
**Small craft — Reciprocating internal
combustion engines exhaust
emission measurement — Test-
bed measurement of gaseous and
particulate exhaust emissions**

*Petits navires — Moteurs alternatifs à combustion interne mesurage
des émissions de gaz d'échappement — Mesurage des émissions de
gaz et de particules au banc*

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

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The committee responsible for this document is ISO/TC 188, *Small craft, SC 2, Engines and propulsion systems*.

Introduction

This International Standard is intended for use as a measurement procedure to determine the gaseous and particulate emission levels of reciprocating internal combustion (RIC) engines for marine use in small craft. Its purpose is to provide a map of an engine's emissions characteristics which, through use of the proper weighting factors, can be used as an indication of that engine's emission levels under various applications. The emission results are expressed in units of grams per kilowatt-hour and represent the mass rate of emissions per unit of work accomplished.

Although this International Standard is designed for marine engines, it shares many principles with particulate and gaseous emission measurements that have been in use for many years for on-road engines. One test procedure that shares many of these principles is the process of mixing dilution air with the total exhaust flow prior to separating a fraction of the diluted exhaust stream for analysis (full-flow dilution method) as currently specified for certification of 1985 and later heavy-duty truck engines in the USA. Another is the procedure for direct measurement of the gaseous emissions in the undiluted exhaust gas, as currently specified for the certification of heavy-duty truck engines in Japan and Europe.

NOTE It is common in many full-flow dilution systems to dilute this fraction of pre-diluted exhaust a second time to obtain appropriate sample temperatures at the particulate filter (see [Figure 19](#)).

Many of the procedures described in this International Standard are detailed accounts of laboratory methods, since determining an emissions value requires performing a complex set of individual measurements, rather than obtaining a single measured value. Thus, the results obtained depend as much on the process of performing the measurements as they depend on the engine and test method.

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Small craft — Reciprocating internal combustion engines exhaust emission measurement — Test-bed measurement of gaseous and particulate exhaust emissions

1 Scope

This International Standard specifies the measurement and evaluation methods for gaseous and particulate exhaust emissions from reciprocating internal combustion (RIC) engines under steady-state conditions on a test bed, necessary for determining one weighted value for each exhaust gas pollutant. Various combinations of engine load and speed reflect different engine applications.

This International Standard is applicable to RIC marine engines intended to be installed in small craft up to 24 m length of hull.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 5725-1, *Accuracy (trueness and precision) of measurement methods and results — Part 1: General principles and definitions*

ISO 5725-2, *Accuracy (trueness and precision) of measurement methods and results — Part 2: Basic method for the determination of repeatability and reproducibility of a standard measurement method*

ISO 8178-1:2006, *Reciprocating internal combustion engines — Exhaust emission measurement — Part 1: Test-bed measurement of gaseous and particulate exhaust emissions*

ISO 8178-6:2000, *Reciprocating internal combustion engines — Exhaust emission measurement — Part 6: Report of measuring results and test*

ISO 8666, *Small craft — Principal data*

ISO 14396, *Reciprocating internal combustion engines — Determination and method for the measurement of engine power — Additional requirements for exhaust emission tests in accordance with ISO 8178*

ISO 15550:2002, *Internal combustion engines — Determination and method for the measurement of engine power — General requirements*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 8666 and the following apply.

3.1

particulates

material collected on a specified filter medium after diluting exhaust gases with clean, filtered air to a temperature greater than 315 K (42 °C) and less than or equal to 325 K (52 °C), as measured at a point immediately upstream of the primary filter

Note 1 to entry: Particulates consist primarily of carbon, condensed hydrocarbons, and sulfates and associated water.

Note 2 to entry: Particulates defined in this International Standard are substantially different in composition and weight from particulates or dust sampled directly from the undiluted exhaust gas using a hot filter method. Particulates measurement as described in this International Standard is conclusively proven to be effective for fuel sulfur levels up to 0,8 %.

[SOURCE: ISO 8178-1:2006, 3.1, without Note 3 to entry]

**3.2
partial-flow dilution system**

system using a process of separating a part of the raw exhaust from the total exhaust flow, then mixing with an appropriate amount of dilution air prior to passing through the particulate sampling filter

Note 1 to entry: See [18.2.1](#), [Figures 10](#) to [18](#).

**3.3
full-flow dilution system**

system using a process of mixing dilution air with the total exhaust flow prior to separating a fraction of the diluted exhaust stream for analysis

Note 1 to entry: It is common in many full-flow dilution systems to dilute this fraction of pre-diluted exhaust a second time to obtain appropriate sample temperatures at the particulate filter (see [Figure 19](#)).

**3.4
isokinetic sampling**

process of controlling the flow of the exhaust sample by maintaining the mean sample velocity at the probe equal to the exhaust stream mean velocity

[SOURCE: ISO 8178-1:2006, 3.4]

**3.5
multiple-filter method**

process of using one filter for each of the individual test cycle modes

Note 1 to entry: The modal weighting factors are accounted for after sampling during the data evaluation phase of the test.

[SOURCE: ISO 8178-1:2006, 3.6]

**3.6
single-filter method**

process of using one filter for all test cycle modes

Note 1 to entry: Modal weighting factors must be accounted for during the particulate sampling phase of the test cycle by adjusting sample flow rate and/or sampling time. This method dictates that particular attention be given to sampling duration and flow rates.

[SOURCE: ISO 8178-1:2006, 3.7]

**3.7
specific emissions**

mass emissions expressed in grams per kilowatt-hour

[SOURCE: ISO 8178-1:2006, 3.8—modified]

**3.8
span gas**

purified gas mixture used to span gas analyzers

Note 1 to entry: Calibration gases and span gases are qualitatively the same, but differ in terms of their primary function. Various performance verification checks for gas analyzers and sample handling components might refer to either calibration gases or span gases.

3.9

zero gas

gas that yields a zero response in an analyzer

Note 1 to entry: This may either be purified nitrogen, purified air, or a combination of purified air and purified nitrogen.

3.10

calibration

process of setting a measurement system's response so that its output agrees with a range of reference signals

3.11

verification

means to evaluate whether or not a measurement system's outputs agree with a range of applied reference signals to within one or more predetermined thresholds for acceptance

4 Symbols and abbreviations

4.1 General symbols

Symbol	Term	Unit
A/F_{st}	Stoichiometric air-to-fuel ratio	—
A_p	Cross-sectional area of the isokinetic sampling probe	m ²
A_r	Atomic mass	G
A_x	Cross-sectional area of the exhaust pipe	m ²
c_c	Background corrected concentration	ppm % (V/V)
c_d	Concentration in the dilution air	ppm % (V/V)
c_x	Concentration in the exhaust (with suffix of the component nominating)	ppm % (V/V)
D	Dilution factor	—
E_{CO2}	CO ₂ quench of NO _x analyser	%
E_E	Ethane efficiency	%
E_{H2O}	Water quench of NO _x analyser	%
E_M	Methane efficiency	%
E_{NOx}	Efficiency of NO _x converter	%
e_{PT}	Particulate emission	g/kW·h
e_x	Gas emission (with subscript denoting compound)	g/kW·h
λ	Excess air factor ([kg dry air]/([kg fuel] * [A/F _{st}]))	—
λ_{Ref}	Excess air factor at reference conditions	—
f_a	Laboratory atmospheric factor	—
f_c	Carbon factor	—
f_{fd}	Fuel specific factor for exhaust flow calculation on dry basis	—
f_{fh}	Fuel specific factor used for the calculations of wet concentrations from dry concentrations	—
f_{fw}	Fuel specific factor for exhaust flow calculation on wet basis	—
H_a	Absolute humidity of the intake air (g water/kg dry air)	g/kg
H_d	Absolute humidity of the dilution air (g water/kg dry air)	g/kg

Symbol	Term	Unit
i	Subscript denoting an individual mode	—
k_f	Fuel specific factor for the carbon balance calculation	—
k_{hd}	Humidity correction factor for NO _x for diesel engines	—
k_{hp}	Humidity correction factor for NO _x for gasoline (petrol) engines	—
k_p	Humidity correction factor for particulates	—
k_{wa}	Dry to wet correction factor for the intake air	—
k_{wd}	Dry to wet correction factor for the dilution air	—
k_{we}	Dry to wet correction factor for the diluted exhaust gas	—
k_{wr}	Dry to wet correction factor for the raw exhaust gas	—
M	Percent torque related to the maximum torque for the test engine speed	%
M_r	Molecular mass	g
m_d	Mass of the dilution air sample passed through the particulate sampling filters	kg
$m_{f,d}$	Particulate sample mass of the dilution air collected	mg
m_f	Particulate sample mass collected	mg
m_{sep}	Mass of the diluted exhaust sample passed through the particulate sampling filters	kg
P_A	Absolute outlet pressure at pump outlet	kPa
p_a	Saturation vapour pressure of the engine intake air	kPa
p_b	Total barometric pressure	kPa
p_d	Saturation vapour pressure of the dilution air	kPa
p_r	Water vapour pressure after cooler	kPa
p_s	Dry atmospheric pressure	kPa
P	Uncorrected brake power	kW
P_{aux}	Declared total power absorbed by auxiliaries fitted for the test and not required by ISO 14396	kW
P_m	Maximum measured or declared power at the test engine speed under test conditions (see 13.5)	kW
q_{mad}	Intake air mass flow rate on dry basis	kg/h
q_{maw}	Intake air mass flow rate on wet basis	kg/h
q_{mdw}	Dilution air mass flow rate on wet basis	kg/h
q_{medf}	Equivalent diluted exhaust gas mass flow rate on wet basis	kg/h
q_{mew}	Exhaust gas mass flow rate on wet basis	kg/h
q_{mf}	Fuel mass flow rate	kg/h
q_{mdew}	Diluted exhaust gas mass flow rate on wet basis	kg/h
q_{mgas}	Emission mass flow rate of individual gas	g/h
q_{mPT}	Particle mass flow rate	g/h
r_d	Dilution ratio	—
r_a	Ratio of cross-sectional areas of isokinetic probe and exhaust pipe	—
R_a	Relative humidity of the intake air	%
R_d	Relative humidity of the dilution air	%
r_h	FID response factor	—
r_m	FID response factor for methanol	—
r_x	Ratio of the SSV throat to inlet absolute, static pressure	—

Symbol	Term	Unit
r_y	Ratio of the SSV throat diameter, d , to the inlet pipe inner diameter	—
ρ	Density	kg/m ³
S	Dynamometer setting	kW
T_a	Absolute temperature of the intake air	K
T_d	Absolute dewpoint temperature	K
T_{ref}	Absolute reference temperature (of combustion air: 298 K)	K
T_c	Absolute temperature of the intercooled air	K
T_{cref}	Absolute intercooled air reference temperature	K
V_m	Molar volume	L
W_f	Weighting factor	—
W_{fe}	Effective weighting factor	—

4.2 Symbols for fuel composition

w_{ALF} H content of fuel, % mass

w_{BET} C content of fuel, % mass

w_{GAM} S content of fuel, % mass

w_{DEL} N content of fuel, % mass

w_{EPS} O content of fuel, % mass

α molar ratio (H/C)

β molar ratio (C/C)

γ molar ratio (S/C)

δ molar ratio (N/C)

ε molar ratio (O/C)

NOTE The conversion between mass content and molar ratio is given in ISO 8178-1:2006, Formulae A.3 to A.12.

4.3 Symbols and abbreviations for the chemical components

ACN acetonitrile

C1 carbon 1 equivalent hydrocarbon

CH₄ methane

C₂H₆ ethane

C₃H₈ propane

CH₃OH methanol

CO carbon monoxide

CO₂ carbon dioxide

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DNP	dinitrophenyl hydrazine
DOP	dioctyl phthalate
HC	hydrocarbons
HCHO	formaldehyde
H ₂ O	water
NH ₃	ammonia
NMHC	non-methane hydrocarbons
NO	nitric oxide
NO ₂	nitrogen dioxide
NO _x	oxides of nitrogen
N ₂ O	dinitrogen oxide
O ₂	oxygen
RME	rapeseed oil methylester
SO ₂	sulfur dioxide
SO ₃	sulfur trioxide

4.4 Abbreviations

CFV	critical flow venturi
CLD	chemiluminescent detector
CVS	constant volume sample
EP	exhaust pipe
ECS	electrochemical sensor
FID	flame ionization detector
FTIR	Fourier transform infrared analyser
GC	gas chromatograph
HCLD	heated chemiluminescent detector
HFID	heated flame ionization detector
HPLC	high-pressure liquid chromatograph
NDIR	non-dispersive infrared analyser
NMC	non-methane cutter
PDP	positive displacement pump
PMD	paramagnetic detector

PT	particulates
RH	relative humidity
UVD	ultraviolet detector
ZRDO	zirconium dioxide sensor

5 Test conditions

5.1 Engine test conditions

5.1.1 Test condition parameter

The absolute temperature, T_a , of the engine intake air expressed in Kelvin, and the dry atmospheric pressure, p_s , expressed in kilopascals shall be measured, and the parameter, f_a , shall be determined according to the following provisions.

a) Compression-ignition engines

Naturally aspirated and mechanically pressure-charged engines:

$$f_a = \left(\frac{99}{p_s} \right) \times \left(\frac{T_a}{298} \right)^{0,7} \quad (1)$$

Turbocharged engines with or without cooling of the intake air:

$$f_a = \left(\frac{99}{p_s} \right)^{0,7} \times \left(\frac{T_a}{298} \right)^{1,5} \quad (2)$$

b) Spark-ignition engines

$$f_a = \left(\frac{99}{p_s} \right)^{1,2} \times \left(\frac{T_a}{298} \right)^{0,6} \quad (3)$$

NOTE Formulae (1) to (3) are identical with the exhaust emissions legislation from ECE, EEC, and EPA, but different from the ISO power correction formulae.

5.1.2 Test validity

For a test to be recognized as valid, the parameter, f_a , shall be such that:

$$0,93 < f_a < 1,07 \quad (4)$$

Tests should preferably be conducted with the parameter, f_a , between 0,96 and 1,06.

5.2 Engines with charge air cooling

The charge air temperature shall be recorded and shall be, at the speed of the declared rated power and full load, within ± 5 K of the maximum charge air temperature specified by the manufacturer. The temperature of the cooling medium shall be at least 293 K (20 °C).

If a test shop system or external blower is used, the charge air temperature shall be set to within ± 5 K of the maximum charge air temperature specified by the manufacturer at the speed of the declared rated power and full load. Coolant temperature and coolant flow rate of the charge air cooler at the above set point shall not be changed for the whole test cycle.

5.3 Power

The basis of specific emissions measurement is uncorrected, observed power measured at the crankshaft or its equivalent, the engine being equipped only with the standard auxiliaries necessary for its operation on the test bed (brake power) as defined in ISO 14396. The engine shall be submitted with auxiliaries needed for operating the engine. If it is impossible or inappropriate to install the auxiliaries on the test bench, the power absorbed by them shall be determined and subtracted from the measured engine power.

Certain auxiliaries necessary only for the operation of the machine and which may be mounted on the engine shall be removed for the test. The following incomplete list is given as an example:

- power steering;
- air conditioning compressor;
- pumps for hydraulic systems.

For further details, see ISO 14396.

Where auxiliaries have not been removed, the power absorbed by them at the test speeds shall be determined in order to calculate the dynamometer settings in accordance with 13.5, except for engines where such auxiliaries form an integral part of the engine (e.g. cooling fans for air-cooled engines).

5.4 Specific test conditions

5.4.1 Engine air inlet system

An engine air intake system or a test shop system shall be used, presenting an air intake restriction within ± 300 Pa of the maximum value specified by the manufacturer for a clean air cleaner at the speed of rated power and full load.

If the engine is equipped with an integral air inlet system, it shall be used for testing.

NOTE The restrictions are to be set at rated speed and full load.

5.4.2 Engine exhaust system

An engine exhaust system or a test shop system shall be used, presenting an exhaust backpressure within 80 % to 100 % of the maximum value specified by the manufacturer at the speed of rated power and full load. The exhaust system shall conform to the requirements for exhaust gas sampling, as set out in 8.5.4, 18.2.1, and 18.2.2.

If the engine is equipped with an integral exhaust system, it shall be used for testing.

If the engine is equipped with an exhaust aftertreatment device, the exhaust pipe shall have the same diameter as found in use for at least four pipe diameters upstream to the inlet of the beginning of the expansion section containing the aftertreatment device. The distance from the exhaust manifold flange or turbocharger outlet to the exhaust aftertreatment device shall be the same as in the craft configuration or within the distance specifications of the manufacturer. The exhaust backpressure or restriction shall follow the same criteria as above and may be set with a valve. The aftertreatment container may be removed during dummy tests and during engine mapping and replaced with an equivalent container having an inactive catalyst support.

NOTE The restrictions are to be set at rated speed and full load.

5.4.3 Cooling system

An engine cooling system with sufficient capacity to maintain the engine at normal operating temperatures prescribed by the manufacturer shall be used.

5.4.4 Lubricating oil

Specifications of the lubricating oil used for the test shall be recorded and presented with the results of the test.

5.4.5 Adjustable carburettors

Adjustable carburettors shall be set at the manufacturer's recommendation.

5.4.6 Crankcase breather

When it is required to measure the crankcase emissions of an open crankcase system as part of the total emissions from the engine, they shall be introduced into the exhaust system downstream of any aftertreatment system, if used, and upstream of the sampling point. Sufficient distance shall be allowed to ensure mixing of the crankcase emissions with the exhaust gas.

6 Test fuels

Fuel characteristics influence the engine exhaust gas emission. Therefore, the characteristics of the fuel used for the test shall be determined, recorded, and presented with the results of the test. For reference fuels designated in ISO 8178-5, the reference code and the analysis of the fuel shall be provided. The reference fuels in ISO 8178-5 or equivalent fuels apply.

The fuel temperature shall be in accordance with the manufacturer's recommendations. The fuel temperature shall be measured at the inlet to the fuel injection pump or as specified by the manufacturer, and the location of measurement recorded. Fuel temperature measurement shall be optional in case of use of a mass flow meter.

7 Application of the engine family concept and choice of parent engine

The engine manufacturer is responsible for defining those engines from his range which are to be included in a family. In order that engines be considered to belong to the same engine family, the following list of basic characteristics (but not specification) must be common:

- combustion cycle;
- cooling medium;
- individual cylinder displacement: engines to be within a total spread of 15 %;
- number of cylinders and cylinder configuration (applicable to spark ignition engines only);
- method of aspiration;
- fuel type;
- combustion chamber type;
- valve and porting (configuration, size and number);
- fuel system type;
- other features such as exhaust gas recirculation, air injection, exhaust after treatment, dual fuel, etc.

A parent engine shall be selected from an engine family in such a way that its emissions characteristics are representative for all engines in that engine family. The engine incorporating those features that are expected to result in the highest specific emissions (expressed in grams per kilowatt hour), when measured on the applicable test cycle, shall be selected as the parent engine of the family.

8 Measurement equipment and data to be measured

8.1 General

The emission of gaseous and particulate components by the engine submitted for testing shall be measured by the methods described in [Clauses 17](#) and [18](#). These clauses describe the recommended analytical systems for the gaseous emissions ([Clause 17](#)) and the recommended particulate dilution and sampling systems ([Clause 18](#)).

Other systems or analysers may be accepted if they yield equivalent results. The determination of system equivalency shall be based on a seven-sample pair (or larger) correlation study between the system under consideration and one of the accepted systems in this International Standard. "Results" refers to the specific cycle weighted emissions value. The correlation testing is to be performed at the same laboratory, test cell, and on the same engine. The tests shall be run concurrently. The test cycle to be used shall be the appropriate cycle. The equivalency of the sample pair averages shall be determined by *F*-test and *t*-test statistics (see ISO 8178-1:2006, Annex D), with outliers excluded, and obtained under the laboratory cell and the engine conditions described above. The systems to be used for correlation testing shall be declared prior to the test and shall be agreed upon by the parties involved.

For the introduction of a new system into the document, the determination of equivalency shall be based upon the calculation of repeatability and reproducibility, as described in ISO 5725-1 and ISO 5725-2.

The following equipment shall be used for emissions tests of engines on engine dynamometers. This International Standard does not contain details of flow, pressure, and temperature measuring equipment. Instead, only the accuracy requirements of such equipment necessary for conducting an emissions test are given in [8.4](#).

8.2 Dynamometer specification

An engine dynamometer with adequate characteristics to perform the appropriate test cycle shall be used.

The instrumentation for torque and speed measurement shall allow the measurement accuracy of the shaft power within the given limits. Additional calculations may be necessary. The accuracy of the measuring equipment shall be such that the maximum tolerances of the figures given in [8.4](#) are not exceeded.

