual free acceleration in A.3.2.1 e) is the time the engine speed goes from 5 % above low idle speed to 95 % of rated speed. FAT is the average of the three individual free accelerations in A.3.2.1 e).

### A.3.3 Reconditioning of the engine

The engine shall be reconnected to the dynamometer. The engine shall be warmed up at the rated power in order to stabilize the engine parameters according to the recommendations of the manufacturer.

NOTE A reconditioning phase should also protect the actual measurement against the influence of deposits in the exhaust system resulting from a former test.

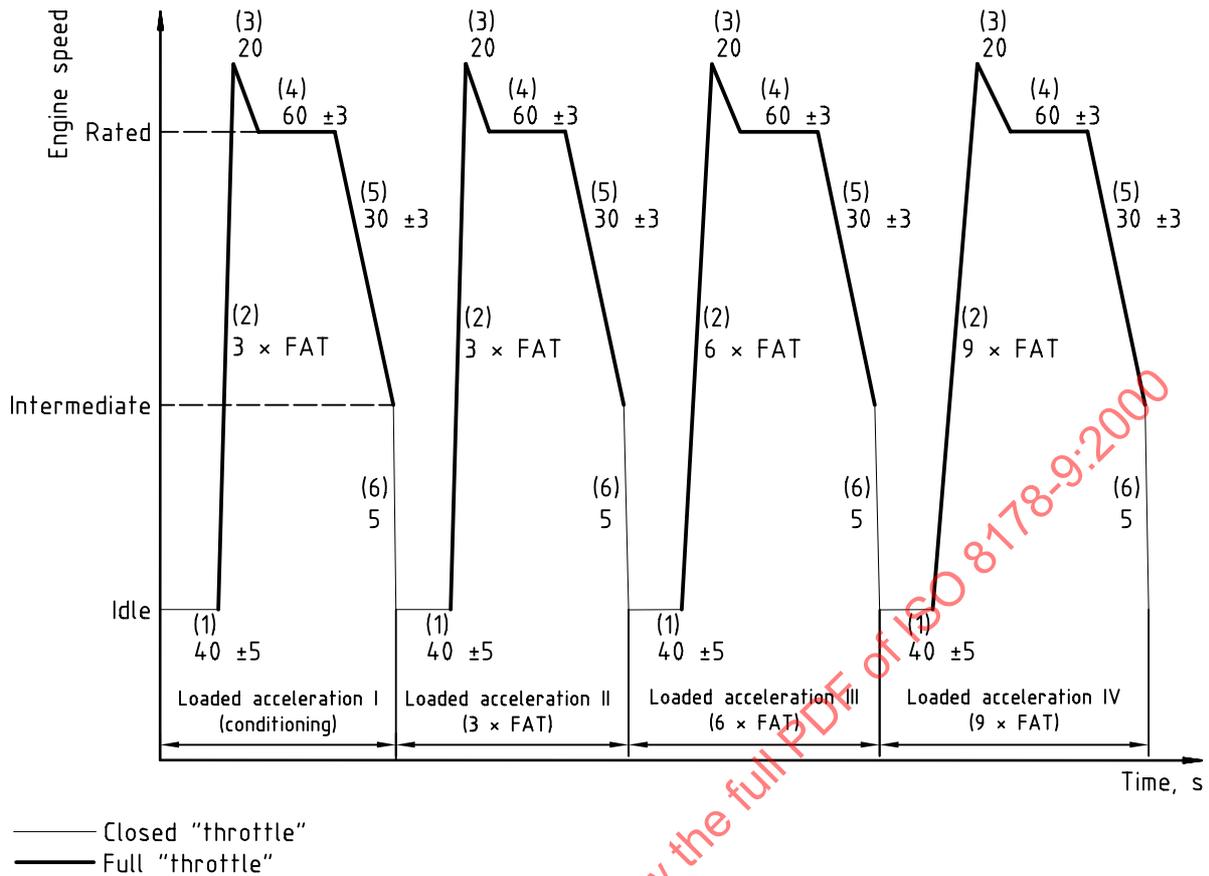
### A.3.4 Loaded transient test

#### A.3.4.1 General

The loaded transient test is the second part of the test cycle, and has the sequence as described in A.3.4.3. It shall immediately follow the reconditioning of the engine. The sequence is shown graphically in Figure A.2.

