
International Standard



6527

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Nuclear power plants — Reliability data exchange — General guidelines

Centrales nucléaires — Échange de données de fiabilité — Critères généraux

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Foreword

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Draft International Standards adopted by the technical committees are circulated to the member bodies for approval before their acceptance as International Standards by the ISO Council.

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It has been approved by the member bodies of the following countries :

Austria	Hungary	South Africa, Rep. of
Belgium	Italy	Sweden
Brazil	Japan	Switzerland
Canada	Netherlands	Turkey
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Finland	Poland	USSR
Germany, F. R.	Romania	

The member body of the following country expressed disapproval of the document on technical grounds :

France

Nuclear power plants — Reliability data exchange — General guidelines

1 Scope and field of application

This International Standard identifies the typical parameters of a component that permit it to be characterized unequivocally and to allow the corresponding reliability data to be associated with those of other components having equivalent typical parameters. This International Standard deals in particular with exchange of reliability data collected on field. Laboratory reliability test data exchange may require additional information.

For the determination of the equivalence of components, the components shall be characterized as a function of the following parameters :

- technical characteristics including, the physical principle of operation and quality level;
- actual operating conditions and maintenance and test intervals.

In particular, the operating conditions shall have been taken into consideration when selecting the components and, it is considered useful to refer to them as they may affect the performance of the components.

The reliability data may be presented both in a historical and in a statistical form. In order to facilitate their utilization together with the data from other sources, it seems convenient to have them in historical form. However, presentation of reliability data in a processed form is also discussed.

If reliability information is required on a detailed basis, it is necessary to define the failure mode.

2 Definitions¹⁾

For the purpose of this International Standard the following definitions apply.

2.1 nuclear power unit : Nuclear steam-supply system, its associated turbine generator(s) and auxiliaries.

2.2 system : Integral part of a nuclear power unit comprising electrical, electronic, or mechanical components (or combinations of them) that may be operated as a separate entity to perform a particular process function.

2.3 line/train : Part of a system which by itself can perform the type of process function.

NOTE — One line on its own may or may not meet full system capacity.

2.4 sub-system : Part of a system which participates in the operation of the latter (for example, electric power supply, controls, mechanical devices, etc.).

2.5 component : Element of a sub-system, having its own defined performance characteristics and forming a whole that can be removed from the process and replaced with a spare.

2.6 failure (of a component) : Termination of the ability of a component to perform any one of its designed functions.

2.7 failure (of a system) : Termination of the ability of a system to perform any one of its designed functions. Failure of a line within a system may occur in such a way that the system retains its ability to perform all its required functions; in this case the system has not failed.

2.8 failure mode : Effect by which the failure is observed.

2.9 failure rate : Number of failures per unit time in a given time interval. The failure rate may be specified for different failure modes.

2.10 failure probability on demand : Failure probability expressed as a number of failures per number of type of actions requested (i.e. start, stop, open, close etc.).

2.11 reliability : Ability of a component or a system expressed as the probability to perform a required function under stated conditions for a stated period of time.

1) Definitions in IEC Publication 271 have been used as a basis for these definitions.

2.12 operating time : Total time during which components or systems are performing their designed functions.

2.13 availability time : Total time during which components or systems are capable of performing their designed functions.

2.14 unavailability time : Total time during which components or systems are incapable of performing their designed functions.

2.15 mean time between failure (MTBF) : Arithmetic average of calendar times between failures of components or a system.

NOTE — MTBF is the reciprocal of failure rate when an exponential failure distribution can be assumed.

2.16 mean time to failure (MTTF) : Average time to failure of a new item or a repaired item assumed as new.

2.17 mean time to repair (MTTR) : Arithmetic average of times required to perform a repair activity on the actual item.

2.18 preventive maintenance : Activity performed on a system or component in order to reduce the probability of failures due to known wear-out failure modes.

2.19 corrective maintenance : Activity performed on a system or component in order to eliminate the causes of failures that happened or were revealed by scheduled tests.

3 Component characteristics

This clause identifies the main characteristics of components so as to establish a comparative basis. The characteristics are separated into technical characteristics and quality characteristics.

3.1 Technical characteristics

The following characteristics shall be given wherever applicable.