8.3 Exhaust gas flow

8.3.1 General

The exhaust gas flow shall be determined by one of the methods given in [8.3.2](#) to [8.3.6](#).

8.3.2 Direct measurement method

Direct measurement of the exhaust flow may be done by systems such as the following:

- pressure differential devices, like flow nozzle (see ISO 5167 series);
- ultrasonic flowmeter;
- vortex flowmeter.

Precautions shall be taken to avoid measurement errors which will impact on emission value errors. Such precautions include the careful installation of the device in the engine exhaust system according to the instrument manufacturers' recommendations and to good engineering practice. In particular, engine performance and emissions shall not be affected by the installation of the device.

The flowmeters shall meet the accuracy specifications of [8.4](#).

8.3.3 Air and fuel measurement method

This involves measurement of the air flow and the fuel flow. Air flowmeters and fuel flowmeters with an accuracy defined in 8.4 shall be used. The calculation of the exhaust gas flow is as follows:

$$q_{mew} = q_{maw} + q_{mf} \quad (5)$$

8.3.4 Fuel flow and carbon balance method

This involves exhaust mass calculation from fuel consumption, fuel composition, and exhaust gas concentrations using the carbon balance method, as given with the following formulae (see ISO 8178-1:2006, A.3.2.3.1):

$$q_{mew} = q_{mf} \times \left(\frac{\left(\frac{w_{BET} \times w_{BET} \times 1,4}{\left(\frac{1,4 \times w_{BET}}{f_c} + w_{ALF} \times 0,08936 - 1 \right) \times \frac{1}{1,293} + f_{fd}} \right) + w_{ALF} \times 0,08936 - 1}{f_c \times f_c} \times \left(1 + \frac{H_a}{1000} \right) + 1 \right) \quad (6)$$

where

f_{fd} is according to ISO 8178-1:2006, Formulae A.20 to A.23;

H_a is the g water per kg dry air;

f_c is according to ISO 8178-1:2006, Formula A.64:

$$f_c = (c_{CO2d} - c_{CO2ad}) \times 0,5441 + \frac{c_{COd}}{18522} + \frac{c_{HCw}}{17355} \quad (7)$$

where

c_{CO2d} is the dry CO₂ concentration in the raw exhaust [%];

c_{CO2ad} is the dry CO₂ concentration in the ambient air [%];

c_{COd} is the dry CO concentration in the raw exhaust [ppm];

c_{HCw} is the wet HC concentration in the raw exhaust [ppm].

NOTE Optionally, the oxygen balance method may be used. See ISO 8178-1:2006, A.3.3.

8.3.5 Tracer measurement method

This involves measurement of the concentration of a tracer gas in the exhaust.

A known amount of an inert gas (e.g. pure helium) shall be injected into the exhaust gas flow as a tracer. The gas is mixed and diluted by the exhaust gas, but shall not react in the exhaust pipe. The concentration of the gas shall then be measured in the exhaust gas sample.

In order to ensure complete mixing of the tracer gas, the exhaust gas sampling probe shall be located at least 1 m or 30 times the diameter of the exhaust pipe, whichever is larger, downstream of the tracer gas injection point. The sampling probe may be located closer to the injection point if complete mixing is verified by comparing the tracer gas concentration with the reference concentration when the tracer gas is injected upstream of the engine.

The tracer gas flow rate shall be set so that the trace gas concentration after mixing becomes lower than the full scale of the trace gas analyser.

The calculation of the exhaust gas flow is as follows:

$$q_{mew} = \frac{q_{rt} \times \rho_{ew}}{60 \times (c_{mix} - c_a)} \quad (8)$$

where

- q_{mew} is the exhaust mass flow [kg/s];
- q_{rt} is the tracer gas flow rate [cm³/min];
- c_{mix} is the concentration of the tracer gas after mixing [ppm];
- ρ_{ew} is the density of the exhaust gas [kg/m³];
- c_a is the background concentration of the tracer gas in the intake air [ppm].

The background concentration of the tracer gas (c_a) may be determined by averaging the background concentration measured immediately before the test run and after the test run.

When the background concentration is less than 1 % of the concentration of the tracer gas after mixing (c_{mix}) at maximum exhaust flow, the background concentration may be neglected.

The total system shall meet the accuracy specifications for the exhaust gas flow and shall be calibrated according to [9.6](#).

8.3.6 Air flow and air-to-fuel ratio measurement method

This involves exhaust mass calculation from the air flow and the air-to-fuel ratio. The calculation of the instantaneous exhaust gas mass flow is as follows:

$$q_{mew} = q_{maw} \times \left(1 + \frac{1}{A/F_{st} \times \lambda} \right) \quad (9)$$

where

$$A/F_{st} = \frac{138,0 \times \left(\beta + \frac{\alpha}{4} - \frac{\varepsilon}{2} + \gamma \right)}{12,011 \times \beta + 1,00794 \times \alpha + 15,9994 \times \varepsilon + 14,0067 \times \delta + 32,065 \times \gamma} \quad (10)$$

$$\lambda = \frac{\beta \times \left(100 - \frac{c_{CO} \times 10^{-4}}{2} - c_{HC} \times 10^{-4} \right) + \left(\frac{\alpha}{4} \times \frac{1 - \frac{2 \times c_{CO} \times 10^{-4}}{3,5 \times c_{CO_2}} - \frac{\varepsilon}{2} - \frac{\delta}{2}}{1 + \frac{c_{CO} \times 10^{-4}}{3,5 \times c_{CO_2}}} \right) \times (c_{CO_2} + c_{CO} \times 10^{-4})}{4,764 \times \left(\beta + \frac{\alpha}{4} - \frac{\varepsilon}{2} + \gamma \right) \times (c_{CO_2} + c_{CO} \times 10^{-4} + c_{HC} \times 10^{-4})} \quad (11)$$

where

- A/F_{st} is the stoichiometric air-to-fuel ratio [kg/kg];
- λ is the excess air ratio;
- c_{CO_2} is the dry CO₂ concentration [%];
- c_{CO} is the dry CO concentration [ppm];
- c_{HC} is the HC concentration [ppm].

NOTE Fuel composition $C_{\beta}H_{\alpha}S_{\gamma}N_{\delta}O_{\varepsilon}$ with $\beta = 1$. For fuels without carbon (e.g. hydrogen), Formulae (10) and (11) cannot be used.

The air flowmeter shall meet the accuracy specifications of 8.4, the CO₂ analyser used shall meet the specifications of 8.5.3.3, and the total system shall meet the accuracy specifications for the exhaust gas flow.

Optionally, air-to-fuel ratio measurement equipment, such as a zirconia-type sensor, which meets the specifications of 8.5.3.13 may be used for the measurement of the excess air ratio.

8.3.7 Total dilute exhaust gas flow

When using a full-flow dilution system, the total flow of the dilute exhaust (q_{mdew}) shall be measured with a PDP or CFV (see 18.2.2). The accuracy shall conform to the provisions of 10.2.

8.4 Accuracy

The calibration of all measuring instruments shall be traceable to national or international standards and comply with the requirements given in Tables 1 and 2.

NOTE Calibration requirements for analysers are given in 9.5.

The instruments shall be calibrated as required by internal audit procedures, by the instrument manufacturer. The deviations given in Tables 1 and 2 refer to the final recorded value, which includes the data acquisition system.

Table 1 — Permissible deviations of instruments for engine-related parameters

No.	Measurement instrument	Permissible deviation
1	Engine speed	±2 % of reading or ±1 % of engine's max. value, whichever is larger
2	Torque	±2 % of reading or ±1 % of engine's max. value, whichever is larger
3	Fuel consumption ^a	±2 % of engine's max. value

^a The calculations of the exhaust emissions as described in this International Standard are, in some cases, based on different measurement and/or calculation methods. Because of limited total tolerances for the exhaust emission calculation, the allowable values for some items, used in the appropriate equations, shall be smaller than the allowed tolerances given in ISO 15550:2002, Table 4.

Table 1 (continued)

No.	Measurement instrument	Permissible deviation
4	Air consumption ^a	±2 % of reading or ±1 % of engine's max. value, whichever is larger
5	Exhaust gas flow ^a	±2,5 % of reading or ±1,5 % of engine max. value, whichever is larger

^a The calculations of the exhaust emissions as described in this International Standard are, in some cases, based on different measurement and/or calculation methods. Because of limited total tolerances for the exhaust emission calculation, the allowable values for some items, used in the appropriate equations, shall be smaller than the allowed tolerances given in ISO 15550:2002, Table 4.

Table 2 — Permissible deviations of instruments for other essential parameters

No.	Parameter	Permissible deviation
1	Temperatures ≤600 K	±2 K absolute
2	Temperatures >600 K	±1 % of reading
3	Exhaust gas pressure	±0,2 kPa absolute
4	Intake air depressions	±0,05 kPa absolute
5	Atmospheric pressure	±0,1 kPa absolute
6	Other pressures	±0,1 kPa absolute
7	Relative humidity	±3 % absolute
8	Absolute humidity	±5 % of reading
9	Dilution air flow	±2 % of reading
10	Diluted exhaust gas flow	±2 % of reading

8.5 Determination of the gaseous components

8.5.1 General analyser specifications

8.5.1.1 General specifications

The analysers shall have a measuring range appropriate for the accuracy required to measure the concentrations of the exhaust gas components (see 8.5.1.2). The analysers shall be operated such that the measured concentration falls between 15 % and 100 % of full scale.

If the full-scale value is 155 ppm (or ppmC) or less or if read-out systems (computers, data loggers) that provide sufficient accuracy and resolution below 15 % of full scale are used, concentrations below 15 % of full scale are also acceptable. In this case, additional calibrations are to be made to ensure the accuracy of the calibration curves.

The electromagnetic compatibility (EMC) of the equipment shall be at a level so as to minimize additional errors.

8.5.1.2 Accuracy

The analyser shall not deviate from the nominal calibration point by more than ±2 % of the reading over the whole measurement range (except zero) or ±0,3 % of full scale, whichever is larger. The accuracy shall be determined according to the calibration requirements laid down in 9.5.5.

NOTE For the purposes of this International Standard, accuracy is defined as the deviation of the analyser reading from the nominal calibration values using a calibration gas (= true value).

8.5.1.3 Precision

The precision, defined as 2,5 times the standard deviation of 10 repetitive responses to a given calibration or span gas, shall be no greater than $\pm 1\%$ of full-scale concentration for each range used above 100 ppm (or ppmC) or $\pm 2\%$ of full-scale concentration for each range used below 100 ppm (or ppmC).

8.5.1.4 Noise

The analyser peak-to-peak response to zero and calibration or span gases over any 10 s period shall not exceed 2 % of full scale on all ranges used.

8.5.1.5 Zero drift

Zero response is defined as the mean response, including noise, to a zero gas during a 30 s time interval. The drift of the zero response during a 1 h period shall be less than $\pm 2\%$ of full scale on the lowest range used.

8.5.1.6 Span drift

Span response is defined as the mean response, including noise, to a span gas during a 30 s time interval. The drift of the span response during a 1 h period shall be less than $\pm 2\%$ of full scale on the lowest range used.

8.5.2 Gas drying

Exhaust gases may be measured wet or dry. A gas-drying device, if used, shall have a minimal effect on the composition of the measured gases. Chemical driers are not an acceptable method of removing water from the sample.

8.5.3 Analysers

8.5.3.1 General

The measurement principles to be used are defined in [8.5.3.2](#) to [8.5.3.12](#). A detailed description of the measurement systems is given in [Clause 16](#). The gases to be measured shall be analysed with the following instruments. For nonlinear analysers, the use of linearizing circuits is permitted.

8.5.3.2 Carbon monoxide (CO) analysis

The carbon monoxide analyser shall be of the non-dispersive infrared (NDIR) absorption type.

8.5.3.3 Carbon dioxide (CO₂) analysis

The carbon dioxide analyser shall be of the non-dispersive infrared (NDIR) absorption type.

8.5.3.4 Oxygen (O₂) analysis

Oxygen analysers shall be of the paramagnetic detector (PMD), zirconium dioxide (ZRDO), or electrochemical sensor (ECS) type.

Zirconium dioxide sensors shall not be used when HC and CO concentrations are high, such as for lean-burn spark-ignited engines.

Electrochemical sensors shall compensate for CO₂ and NO_x interference.

8.5.3.5 Hydrocarbon (HC) analysis

The hydrocarbon analyser shall be of the heated flame ionization detector (HFID) type with detector, valves, pipework, etc. heated so as to maintain a gas temperature of 463 K \pm 10 K (190 °C \pm 10 °C). For methanol-fuelled engines, the temperature requirements of [8.5.3.12.3](#) apply. Optionally, for gas-fuelled

engines and for the dilute testing of spark-ignition engines, the hydrocarbon analyser may be of the non-heated flame ionization detector (FID) type.

8.5.3.6 Non-methane hydrocarbon (NMHC) analysis

8.5.3.6.1 General

Depending on the methane (CH₄) concentration, this method is more relevant for gaseous than for liquid fuels.

8.5.3.6.2 Gas chromatographic (GC) method

Non-methane hydrocarbons shall be determined by subtraction of the methane analysed with a gas chromatograph (GC) conditioned at 423 K (150 °C) from the hydrocarbons measured according to [8.5.3.5](#).

8.5.3.6.3 Non-methane cutter (NMC) method

The determination of the non-methane fraction shall be performed with an NMC operated in line with an FID as per [8.5.3.5](#) by subtraction of the methane from the hydrocarbons.

8.5.3.7 Oxides of nitrogen (NO_x) analysis

The oxides of nitrogen analyser shall be of the chemiluminescent detector (CLD) or heated chemiluminescent detector (HCLD) type with an NO₂/NO converter, if measured on a dry basis. If measured on a wet basis, an HCLD with converter maintained above 328 K (55 °C) shall be used, provided the water quench check is satisfied (see [9.9.3.2](#)). For both CLD and HCLD, the sampling path shall be maintained at a wall temperature of 328 K to 473 K (55 °C to 200 °C) up to the converter for dry measurement and up to the analyser for wet measurement.

8.5.3.8 Sulfur dioxide (SO₂) analysis

The SO₂ emission shall be calculated from the sulfur content of the fuel used since experience has shown that using the direct measurement method for SO₂ does not give more precise results:

$$q_{mSO_2} = q_{mf} \times w_{GAM} \times 20 \quad (12)$$

NOTE The application of the calculation method for SO₂ assumes a 100 % sulfur conversion and is limited to engines without aftertreatment systems. In this case, SO₂ may be measured in accordance with the instructions of the instrument suppliers. Since SO₂ measurement is a difficult task and has not been fully demonstrated for exhaust measurements, prior agreement of the parties involved is required.

8.5.3.9 Ammonia (NH₃) analysis

Ammonia shall be determined with a CLD, as described in [8.5.3.7](#), by using two different converters. For the total amount of NO_x and NH₃, a high-temperature converter of 973 K (700 °C) shall be used.

For the NO_x only, a low-temperature converter of 573 K (300 °C) shall be used. The difference between these measurements is the ammonia concentration. This method has a long response time (approx. 10 min).

Alternatively, a Fourier transform infrared (FTIR) analyser or non-dispersive ultraviolet resonance (NDUVR) analyser may be used in accordance with the instrument supplier's instructions. Since the technology has not been fully demonstrated for exhaust measurements, prior agreement of the parties involved is required. The response time of this method is considerably shorter than with the double converter method.

8.5.3.10 Dinitrogen oxide (N₂O) analysis

An FTIR analyser or a non-dispersive infrared (NDIR) analyser may be used in accordance with the instrument supplier's instructions. Since the technology has not been fully demonstrated for exhaust measurements, prior agreement of the parties involved is required.

8.5.3.11 Formaldehyde (HCHO) analysis

Formaldehyde shall be determined by passing an exhaust sample, preferably from the diluted exhaust gas, through an impinger containing an acetonitrile (ACN) solution of DNPH reagent or through a silica cartridge coated with 2,4-DNPH. The sample collected shall be analysed by a high-pressure liquid chromatograph (HPLC) using UV detection at 365 nm.

Optionally, an FTIR analyser may be used in accordance with the instrument supplier's instructions.

8.5.3.12 Methanol (CH₃OH) analysis

8.5.3.12.1 General

An FTIR analyser may be used in accordance with the instrument supplier's instructions. Since the technology has not been fully demonstrated for exhaust measurements, prior agreement of the parties involved is required.

8.5.3.12.2 Gas chromatographic (GC) method

Methanol shall be determined by passing an exhaust sample through an impinger containing de-ionized water. The sample shall be analysed by a GC with FID.

8.5.3.12.3 HFID method

The HFID calibrated on propane shall be operated at $385 \text{ K} \pm 10 \text{ K}$ ($112 \text{ °C} \pm 10 \text{ °C}$). The methanol response factor shall be determined at several concentrations in the range of concentrations in the sample, according to [9.8.5](#).

8.5.3.13 Air-to-fuel measurement

The air-to-fuel measurement equipment used to determine the exhaust gas flow as specified in [8.3.6](#) shall be a wide range air-to-fuel ratio sensor or lambda sensor of zirconia type.

The sensor shall be mounted directly on the exhaust pipe where the exhaust gas temperature is high enough to eliminate water condensation.

The accuracy of the sensor with incorporated electronics shall be as follows:

- $\pm 3 \%$ of reading for $\lambda \leq 2$
- $\pm 5 \%$ of reading for $2 < \lambda \leq 5$
- $\pm 10 \%$ of reading for $\lambda > 5$

To fulfil the accuracy specified above, the sensor shall be calibrated as specified by the instrument manufacturer.

8.5.4 Sampling for gaseous emissions

The gaseous emissions sampling probes shall be fitted at least 0,5 m or three times the diameter of the exhaust pipe, whichever is the larger, upstream of the exit of the exhaust gas system but sufficiently close to the engine so as to ensure an exhaust gas temperature of at least 343 K (70 °C) at the probe.

In the case of a multi-cylinder engine with a branched exhaust manifold, the inlet of the probe shall be located sufficiently far downstream so as to ensure that the sample is representative of the average exhaust emissions from all cylinders. In multi-cylinder engines having distinct groups of manifolds, such as in a "V" engine configuration, it is permissible to acquire a sample from each group individually and calculate an average exhaust emission. Other methods which have been shown to correlate with the above methods may be used. For exhaust emission calculation, the total exhaust mass flow shall be used.

If the composition of the exhaust gas is influenced by any exhaust aftertreatment system, the exhaust sample shall be taken downstream of this device.

For spark-ignition engines, the exhaust sampling probe shall be in a high-pressure side of the muffler, but as far from the exhaust port as possible. To ensure complete mixing of the engine exhaust before sample extraction, a mixing chamber may be optionally inserted between the muffler outlet and the sample probe. The internal volume of the mixing chamber shall be not less than 10 times the cylinder displacement of the engine under test and shall be roughly equal dimensions in height, width, and depth. The mixing chamber size shall be kept as small as practicable and shall be coupled as close as possible to the engine. The exhaust line leaving the mixing chamber shall extend at least 610 mm beyond the sample probe location and be of sufficient size to minimize back pressure. The temperature of the inner surface of the mixing chamber shall be maintained above the dew point of the exhaust gases and a minimum temperature of 65 °C is recommended.

For marine engines, the inlet of the probe shall be located so as to avoid ingestion of water which is injected into the exhaust system for the purpose of cooling, tuning, or noise reduction.

When a full-flow dilution system is used for the determination of the particulates, the gaseous emissions may also be determined in the diluted exhaust gas. The sampling probes shall be close to the particulate sampling probe in the dilution tunnel (see [Figure 19](#), DT and PSP).

For compression-ignition engines, HC and NO_x shall be measured by direct sampling from the dilution tunnel. CO and CO₂ may optionally be determined by direct measurement or by sampling into a bag and subsequent measurement of the concentration in the sampling bag.

For spark-ignition engines and gas-fuelled engines, all components may optionally be measured directly in the dilution tunnel or by sampling into a bag and subsequent measurement of the concentration in the sampling bag.

8.6 Particulate determination

8.6.1 General

The determination of the particulates requires a dilution system. Dilution shall be accomplished by a partial-flow or a full-flow dilution system. The flow capacity of the dilution system shall be large enough to completely eliminate water condensation in the dilution and sampling systems and maintain the temperature of the diluted exhaust gas at or below 325 K (52 °C) immediately upstream of the filter holders. Humidity control of the dilution air before entering the dilution system is permitted; dehumidification, in particular, is useful if dilution air humidity is high. The temperature of the dilution air shall be higher than 288 K (15 °C) in close proximity to the entrance into the dilution tunnel.

For a partial-flow dilution system, the particulate sampling probe shall be fitted close to and upstream of the gaseous probe as defined in [8.5.4](#) and in accordance with [18.2.1](#), [Figures 10](#) to [18](#), EP and SP.