#### A.3.4.2 Loaded transient test times

The acceleration times of the loaded transient test are multiples of the free acceleration test time determined in A.3.2.3. The engine acceleration times to be used in the loaded transient test are to be  $3 \times \text{FAT}$ ,  $6 \times \text{FAT}$  and  $9 \times \text{FAT}$ . Each of these resultant times is to be the time from when the engine speed is 5 % above low idle speed until it reaches 95 % of rated speed. The values of  $3 \times \text{FAT}$ ,  $6 \times \text{FAT}$  and  $9 \times \text{FAT}$  may be rounded to the nearest second.



(1), (2), (3), (4), (5) and (6) refer to paragraphs in A.3.4.3 a).

Figure A.2 — Loaded acceleration test

**A.3.4.3 Conducting a loaded transient test**

The loaded transient test begins with a conditioning cycle in order to improve the repeatability of the results. The conditioning cycle is followed by three loaded acceleration cycles that differ only in the rate of the loaded acceleration. The loaded acceleration is followed by full load rated speed stabilization and engine lug down. The linearity specifications in 2) below apply only to electric dynamometers and are intended to prevent the engine from being operated in an unusual fashion so as to achieve low smoke values. Furthermore, no motoring of the engine is allowed. The loaded transient test sequence is as follows:

a) Conditioning cycle:

- 1) The engine shall be operated with the speed control lever in the closed position at low idle speed for  $40\text{ s} \pm 5\text{ s}$ .
- 2) From the low idle speed the speed control lever shall be moved rapidly to, and held in, the wide open position. The engine shall accelerate such that the time from 5 % above low idle speed to 95 % of the rated speed is  $3 \times \text{FAT}$  seconds. The engine speed versus time between 5 % above low idle speed and 95 % of rated speed must be linear within  $\pm 100\text{ min}^{-1}$  or  $\pm 5\%$  of rated speed, whichever is greater.
- 3) Within 20 s of the engine reaching 95 % of rated speed point, the necessary dynamometer load shall be applied in order to stabilize the engine at rated speed, full load.
- 4) Rated speed and full load shall be maintained for  $60\text{ s} \pm 5\text{ s}$ .

- 5) The dynamometer shall be adjusted as necessary to lug the speed down under full load conditions to the intermediate speed. The rate of speed change shall be linear, and the time from the start of the lug down until reaching the intermediate speed point shall be  $30 \text{ s} \pm 3 \text{ s}$ .
- 6) Within 5 s of the engine reaching the intermediate speed, the speed control level shall be returned to the closed position and the engine allowed to return to its low idle speed.

b)  $3 \times \text{FAT}$  loaded acceleration:

Repeat 1) to 6).

c)  $6 \times \text{FAT}$  loaded acceleration:

Repeat 1) to 6) with the loaded acceleration time in 2) replaced with  $6 \times \text{FAT}$  seconds.

d)  $9 \times \text{FAT}$  loaded acceleration:

Repeat 1) to 6) with the loaded acceleration time in 2) replaced with  $9 \times \text{FAT}$  seconds.

The above steps shall be repeated until the engine speed, time and linearity criteria of this clause have been satisfied except if the acceleration is below 0,5 s.

#### A.3.4.4 Conducting a loaded transient test – Alternative procedure

As an alternative to the single “four-cycle” test described in A.3.4.3, the loaded transient test can be conducted by running three “two-cycle” tests. This will allow inertia to be changed between tests, so that the tests can be run without using a computer-controlled dynamometer. Each test will consist of 1) to 6) of A.3.4.3 being run two times. For the first test, the loaded acceleration time for both paragraphs 2) will be  $3 \times \text{FAT}$ . For the second test the time for both paragraphs 2) will be  $6 \times \text{FAT}$ . For the third test the time for both paragraphs 2) will be  $9 \times \text{FAT}$ . Results from the second cycle of each test shall be used for official results.

## A.4 Analysis of results

### A.4.1 General

This clause describes how to analyse the results of the free acceleration test and the loaded transient test. Many opacimeters used for this test have a smoke output signal that is an  $X = 0,5 \text{ s}$  Bessel average smoke according to the algorithm described in 10.2. For these opacimeters further signal conditioning to produce the “ $X = 1 \text{ s}$ ” smoke results is needed, and the value of  $(t_p^2 + t_e^2)$  used in equation (11) in 10.2.2 is 0,25. Analysis of raw smoke results, those not already processed according to the 0,5 s Bessel algorithm, should use a  $(t_p^2 + t_e^2)$  value that represents the opacimeter system.