- a) Technical generic description

The technical term designating the component in question shall be specified; as far as possible reference shall be made to existing pertinent regulations, codes, manuals, etc.

- b) Definition of the component in question

The definition of the component in question described under a) shall be specified including the interface points with adjacent components.

- c) Physical principle of operation

For the individual functions that may be associated with the component in question, the principle of operation by which the function is achieved shall be stated.

- d) Component design characteristics

The key design characteristics shall be specified, for example, nominal (connection) dimensions, rated pressure and temperature, materials, design class, rated voltage, etc.

Table 5 (see the annex) gives detailed examples of the design characteristics deemed important for a group of components. Similar tables may be drawn for other components, on the basis of their manufacturing data. Other data may be added to those listed in table 5 according to particular needs.

In addition the following information shall be given, if possible.

- e) Manufacturer

- f) Manufacturer type designation and fabrication date

The manufacturer's reference is requested in particular cases to allow the user to find another source of data if necessary. Of course, components of the same type made by different manufacturers very seldom have the same characteristics. As a consequence engineering judgement will very often be required to decide whether the component may be considered to have equivalent characteristics or not. In general, it will be necessary for the values of the major parameters to fall within certain ranges.

3.2 Quality characteristics

The quality of a component is an essential characteristic for establishing its equivalence with others. Components having the same technical characteristics may be designed and manufactured, tested and controlled at different quality levels and thus they might not be equivalent. As an example of such a difference in quality, circuit breakers for safety-related systems and for normal loads may be mentioned. The former are subjected to a series of type qualifications, aging, and seismic tests that are not required for the latter. Furthermore, the quality of the safety-related equipment is verified with a quality assurance programme having well-defined characteristics.

For the equivalence of components, it should be adequate to refer to their quality level and, if applicable, to their safety classification.

4 Operation characteristics

While the preceding clause gives guidance to determining the technical equivalence of components, this clause gives guidance to determining whether the operating conditions are comparable or not. A different operating mode and the exposure to different environmental conditions are factors which may affect the behaviour of a single component and thus the reliability data. As a consequence, an engineering judgement

on the effects of the following parameters is also necessary before utilizing data from other components.

4.1 Normal operating conditions

The following aspects of the normal operating conditions shall be examined.

4.1.1 Operational stress, load factor

Components or systems are often used below their rated design characteristics power levels. This results in lower wear of the components. For instance, the lifetime of a ball bearing depends on the number of revolutions per minute and on the load whilst the lifetime of insulation depends on the operating temperature and voltage. The data to be recorded depend on the type of component. As an example, the following data are considered to be useful for pumps :

- operating pressure head;
- operating temperature;
- operating flow or velocity;
- driven fluid;
- rotational frequency.

4.1.2 Conditions of use

A component may be operated continuously or in standby with cyclical or random demands. In the first case, time of operation is necessary to assess the component's behaviour. In the other case, the number of demands (including those for test purposes) is the parameter to be considered.

4.1.3 Type of working load

A component may be utilized with different loading conditions. The variation in loading conditions causes additional stresses

on the component. The working load shall be described at least as follows :

- steady state operation;
- changing load operation;
- controlled load operation.

4.2 Maintenance and test intervals

The type of maintenance carried out on each component is a parameter that may influence the performance of a component.

The type of maintenance performed on a component may be preventive (periodic), on condition or corrective (break down). The preventive maintenance intervals may be as shown in table 1.

Also the test programme carried out on the component may influence the performance and shall thus be defined.

Test intervals may be classified in a manner similar to that given in table 1.

4.3 Environmental conditions

Environmental conditions as well as all other parameters covered in clause 4 shall be foreseen during the component selection phase and shall then as a consequence influence the choice of a component having adequate technical characteristics.

However it is expected that they may still have an influence on the components behaviour.

Table 2 shows the main parameters that shall be subject to engineering judgement in order to define the equivalence.