The partial-flow system shall be designed to extract a raw exhaust sample from the engine exhaust stream, introduce dilution air into this sample, and subsequently measure the particulates in the diluted sample. From that, it is essential that the dilution ratio be determined very accurately. Different sampling methods can be applied, whereby the type of sampling used dictates the hardware and procedures to be used (see [18.2.1](#)).

To determine the mass of the particulates, a particulate sampling system, particulate sampling filters, a microgram balance, and a temperature- and humidity-controlled weighing chamber are required. For particulate sampling, the following two methods may be applied.

The multiple-filter method dictates that one pair of filters (see 8.6.2.3) is used for each of the individual modes of the test cycle. This method allows more lenient sample procedures but uses more filters.

The single-filter method uses one pair of filters (see 8.6.2.3) for all modes of the test cycle. Considerate attention shall be paid to sampling times and flows during the sampling phase of the test; however, only one pair of filters will be required for the test cycle.

8.6.2 Particulate sampling filters

8.6.2.1 Filter specification

Fluorocarbon coated glass-fibre filters or fluorocarbon membrane filters are required. All filter types shall have a 0,3 µm DOP (dioctyl phthalate) collection efficiency of at least 95 % at a gas face velocity of 35 cm/s and at least 99 % at 100 cm/s. When performing correlation tests between laboratories or between a manufacturer and a regulatory agency, filters of identical quality shall be used.

8.6.2.2 Filter size

Particulate filters shall have a minimum diameter of 47 mm (37 mm stain diameter). Larger diameter filters are acceptable (see 8.6.2.5).

8.6.2.3 Primary and back-up filters

The diluted exhaust shall be sampled during the test sequence by one filter if the collection efficiency is >99 % (see 8.6.2.1), or a pair of filters placed in series (one primary and one back-up filter) if the collection efficiency is between 95 % and 99 %. The back-up filter shall be located no more than 100 mm downstream of the primary filter and shall not be in contact with the primary filter. The filters may be weighed separately or as a pair with the filters placed stain side to stain side.

8.6.2.4 Filter face velocity

A gas face velocity through the filter of 35 cm/s to 100 cm/s shall be achieved. The pressure-drop increase between the beginning and the end of the test shall be no more than 25 kPa.

8.6.2.5 Filter loading

The filter loading should be 0,338 µg/mm² filter area for the single-filter method. The required minimum filter loading shall be 0,065 µg/mm² filter area. For the most common filter sizes, the values are given in Table 3.

For the multiple filter method, the filter loading for the sum of all filters is the product of the appropriate value above and the square root of the total number of modes.

Table 3 — Minimum filter loading

Filter diameter	Recommended loading	Required minimum loading
mm	mg	mg
47	0,6	0,11
70	1,3	0,25
90	2,1	0,41
110	3,2	0,62

8.6.3 Weighing chamber and analytical balance specifications

8.6.3.1 Weighing chamber conditions

The temperature of the chamber (or room) in which the particulate filters are conditioned and weighed shall be maintained to within $295\text{ K} \pm 3\text{ K}$ ($22\text{ °C} \pm 3\text{ °C}$) during all filter conditioning and weighing. The humidity shall be maintained to a dew point of $282,5\text{ K} \pm 3\text{ K}$ ($9,5\text{ °C} \pm 3\text{ °C}$) and a relative humidity of $45\% \pm 8\%$.

8.6.3.2 Reference filter weighing

The chamber (or room) environment shall be free of any ambient contaminants (such as dust) that would settle on the particulate filters during their stabilization. Disturbances to weighing room specifications as outlined in [8.6.3.1](#) will be allowed if the duration of the disturbances does not exceed 30 min. The weighing room shall meet the required specifications prior to personal entrance into the weighing room. At least two unused reference filters or reference filter pairs shall be weighed within 4 h of (but preferably at the same time as) the sample filter (pair) weighings. They shall be the same size and material as the sample filters.

If the average mass of the reference filters or reference filter pairs changes between sample filter weighings by more than $10\text{ }\mu\text{g} + 5\%$ of particulate loading at the actual test with an absolute upper limit of $40\text{ }\mu\text{g}$, then all sample filters shall be discarded and the emissions test repeated. Optionally, the cycle may be repeated on the same filter to get a higher particulate loading with correspondingly higher reference filter tolerances.

If the weighing room stability criteria outlined in [8.6.3.1](#) are not met, but the reference filter (pair) weighings meet the above criteria, the engine manufacturer has the option of accepting the sample filter masses or voiding the tests, fixing the weighing room control system and rerunning the test.

8.6.3.3 Analytical balance

The analytical balance used to determine the masses of all filters shall have a precision (standard deviation) of $20\text{ }\mu\text{g}$ and a resolution of $10\text{ }\mu\text{g}$ (1 digit = $10\text{ }\mu\text{g}$). For filters less than 70 mm in diameter, the precision and resolution shall be $2\text{ }\mu\text{g}$ and $1\text{ }\mu\text{g}$, respectively.

8.6.3.4 Elimination of static electricity effects

To eliminate the effects of static electricity, the filters shall be neutralized prior to weighing, e.g. by a polonium neutralizer or a device of similar effect.

8.6.3.5 Additional specifications for particulate measurement

All parts of the dilution system and the sampling system from the exhaust pipe up to the filter holder, which are in contact with raw and diluted exhaust gas, shall be designed to minimize deposition or alteration of the particulates. All parts shall be made of electrically conductive materials that do not react with exhaust gas components and shall be electrically grounded to prevent electrostatic effects.

9 Calibration of the analytical instruments

9.1 General requirements

Each analyser shall be calibrated as often as necessary to fulfil the accuracy requirements of this International Standard. The calibration method that shall be used is described below for the analysers indicated in [8.5.3](#).

9.2 Calibration gases

9.2.1 General

Calibration gases shall not be used past their shelf life.

The expiry date of the calibration gases stated by the manufacturer shall be recorded.

9.2.2 Pure gas

The required purity of the gases is defined by the contamination limits given below. The following gases shall be available for operation:

- purified nitrogen (contamination ≤ 1 ppmC, ≤ 1 ppmCO, ≤ 400 ppmCO₂, $\leq 0,1$ ppmNO);
- purified oxygen (purity $>99,5$ % vol. O₂);
- hydrogen-helium mixture (40 % \pm 2 % hydrogen, balance helium) (contamination ≤ 1 ppmC, ≤ 400 ppmCO₂);
- purified synthetic air (contamination ≤ 1 ppmC, ≤ 1 ppmCO, ≤ 400 ppmCO₂, $\leq 0,1$ ppmNO) (oxygen content 18 % – 21 % vol.).

9.2.3 Calibration and span gases

Mixtures of gases having the following chemical compositions shall be available:

- C₃H₈ and purified synthetic air (see [9.2.2](#));
- CO and purified nitrogen;
- NO_x and purified nitrogen (the amount of NO₂ contained in this calibration gas shall not exceed 5 % of the NO content);
- O₂ and purified nitrogen;
- CO₂ and purified nitrogen;
- CH₄ and purified synthetic air;
- C₂H₆ and purified synthetic air.

NOTE Other gas combinations are allowed provided that the gases do not react with one another.

The true concentration of a calibration and span gas shall be within ± 2 % of the nominal value. All concentrations of calibration gas shall be given on a volume basis (volume per cent or volume ppm).

9.2.4 Use of gas dividers

The gases used for calibration and span may also be obtained by means of precision blending devices (gas dividers), diluting with purified N₂ or with purified synthetic air. The accuracy of the mixing device shall be such that the concentration of the blended calibration gases is accurate to within ± 2 %. This accuracy implies that primary gases used for blending must be known to an accuracy of at least ± 1 %, traceable to national or international gas standards. The verification shall be performed at between 15 % and 50 % of full scale for each calibration incorporating a blending device.

Optionally, the blending device may be checked with an instrument which by nature is linear, e.g. using NO gas with a CLD. The span value of the instrument shall be adjusted with the span gas directly connected to the instrument. The blending device shall be checked at the used settings and the nominal value shall be compared to the measured concentration of the instrument. This difference shall in each point be

within ± 1 % of the nominal value. But this linearity check of the gas divider shall not be performed with a gas analyser which was previously linearized with the same gas divider.

9.2.5 Oxygen interference gases

Oxygen interference check gases shall contain propane with $350 \text{ ppmC} \pm 75 \text{ ppmC}$ hydrocarbon. The concentration value shall be determined to calibration gas tolerances by chromatographic analysis of total hydrocarbons plus impurities or by dynamic blending. Nitrogen shall be the predominant diluent with the balance oxygen. Blends required for gasoline-fuelled and diesel engine testing are listed in [Table 4](#).

Table 4 — Oxygen interference check gases

Applicability	O ₂ concentration %	Balance
Diesel	21 (20 to 22)	Nitrogen
Diesel and gasoline	10 (9 to 11)	Nitrogen
Diesel and gasoline	5 (4 to 6)	Nitrogen
Gasoline	0 (0 to 1)	Nitrogen

9.3 Operating procedure for analysers and sampling system

The operating procedure for analysers shall follow the start-up and operating instructions of the instrument manufacturer. The minimum requirements given in [9.4](#) to [9.9](#) shall be included. For laboratory instruments such as GC and HPLC, only [9.5.4](#) applies.

9.4 Leakage test

A system leakage test shall be performed. The probe shall be disconnected from the exhaust system and the end plugged. The analyser pump shall be switched on. After an initial stabilization period, all flow meters should read zero. If not, the sampling lines shall be checked and the fault corrected.

The maximum allowable leakage rate on the vacuum side shall be 0,5 % of the in-use flow rate for the portion of the system being checked. The analyser flows and bypass flows may be used to estimate the in-use flow rates.

Another method is the introduction of a concentration step change at the beginning of the sampling line by switching from zero to span gas. If after an adequate period of time the reading shows a lower concentration compared to the introduced concentration, this points to calibration or leakage problems.

9.5 Calibration procedure

9.5.1 Instrument assembly

Calibrate the instrument assembly and check calibrated curves against calibration gases. The same gas flow rates shall be used as when sampling exhaust gas.

9.5.2 Warm-up time

The warm-up time should be according to the recommendations of the manufacturer. If not specified, a minimum of 2 h is recommended for warming up the analysers.

9.5.3 NDIR and HFID analyser

Tune the NDIR analyser as necessary, and optimize the combustion flame of the HFID analyser (see [9.8.1](#))

9.5.4 GC and HPCL

Calibrate both instruments according to good laboratory practice and the recommendations of the manufacturer.

9.5.5 Establishment of the calibration curve

- a) Each normally used operating range shall be calibrated.
- b) Using purified synthetic air (or nitrogen), the CO, CO₂, NO_x, and HC analysers shall be set at zero.
- c) The appropriate calibration gases shall be introduced to the analysers, the values recorded, and the calibration curve established.
- d) The calibration curve shall be established by at least six calibration points (excluding zero) approximately equally spaced over the operating range. The highest nominal concentration shall be equal to or higher than 90 % of full scale.
- e) The calibration curve shall be calculated by the method of least squares. A best-fit linear or nonlinear equation may be used.
- f) The calibration points shall not differ from the least squares best-fit line by more than ± 2 % of reading or $\pm 0,3$ % of full scale, whichever is larger.
- g) The zero setting shall be rechecked and the calibration procedure repeated, if necessary.

9.5.6 Alternative calibration methods

If it can be shown that alternative technology (computer, electronically controlled range switch, etc.) can give equivalent accuracy, then these alternatives may be used.

9.5.7 Verification of the calibration

Each normally used operating range shall be checked prior to each analysis in accordance with the following procedure.

The calibration is checked by using a zero gas and a span gas whose nominal value is more than 80 % of full scale of the measuring range.

If, for the two points considered, the value found does not differ by more than ± 4 % of full scale from the declared reference value, the adjustment parameters may be modified. Should this not be the case, the span gas shall be verified or a new calibration curve shall be established in accordance with [9.5.5](#).

9.6 Calibration of tracer gas analyser for exhaust flow measurement

The analyser for measurement of the tracer gas concentration shall be calibrated using the calibration gas.

The calibration curve shall be established by at least six calibration points (excluding zero) approximately equally spaced over the operating range. The highest nominal concentration shall be equal to or higher than 90 % of full scale. The calibration curve is calculated by the method of least squares.

The calibration points shall not differ from the least-squares best-fit line by more than ± 2 % of reading or $\pm 0,3$ % of full scale, whichever is larger.

The analyser shall be set at zero and spanned prior to the test run using a zero gas and a span gas whose nominal value is more than 80 % of the analyser full scale.

9.7 Efficiency test of the NO_x converter

9.7.1 General

The efficiency of the converter used for the conversion of NO₂ into NO is tested as given in 9.7.2 to 9.7.9 (see Figure 1).

9.7.2 Test setup

Using the test setup as schematically shown in Figure 1 (see also 8.5.3.7) and the procedure below, the efficiency of converters can be tested by means of an ozonator.

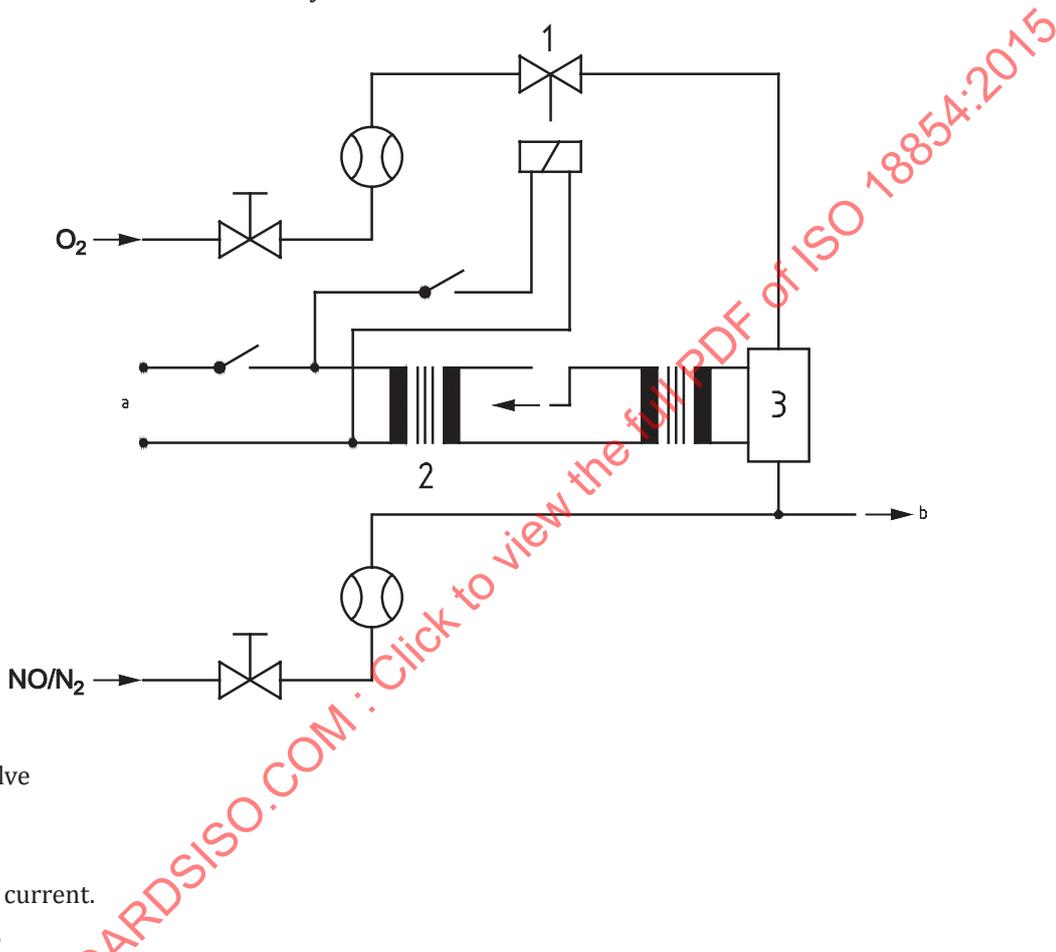


Figure 1 — Schematic representation of NO₂ converter efficiency device

9.7.3 Calibration

The CLD and the HCLD shall be calibrated in the most common operating range, following the manufacturer’s specifications, using zero and span gas (the NO content of which must amount to about 80 % of the operating range and the NO₂ concentration of the gas mixture to less than 5 % of the NO concentration). The NO_x analyser shall be in the NO mode so that the span gas does not pass through the converter. The indicated concentration shall be recorded.

9.7.4 Calculation

The efficiency of the NO_x converter is calculated as follows:

$$E_{\text{NO}_x} = \left(1 + \frac{a-b}{c-d} \right) \times 100 \quad (13)$$

where

- a* is the NO_x concentration according to [9.7.7](#);
- b* is the NO_x concentration according to [9.7.8](#);
- c* is the NO concentration according to [9.7.5](#);
- d* is the NO concentration according to [9.7.6](#).

9.7.5 Adding of oxygen

Via a T-fitting, oxygen or zero air is added continuously to the gas flow until the concentration indicated is about 20 % less than the indicated calibration concentration given in [9.7.3](#). (The analyser is in the NO mode.)

The indicated concentration (*c*) shall be recorded. The ozonator is kept deactivated throughout the process.

9.7.6 Activation of the ozonator

The ozonator is now activated to generate enough ozone to bring the NO concentration down to about 20 % (minimum 10 %) of the calibration concentration given in [9.7.3](#). The indicated concentration (*d*) shall be recorded. (The analyser is in the NO mode.)

9.7.7 NO_x mode

The NO analyser is then switched to the NO_x mode so that the gas mixture (consisting of NO, NO₂, O₂, and N₂) now passes through the converter. The indicated concentration (*a*) shall be recorded. (The analyser is in the NO_x mode.)

9.7.8 Deactivation of the ozonator

The ozonator is now deactivated. The mixture of gases described in [9.7.7](#) passes through the converter into the detector. The indicated concentration (*b*) shall be recorded. (The analyser is in the NO_x mode.)

9.7.9 NO mode

Switched to NO mode with the ozonator deactivated, the flow of oxygen or synthetic air is also shut off. The NO_x reading of the analyser shall not deviate by more than ±5 % from the value measured according to [9.7.3](#). (The analyser is in the NO mode.)

9.7.10 Test interval

The efficiency of the converter shall be tested prior to each calibration of the NO_x analyser.

9.7.11 Efficiency requirement

The efficiency of the converter shall not be less than 90 %, but a higher efficiency of 95 % is strongly recommended.

If, with the analyser in the most common range, the NO_x converter cannot give a reduction from 80 % to 20 % according to [9.7.3](#), then the highest range which will give the reduction shall be used.

9.8 Adjustment of the FID

9.8.1 Optimization of the detector response

The FID shall be adjusted as specified by the instrument manufacturer. A propane-in-air span gas shall be used to optimize the response on the most common operating range.

With the fuel and air flow rates set at the manufacturer's recommendations, a 350 ppmC \pm 75 ppmC span gas shall be introduced to the analyser. The response at a given fuel flow shall be determined from the difference between the span gas response and the zero gas response. The fuel flow shall be incrementally adjusted above and below the manufacturer's specification. The span and zero response at these fuel flows shall be recorded. The difference between the span and zero response shall be plotted and the fuel flow adjusted to the rich side of the curve. This is the initial flow rate setting which may need further optimization depending on the results of the hydrocarbon response factors and the oxygen interference check according to [9.8.2](#) and [9.8.3](#).

If the oxygen interference or the hydrocarbon response factors do not meet the specifications in [9.8.2](#) and [9.8.3](#), the air flow shall be incrementally adjusted above and below the manufacturer's specifications, for each flow.

The optimization may be conducted using the procedures outlined in SAE Technical Paper 770141[8].

9.8.2 Hydrocarbon response factors

The analyser shall be calibrated using propane in air and purified synthetic air, according to [9.5](#).

Response factors shall be determined when introducing an analyser into service and after major service intervals. The response factor, r_h , for a particular hydrocarbon species is the ratio of the FID C1 reading to the gas concentration in the cylinder expressed by ppmC1.

The concentration of the test gas shall be at a level to give a response of approximately 80 % of full scale. The concentration shall be known to an accuracy of ± 2 % in reference to a gravimetric standard expressed in volume. In addition, the gas cylinder shall be preconditioned for 24 h at a temperature of 298 K \pm 5 K (25 °C \pm 5 °C).

The test gases to be used and the recommended relative response factor ranges are as follows:

- methane and purified synthetic air: $1,00 \leq r_h \leq 1,15$.
- propylene and purified synthetic air: $0,90 \leq r_h \leq 1,1$.
- toluene and purified synthetic air: $0,90 \leq r_h \leq 1,1$.