Reported smoke values shall also be corrected for ambient conditions as described in 10.3.

### A.4.2 Peak smoke value (PSV<sub>F</sub>, PSV<sub>3</sub>, PSV<sub>6</sub>, PSV<sub>9</sub>)

Values for PSV shall be calculated for the free acceleration (PSV<sub>F</sub>) and each of the three loaded accelerations (PSV<sub>3</sub>, PSV<sub>6</sub> and PSV<sub>9</sub>). These values are the maximum values of the  $X = 1 \text{ s}$  Bessel average smoke that occurs during the acceleration event. Care must be taken to assure that the smoke data that is analysed corresponds to the time during which the acceleration event occurs (see 10.1.1). The free acceleration event is paragraph b) of A.3.2.1. Loaded accelerations of time 3 s, 6 s and 9 s are paragraphs 2) of b), c) and d) respectively of A.3.4.3 (or their equivalents in A.3.4.4).

The methodology for calculating Bessel averaged numbers can be found in 10.2. For peak smoke values the value of  $X$  in equation (11) is 1 s.

### A.4.3 Lug smoke value (LSV)

Values for LSV shall be calculated for the lug portion of each of the three loaded transient tests ( $LSV_3$ ,  $LSV_6$  and  $LSV_9$ ). These values are the maximum values of an  $X = 1$  s Bessel average smoke that occurs during the lugging event. Care must be taken to assure that the smoke data that is analysed corresponds to the time during which the lugging event occurs (see 10.1.1). The lugging event is paragraphs 5) of b), c) and d) respectively of A.3.4.3 (or their equivalents in A.3.4.4).

The methodology for calculating Bessel averaged numbers can be found in 10.2. For lug smoke values the value of  $X$  in equation (11) is 1 s.

The lug smoke value that is reported, LSV, is the average of  $LSV_3$ ,  $LSV_6$  and  $LSV_9$ .

### A.5 Reported results

The following smoke values shall be reported:  $PSV_F$ ,  $PSV_3$ ,  $PSV_6$ ,  $PSV_9$  and LSV.

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## Annex B (normative)

### Test cycle for constant-speed off-road engines

#### B.1 Scope

Engines within the scope of this annex either cannot or do not operate at varying speeds. However, some constant speed engines can undergo rapid and substantial changes in load, an event that can lead to brief episodes of smoke emittance.

The transient smoke cycle is expected to complement the steady-state emission measurements and the two together will provide for control of emissions under a wide variety of operating conditions. Furthermore, the smoke test is intended to offer a method of characterizing an engine's emissions when installed in a machine and to provide for measurement of smoke emissions both at the manufacturer and in the field.

Testing of the engine with the highest fuel flow (the parent engine in the family according to the provisions of 5.2 of 8178-7:1996) is expected to yield the worst case of smoke emission.

This annex is applicable to the D2, G1, and G2 categories of engine as defined in clause 8 of 8178-4:1996 and has thus been confirmed for engines with a rated power output up to 1 500 kW.

Typical applications include, but are not limited to:

a) Category D2:

- gas compressors;
- generating sets with intermittent load including generating sets on board of ships and trains (not for propulsion);
- turf care;
- chippers;
- snow removal equipment;
- sweepers.

b) Category G1:

- pedestrian controlled rotary or cylinder lawn mowers;
- front or rear engine riding lawn mowers;
- rotary tillers;
- edge trimmers;
- lawn sweepers;
- waste disposers;

- sprayers;
  - snow removal equipment;
  - golf carts.
- c) Category G2:
- portable generators, pumps, welding sets and air compressors;
  - lawn and garden equipment which operates at engine-rated speed.

## B.2 Terms and definitions

### B.2.1

#### smoke test

test consisting of rapid load application at a constant engine speed

### B.2.2

#### peak smoke value

#### PSV

average of the three highest 1 s Bessel averaged smoke values obtained during the load application tests

### B.2.3

#### steady-state smoke value

#### SSSV

highest smoke recorded during steady-state operation of the engine

## B.3 Test cycle

### B.3.1 Engine load step

This subclause describes how to calculate the load step that will be applied to the engine. The load step that shall be applied is a function of the brake mean effective pressure ( $p_{me}$ ) at the declared power. When the constant speed engine is used in a generator application, the declared power shall be the power produced by the engine at the prime power rating of the generator, as defined in ISO 8528-1. For engines used in applications other than generators, the declared power shall be the rated power of the engine as specified by the manufacturer.