Table 1 — Example of preventive maintenance interval

Daily
Weekly
Fortnightly
Monthly
Two-monthly
Three-monthly
Four-monthly
Six-monthly
Nine-monthly
Yearly
Two-yearly

Table 2 — Some environmental conditions to be considered

Condition	Range
Temperature	Normal or inside specification Cycle Shock Outside normal range or outside specification Maximum operating temperature
Humidity	Normal Dry (humidity control) Damp or wet conditions
Vibration	Not present or insignificant Intermittent Continuous or long periods Shock present
Nuclear radiation	High (over 10 R/h) Medium (between 0,1 and 10 R/h) Low (below 0,1 R/h)
Corrosive atmosphere	Not present or insignificant Salt spray Chemical Industrial (sulphur compounds) sand/dust present
Fungus, etc.	Not present Fungus or mould growth Pests

NOTE — For certain components, reference may be made to standardized environmental classes described in IEC Publication 68.

5 Failure data presentation

Presentation of the reliability data may be made in two ways :

- presentation in historical form;
- presentation in statistical form.

Presentation of the data in the historical form is considered more appropriate for the purposes of this International Standard. However, presentation in the statistical form will also be discussed.

In both cases the data supplied shall be based on the following assumptions :

- All the data shall relate to the performance after the early failure period has elapsed i.e. after onset of commercial plant operation. It is, however, of interest to collect failure information prior to commercial operation on a separate basis.
- For corrective maintenance after failures, the actual time required for repair of the component and the man-hours used shall be recorded. The additional time necessary, for example, for decontamination or for construction of special bridges (should they be required by the components particular location) shall be indicated separately.

In case the environmental conditions are different from those indicated in the request of data, it would be advisable, if possible, to indicate by what factor the performance parameters would change if the component was utilized in a different environment.

5.1 Presentation of the data in the historical form

With regard to the exchange of information on components, presentation of the performance data in the historical form leaves the user free to carry out his own statistical processing.

For this purpose, it will be necessary to provide all the successive operating times before failures and/or number of demands and the failure information (raw data).

It is recommended that the following information be included in the historical report :

- failure mode;
- failure cause;
- failure description;
- method of failure detection;
- corrective action taken;
- repair time.

5.2 Presentation of the data in the statistical form

The first form of presentation in statistical terms might be as shown in table 3. Table 3, case a) shows a minimum data presentation scheme that may be employed where the different failure modes require the same repair time. Table 3, case b) shows a data presentation scheme for failure modes or maintenance times markedly different.

For instance, table 3, case a) should be used for a pump the outages of which are caused only by physical-displacement or excessive-leak failure. Table 3, case b) should be used for a

circuit breaker that experiences both failures to close and failures to open.

For the presentation of the performance data of a single component in mathematical form, the following information (expressed in millions of hours of operations) shall be supplied for each type of failure :

- observed failure rate;
- lower limit;
- upper limit.

The observed failure rate shall be the mathematical mean of whatever probability density function is chosen to represent the performance of the particular component.

The lower and upper confidence limits form an interval that contains the true value with a probability equal to the confidence level. The preferred confidence level is 90 %.

If, within the context of the preceding paragraphs, the failure rate of the component remains constant throughout the observation period (i.e., an exponential distribution) the observed failure rate may be obtained by the formula

$$\lambda = \frac{r}{T}$$

and the confidence interval with the formula

$$\frac{\chi^2_{\frac{\alpha}{2}; 2r}}{2T} \leq \lambda \leq \frac{\chi^2_{(1-\frac{\alpha}{2}); 2r+2}}{2T}$$

where

- λ is the observed failure rate;
- χ^2 is the chi-squared distribution;
- r is the number of failures of the same mode;
- T is the operating time;
- $(1 - \alpha)$ is the confidence level.

It is worth noting that an upper limit of λ may be computed even though no failure has occurred, that is :

$$0 \leq \lambda \leq \frac{\chi^2_{(1-\alpha); 2}}{2T}$$

This is called the one-sided confidence interval.

Table 3 — Example of data presentation in a statistical form

Data presentation
<p>Case a)</p> <ul style="list-style-type: none"> - calendar time; - total number of components; - operation time expressed in millions of hours - total number of failures; - failure rate (observed, lower and upper limit); - average unavailability time expressed in hours; - mean time to repair expressed in hours (observed, lower and upper limits); - number of failures for the different failure modes.
<p>Case b)</p> <ul style="list-style-type: none"> - calendar time; - total number of components; - total operation time expressed in millions of hours - number of failures for a certain failure mode; - failure rate (observed, lower and upper limit); - average unavailability time expressed in hours; - mean time to repair expressed in hours (observed, lower and upper limit).