These values are relative to an r_h of 1 for propane and purified synthetic air.

9.8.3 Oxygen interference check

The oxygen interference check shall be determined when introducing an analyser into service and after major service intervals.

A range shall be chosen where the oxygen interference check gases will fall in the upper 50 %. The test shall be conducted with the oven temperature set as required. The oxygen interference gases are specified in [9.2.5](#).

- a) The analyser shall be zeroed.
- b) The analyser shall be spanned with the 0 % oxygen blend for gasoline-fuelled engines. Diesel engine instruments shall be spanned with the 21 % oxygen blend.
- c) The zero response shall be rechecked. If it has changed by more than 0,5 % of full scale, steps a) and b) shall be repeated.

- d) The 5 % and 10 % oxygen interference check gases shall be introduced.
- e) The zero response shall be rechecked. If it has changed by more than ± 1 % of full scale, the test shall be repeated.
- f) The oxygen interference ($\%O_{2int}$) shall be calculated for each mixture in step d) as follows:

$$\%O_{2int} = \frac{(B - \text{analyser response})}{B} \times 100 \quad (14)$$

where

$$\text{analyser response is } \frac{A}{\%FS \text{ at } A} \times \%FS \text{ at } B$$

where

A is the hydrocarbon concentration in parts per million C (microlitres per litre) of the span gas used in step b);

B is the hydrocarbon concentration (ppmC) of the oxygen interference check gases used in step d).

$$(\text{ppmC}) = \frac{A}{D} \quad (15)$$

where

D is the percentage of full scale analyser response due to *A*.

- g) The % of oxygen interference ($\%O_{2int}$) shall be less than $\pm 3,0$ % for all required oxygen interference check gases prior to testing.
- h) If the oxygen interference is greater than $\pm 3,0$ %, the air flow above and below the manufacturer's specifications shall be incrementally adjusted, repeating [9.8.1](#) for each flow.
- i) If the oxygen interference is greater than $\pm 3,0$ % after adjusting the air flow, the fuel flow, and thereafter, the sample flow shall be varied, repeating [9.8.1](#) for each new setting.
- j) If the oxygen interference is still greater than $\pm 3,0$ %, the analyser, FID fuel, or burner air shall be repaired or replaced prior to testing. Steps a) to i) shall then be repeated with the repaired or replaced equipment or gases.

9.8.4 Efficiency of the Non-Methane Cutter (NMC)

9.8.4.1 General

The NMC is used for the removal of the non-methane hydrocarbons from the sample gas by oxidizing all hydrocarbons except methane. Ideally, the conversion for methane is 0 %, and for the other hydrocarbons represented by ethane is 100 %. For the accurate measurement of NMHC, the two efficiencies shall be determined and used for the calculation of the NMHC emission mass flow rate (see [15.4](#)).

9.8.4.2 Methane efficiency

Methane calibration gas shall be flowed through the FID with and without bypassing the NMC and the two concentrations recorded. The efficiency shall be determined as follows:

$$E_M = 1 - \frac{c_{wCutter}}{c_{w/oCutter}} \quad (16)$$

where

$c_{wCutter}$ is the HC concentration with CH₄ flowing through the NMC;

$c_{w/oCutter}$ is the HC concentration with CH₄ bypassing the NMC.

9.8.4.3 Ethane efficiency

Ethane calibration gas shall be flowed through the FID with and without bypassing the NMC and the two concentrations recorded. The efficiency shall be determined as follows:

$$E_E = 1 - \frac{c_{wCutter}}{c_{w/oCutter}} \quad (17)$$

where

$c_{wCutter}$ is the HC concentration with C₂H₆ flowing through the NMC;

$c_{w/oCutter}$ is the HC concentration with C₂H₆ bypassing the NMC.

9.8.5 Methanol response factor

When the FID analyser is to be used for the analysis of hydrocarbons containing methanol, the methanol response factor, r_m , of the analyser shall be established.

A known volume of methanol (a , in millilitres) is injected, using a microlitre syringe, into the heated mixing zone [395 K (122 °C)] of a septum injector, vaporized and swept into a tedlar bag with a known volume of zero-grade air (b , in cubic metres). The air volume(s) shall be such that the methanol concentration in the bag is representative of the range of concentrations found in the exhaust sample.

The bag sample is analysed using the FID, and the response factor is calculated as follows:

$$r_m = \frac{x_{FID}}{c_{SAM}} \quad (18)$$

where

x_{FID} is the FID reading, in parts per million (microlitres per litre) of C;

c_{SAM} is the methanol concentration in the sample bag in ppmC, as calculated from a and b :
 $c_{SAM} = 594 \times a/b$.

9.9 Interference effects with CO, CO₂, NO_x, O₂, NH₃, and N₂O analysers

9.9.1 General

Gases other than the one being analysed can interfere with the reading in several ways. Positive interference occurs in NDIR and PMD instruments where the interfering gas gives the same effect as the gas being measured, but to a lesser degree. Negative interference occurs in NDIR instruments by the interfering gas broadening the absorption band of the measured gas and in CLD instruments by the

interfering gas quenching the radiation. The interference checks in 9.9.2 and 9.9.3 shall be performed prior to an analyser's initial use and after major service intervals, but at least once per year.

9.9.2 CO analyser interference check

Water and CO₂ can interfere with the CO analyser performance. Therefore, a CO₂ span gas having a concentration of 80 % to 100 % of full scale of the maximum operating range used during testing shall be bubbled through water at room temperature and the analyser response recorded. The analyser response shall not be more than 1 % of full scale for ranges equal to or above 300 ppm or more than 3 ppm for ranges below 300 ppm.

9.9.3 NO_x analyser quench checks

The two gases of concern for CLD (and HCLD) analysers are CO₂ and water vapour. Quench responses to these gases are proportional to their concentrations, and therefore require test techniques to determine the quench at the highest expected concentrations experienced during testing.

9.9.3.1 CO₂ quench check

A CO₂ span gas having a concentration of 80 % to 100 % of full scale of the maximum operating range shall be passed through the NDIR analyser and the CO₂ value recorded as *A*. It shall then be diluted approximately 50 % with NO span gas and passed through the NDIR and (H)CLD, with the CO₂ and NO values recorded as *B* and *C*, respectively. The CO₂ shall then be shut off and only the NO span gas be passed through the (H)CLD and the NO value recorded as *D*.

The quench shall be calculated as follows:

$$E_{\text{CO}_2} = \left[1 - \left(\frac{C \times A}{(D \times A) - (D \times B)} \right) \right] \times 100 \quad (19)$$

where

- A* is the undiluted CO₂ concentration measured with NDIR [%];
- B* is the diluted CO₂ concentration measured with NDIR [%];
- C* is the diluted NO concentration measured with (H)CLD [ppm];
- D* is the undiluted NO concentration measured with (H)CLD [ppm].

Alternative methods of diluting and quantifying CO₂ and NO span gas values, such as dynamic mixing/blending, can be used.

9.9.3.2 Water quench check

This check applies to wet gas concentration measurements only. Calculation of water quench shall consider dilution of the NO span gas with water vapour and scaling of water vapour concentration of the mixture to that expected during testing.

A NO span gas having a concentration of 80 % to 100 % of full scale of the normal operating range shall be passed through the (H)CLD and the NO value recorded as *D*. The NO span gas shall then be bubbled through water at a temperature of 298 K + 5 K (25 °C ± 5 °C), passed through the (H)CLD and the NO value recorded as *C*. The water temperature shall be determined and recorded. The mixture's saturation

vapour pressure that corresponds to the bubbler water temperature (F) shall be determined and recorded as G . The water vapour concentration (H , in %) of the mixture shall be calculated as follows:

$$H = 100 \times \left(\frac{G}{p_b} \right) \quad (20)$$

The expected diluted NO span gas (in water vapour) concentration (D_e) shall be calculated as follows:

$$D_e = D \times \left(1 - \frac{H}{100} \right) \quad (21)$$

For diesel exhaust, the maximum exhaust water concentration (in %) expected during testing shall be estimated, under the assumption of a fuel atom H:C ratio of 1,8:1, from the maximum CO₂ concentration A in the exhaust gas as follows:

$$H_m = 0,9 \times A \quad (22)$$

Record H , D_e , and H_m .

The water quench shall be calculated as follows:

$$E_{H_2O} = 100 \times \left(\frac{D_e - C}{D_e} \right) \times \left(\frac{H_m}{H} \right) \quad (23)$$

where

D_e is the expected diluted NO concentration [ppm];

C is the diluted NO concentration [ppm];

H_m is the maximum water vapour concentration [%];

H is the actual water vapour concentration [%].

NOTE It is important that the NO span gas contains minimal NO₂ concentration for this check, since absorption of NO₂ in water has not been accounted for in the quench calculations.

9.9.3.3 Maximum allowable quench

The maximum allowable quench shall be as follows.

- For all dry CLD analysers, it shall be demonstrated that for the highest expected water vapour concentration (i.e. “%H₂O_{exp}” as calculated later in this section), the water removal technique maintains CLD humidity at less than or equal to 5 g water per kg dry air (or about 0,008 % H₂O), which is 100 % RH at 3,9 °C and 101,3 kPa. This humidity specification is also equivalent to about 25 % RH at 25 °C and 101,3 kPa. This can be demonstrated by measuring the temperature at the outlet of a thermal dehumidifier or by measuring humidity at a point just upstream of the CLD. Humidity of the CLD exhaust might also be measured as long as the only flow into the CLD is the flow of the dehumidifier.
- For raw measurement CO₂-quench according to [9.9.3.1](#): 2 % of full scale.
- Water quench according to [9.9.3.2](#): 3 % of full scale.
- For dilute measurement: 2 % combined CO₂ and water quench.

9.9.4 O₂ analyser interference

Instrument response of a PMD analyser caused by gases other than oxygen is comparatively slight. The oxygen equivalents of the common exhaust gas constituents are shown in [Table 5](#).

Table 5 — Oxygen equivalents

Gas	O ₂ equivalent %
Carbon dioxide (CO ₂)	-0,623
Carbon monoxide (CO)	-0,354
Nitric oxide (NO)	+44,4
Nitrogen dioxide (NO ₂)	+28,7
Water (H ₂ O)	-0,381

The observed oxygen concentration shall be corrected by the following formula if high-precision measurements are to be done:

$$\text{Interference} = \frac{(\text{Equivalent \% O}_2 \times \text{Observed concentration})}{100} \quad (24)$$

For ZRDO and ECS analysers, instrument interference caused by gases other than oxygen shall be compensated for, in accordance with the instrument supplier's instruction and with good engineering practice.

9.9.5 Cross-interference check compensation for NH₃ and N₂O measurement channels using IR and UV measurement techniques

9.9.5.1 Procedure for establishing the cross-interference correction for NH₃ analysers (NDUVR method)

There are cross-interferences to nitrogen oxide (NO) and nitrogen dioxide (NO₂). Both components shall be measured with the measurement equipment and a compensation shall be applied to the analyser readings, if the cross-interference exceeds 2 % full scale.

9.9.5.2 Check of the cross-interferences

NO and NO₂ calibration gases are fed into the analyser with cross-interference compensation activated. For each component, at least five different calibration gas concentrations with equidistant spacing between zero and the maximum expected interference gas concentration shall be used for the compensation check. The maximum deviation of the NH₃ reading from the zero reading shall be less than ±2 % full scale of the commonly used range. If the deviation is higher, a new correction curve for the corresponding interference component shall be established and applied to the analyser readings. The use of single blend gases is possible, as well as the use of gas mixtures containing two or more interference gases.

9.9.5.3 Procedure for the generation of cross-interference correction curves

9.9.5.3.1 NO cross-interference

Calibration gases with at least five different NO concentrations that have to be spread equidistantly over the used NO analyser range during measurement shall be fed into the NH₃ analyser.

The nominal NO values and the measured NH₃ concentrations have to be recorded. Using a least-squares fit for a suitable fit function (e.g. polynomial fit function) $f(\text{NO})$, a correction curve to compensate for the NO cross-interference is calculated. The number of fit points has to be higher by at least two than the number of fit parameters (e.g. polynomial of fourth order needs at least seven fit points). The correction values $\text{NH}_3_{\text{compensated}} = \text{NH}_3_{\text{not compensated}} - f(\text{NO})$ shall be within ±1 % full scale of the analyser zero reading.

9.9.5.3.2 NO₂ cross-interference

The procedure for NO₂ is equivalent to that for NO₂ span gases. The result is the correction curve $f(\text{NO}_2)$.

The calibration gases used for establishing the cross-interference compensation curves shall be single-blend mixtures. The use of gas mixtures with two or more interference gases is not allowed for generating the cross-interference compensation curves.

The following compensation calculation shall be done by the measurement system:

$$\text{NH}_3_{\text{compensated}} = \text{NH}_3_{\text{not compensated}} - f(\text{NO}) - f(\text{NO}_2)$$

After the compensation curves have been established, the cross-interference compensation shall be checked by the procedures given in [9.9.5.2](#).

9.9.5.4 Procedure for establishing the cross-interference correction for N₂O analysers (NDIR method)

There are cross-interferences for CO₂, CO, and NO and small interferences for some hydrocarbons.

9.9.5.5 Check the cross-interferences

Calibration gases for CO, CO₂, NO, and C₃H₈ are fed into the analyser with cross-interference compensation activated. For each component, at least five different calibration gas concentrations with equidistant spacing between zero and the maximum expected interference gas concentration shall be used for the compensation check. The maximum deviation of the NO₂ reading from the zero reading shall be less than ±2 % full scale of the commonly used range. If the deviation is higher, a new correction curve for the corresponding interference component shall be established and applied to the analyser readings. The use of single-blend gases is allowed, as well as the use of gas mixtures containing two or more interference gases.

9.9.5.6 Procedure for the generation of cross-interference correction curves

9.9.5.6.1 CO cross-interference

Calibration gases with at least five different CO concentrations that have to be spread equidistantly over the used CO analyser range during measurement shall be fed into the N₂O analyser.

The nominal CO values and the measured N₂O concentrations shall be recorded. Using a least-squares fit for a suitable fit function (e.g. polynomial fit function) $f(\text{CO})$, a correction curve to compensate for the CO cross-interference is calculated. The number of fit points shall be higher by at least two than the number of fit parameters (e.g. polynomial of fourth order needs at least seven fit points).

The corrected values $\text{N}_2\text{O}_{\text{compensated}} = \text{N}_2\text{O}_{\text{not compensated}} - f(\text{CO})$ shall be within ±1 % full scale of the analyser zero reading.

9.9.5.6.2 CO₂, NO, and C₃H₈ cross-interference

The same procedure is done for CO₂, NO, and C₃H₈. The result is the correction functions $f(\text{CO}_2)$, $f(\text{NO})$, and $f(\text{C}_3\text{H}_8)$, respectively.

The calibration gases used for establishing the cross-interference compensation curves shall be single-blend mixtures. The use of gas mixtures with two or more interference gases is not allowed for generating the cross-interference compensation curves.

The following compensation calculation shall be done by the measurement system:

$$\text{N}_2\text{O}_{\text{compensated}} = \text{N}_2\text{O}_{\text{not compensated}} - f(\text{CO}_2) - f(\text{CO}) - f(\text{NO}) - f(\text{C}_3\text{H}_8)$$

After the compensation curves have been established, the cross-interference compensation shall be checked by the procedure given in [9.9.5.5](#).

9.10 Calibration intervals

The analysers shall be calibrated according to [9.5](#) at least every three months or whenever a system repair or change is made that could influence calibration.

10 Calibration of the particulate measuring system

10.1 General

Each component shall be calibrated as often as necessary to fulfil the accuracy requirements of this International Standard. The calibration method to be used is described in this clause for the components indicated in [8.6](#) and [Clause 18](#).

10.2 Calibration procedure

10.2.1 Flow measurement

The calibration of gas flow meters or flow measurement instrumentation shall be traceable to international and/or national standards.

If the gas flow is determined by differential flow measurement, the maximum error of the difference shall be such that the accuracy of q_{medf} is within $\pm 4\%$ (see also [18.2.2](#), exhaust gas analyser explanations given in [Figures 10](#) to [18](#)). It can be calculated by taking the root-mean-square of the errors of each instrument.

10.2.2 Exhaust gas analysers

If CO₂ or NO_x concentration measurement is used for the determination of the dilution ratio, the exhaust gas analysers shall be calibrated in accordance with [9.5.5](#).

10.2.3 Carbon flow check

A carbon flow check using actual exhaust is strongly recommended for detecting measurement and control problems and verifying the proper operation of the partial-flow dilution system. The carbon flow check shall be run at least each time a new engine is installed, or something significant is changed in the test cell configuration.

The engine shall be operated at peak torque load and speed or any other steady-state mode that produces 5 % or more of CO₂. The partial-flow sampling system shall be operated with a dilution factor of about 15 to 1.

If a carbon flow check is conducted, the procedure given in ISO 8178-1:2006, Annex F, shall be applied. The carbon flow rates shall be calculated according to ISO 8178-1:2006, Formulae F.1, F.2, and F.3. All carbon flow rates shall agree to within 6 %.

10.3 Checking the partial-flow conditions

The range of the exhaust gas velocity and the pressure oscillations shall be checked and adjusted according to the requirements of [18.2.1](#), exhaust pipe explanations given in [Figures 10](#) to [18](#), if applicable.

10.4 Calibration intervals

The flow measurement instrumentation shall be calibrated at least once per year or whenever a system repair or change is made that could influence calibration.

11 Calibration of the CVS full-flow dilution system

11.1 General

The CVS system shall be calibrated by using an accurate flowmeter and a restricting device. The flow through the system shall be measured at different restriction settings, and the control parameters of the system shall be measured and related to the flow.

Various type of flowmeter may be used, e.g. calibrated venturi, calibrated laminar flowmeter, calibrated turbinometer.

11.2 Calibration of the Positive Displacement Pump (PDP)

11.2.1 General

All the parameters related to the pump shall be simultaneously measured, along with the parameters related to a calibration venturi which is connected in series with the pump. The calculated flow rate (in m³/min at pump inlet, absolute pressure, and temperature) shall be plotted against a correlation function which is the value of a specific combination of pump parameters. The linear equation which relates the pump flow and the correlation function shall be determined. If a CVS has a multiple-speed drive, the calibration shall be performed for each range used.

Temperature stability shall be maintained during calibration.

Leaks in all the connections and ducting between the calibration venturi and the CVS pump shall be maintained lower than 0,3 % of the lowest flow point (highest restriction and lowest PDP speed point).

11.2.2 Data analysis

The air flow rate, Q_s , at each restriction setting (minimum six settings) shall be calculated in m³/s from the flowmeter data using the manufacturer's prescribed method. The air flow rate shall then be converted to pump flow, V_0 , in m³/rev at absolute pump inlet temperature and pressure as follows:

$$V_0 = \frac{Q_s}{n} \times \frac{T}{273} \times \frac{101,3}{p_A} \quad (25)$$

where

Q_s is the air flow rate at standard conditions (101,3 kPa, 273 K) [m³/s];

T is the temperature at pump inlet [K];

p_A is the absolute pressure at pump inlet [kPa];

n is the pump speed [rev/s].

To account for the interaction of pressure variations at the pump and the pump slip rate, the correlation function, X_0 , between pump speed, pressure differential from pump inlet to pump outlet, and absolute pump outlet pressure shall be calculated as follows:

$$X_0 = \frac{1}{n} \times \sqrt{\frac{\Delta p_p}{p_A}} \quad (26)$$

where

Δp_p is the pressure differential from pump inlet to pump outlet [kPa];

p_A is the absolute outlet pressure at pump outlet [kPa].

A linear least-squares fit shall be performed to generate the calibration formula as follows:

$$V_0 = D_0 - m \times (X_0) \quad (27)$$

D_0 and m are the intercept and slope constants, respectively, describing the regression lines.

For a CVS system with multiple speeds, the calibration curves generated for the different pump flow ranges shall be approximately parallel, and the intercept values (D_0) shall increase as the pump flow range decreases.

The calculated values from the equation shall be within $\pm 0,5$ % of the measured value of V_0 . Values of m will vary from one pump to another. Particulate influx over time will cause the pump slip to decrease, as reflected by lower values for m . Therefore, calibration shall be performed at pump start-up, after major maintenance, and if the total system verification indicates a change of the slip rate.

11.3 Calibration of the Critical-Flow Venturi (CFV)

11.3.1 General

Calibration of the CFV is based upon the flow equation for a critical-flow venturi. Gas flow, Q_s , is a function of inlet pressure and temperature:

$$Q_s = \frac{k_v \times p_A}{\sqrt{T}} \quad (28)$$

where

k_v is the calibration coefficient;

p_A is the absolute pressure at the venturi inlet [kPa];

T is the temperature at the venturi inlet [K].