The engine's  $p_{me}$  shall be calculated as follows:

$$p_{me} = \frac{P \times 2\,000}{V_d \times N} \text{ for 4-stroke engines}$$

$$p_{me} = \frac{P \times 1\,000}{V_d \times N} \text{ for 2-stroke engines}$$

where

$p_{me}$  is the brake mean effective pressure, in kilopascals;

$P$  is the declared power, in kilowatts;

$V_d$  is the displaced volume, in litres;

$N$  is the engine speed, in revolutions per second.

Figures B.1 and B.2 specify the amount of load (percent of declared power) that shall be applied to the engine, as a function of the  $p_{me}$  of the engine. Recognizing that most constant speed applications are in generators, the load step is that specified for generators in ISO 8528-5. Figure B.1 applies to four-stroke engines and Figure B.2 applies to two-stroke engines. The load given by Figure B.1 or B.2 is that load which is applied in paragraph c) of B.3.3.

### B.3.2 Preconditioning of the engine

The engine shall be warmed up at the rated power in order to stabilize the engine parameters according to the recommendations of the manufacturer.

NOTE A preconditioning phase should also protect the actual measurement against the influence of deposits in the exhaust system resulting from a former test.

### B.3.3 Smoke test procedure

- a) Immediately after preconditioning operate the engine for  $40 \text{ s} \pm 5 \text{ s}$  at fuel stop power and record its smoke emission.
- b) Operate the engine at 10 % of declared power for  $40 \text{ s} \pm 5 \text{ s}$ .
- c) Apply the step load specified in B.3.1 as rapidly as possible.

NOTE The time it takes the engine to accept the step load will vary depending upon the requirements of the application.

- d) Operate the engine at this load for  $40 \text{ s} \pm 5 \text{ s}$ .
- e) Repeat steps b) to d) to complete three cycles.

## B.4 Analysis of results

### B.4.1 General

This clause describes how to analyse the results of the smoke test. Many opacimeters used for this test have a smoke output signal that is an  $X = 0,5 \text{ s}$  Bessel average smoke according to the algorithm described in 10.2. For these opacimeters further signal conditioning to produce the " $X = 1 \text{ s}$ " smoke results is needed, and the value of  $(t_p^2 + t_e^2)$  used in equation (11) in 10.2.2 is 0,25. Analysis of the raw smoke results, those not already processed according to the 0,5 s Bessel algorithm, shall use a  $(t_p^2 + t_e^2)$  value that represents the opacimeter system.

Reported smoke values shall also be corrected for ambient conditions as described in 10.4.

### B.4.2 Steady-state smoke value (SSSV)

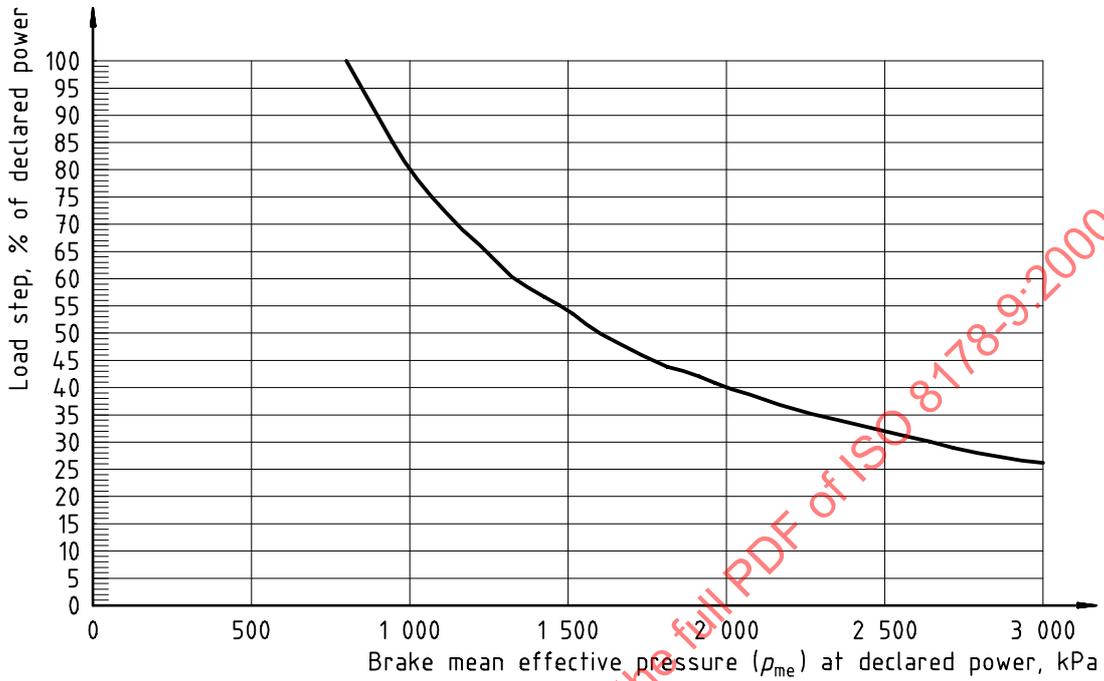
SSSV is the highest smoke recorded during paragraph a) of B.3.3. No Bessel averaging is required of a steady-state smoke value.