6 Mode-of-failure classification

As already observed in the preceding paragraphs the failures shall be linked to their mode of failure.

Table 4 lists some possible modes of failure.

Table 4 — Examples of modes of failure

Failure modes
Leak
Crack
Rupture
Displacement
Failure to start
Failure to stop
Failure to close
Failure to open
Failure to function
Degraded performance
Disconnection
Destruction
Short circuit
Earth fault, insulation fault
Zero point drift
etc...

Annex

Table 5 — Examples of component design characteristics¹⁾

Component characteristics	Units
Stabilized power supply	
01 Manufacturer reference	
02 Output : continuous, one-phase, three-phase	W
03 Input voltage : continuous, one-phase, three-phase	V
04 Output voltage : stability	%
05 Output current : stability	%
06 Output frequency : stability	%
07 Ripple	%
08 Built-in electric protections	
09 Indoor, outdoor, flameproof, tropical type of construction	
Amplifiers	
01 Manufacturer reference	
02 Magnetic, electric	
03 Rating	
04 Input signal range and type	
05 Input impedance	Ω
06 Gain	dB
07 Output signal range and type	
08 Load impedance	Ω
09 Supply voltage : continuous, alternate	V
10 Valves; solid-state components	
11 Built-in electric protections	
12 Indoor, outdoor, flameproof, tropical type	
Batteries	
01 Manufacturer reference	
02 Alkaline, lead, dry	
03 Capacity	A·h
04 Rated voltage	
05 Electrolyte density at 15 °C	kg/m ³
06 Number of elements per cell : number of cells	
07 Full-charge current	A
08 Normal steady-state current	A
09 Full-discharge current	A
10 Electrolyte quantity per cell	dm ³
Electronic regulators	
01 Manufacturer reference	
02 Type of input	
03 Input range	
04 Output signal range	
05 Regulating action : on — off, P; I; D.	
06 Local or remote set points	
07 Set point range	%
08 Proportional band	%
09 Integral time (repetition per minute)	s ⁻¹
10 Derivative time range	s
11 Number and type of contacts, rating	A
12 Supply voltage : continuous, alternating	V
13 Load impedance	Ω

1) These examples are given for guidance only and are not expected to be exhaustive.

Table 5 (continued)

Component characteristics	Units
Solenoid valves 01 Manufacturer reference 02 Number of ways 03 Endfittings type 04 Simple, double solenoid 05 Control circuit voltage : continuous, alternating 06 Normal or corrosive fluid; steam 07 Maximum static pressure 08 Maximum-minimum differential pressure 09 Net flow section 10 Pulse or continuous command signal 11 Reset : electric, manual, automatic 12 Possibility of manual control 13 Indoor, outdoor, flameproof, tropical type 14 Operating temperature of fluid	mm V MPa MPa mm ²
Limit switches 01 Manufacturer reference 02 Linear, rotary drive 03 Number and type of contacts 04 Type of link 05 Indoor, outdoor, flameproof, tropical type	
Flow switches 01 Manufacturer reference 02 On-line, bypass 03 Flow range 04 Normal, corrosive fluid 05 Differential : adjustable, fixed 06 Number and type of contacts 07 Endfitting type and size 08 Maximum static pressure 09 Mechanical magnetic coupling 10 Indicator type 11 Indoor, outdoor, flameproof, tropical type 12 Type of electrical connection	dm ³ /s mm MPa
HV Air operated circuit breaker 01 Manufacturer reference 02 Rated voltage 03 Rated power 04 Rated break capacity 05 Unipolar, tripole control 06 Normal, saline insulator type 07 Feed pressure 08 Control circuit voltage : continuous, alternating 09 Plate caps, connections diameter 10 Number and type of auxiliary contacts 11 Rated cycle : normal, heavy 12 Closing time 13 Opening time 14 Total weight per pole	kV kA MPa V mm ms ms kg
MT Air circuit breakers with magnetic deionization; MT oil circuit breaker 01 Manufacturer reference 02 Rated voltage 03 Rated power 04 Rated break capacity 05 Fixed, extractable type 06 Manual, spring, solenoid actuation 07 Remote, local actuation 08 Control circuit voltage : continuous; alternating 09 Number and type of aux. contacts 10 Rated cycle : normal, heavy	V kA kA V