11.3.2 Data analysis

The air flow rate, Q_s , at each restriction setting (minimum eight settings) shall be calculated in m^3/s from the flow meter data using the manufacturer's prescribed method. The calibration coefficient shall be calculated from the calibration data for each setting as follows:

$$k_v = \frac{Q_s \times \sqrt{T}}{p_A} \quad (29)$$

where

Q_s is the air flow rate at standard conditions (101,3 kPa, 273 K) [m^3/s];

T is the temperature at the venturi inlet [K];

p_A is the absolute pressure at the venturi inlet [kPa].

To determine the range of critical flow, k_v shall be plotted as a function of venturi inlet pressure. For critical (choked) flow, k_v will have a relatively constant value. As pressure decreases (vacuum increases), the venturi becomes unchoked and k_v decreases, which indicates that the CFV is operating outside the permissible range.

For a minimum of eight points in the region of critical flow, the average k_v and the standard deviation shall be calculated. The standard deviation shall not exceed $\pm 0,3$ % of the average k_v .

11.4 Calibration of the Subsonic Venturi (SSV)

11.4.1 General

Calibration of the SSV is based upon the flow equation for a subsonic venturi. Gas flow, Q_{SSV} , is a function of inlet pressure and temperature, pressure drop between the SSV inlet and throat, as shown below:

$$Q_{SSV} = A_0 d^2 C_d p_A \sqrt{\left[\frac{1}{T} (r_x^{1,4286} - r_x^{1,7143}) \left(\frac{1}{1 - r_y^4 r_x^{1,4286}} \right) \right]} \quad (30)$$

where

A_0 is a collection of constants and unit conversions = 0,006 111 in SI units of

$$\left[\frac{\text{m}^3}{\text{min}} \right] \left[\frac{\text{K}^{\frac{1}{2}}}{\text{kPa}} \right] \left[\frac{1}{\text{mm}^2} \right];$$

d is the diameter of the SSV throat [m];

C_d is the discharge coefficient of the SSV;

p_A is the absolute pressure at venturi inlet [kPa];

T is the temperature at the venturi inlet [K].

r_x is the ratio of the SSV throat to inlet absolute, static pressure, $r_x = 1 - (\Delta p/p_A)$;

r_y is the ratio of d to the inlet pipe inner diameter (D), $r_y = d/D$.

11.4.2 Data analysis

The air flow rate, q_{vSSV} , at each restriction setting (minimum 16 settings) shall be calculated in m^3/min from the flowmeter data using the manufacturer's prescribed method. The discharge coefficient, C_d , shall be calculated from the calibration data for each setting as follows:

$$C_d = \frac{q_{vSSV}}{d^2 p_A \sqrt{\left[\frac{1}{T} (r_x^{1,4286} - r_x^{1,7143}) \left(\frac{1}{1 - r_y^4 r_x^{1,4286}} \right) \right]}} \quad (31)$$

where

q_{vSSV} is the air flow rate at standard conditions (101,3 kPa, 273 K) [m^3/min];

T is the temperature at the venturi inlet [K];

d is the diameter of the SSV throat [m];

r_x is the ratio of the SSV throat to inlet absolute, static pressure, $r_x = 1 - (\Delta p/p_A)$;

r_y is the ratio of d to the inlet pipe inner diameter (D), $r_y = d/D$.

To determine the range of subsonic flow, C_d shall be plotted as a function of Reynolds number, Re , at the SSV throat. The Re at the SSV throat is calculated with the following formula:

$$Re = A_1 \frac{q_{vSSV}}{d \mu} \quad (32)$$

where

A_1 is a collection of constants and units conversions = $25,551\ 52 \left(\frac{1}{\text{m}^3} \right) \left(\frac{\text{min}}{\text{s}} \right) \left(\frac{\text{mm}}{\text{m}} \right)$;

q_{vSSV} is the air flow rate at standard conditions (101,3 kPa, 273 K) [m^3/s];

d is the diameter of the SSV throat, [m];

μ is the absolute or dynamic viscosity of the gas, calculated with the following formula:

$$\mu = \frac{bT^{3/2}}{S+T} = \frac{bT^{1/2}}{1+\frac{S}{T}} \text{ kg/ms} \quad (33)$$

where

b is an empirical constant = $1,458 \times 10^6 \frac{\text{kg}}{\text{msK}^{1/2}}$;

S is an empirical constant = 110,4 K.

Because Q_{SSV} is an input to the Re formula, the calculations shall be started with an initial guess for Q_{SSV} or C_d of the calibration venturi and repeated until Q_{SSV} converges. The convergence method shall be accurate to 0,1 % of a point or better.

For a minimum of 16 points in the region of subsonic flow, the calculated values of C_d from the resulting calibration curve fit equation shall be within ± 0.5 % of the measured C_d for each calibration point.

11.5 Total system verification

11.5.1 General

The total accuracy of the CVS sampling system and analytical system shall be determined by introducing a known mass of a pollutant gas into the system while it is being operated in the normal manner. The pollutant is analysed and the mass calculated according to 15.5, except in the case of propane where a factor of 0,000 472 is used in place of 0,000 479 for HC. Either of the following two techniques shall be used.

11.5.2 Metering with a critical flow orifice

A known quantity of pure gas (carbon monoxide or propane) shall be fed into the CVS system through a calibrated critical orifice. If the inlet pressure is high enough, the flow rate, which is adjusted by means of the critical flow orifice, is independent of the orifice outlet pressure (critical flow). The CVS system shall be operated as in a normal exhaust emission test for about 5 min to 10 min. A gas sample shall be analysed with the usual equipment (sampling bag or integrating method), and the mass of the gas calculated. The mass so determined shall be within ± 3 % of the known mass of the gas injected.

11.5.3 Metering by means of a gravimetric technique

The mass of a small cylinder filled with carbon monoxide or propane shall be determined with a precision of $\pm 0,01$ g. For about 5 min to 10 min, the CVS system shall be operated as in a normal exhaust emission test, while carbon monoxide or propane is injected into the system. The quantity of pure gas discharged shall be determined by means of differential weighing. A gas sample shall be analysed with the usual equipment (sampling bag or integrating method) and the mass of the gas calculated. The mass so determined shall be within ± 3 % of the known mass of the gas injected.

12 Test cycles (running conditions)

12.1 Requirements

Each test shall be performed in the given sequence of the test modes for a particular test cycle. The minimum default test mode length is 6 min. If necessary, the mode length may be extended, e.g. to collect sufficient particulate sample mass or to achieve stabilization with large engines.

The mode length shall be recorded and reported.

The gaseous exhaust emission concentration values shall be measured and recorded for at least 3 min anywhere in the mode if the engine is stabilized and meets the speed and torque requirements of the respective mode. Only the last 60 s of the measuring period shall be used for emission calculation in accordance with [Clause 14](#).

Particulate sampling shall not commence before engine stabilization, as defined by the manufacturer, has been achieved, and shall preferably be conducted at the same time as gaseous emissions are measured. For the single filter method, the completion of particulate sampling shall be within ± 5 s of the completion of the gaseous emission measurement.

For the multiple filter method only, particulate sampling and gaseous emissions measurement may be repeated during the mode until a valid sample is obtained as long as the speed and torque requirements are met.

Test modes may be repeated, as long as the engine is preconditioned by running the previous mode. In case of the first mode of any cycle, the engine shall be preconditioned according to [13.3](#). If a delay of more than 20 min, but less than 4 h, occurs between the end of one mode and the beginning of another mode, the engine shall be preconditioned by running the previous mode. If the delay exceeds 4 h, the engine shall be preconditioned according to [13.3](#).

If at any time during a test mode, the test equipment malfunctions or the engine speed and load do not meet the requirements of [13.7.1](#), the test mode is void and may be aborted. The test mode may be restarted by preconditioning with the previous mode.

NOTE Be aware of [13.7.2](#) when choosing where in the mode to take the measurements.

12.2 Test cycles

12.2.1 Applications

Five test cycles are described:

- cycle E1: diesel engines for craft less than 24 m in length;
- cycle E3: heavy-duty marine engines (propeller law);
- cycle E4: spark ignition engines for craft less than 24 m in length;
- cycle E5: diesel engines for craft less than 24 m in length (propeller law).
- cycle D2: generating sets with intermittent load as base for diesel electric propulsion

For diesel engines, test cycle E1 or E5 can be applied depending on which cycle is closer to the actual operation. The E3 cycle may also be used.

For constant-speed marine engines, cycle D2 applies. For controllable pitch propeller sets, cycle D2, E3, or E5 may be used depending on which cycle is closer to the actual operation; usually the operation is closer to constant-speed operation (cycle D2).

For spark ignition engines test, cycle E4 applies.

12.2.2 Test modes and weighting factors

See Tables 6 and 7.

Table 6 — Cycles type E test modes and weighting factors

Mode number (cycle E1)	1	2					3	4			5
Speed^a	Rated speed					Intermediate speed					Low-idle speed
Torque^a, %	100	75					75	50			0
Weighting factor	0,08	0,11					0,19	0,32			0,3
Mode number (cycle E3)	1					2		3		4	
Speed^a, %	100					91		80		63	
Power, %	100					75		50		25	
Weighting factor	0,2					0,5		0,15		0,15	
Mode number^{b,c} (cycle E4)	1					2		3		4	
Speed^a, %	100					80		60		40	
Torque^a, %	100					71,6		46,5		25,3	
Weighting factor	0,06					0,14		0,15		0,25	
Mode number (cycle E5)	1					2		3		4	
Speed^a, %	100					91		80		63	
Power, %	100					75		50		25	
Weighting factor	0,08					0,13		0,17		0,32	
<p>^a See ISO 8178-1:2006, 12.5 and ISO 8178-4:2007, 3.5, 3.6, Clauses 5 and 6.</p> <p>^b At request of the manufacturer, CO emission at mode 1 may be omitted.</p> <p>^c Modification of a test mode is allowed for engines not able to operate at that mode, subject to satisfactory documentation being provided by the engine manufacturer.</p>											

Table 7 — Cycle type D2 test modes and weighting factors

Mode number (cycle D2)	1	2	3	4	5
Speed ^a	Rated speed				
Torque ^a , %	100	75	50	25	10
Weighting factor	0,05	0,25	0,3	0,3	0,1

^a See ISO 8178-1:2006, 12.5 and ISO 8178-4:2007, 3.5, 3.6, Clauses 5 and 6.

12.2.3 Performing the test

The test cycles E1, E3, E4, E5, or D2 shall be performed in ascending order of the mode number of the cycle in question.

The provisions of [12.1](#) shall be taken into account.

13 Test run

13.1 Preparation of the sampling filters

At least 1 h before the test, each filter (pair) shall be placed in a closed, but unsealed Petri dish and placed in a weighing chamber for stabilization. At the end of the stabilization period, each filter (pair) shall be weighed and the tare shall be recorded. The (pair of) filter(s) shall then be stored in a closed Petri dish or filter holder until needed for testing. If the (pair of) filter(s) is not used within 8 h of its removal from the weighing chamber, it must be reweighed before use.

13.2 Installation of the measuring equipment

The instrumentation and sample probes shall be installed as required. When using a full-flow dilution system for exhaust gas dilution, the tailpipe shall be connected to the system.

13.3 Starting the dilution system and the engine

The dilution system and the engine shall be started and warmed up until all temperatures and pressures have stabilized at full load and rated speed. Stabilization criteria are given in ISO 15550:2002, 6.2.4.3.2.

13.4 Adjustment of the dilution ratio

The particulate sampling system shall be started and run on bypass for the single-filter method (optional for the multiple-filter method). The particulate background level of the dilution air may be determined by passing dilution air through the particulate filters. If filtered dilution air is used, one measurement may be done at any time prior to, during, or after the test. If the dilution air is not filtered, measurements at a minimum of three points (at the beginning, at the end, and a point near the middle of the cycle) are required and the values averaged.

The dilution air shall be set to obtain a maximum filter face temperature of 325 K (52 °C) at each mode. The total dilution ratio shall not be less than 4.

For CO₂ or NO_x concentration controlled systems, the CO₂ or NO_x content of the dilution air must be measured at the beginning and at the end of each test. The pre- and post-test background CO₂ or NO_x concentration measurements of the dilution air shall be within 100 ppm or 5 ppm of each other, respectively.

When using a dilute exhaust gas analysis system, the relevant background concentrations of the gaseous components shall be determined by sampling dilution air into a sampling bag over the complete

test sequence. Continuous (non-bag) background concentration may be taken at a minimum of three points of the cycle (the beginning, the end, and at a point near the middle) and then the average value determined. At the request of the engine manufacturer, background measurements may be omitted.

13.5 Determination of test points

The settings of inlet restriction and exhaust back pressure shall be adjusted to the manufacturer's upper limits, in accordance with [5.4.1](#) and [5.4.2](#).

The maximum torque values at the specified test speeds shall be determined by experimentation in order to calculate the torque values for the specified test modes. For engines which are not designed to operate over a speed range on a full-load torque curve, the maximum torque at the test speeds shall be declared by the manufacturer.

The engine setting for each test mode shall be calculated using the following formula:

$$S = \left((P_m + P_{aux}) \times \frac{M}{100} \right) - P_{aux} \quad (34)$$

where

S is the dynamometer setting [kW];

P_m is the maximum observed or declared power at the test speed under the test conditions (specified by the manufacturer) [kW];

P_{aux} is the declared total power absorbed by any auxiliaries fitted for the test and not required by ISO 14396 [kW];

M is the torque specified for the test mode [%].

13.6 Checking of the analysers

The emission analysers shall be set at zero and spanned.

13.7 Test cycles

The test cycles are defined in [Clause 12](#). This takes into account the variations in engine size and application.

13.7.1 Test sequence

The engine shall be operated in each mode in the appropriate test cycle of [Clause 12](#). The tolerances indicated below apply during the emission data acquisition, particulate, and bag sampling period only.

- a) For engines tested with the dynamometer speed control test configuration

During each mode of the test cycle after the initial transition period, the specified speed shall be held within ± 1 % of the rated speed or ± 3 rev·min⁻¹, whichever is greater, except for low idle which shall be within the tolerances declared by the manufacturer. The specified torque shall be held so that the average over the period during which the measurements are being taken is within ± 2 % of the maximum torque at the test speed.

- b) For engines tested with the dynamometer load control test configuration

During each mode of the test cycle after the initial transition period, the specified speed shall be within ± 2 % of the rated speed or ± 3 rev·min⁻¹, whichever is greater, but shall in any case be held within ± 5 %, except for low idle which shall be within the tolerances declared by the manufacturer.

During modes of the test cycle where the prescribed torque is 50 % or greater of the maximum torque at the test speed, the specified average torque over the data acquisition period shall be held

within $\pm 5\%$ of the prescribed torque. During modes where the prescribed torque is less than 50 % of the maximum torque at the test speed, the specified average torque over the data acquisition period shall be held within $\pm 10\%$ of the prescribed torque or $\pm 0,27\text{ N}\cdot\text{m}$, whichever is greater.

13.7.2 Analyser response

The output of the analysers shall be recorded on a strip chart recorder or measured with an equivalent data acquisition system with the exhaust gas flowing through the analysers at least during the last 3 min of each mode. If bag sampling is applied for the diluted CO and CO₂ measurement (see 8.5.4), a sample shall be bagged during the last 3 min of each mode and the bag sample analysed and recorded.

13.7.3 Particulate sampling

Particulate sampling can be done either with the single-filter method or with the multiple-filter method (see 8.6).

Since the results of the methods may differ slightly, the method used shall be declared with the results.

For the single-filter method, the modal weighting factors specified in the test cycle procedure shall be taken into account by taking a sample proportional to the exhaust mass flow for each mode of the cycle. This can be achieved by adjusting sample flow rate, sampling time and/or dilution ratio, accordingly, so that the criterion for the effective weighting factors in 16.6 is met.

Sampling shall be conducted as late as possible within each mode. The sampling time per mode shall be at least 20 s for the single-filter method and at least 60 s for the multiple-filter method. For additional information on test mode duration, see Clause 12. For systems without bypass capability, the sampling time per mode shall be at least 60 s for single- and multiple-filter methods.

13.7.4 Engine conditions

The engine speed and load, intake air temperature, fuel flow, and air or exhaust gas flow shall be measured at each mode once the engine has been stabilized.

If the measurement of exhaust gas flow or combustion air is not possible, they can be calculated using the carbon and oxygen balance method (see 8.3.6 and ISO 8178-1:2006, Annex A).

Any additional data required for calculation shall be recorded (see Clause 14).

13.8 Re-checking the analysers

After the emission test, a zero gas and the same span gas shall be used for re-checking. The test will be considered acceptable if the difference between the two measuring results is less than 2 %.

13.9 Test report

The test report shall contain the data given in ISO 8178-6.

14 Data evaluation for gaseous and particulate emissions

14.1 Gaseous emissions

For the evaluation of the gaseous emissions, the chart reading of at least the last 60 s of each mode shall be averaged, and the average concentrations of HC, CO, CO₂, NO_x, O₂, NMHC (NMC method), NH₃, and CH₃OH (FID method) during each mode shall be determined from the average chart readings and the corresponding calibration data. The average concentrations in the sampling bag, if used, shall be determined from the bag readings and the corresponding calibration data. A different type of recording can be used if it ensures an equivalent data acquisition.

The average background concentrations, if measured, shall be determined from the bag readings of the dilution air or from the averaged continuous (non-bag) background readings and the corresponding calibration data.

When using impinger or cartridge sampling methods for CH₃OH and HCHO, the concentrations and background concentrations, if used, shall be determined from the CH₃OH/HCHO quantity in the impingers or cartridges (see [Figures 7](#) and [8](#)) as determined by GC and HPLC analysis, and the total sample volumes through the impingers or cartridges.

14.2 Particulate emissions

For the evaluation of the particulates, the total sample masses (m_{sep}) through the filters shall be recorded for each mode.

The filters shall be returned to the weighing chamber and conditioned for at least 1 h, but not more than 80 h, and then weighed. The gross mass of the filters shall be recorded and the tare ([13.1](#)) subtracted.

If background correction is to be applied, the dilution air mass, m_{d} , through the filters and the particulate mass, $m_{\text{f,d}}$, shall be recorded. If more than one measurement was made, the quotient $m_{\text{f,d}}/m_{\text{d}}$ shall be calculated for each single measurement and the values averaged.

15 Calculation of the gaseous emissions

15.1 General

[Figure 2](#) gives some guidance on the different possibilities to calculate the exhaust emissions. Alternative calculations for gaseous emissions may be used if justified.

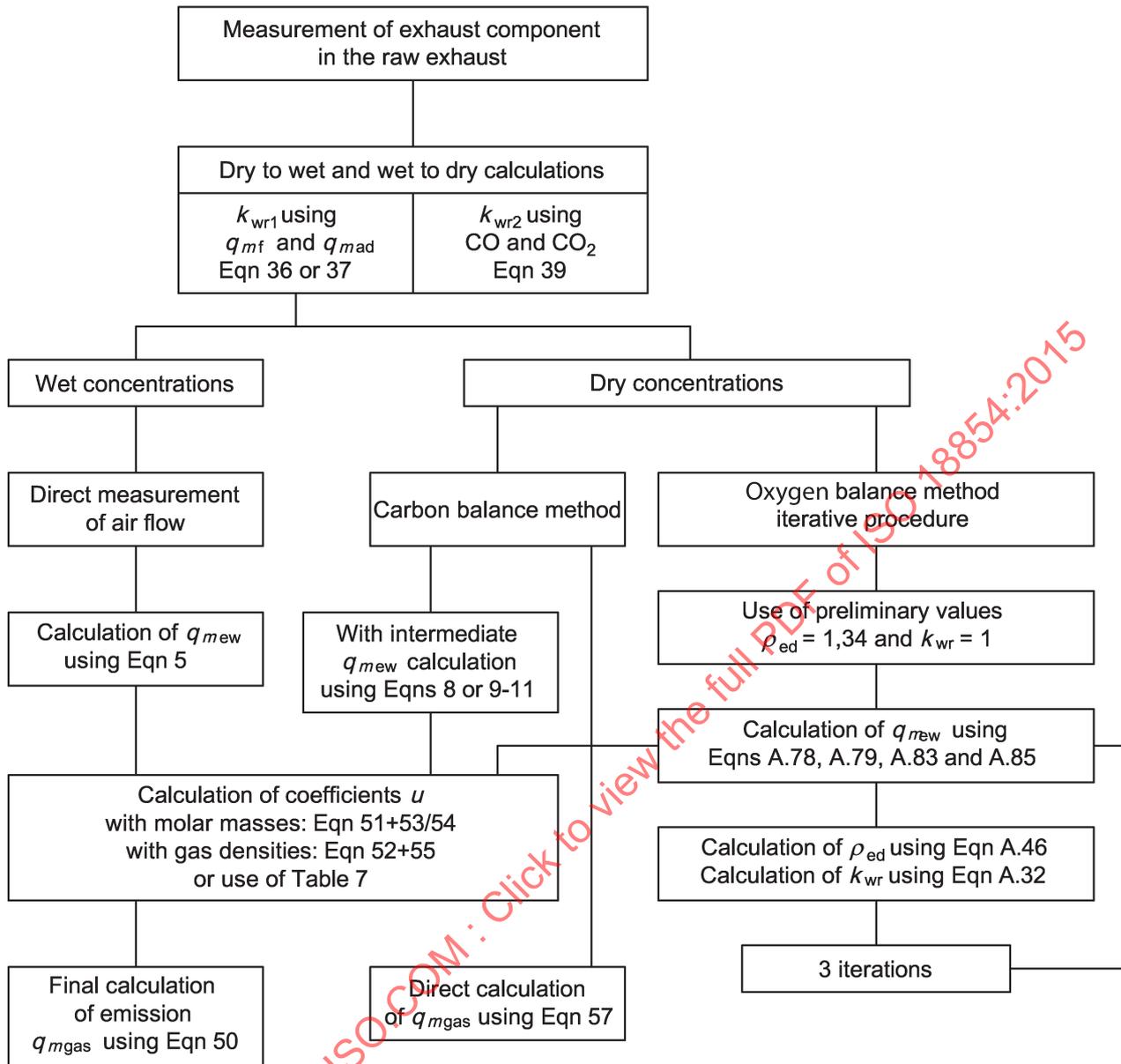


Figure 2 – Measurement of exhaust component in the raw exhaust

15.2 Determination of the exhaust gas flow

The exhaust gas flow rate, q_{mew} , shall be determined for each mode according to 8.3.2 to 8.3.7.