### B.4.3 Peak smoke value (PSV)

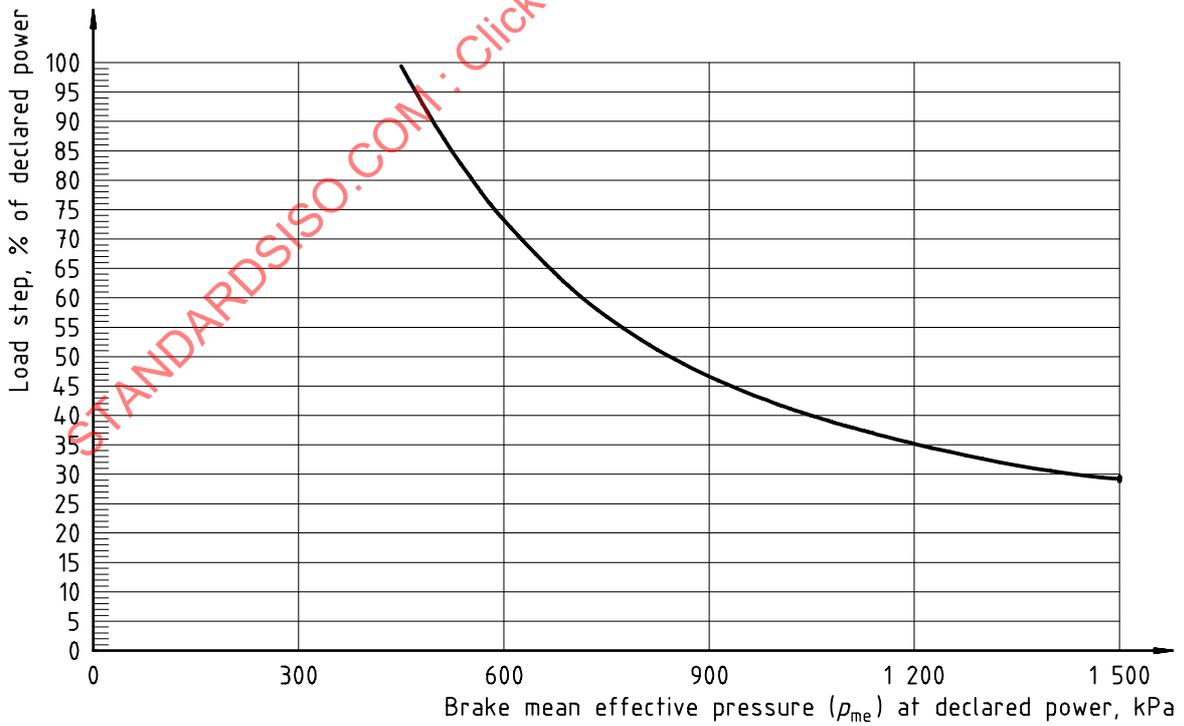
Determine the highest 1 s Bessel average smoke values that occurs during the three replicates of paragraph c) in B.3.3. Care must be taken to ensure that the smoke data that is analysed corresponds to the time during which the load application event occurs (see 10.1.1). PSV is the average of the three highest 1 s Bessel averaged smoke values obtained during the load application tests.