When using a full-flow dilution system, the total dilute exhaust gas flow rate, q_{mdew} , shall be determined for each mode according to 8.3.7.

15.3 Dry/wet correction

If the emissions are not measured on a wet basis, the measured concentration shall be converted to a wet basis according to either of the following formulae. The derivation is given in ISO 8178-1:2006, Annex A.

$$c_w = k_w \times c_d \tag{35}$$

a) For the raw exhaust gas:

1) Complete combustion:

$$k_{wr1} = \left(1 - \frac{1,2442 \times H_a + 111,19 \times w_{ALF} \times \frac{q_{mf}}{q_{mad}}}{773,4 + 1,2442 \times H_a + \frac{q_{mf}}{q_{mad}} \times f_{fw} \times 1000} \right) \times 1,008 \quad (36)$$

or

$$k_{wr1} = \left(1 - \frac{1,2442 \times H_a + 111,19 \times w_{ALF} \times \frac{q_{mf}}{q_{mad}}}{773,4 + 1,2442 \times H_a + \frac{q_{mf}}{q_{mad}} \times f_{fw} \times 1000} \right) / \left(1 - \frac{p_r}{p_b} \right) \quad (37)$$

$$f_{fw} = 0,055594 \times w_{ALF} + 0,0080021 \times w_{DEL} + 0,0070046 \times w_{EPS} \quad (38)$$

2) Incomplete combustion:

In cases of considerable amounts of not or only partly combusted components (CO, H₂), the following formula shall be used (see derivation in ISO 8178-1:2006, Annex A):

$$k_{wr2} = \frac{1}{1 + \alpha \times 0,005 \times [c_{CO2d} + c_{COd}] - 0,01 \times c_{H2d} + k_{w2} - \frac{p_r}{p_b}} \quad (39)$$

and

$$c_{H2d} = \frac{0,5 \times \alpha \times c_{COd} \times (c_{COd} + c_{CO2d})}{c_{COd} + 3 \times c_{CO2d}} \quad (40)$$

NOTE The CO and CO₂ concentrations in Formulae (39) and (40) are expressed as percentages [%].

b) For the diluted exhaust gas:

$$k_{we1} = \left(1 - \frac{\alpha \times c_{CO2w}}{200} \right) \times k_{w1} \quad (41)$$

or

$$k_{we2} = \left(\frac{1 - k_{w1}}{1 + \frac{\alpha \times c_{CO2d}}{200}} \right) \quad (42)$$

c) For the dilution air:

$$k_{wd} = 1 - k_{w1} \quad (43)$$

$$k_{w1} = \frac{1,608 \times \left[H_d \times \left(1 - \frac{1}{D} \right) + H_a \times \left(\frac{1}{D} \right) \right]}{1000 + \left\{ 1,608 \times \left[H_d \times \left(1 - \frac{1}{D} \right) + H_a \times \left(\frac{1}{D} \right) \right] \right\}} \quad (44)$$

d) For the intake air (if different from the dilution air):

$$k_{wa} = 1 - k_{w2} \quad (45)$$

$$k_{w2} = \frac{1,608 \times H_a}{1\,000 + (1,608 \times H_a)} \quad (46)$$

where

H_a, H_d are the absolute humidities of intake air and dilution air [g of water per kg of dry air].

NOTE H_a, H_d can be derived from relative humidity measurement, dewpoint measurement, vapour pressure measurement, or dry/wet bulb measurement using the generally accepted formulae.

15.4 NO_x correction for humidity and temperature

As the NO_x emission depends on ambient air conditions, the NO_x concentration shall be corrected for ambient air temperature and humidity with the factors given in the following formulae. These factors are valid for a humidity range between 0 g/kg and 25 g/kg dry air.

If the parties involved agree, reference values for humidity other than 10,71 g/kg may be used and shall be reported with the results.

Other correction formulae outside the above humidity range may be used, if they can be justified or validated upon agreement of the parties involved. In the following formulae, T_a corresponds to the ambient air temperature at the inlet to the air filter and H_a corresponds to the ambient air humidity at the inlet to the air filter.

Water or steam injected into the air charger (air humidification) is considered an emission control device and shall therefore not be taken into account for humidity correction. Water that condenses in the charge cooler will change the humidity of the charge air and shall, therefore, be taken into account for humidity correction.

a) For compression ignition engines:

$$k_{hd} = \frac{1}{1 - 0,0182 \times (H_a - 10,71) + 0,0045 \times (T_a - 298)} \quad (47)$$

where

T_a is the temperature of the air [K];

H_a is the humidity of the intake air [g water per kg dry air].

b) For compression ignition engines with intermediate air cooler, the following alternative formula may be used:

$$k_{hd} = \frac{1}{1 - 0,012 \times (H_a - 10,71) - 0,00275 \times (T_a - 298) + 0,00285 \times (T_{SC} - T_{SCRef})} \quad (48)$$

where

T_{SC} is the temperature of the intercooled air;

T_{SCRef} is the intercooled air reference temperature – to be specified by the manufacturer.

NOTE For an explanation of the other variables, see a).

c) For spark ignition engines:

$$k_{hp} = 0,6272 + 44,030 \times 10^{-3} \times H_a - 0,862 \times 10^{-3} \times H_a^2 \quad (49)$$

NOTE For an explanation of the variables, see a).

15.5 Calculation of the emission mass flow rates

15.5.1 Raw exhaust gas

The emission mass flow rates for each mode shall be calculated from the raw concentrations of the pollutants, the u values from [Table 8](#) and the exhaust mass flow in accordance with [15.5.1.1](#). If concentrations are measured on a dry basis, the dry/wet correction according to [15.3](#) shall be applied to the concentration values before any further calculation is done.

Optionally, the mass emissions may be calculated using the exact formulae of [15.5.1.2](#) with the prior agreement of the parties involved. The exact formulae must be used if the fuel used for the test is not specified in [Table 8](#), under multi-fuel operation or in case of dispute.

15.5.1.1 Calculation method based on tabulated values

The following formula shall be applied:

$$q_{m\text{gas}} = u_{\text{gas}} \times c_{\text{gas}} \times q_{m\text{ew}} \quad (50)$$

where

$q_{m\text{gas}}$ is the emission mass flow rate of individual gas;

u_{gas} is the ratio between density of exhaust component and density of exhaust gas;

c_{gas} is the concentration of the respective component in the raw exhaust gas [ppm];

$q_{m\text{ew}}$ is the exhaust mass flow [kg/h].

For the calculation of NO_x , the humidity correction factor, k_{hd} or k_{hp} , as applicable and as determined according to [15.4](#), shall be used.

The measured concentration shall be converted to a wet basis according to [15.3](#), if not already measured on a wet basis.

Values for u are given in [Table 8](#) for selected components based on ideal gas properties and a range of fuels.

15.5.1.2 Calculation method based on exact formulae

The mass emission shall be calculated using Formula (50). Instead of using the tabulated values, the following formula shall be applied for the calculation of u_{gas} . It is assumed in the following equations that the concentration c_{gas} in Formula (50) is measured in or converted to ppm.

$$u_{\text{gas}} = \frac{M_{\text{rgas}}}{M_{\text{r,e}} \times 1000} \quad (51)$$

or

$$u_{\text{gas}} = \frac{\rho_{\text{gas}}}{\rho_{\text{e}} \times 1000} \quad (52)$$

where

$\rho_{\text{gas}} = M_{\text{rgas}}/22,414$ or optionally taken from [Table 8](#) (based on ideal gas properties).

The densities, ρ_{gas} , are given for a number of exhaust gas components in [Table 8](#). The molecular mass of the exhaust, $M_{r,e}$, shall be derived for a general fuel composition $C_{\beta}H_{\alpha}O_{\varepsilon}N_{\delta}S_{\gamma}$ under the assumption of complete combustion, as follows:

$$M_{r,e} = \frac{1 + \frac{q_{mf}}{q_{maw}}}{\frac{q_{mf}}{q_{maw}} \times \frac{\frac{a}{4} + \frac{\varepsilon}{2} + \frac{\delta}{2}}{12,011 \times \beta + 1,00794 \times a + 15,9994 \times \varepsilon + 14,0067 \times \delta + 32,065 \times \gamma} + \frac{\frac{H_a \times 10^{-3}}{2 \times 1,00794 + 15,9994} + \frac{1}{M_{r,a}}}{1 + H_a \times 10^{-3}}} \quad (53)$$

or for incomplete combustion:

$$M_{r,e} = \frac{M_{rHC} \times c_{HCw}}{10^6} + \frac{28,01 \times c_{COw}}{10^6} + \frac{44,01 \times c_{CO2w}}{10^2} + \frac{46,01 \times c_{NOxw}}{10^6} + \frac{32 \times c_{O2w}}{10^2} + \frac{2,016 \times c_{H2w}}{10^2} + 18,01 \times (1 - k_{wr}) + \frac{28,01}{100} \times \left[100 - \frac{c_{HCw}}{10^4} - \frac{c_{COw}}{10^4} - c_{CO2w} - \frac{c_{NOxw}}{10^4} - c_{O2w} - c_{H2w} - 100 \times (1 - k_{wr}) \right] \quad (54)$$

The exhaust density, ρ_e , shall be derived as follows:

$$\rho_e = \frac{1000 + H_a + 1000 \times (q_{mf}/q_{mad})}{773,4 + 1,2434 \times H_a + f_{fw} \times 1000 \times (q_{mf}/q_{mad})} \quad (55)$$

where

$$f_{fw} = 0,055594 \times w_{ALF} + 0,0080021 \times w_{DEL} + 0,0070046 \times w_{EPS} \quad (56)$$

When using the carbon balance method:

$$q_{m\text{gas}} = \frac{M_{r\text{gas}} \times c_{\text{gas}} \times q_{mf}}{M_{rf} \times \left[(c_{CO2w} - c_{CO2aw}) + \frac{c_{COw} + c_{HCw}}{10^4} \right] \times 10} \quad (57)$$

where c_{CO} and c_{HC} are expressed in ppm and c_{CO2} in percentage volume.

The derivation of Formula (58) is given in ISO 8178-1:2006, A.2.2.2.

$$M_{rf} = \alpha \times A_{rH} + \beta \times A_{rC} + \gamma \times A_{rS} + \delta \times A_{rN} + \varepsilon \times A_{rO} \quad (58)$$

15.5.2 Dilute exhaust gas

The emission mass flow rates for each mode shall be calculated from the diluted concentrations of the pollutants, the u values from [Table 9](#), the diluted exhaust mass flow as follows. If concentrations are

measured on a dry basis, the dry/wet correction according to 15.3 shall be applied to the concentration values before any further calculation is done.

$$q_{m\text{gas}} = u_{\text{gas}} \times c_{\text{gas},c} \times q_{m\text{dew}} \quad (59)$$

where

u_{gas} is the ratio between density of exhaust component and density of diluted exhaust gas (equivalent to air density);

$c_{\text{gas},c}$ is the background corrected concentration of the respective component in the diluted exhaust gas [ppm];

$q_{m\text{dew}}$ is the diluted exhaust mass flow [kg/s].

Values for u are given in Table 9 for selected components based on ideal gas properties and a range of fuels.

$$c_c = c - c_d \times \left[1 - \frac{1}{D} \right] \quad (60)$$

$$D = \frac{FS}{c_{\text{CO}_2} + (c_{\text{CO}} + c_{\text{HC}}) \times 10^{-4}} \quad (61)$$

or

$$D = \frac{FS}{c_{\text{CO}_2}} \quad (62)$$

where

$$FS = 100 \times \frac{1}{1 + \frac{\alpha}{2} + \gamma + 3,76 \left(1 + \frac{\alpha}{4} - \frac{\varepsilon}{2} + \gamma \right)} \quad (63)$$

$\alpha, \gamma, \varepsilon$ means a fuel composition of $\text{CH}_\alpha\text{O}_\varepsilon\text{S}_\gamma$.

For diesel fuel, $FS = 14,4$.

Table 8 — Coefficient u_{gas} and fuel specific parameters for raw exhaust gas

Fuel and corresponding c_e (kg/m ³)		Gas							
		NO _x	CO	HC	CO ₂	O ₂	CH ₄	HCHO	CH ₃ OH
		ρ_{gas} (kg/m ³)							
		2,053	1,250	a	1,963 6	1,427 7	0,716	1,340	1,430
		Coefficient u_{gas}^b							
Diesel	1,294 3	0,001 586	0,000 966	0,000 479	0,001 517	0,001 103	0,000 553	0,001 035	0,001 104
RME	1,295 0	0,001 585	0,000 965	0,000 536	0,001 516	0,001 102	0,000 553	0,001 035	0,001 104
Methanol	1,261 0	0,001 628	0,000 991	0,001 133	0,001 557	0,001 132	0,000 568	0,001 062	0,001 134
Ethanol	1,275 7	0,001 609	0,000 980	0,000 805	0,001 539	0,001 119	0,000 561	0,001 050	0,001 121
<p>a Depending on fuel.</p> <p>b At $\lambda = 2$, dry air, 273 K, 101,3 kPa.</p> <p>c u accurate within 0,2 % for mass composition of C = 66 % - 76 %; H = 22 % - 25 %; N = 0 % - 12 %.</p> <p>d NMHC on the basis of CH_{2,93} (for total HC the u_{gas} coefficient of CH₄ shall be used).</p>									

Table 8 (continued)

Fuel and corresponding ρ_e (kg/m ³)		Gas							
		NO _x	CO	HC	CO ₂	O ₂	CH ₄	HCHO	CH ₃ OH
		ρ_{gas} (kg/m ³)							
		2,053	1,250	a	1,963 6	1,427 7	0,716	1,340	1,430
		Coefficient u_{gas}^b							
Natural gas ^c	1,266 1	0,001 621	0,000 987	0,000 558 ^d	0,001 551	0,001 128	0,000 565	0,001 058	0,001 129
Propane	1,280 5	0,001 603	0,000 976	0,000 512	0,001 533	0,001 115	0,000 559	0,001 046	0,001 116
Butane	1,283 2	0,001 600	0,000 974	0,000 505	0,001 530	0,001 113	0,000 558	0,001 044	0,001 114
Gasoline	1,297 7	0,001 582	0,000 963	0,000 481	0,001 513	0,001 100	0,000 552	0,001 032	0,001 102

a Depending on fuel.
 b At $\lambda = 2$, dry air, 273 K, 101,3 kPa.
 c u accurate within 0,2 % for mass composition of C = 66 % - 76 %; H = 22 % - 25 %; N = 0 % - 12 %.
 d NMHC on the basis of CH_{2,93} (for total HC the u_{gas} coefficient of CH₄ shall be used).

Table 9 — Coefficient u_{gas} and fuel specific parameters for diluted exhaust gas

Fuel ($\rho_e = 1,293$ kg/m ³)		Gas							
		NO _x	CO	HC	CO ₂	O ₂	CH ₄	HCHO	CH ₃ OH
		ρ_{gas} (kg/m ³)							
		2,053	1,250	a	1,963 6	1,427 7	0,716	1,340	1,430
		Coefficient u_{gas}^b							
Diesel		0,001 588	0,000 967	0,000 480	0,001 519	0,001 104	0,000 553	0,001 036	0,001 106
RME		0,001 588	0,000 967	0,000 537	0,001 519	0,001 104	0,000 553	0,001 036	0,001 106
Methanol		0,001 588	0,000 967	0,001 105	0,001 519	0,001 104	0,000 553	0,001 036	0,001 106
Ethanol		0,001 588	0,000 967	0,000 795	0,001 519	0,001 104	0,000 553	0,001 036	0,001 106
Natural gas ^c		0,001 588	0,000 967	0,000 584 ^d	0,001 519	0,001 104	0,000 553	0,001 036	0,001 106
Propane		0,001 588	0,000 967	0,000 507	0,001 519	0,001 104	0,000 553	0,001 036	0,001 106
Butane		0,001 588	0,000 967	0,000 501	0,001 519	0,001 104	0,000 553	0,001 036	0,001 106
Gasoline		0,001 588	0,000 967	0,000 483	0,001 519	0,001 104	0,000 553	0,001 036	0,001 106

a Depending on fuel.
 b Assume density of diluted exhaust gas = density of air.
 c u accurate within 0,2 % for mass composition of C = 66 % - 76 %; H = 22 % - 25 %; N = 0 % - 12 %.
 d NMHC on the basis of CH_{2,93} (for total HC the u_{gas} coefficient of CH₄ shall be used).

15.5.3 Determination of the NMHC concentration

The determination of c_{NMHC} depends on the method used (see 17.5). In both cases, the CH₄ concentration shall be determined and subtracted from the HC concentration as follows:

a) GC method:

$$c_{\text{NMHC}} = c_{\text{HC}} - c_{\text{CH}_4} \tag{64}$$

b) NMC method:

$$c_{\text{NMHC}} = \frac{c_{\text{HC(w/oCutter)}} \times (1 - E_M) - c_{\text{HC(wCutter)}}}{E_E - E_M} \quad (65)$$

where

$c_{\text{HC(wCutter)}}$ is the HC concentration with the sample gas flowing through the NMC;

$c_{\text{HC(w/oCutter)}}$ is the HC concentration with the sample gas bypassing the NMC;

E_M is the methane efficiency as determined per [9.8.4.2](#);

E_E is the ethane efficiency as determined per [9.8.4.3](#).

15.6 Calculation of the specific emission

The emission shall be calculated for all individual components in the following way:

$$\text{gas}_x = \frac{\sum_{i=1}^{i=n} (q_{m\text{gas}i} \times W_{fi})}{\sum_{i=1}^{i=n} (P_i \times W_{fi})} \quad (66)$$

where

$q_{m\text{gas}}$ is the emission mass flow rate of the individual gas;

$$P = P_m + P_{\text{aux}} \quad (67)$$

where

P_m is the measured power of the individual mode;

P_{aux} is the power of the auxiliaries fitted to the engine of the individual mode.

The weighting factors and the number of modes (n) used in the above calculation are according to the provisions of [Clause 12](#).

16 Calculation of the particulate emission

16.1 Particulate correction factor for humidity

As the particulate emission of diesel engines depends on ambient air conditions, the particulate concentration shall be corrected for ambient air humidity with the factor k_p given in the following formulae.

Reference values for humidity instead of 10,71 g/kg may be used and shall be reported with the results by agreement within the parties involved.

Other correction formulae may be used if they can be justified or validated.

$$k_p = \frac{1}{[1 + 0,0133 \times (H_a - 10,71)]} \quad (68)$$

where

H_a is the humidity of the intake air [g water per kg dry air].

16.2 Partial-flow dilution system

The final reported test results of the particulate emission shall be determined through the following steps. Since various types of dilution rate control may be used, different calculation methods for q_{medf} apply. All calculations shall be based upon the average values of the individual modes during the sampling period.

16.2.1 Isokinetic systems

See [18.2.1](#), [Figures 10](#) and [11](#).

$$q_{medf} = q_{mew} \times r_d \quad (69)$$

with

$$r_d = \frac{q_{mdw} + (q_{mew} \times r_a)}{q_{mew} \times r_a} \quad (70)$$

where r corresponds to the ratio of the cross-sectional areas of the isokinetic probe A_p and the exhaust pipe A_T :

$$r_a = \frac{A_p}{A_T} \quad (71)$$

16.2.2 Systems with measurement of CO₂ or NO_x concentration

See [18.2.1](#), [Figures 12](#), [14](#) to [16](#).

For q_{medf} , use Formula (69) and

$$r_d = \frac{c_{Ew} - c_{Aw}}{c_{Dw} - c_{Aw}} \quad (72)$$

where

- c_{Ew} is the wet concentration of the tracer gas in raw exhaust;
- c_{Dw} is the wet concentration of the tracer gas in the diluted exhaust;
- c_{Aw} is the wet concentration of the tracer gas in the dilution air.

Concentrations measured on a dry basis shall be converted to a wet basis according to [15.3](#).

16.2.3 Systems with CO₂ measurement and carbon balance method

See [Figure 13](#).

Calculation of q_{medf} with:

$$q_{medf} = \frac{k_f \times q_{mf}}{c_{(CO_2)D} - c_{(CO_2)A}} \quad (73)$$

where

- $c_{(CO_2)D}$ is the CO₂ concentration of the diluted exhaust;
- $c_{(CO_2)A}$ is the CO₂ concentration of the dilution air.

Concentrations are in volume per cent on wet basis.

Therefore,

$$r_d = \frac{k_f \times q_{mf}}{q_{mew} \times [c_{(\text{CO}_2)\text{D}} - c_{(\text{CO}_2)\text{A}}]} \quad (74)$$

In the above formula, k_f can be determined as given in ISO 8178-1:2006, A.4, with the following final formula:

$$k_f = w_{\text{BET}} \times 2,4129 \quad (75)$$

16.2.4 Systems with flow measurement

See [18.2.1](#), [Figures 17](#) and [18](#).

For q_{medf} , see Formula (69).

$$r_d = \frac{q_{mdew}}{q_{mdew} - q_{mdw}} \quad (76)$$

16.3 Full-flow dilution system

The reported test results of the particulate emission shall be determined through the following steps.

All calculations shall be based upon the average values of the individual modes during the sampling period. For full-flow dilution systems, q_{mdew} is used as q_{medf} .

16.4 Calculation of the particulate mass flow rate

The particulate mass flow rate shall be calculated as follows.

a) For the single-filter method:

$$q_{mPT} = \frac{m_f}{m_{\text{sep}}} \times \frac{\overline{q_{medf}}}{1000} \quad (77)$$

$$\overline{q_{medf}} = \sum_{i=1}^{i=n} q_{medfi} \times W_{fi} \quad (78)$$

$$m_{\text{sep}} = \sum_{i=1}^{i=n} M_{\text{sepi}} \quad (79)$$

$$i = 1, \dots, n$$

b) For the multiple-filter method:

$$q_{mPTi} = \frac{M_{fi}}{m_{\text{sepi}}} \times \frac{q_{medfi}}{1000} \quad (80)$$

$$i = 1, \dots, n$$

PT_{mass} is determined over the test cycle by summation of the average values of the individual modes during the sampling period.

The particulate mass flow rate may be background-corrected ([13.4](#)) as follows.

c) For the single-filter method with background correction:

$$q_{mPT} = \left\{ \frac{m_f}{m_{sep}} - \left[\frac{m_{f,d}}{m_d} \times \sum_{i=1}^{i=n} \left(1 - \frac{1}{Di} \right) \times W_{fi} \right] \right\} \times \frac{\overline{q_{medf}}}{1000} \quad (81)$$

d) For the multiple-filter method with background correction:

$$q_{mPTi} = \left\{ \frac{m_{fi}}{m_{sepi}} - \left[\frac{m_{f,d}}{m_d} \times \left(1 - \frac{1}{D} \right) \right] \right\} \times \frac{q_{medfi}}{1000} \quad (82)$$

where D is calculated according to Formulae (61) and (62). Optionally, the dilution ratio, r_d , as determined according to 16.2.1 to 16.2.4, may be used instead of D for partial-flow dilution systems if the dilute CO₂ concentration is not measured.

If more than one measurement is made, $m_{f,d}/m_d$ shall be replaced with $\overline{m_{f,d} / m_d}$.

16.5 Calculation of the specific emissions

The particulate emission shall be calculated in the following way.

a) For the single-filter method:

$$e_{PT} = \frac{q_{mPT}}{\sum_{i=1}^{i=n} P_i \times W_{fi}} \quad (83)$$

b) For the multiple-filter method:

$$e_{PT} = \frac{\sum_{i=1}^{i=n} q_{mPTi} \times W_{fi}}{\sum_{i=1}^{i=n} P_i \times W_{fi}} \quad (84)$$

where

$$P_i = P_{mi} + P_{auxi} \quad (85)$$

Cf. Formula (39).

16.6 Effective weighting factor

For the single-filter method, the effective weighting factor, W_{fei} , for each mode shall be calculated in the following way.

$$W_{fei} = \frac{\overline{m_{sepi}} \times \overline{q_{medf}}}{m_{sep} \times q_{medfi}} \quad (86)$$

$$i = 1, \dots, n$$

The value of the effective weighting factors shall be within $\pm 0,005$ (absolute value) of the weighting factors listed in [Clause 12](#).

17 Determination of the gaseous emissions

17.1 General

Figures 3 to 10 and 17.2 to 17.6 contain detailed descriptions of the recommended sampling and analysing systems. Since various configurations can produce equivalent results, exact conformance with these figures is not required. Additional components such as instruments, valves, solenoids, pumps, and switches may be used to provide additional information and coordinate the functions of the component systems. Other components which are not needed to maintain the accuracy on certain systems may be excluded if their exclusion is based upon good engineering judgement.

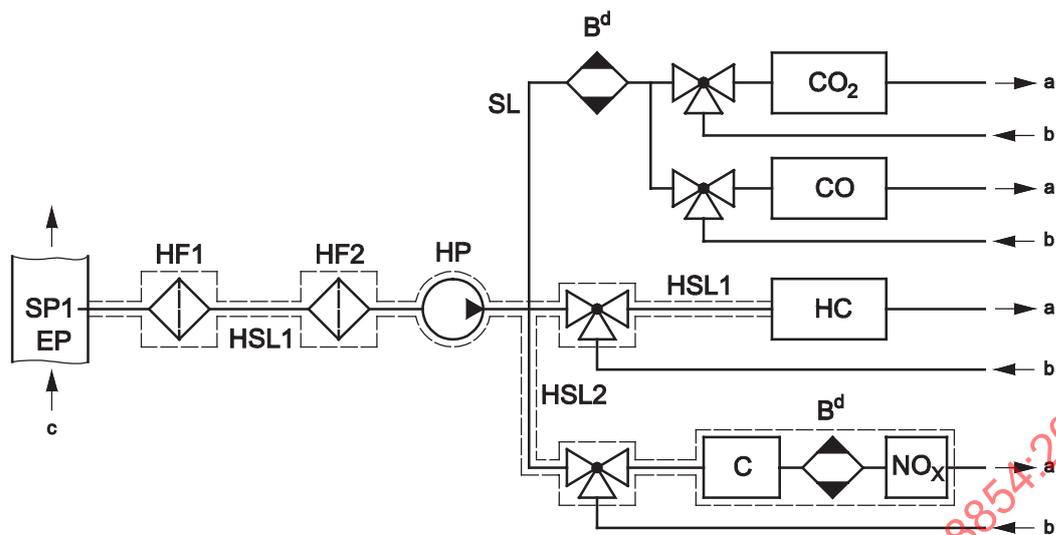
17.2 Main exhaust components CO, CO₂, HC, NO_x, O₂

An analytical system for the determination of the gaseous emissions in the raw or diluted exhaust gas is described, based on the use of the following:

- HFID or FID for the measurement of hydrocarbons;
- NDIRs for the measurement of carbon monoxide and carbon dioxide;
- HCLD, CLD or equivalent for the measurement of nitrogen oxides; and
- PMD, ECS or ZRDO for the measurement of oxygen.

For the raw exhaust gas (see Figure 3), the sample for all components may be taken with one sampling probe or with two sampling probes located in close proximity and internally split to the different analysers. Care must be taken that no condensation of exhaust components (including water and sulphuric acid) occurs at any point of the analytical system.

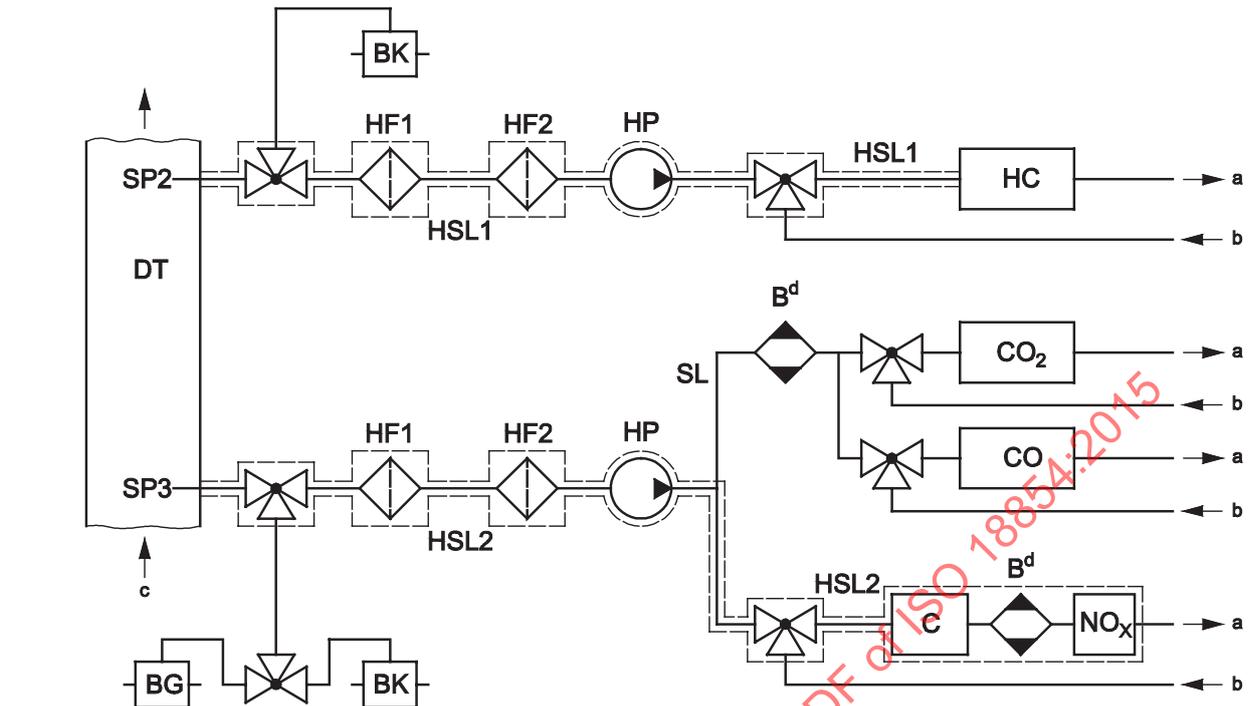
For the diluted exhaust gas (see Figure 4), the sample for the hydrocarbons shall be taken with a sampling probe other than that used with the sample for the other components, unless a common sample pump is used for all analysers and the sample line system design reflects good engineering practice. Care must be taken that no condensation of exhaust components (including water and sulphuric acid) occurs at any point of the analytical system.



- a Vent.
- b Zero, span gas.
- c Exhaust.
- d Optional.

Figure 3 — Flow diagram of raw exhaust gas analysis system for CO, CO₂, NO_x, HC, and O₂

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- a Vent.
- b Zero, span gas.
- c Diluted exhaust.
- d Optional.

Figure 4 — Flow diagram of diluted exhaust gas analysis system for CO, CO₂, NO_x, HC, and O₂

Components of Figures 3 and 4

General

All components in the sampling gas path shall be maintained at the temperatures specified for the respective systems.

EP — exhaust pipe (Figure 3 only)

DT — dilution tunnel (Figure 4 only)

For details, see 18.2.2.

SP1 — raw exhaust gas sampling probe (Figure 3 only)

A stainless steel, straight, closed-end, multi-hole probe is recommended. The inside diameter shall not be greater than the inside diameter of the sampling line. The wall thickness of the probe shall not be greater than 1 mm. There shall be a minimum of three holes in three different radial planes sized to sample approximately the same flow. The probe shall extend across at least 80 % of the diameter of the exhaust pipe.

NOTE If exhaust pulsations or engine vibrations are likely to change the sampling probe, the wall thickness of the probe may be enlarged with the agreement of the parties involved.

SP2 — dilute exhaust gas HC sampling probe (Figure 4 only)

The probe shall

- be defined as the first 254 mm to 762 mm of the heated sampling line HSL1,
- have a 5 mm minimum inside diameter,
- be installed in the dilution tunnel DT (see [Figure 19](#)) at a point where the dilution air and exhaust gas are well mixed (i.e. approximately 10 tunnel diameters downstream of the point where the exhaust enters the dilution tunnel),
- be sufficiently distant (radially) from other probes and the tunnel wall so as to be free from the influence of any wakes or eddies,
- be heated so as to increase the gas stream temperature to $463\text{ K} \pm 10\text{ K}$ ($190\text{ °C} \pm 10\text{ °C}$) at the exit of the probe, or to $385\text{ K} \pm 10\text{ K}$ ($112\text{ °C} \pm 10\text{ °C}$) for methanol-fuelled engines, and
- be non-heated in case of FID measurement (cold).

SP3 — dilute exhaust gas CO, CO₂, NO_x sampling probe ([Figure 4](#) only)

The probe shall

- be in the same plane as SP2,
- be sufficiently distant (radially) from other probes and the tunnel wall so as to be free from the influence of any wakes or eddies, and
- be heated and insulated over its entire length to a minimum temperature of 328 K (55 °C) to prevent water condensation.

HSL1 — heated sampling line

The sampling line provides a gas sample from a single probe to the split point(s) and the HC analyser.

The sampling line shall

- have a 5 mm minimum and a 13,5 mm maximum inside diameter, and
- be made of stainless steel or PTFE.

a) For non-methanol-fuelled engines:

If the temperature of the exhaust gas at the sampling probe is equal to or below 463 K (190 °C), maintain a wall temperature of $463\text{ K} \pm 10\text{ K}$ ($190\text{ °C} \pm 10\text{ °C}$) as measured at every separately controlled heated section.

If the temperature of the exhaust gas at the sampling probe is above 463 K (190 °C), maintain a wall temperature greater than 453 K (180 °C).

Immediately before the heated filter F2 and the HFID, maintain a gas temperature of $463\text{ K} \pm 10\text{ K}$ ($190\text{ °C} \pm 10\text{ °C}$).

b) For methanol-fuelled engines:

If the temperature of the exhaust gas at the sampling probe is equal to or below 385 K (112 °C), maintain a wall temperature of $385\text{ K} \pm 10\text{ K}$ ($112\text{ °C} \pm 10\text{ °C}$) as measured at every separately controlled heated section.

If the temperature of the exhaust gas at the sampling probe is above 385 K (112 °C), maintain a wall temperature greater than 375 K (102 °C).

Immediately before the heated filter F2 and the HFID, maintain a gas temperature of $385\text{ K} \pm 10\text{ K}$ ($112\text{ °C} \pm 10\text{ °C}$).

HSL2 — heated NO_x (and NH₃) sampling line

The sampling line shall

- maintain a wall temperature of 328 K to 473 K (55 °C to 200 °C), up to the converter C when using a cooling bath B, and up to the analyser when a cooling bath B is not used, and
- be made of stainless steel or PTFE.

NOTE Since the sampling line need only be heated to prevent condensation of water and sulphuric acid, the sampling line temperature will depend on the sulfur content of the fuel.

SL — sampling line for CO, (CO₂, O₂)

The line shall be made of PTFE or stainless steel. It may be heated or unheated.

BK — background bag (optional; [Figure 4](#) only)

For the measurement of the background concentrations.

BG — sample bag (optional; [Figure 4](#), CO and CO₂ only)

For the measurement of the sample concentrations.

HF1 — heated pre-filter (optional)

The temperature shall be the same as HSL1 or HSL2.

HF2 — heated filter

The filter shall extract any solid particles from the gas sample before the analyser. The temperature shall be the same as HSL1 or HSL2. The filter shall be changed as needed.

HP — heated sampling pump

The pump shall be heated to the temperature of HSL1 or HSL2.

HC

Heated-flame ionization detector (HFID) for the determination of the hydrocarbons. The temperature shall be kept at 453 K to 473 K (180 °C to 200 °C) for non-methanol-fuelled engines and at 375 K to 395 K (102 °C to 122 °C) for methanol-fuelled engines.

CO, CO₂

NDIRs for the determination of carbon monoxide and carbon dioxide.

NO

CLD or HCLD for the determination of the oxides of nitrogen. If an HCLD is used, it shall be kept at a temperature of 328 K to 473 K (55 °C to 200 °C).

C — converter

A converter shall be used for the catalytic reduction of NO₂ to NO prior to analysis in the CLD or HCLD.

O₂

PMD, ZRDO, or ECS for the determination of oxygen.

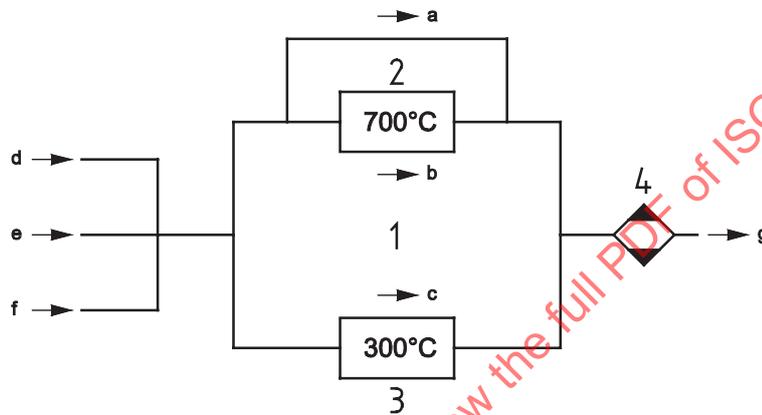
B — cooling bath

To cool and condense water from the exhaust sample. The bath shall be maintained at a temperature of 273 K to 277 K (0 °C to 4 °C) by ice or refrigerator. It is optional whether the analyser is free from water vapour interference as determined in [9.9.2](#) and [9.9.3](#). If water is removed by condensation, the sample

gas temperature or dew point shall be monitored either within the water trap or downstream. The sample gas temperature or dew point shall not exceed 280 K (7 °C). Chemical driers are not allowed for removing water from the sample.

17.3 Ammonia analysis

In cases where ammonia (NH₃) is present in the exhaust gas [for instance from NH₃ sources in Selective Catalytic Reduction [SCR] equipment], the measurement system described in [Figure 5](#) is recommended. The high-temperature converter C1 oxidizes NH₃ to NO and the measured value “C” is the sum of NH₃ and NO_x. The low-temperature converter C2 does not oxidize NH₃ but reduces NO₂ to NO. The measured value “A” is NO_x. The difference between C and A corresponds to the NH₃ value. The system can be integrated into the analytical system described in [17.2](#) and [Figures 3](#) and [4](#) by adding a second converter and associated piping. The calculation procedure is identical to the other exhaust components and is given in [15.5](#).



Key

- 1 HSL2
- 2 C1
- 3 C2
- 4 B (optional)
- a B (NO measurement).
- b C (NO_x + NH₃ measurement).
- c A (NO_x measurement).
- d Sample gas.
- e Zero.
- f Span gas.
- g To analyser.

NOTE A - B = NO₂ content; C - A = NH₃ content.

Figure 5 — Flow diagram of a converter system for NO_x/NH₃ measurement

Components of [Figure 5](#)

C1 — high-temperature converter

The temperature of C1 shall be kept at 953 K to 993 K (680 °C to 720 °C).

C2 — low-temperature converter

The temperature of C2 shall be kept at 553 K to 593 K (280 °C to 320 °C).

B — cooling bath (optional)

To cool and condense water from the exhaust sample. The bath shall be maintained at a temperature of 273 K to 277 K (0 °C to 4 °C) by ice or refrigeration. It is optional whether the analyser is free from water vapour interference as determined in 9.9.2 and 9.9.3. Chemical driers are not allowed for removing water from the sample.

17.4 Methane analysis

The methane (CH₄) analysis can be done in two ways.