**B.5 Reported results**

The following smoke values shall be reported: PSV and SSSV.



**Figure B.1 — Load step for four-stroke engines**



**Figure B.2 — Load step for two-stroke engines**

## Annex C (informative)

### Remarks on test cycles

The smoke cycles described in the annexes mentioned below are intended to produce smoke, representative of that which occurs under in-service conditions. Additionally, the measurement methods in this part of ISO 8178 are those that are appropriate for the engines under consideration.

The test cycle described in annex A is representative of those engines which are used in applications as described in the C1 cycles of ISO 8178-4. The scope of annex A is thus far confirmed up to a rated power output of 1 500 kW. The test cycle described in annex B is representative of those engines which are used in applications as described in the D2, G1 and G2 cycles of ISO 8178-4. Extension of this part of ISO 8178 to other applications is foreseen, through the development of additional annexes.

Extension to other power levels (such as power plants) and other applications (such as large ships or locomotives) require serious study. Limitations to acceleration rates (due to engine size) and inclusion of other operating conditions (such as engine starting) needs further definition. Furthermore, some engines may be equipped with speed and or load control systems that preclude the engines from running the cycles described in the annexes. It must be recognized that these control systems may be present, at least in part, to provide smoke control. Special test procedures may be needed to address these circumstances.

The test procedures applicable to the engines dealt with in annexes A and B are specifically intended for the test bed measurement of an engine. It is envisioned that these tests would be run on a "parent engine" and that the results would be relevant for all engines in the family (see ISO 8178-7) or group (see ISO 8178-8). In some cases (e.g. ships or power plants not subject to engine family or group testing) individual engine testing (monitoring) is favoured over family or group testing. In such instances an annex with a defined smoke cycle has no relevance. Operation of these larger engines on residual fuel, with fluctuating quality, makes the preference for in-use measurement and control even more pronounced.

It is anticipated that measuring difficulties will be experienced on engines that have only a few (one, two and perhaps three) cylinders feeding into an exhaust pipe. This is due to exhaust pressure and flow rate variations on measurement procedures, accuracy and variability.

For all of the above reasons the limitations expressed in annexes A and B should be respected. Smoke tests on engines outside the limitations of an annex may require a different cycle or measurement procedure.

Work is in process to verify the accuracy of instruments outside the normal size range. This will be taken into account in future editions of this part of ISO 8178.

## Annex D (informative)

### Example of calculation procedure

#### D.1 Scope

Since the application of the Bessel algorithm on filtering is a new averaging procedure in smoke determination, an explanation of the Bessel filter, an example of the design of a Bessel algorithm, and an example of the calculation of the final smoke value is given in this annex.

The constants of the Bessel algorithm depend only on the design of the opacimeter and the sampling rate of the data acquisition system. It is recommended that the opacimeter manufacturer provide the final Bessel filter constants for different sampling rates and that the customer use these constants for designing the Bessel algorithm and for calculating the smoke values.

#### D.2 General remarks on the Bessel filter

Due to high frequency distortions, the raw opacity signal usually shows a highly scattered trace. To remove these high frequency distortions a Bessel filter is required for the smoke test. The Bessel filter itself is a recursive, second-order low-pass filter which guarantees the fastest signal rise without overshoot.

Assuming a real time raw exhaust plume in the exhaust pipe, each opacimeter shows a delayed and differently measured opacity trace. The delay and the magnitude of the measured opacity trace is primarily dependent on the geometry of the measuring chamber of the opacimeter, including the exhaust sample lines, and on the time needed for processing the signal in the electronics of the opacimeter. The values that characterize these two effects are called the physical and the electrical response time which represent an individual filter for each type of opacimeter. The goal of applying a Bessel filter is to guarantee a uniform overall filter characteristic of the whole opacimeter system, consisting of:

- physical response time of the opacimeter ( $t_p$ );
- electrical response time of the opacimeter ( $t_e$ );
- filter response time of the applied Bessel filter ( $t_F$ ).

The resulting overall response time of the system ( $X$ ) is given by

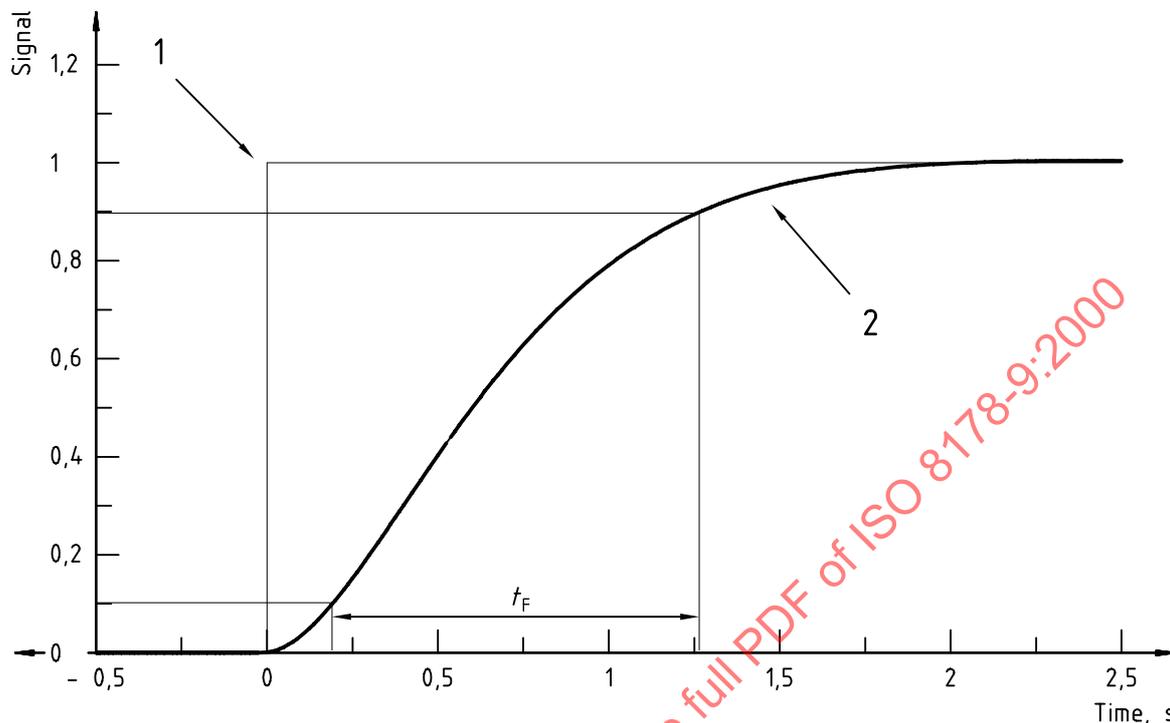
$$X = \sqrt{t_F^2 + t_p^2 + t_e^2}$$

and must be equal for all kinds of opacimeters in order to give the same smoke value. Therefore, a Bessel filter has to be created in such a way, that the filter response time ( $t_F$ ) together with the physical response time ( $t_p$ ) and electrical response time ( $t_e$ ) of the individual opacimeter must result in the required overall response time ( $X$ ). Since  $t_p$  and  $t_e$  are given values for each individual opacimeter, and  $X$  is defined to be 1 s in this part of ISO 8178 (see, for example, A.2.5 and A.2.6,  $t_F$  can be calculated as follows:

$$t_F = \sqrt{X^2 - t_p^2 - t_e^2}$$

By definition, the filter response time  $t_F$  is the rise time of a filtered output signal between 10 % and 90 % on a step input signal. Therefore the cut-off frequency of the Bessel filter has to be iterated in such a way that the response time of the Bessel filter fits into the required rise time.

In Figure D.1, the traces of a step input signal and Bessel filtered output signal as well as the response time of the Bessel filter ( $t_F$ ) are shown.



#### Key

- 1 Step input signal
- 2 Bessel filtered output signal

Figure D.1 — Traces of a step input signal and the filtered output signal

## D.3 Calculation of the Bessel algorithm

### D.3.1 General

Designing the final Bessel filter algorithm is a multi-step process which requires several iteration cycles. The scheme of the iteration procedure, which is based upon clause 10, is shown in Figure D.2.

In the following example, a Bessel algorithm is designed for the peak smoke value (PSV, see A.4.2) in several steps according to the iteration procedure shown in Figure D.2. For the PSV, the overall response time is defined as 1 s. The iteration procedure for LSV is identical.

For the opacimeter and the data acquisition system, the following characteristics are assumed:

- physical response time  $t_p$ : 0,15 s
- electrical response time  $t_e$ : 0,05 s
- overall response time  $X$ : 1 s (by definition for PSV)
- sampling rate: 150 Hz

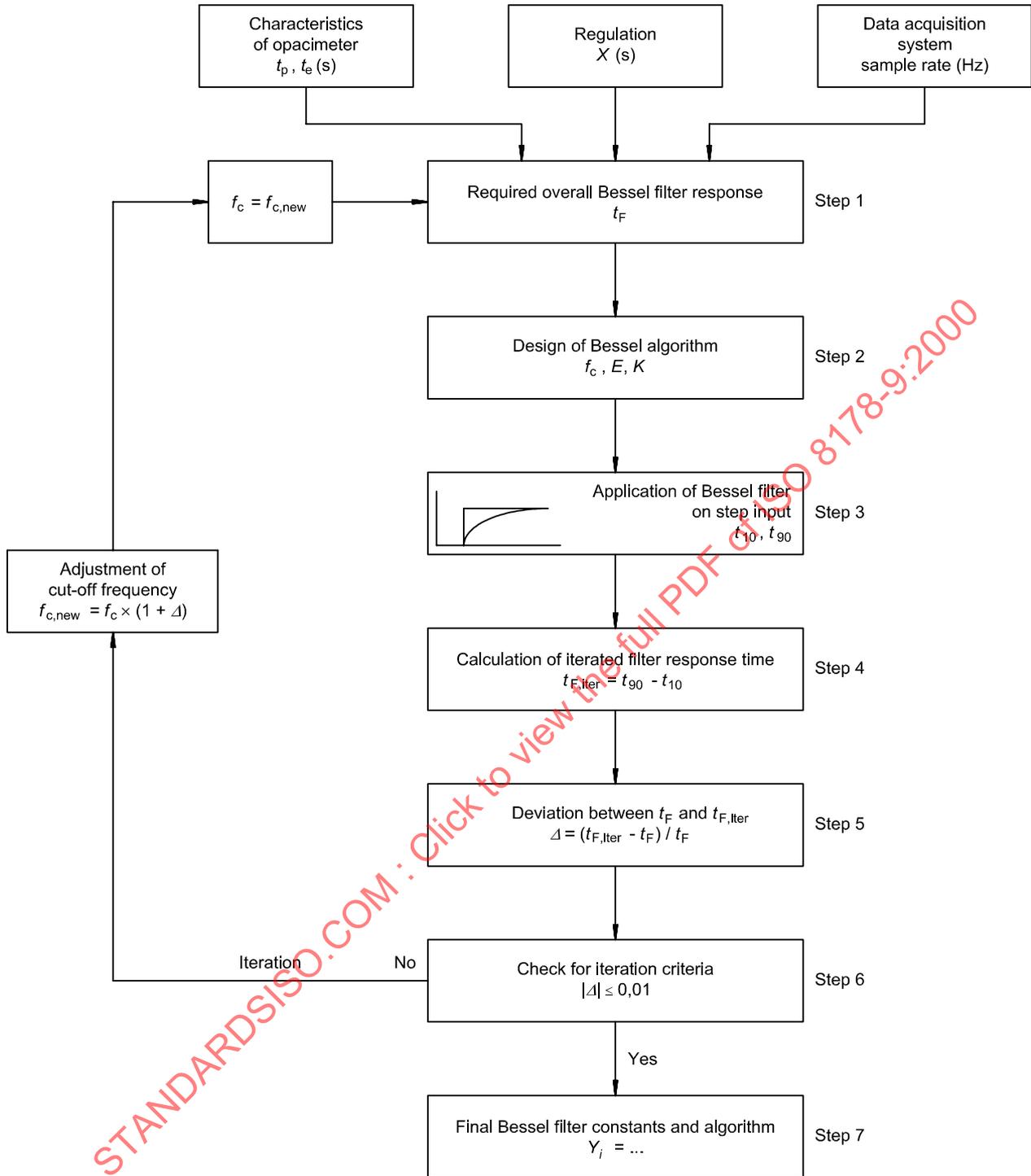


Figure D.2 — Iteration scheme of Bessel filter algorithm

D.3.2 Step 1: required Bessel filter response time  $t_F$

$$t_F = \sqrt{X^2 - (t_p^2 + t_e^2)}$$

$$t_F = \sqrt{1^2 - (0,15^2 + 0,05^2)} = 0,987\ 421\ \text{s}$$