17.4.1 Gas chromatographic (GC) method (Figure 6)

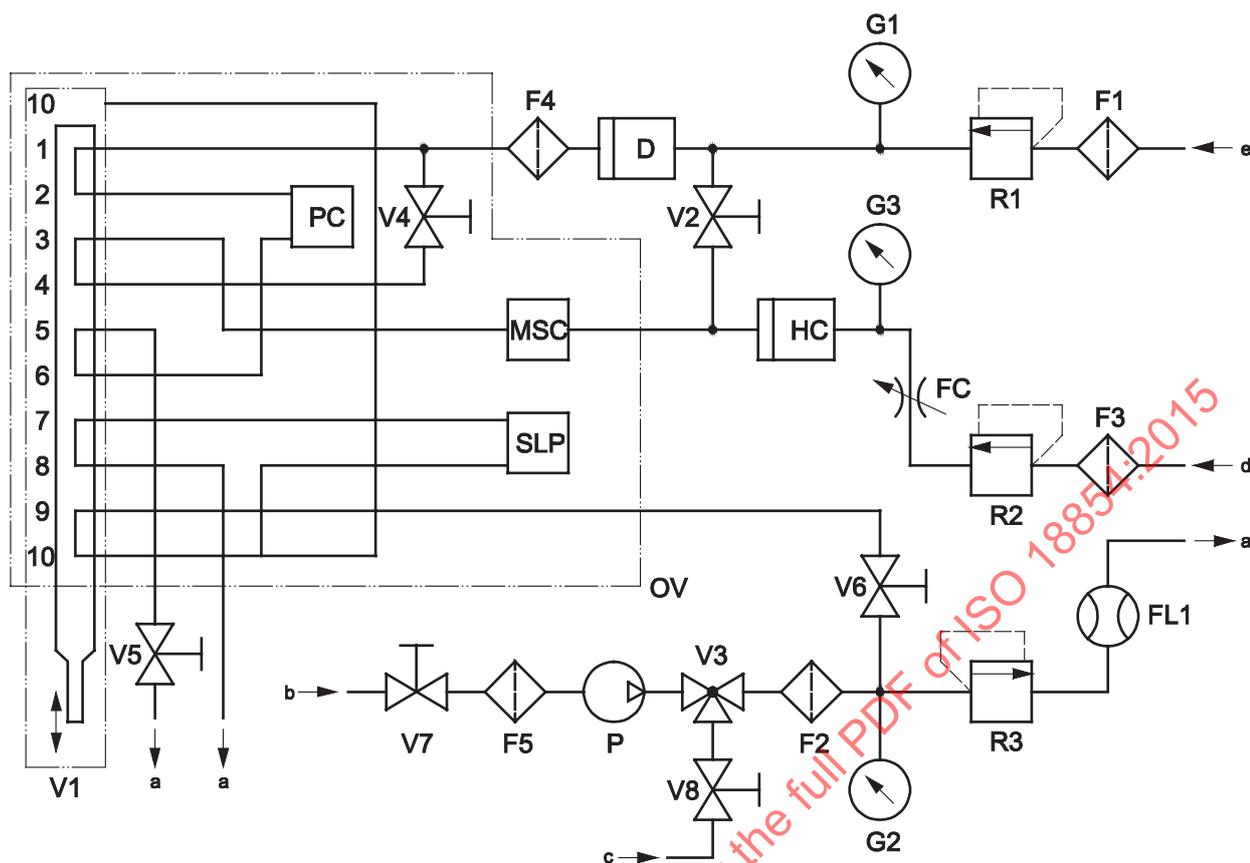
For details of this method, see Reference [7].

When using the GC method, a small measured volume of a sample is injected into an analytical column through which it is swept by an inert carrier gas. The column separates various components according to their boiling points so that they elute from the column at different times. They then pass through a detector which gives an electrical signal that depends on their concentration. This is not a continuous analysis technique.

For CH₄, an automated GC with a FID shall be used. The exhaust gas is sampled into a sampling bag from which a part is taken and injected into the GC. The sample is separated into two parts (CH₄/air/CO and NMHC/CO₂/H₂O) on the Porapak column. The molecular sieve column separates CH₄ from the air and CO before passing it to the FID. A complete cycle from injection of one sample to injection of a second can be made in 30 s.

Figure 6 shows a typical GC assembled to routinely determine CH₄.

Other GC methods can also be used based on good engineering judgement.



- a Vent.
- b Sample.
- c Span gas.
- d Air inlet.
- e Fuel inlet.

Figure 6 — Flow diagram for methane analysis (GC method)

Components of Figure 6

PC — Porapak column

Porapak N, 180/300 μm (50/80 mesh), 610 mm length \times 2,16 mm ID shall be used and conditioned for at least 12 h at 423 K (150 $^{\circ}\text{C}$) with carrier gas prior to initial use.

MSC — molecular sieve column

Type 13X, 250/350 μm (45/60 mesh), 1220 mm length \times 2,16 mm ID shall be used and conditioned for at least 12 h at 423 K (150 $^{\circ}\text{C}$) with carrier gas prior to initial use.

OV — oven

To maintain columns and valves at a stable temperature for analyser operation and to condition the columns at 423 K (150 $^{\circ}\text{C}$).

SLP — sample loop

A sufficient length of stainless steel tubing to obtain approximately 1 cm^3 volume.

P — pump

To bring the sample to the gas chromatograph.

D — drier

To remove water and other contaminants which might be present in the carrier gas; contains a molecular sieve.

HC — flame ionization detector (FID)

To measure the concentration of methane.

V1 — sample injection valve

To inject the sample. It shall be low dead volume, gas-tight, and heatable to 423 K (150 °C).

V3 — selector valve

To select span gas, sample or no flow.

V2, V4, V5, V6, V7, V8 — needle valves

To set the flows in the system.

R1, R2, R3 — pressure regulators

To control the flow rate of the fuel (i.e. carrier gas), the sample, and the air, respectively.

FC — flow capillary

To control the rate of air flow to the FID.

G1, G2, G3 — pressure gauges

To monitor the flow of the fuel (i.e. carrier gas), the sample, and the air, respectively.

F1, F2, F3, F4, F5 — filters

Sintered metal filters to prevent grit from entering the pump or the instrument.

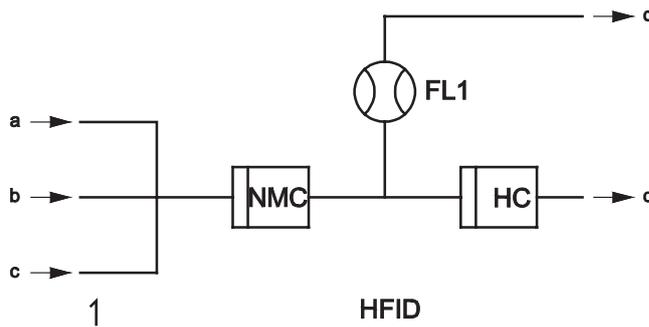
FL1 — flowmeter

To measure the sample bypass flow rate.

17.4.2 Non-methane cutter (NMC) method (Figure 7)

The cutter oxidizes all hydrocarbons except CH₄ to CO₂ and H₂O, so that by passing the sample through the NMC only CH₄ is detected by the HFID. The usual HC sampling train (see 17.2, Figures 3 and 4) shall be equipped with a flow diverter system with which the flow can be alternatively passed through or around the cutter. During non-methane testing, both values shall be observed on the FID and recorded.

The cutter shall be characterized at or above 600 K (327 °C) prior to test work with respect to its catalytic effect on CH₄ and CH₂H₆ at H₂O values representative of exhaust stream conditions. The dewpoint and O₂ level of the sampled exhaust stream shall be known. The non-methane fraction shall not be evaluated for previously collected (bagged) samples. The relative response of the FID to CH₄ shall be recorded.



Key

- 1 HSL1.
- a Zero.
- b Span gas.
- c Sample.
- d Vent.

Figure 7 — Flow diagram for methane analysis (NMC method)

Components of Figure 7

NMC — non-methane cutter

To oxidize all hydrocarbons except methane.

HC

Heated flame ionization detector (HFID) to measure the HC and CH₄ concentrations. The temperature shall be kept at 453 K to 473 K (180 °C to 200 °C).

FL1 — flowmeter

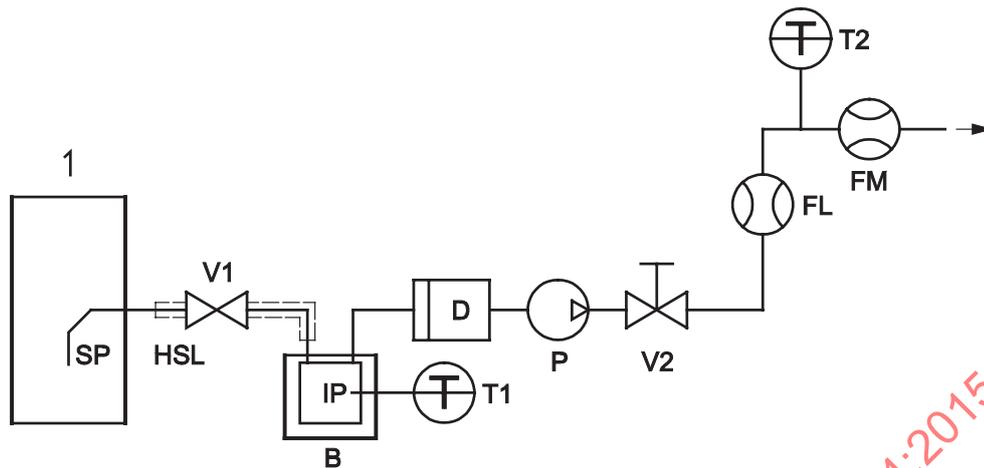
To measure the sample bypass flow rate.

17.5 Methanol analysis

Among the methanol analysis methods described in 8.5.3.12, this section describes the gas chromatographic (GC) method (see Figure 8).

The exhaust sample is passed through two ice-cooled impingers placed in series containing deionized water. Sampling time and flow rate shall be such that a recommended CH₃OH concentration of at least 1 mg/l be reached in the primary impinger. The CH₃OH concentration in the second impinger shall not be more than 10 % of the total amount collected. These requirements do not apply to background measurements.

A sample from the impingers is injected into the GC, preferably not later than 24 h after the test, in accordance with established gas chromatographic procedures. If it is not possible to perform the analysis within 24 h, the sample shall be stored in a dark, cold environment of 277 K to 283 K (4 °C to 10 °C) until analysis. CH₃OH is separated from the other components and detected with an FID. The GC is calibrated with known amounts of CH₃OH calibration gas.

**Key**

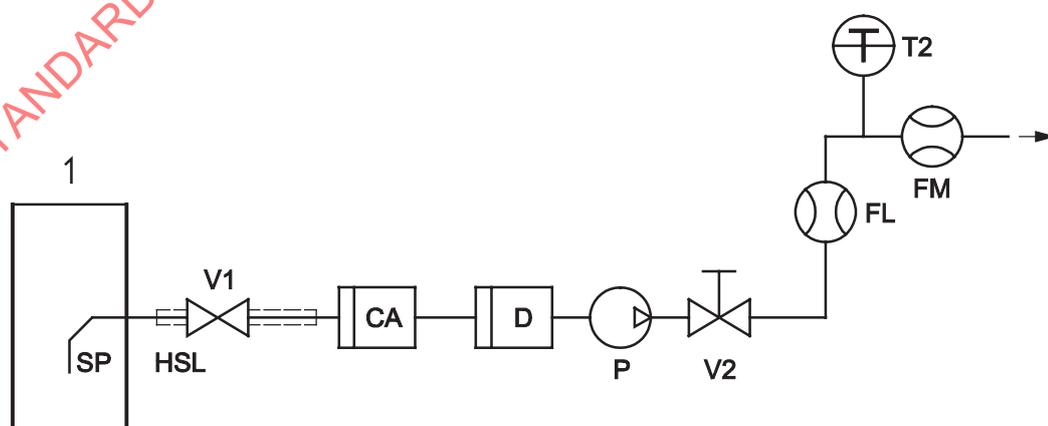
1 exhaust pipe or dilution tunnel

Figure 8 — Flow diagram for methanol analysis**17.6 Formaldehyde analysis**See [Figure 9](#).

In the High Pressure Liquid Chromatograph (HPLC), a small measured volume of the sample is injected into an analytical column through which it is swept by an inert liquid under pressure. Separation, elution, and detection of the components follow the same general rules as with the GC. Like the GC, it is not a continuous analysis technique.

The exhaust sample is passed through two ice-cooled impingers placed in series containing an ACN solution of DNPH reagent or through a silica cartridge coated with 2,4-DNPH. An HCHO concentration in the collectors of at least 1 mg/l is recommended.

A sample from the collector is injected into the HPLC preferably not later than 24 h after the test. If it is not possible to perform the analysis within 24 h, the sample shall be stored in a dark, cold environment of 277 K to 283 K (4 °C to 10 °C) until analysis. HCHO is separated from the other carbonyl components by gradient elution ([Figure 9](#)) and detected with a UV detector at 365 nm. The HPLC is calibrated with calibration gas of CHO-DNPH derivatives.

**Key**

1 exhaust pipe or dilution tunnel

Figure 9 — Flow diagram for formaldehyde analysis

Components of Figures 8 and 9

SP — sampling probe

For the raw exhaust gas, a stainless steel, straight, closed-end, multi-hole probe is recommended. The inside diameter shall not be greater than the inside diameter of the sampling line. The wall thickness of the probe shall not be greater than 1 mm. There shall be a minimum of three holes in three different radial planes sized to sample approximately the same flow. The probe must extend across at least 80 % of the diameter of the exhaust pipe. The probe shall be fitted close to the HC/CO/NO_x/CO₂/O₂ sampling probe as defined in 8.5.4.

For the diluted exhaust gas, the probe shall be in the same plane of the dilution tunnel DT (see Figure 19) as the HC, CO/NO_x/CO₂ and particulate sampling probes, but sufficiently distant from other probes and the tunnel wall to be free from the influence of any wakes or eddies.

HSL — heated sampling line

The temperature of the HSL shall be between the maximum dewpoint of the mixture and 394 K (121 °C). Heating of the HSL may be omitted, provided the sample collection system (IP) be close coupled to the SP, thereby preventing loss of sample due to cooling and resulting condensation in the HSL.

IP — impinger (optional for formaldehyde)

To collect the methanol or formaldehyde in the sample. The impingers should be cooled with ice or a refrigeration unit.

CA — cartridge collector (formaldehyde only; optional)

To collect the formaldehyde in the sample.

B — cooling bath

To cool the impingers.

D — drier (optional)

To remove water from the sample.

P — sampling pump

V1 — solenoid valve

To direct the sample to the collection system.

V2 — needle valve

To regulate the sample flow through the collection system.

T1 — temperature sensor

To monitor the temperature of the cooling bath.

T2 — temperature sensor (optional)

To monitor the temperature of the sample.

FL — flowmeter (optional)

To measure the sample flow rate through the collection system.

FM — flow measurement device

Gas meter or other flow instrumentation to measure the flow through the collection system during the sampling period.

18 Determination of the particulates

18.1 General

Figures 10 to 21 and 18.2 and 18.3 contain detailed descriptions of the recommended dilution and sampling systems. Since various configurations can produce equivalent results, exact conformance with these figures is not required. Additional components such as instruments, valves, solenoids, pumps, and switches may be used to provide additional information and coordinate the functions of the component systems. Other components, which are not needed to maintain the accuracy on certain systems, may be excluded if their exclusion is based upon good engineering judgment.

18.2 Dilution system

18.2.1 Partial-flow dilution system (Figures 10 to 18)

A dilution system is described based upon the dilution of a part of the exhaust stream. Splitting of the exhaust stream and the following dilution process may be done by different dilution system types. For subsequent collection of the particulates, the entire dilute exhaust gas or only a portion of the dilute exhaust gas is passed to the particulate sampling system (see Figure 20). The first method is referred to as total-sampling type, the second method as fractional-sampling type.

The calculation of the dilution ratio depends upon the type of system used. The following types are recommended.

Isokinetic systems (Figures 10 and 11)

With these systems, the flow into the transfer tube is matched with the bulk exhaust flow in terms of gas velocity and/or pressure, thus requiring an undisturbed and uniform exhaust flow at the sampling probe. This is usually achieved by using a resonator and a straight approach tube upstream of the sampling point. The split ratio is then calculated from easily measurable values such as tube diameters. It should be noted that isokinesis is only used for matching the flow conditions and not for matching the size distribution. The latter is not typically necessary, as the particle dimension is small such that the particles follow the fluid streamlines.

Flow controlled systems with concentration measurement (Figures 12 to 16)

With these systems, a sample is taken from the bulk exhaust stream by adjusting the dilution air flow and the total dilute exhaust flow. The dilution ratio is determined from the concentrations of tracer gases, such as CO₂ or NO_x, naturally occurring in the engine exhaust. The concentrations in the dilute exhaust gas and in the dilution air are measured, whereas the concentration in the raw exhaust gas can be either measured directly or determined from fuel flow and the carbon balance equation, if the fuel composition is known. The systems may be controlled by the calculated dilution ratio (Figures 12 and 13) or by the flow into the transfer tube (Figures 14 to 16).

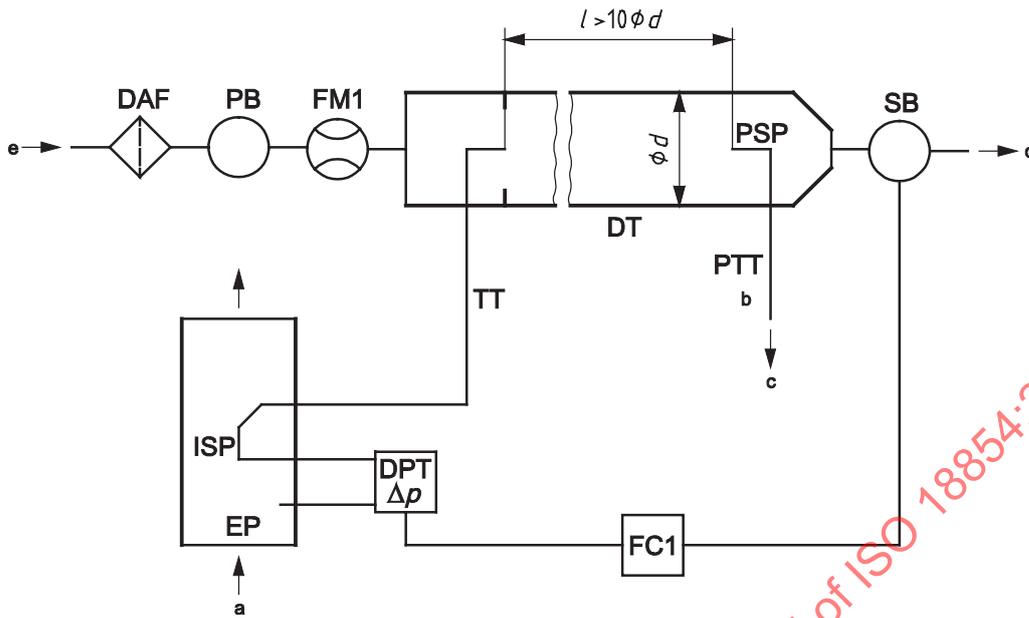
Flow controlled systems with flow measurement (Figures 17 and 18)

With these systems, a sample is taken from the bulk exhaust stream by setting the dilution air flow and the total dilute exhaust flow. The dilution ratio is determined from the difference of the two flow rates. Accurate calibration of the flowmeters relative to one another is required, since the relative magnitude of the two flow rates can lead to significant errors at higher dilution ratios (of 15 and above). Flow control is very straightforward and is maintained by keeping the dilute exhaust flow rate constant and varying the dilution air flow rate, if needed.

NOTE Partial-flow dilution systems are recommended not only because they are more cost-effective than full-flow dilution systems, but also because of the impossibility of realizing full-flow dilution for “medium and large” engine testing on the test bed and at site and because of site constraints for other engines.

In order to realize the advantages of partial-flow dilution systems, attention must be paid to avoiding the potential problems of loss of particulates in the transfer tube, ensuring that a representative sample is taken from the engine exhaust and determination of the split ratio.

The systems described take into account these critical areas.



- a Exhaust.
- b See [Figure 20](#).
- c To particulate sampling system.
- d Vent.
- e Dilution air.

Figure 10 — Partial-flow dilution system with isokinetic probe and fractional sampling (SB control)

Raw exhaust gas is transferred from the exhaust pipe EP to the dilution tunnel DT through the isokinetic sampling probe ISP and the transfer tube TT. The differential pressure of the exhaust gas between exhaust pipe and inlet to the probe is measured with the pressure transducer DPT. This signal is transmitted to the flow controller FC1 that controls the suction blower SB to maintain a differential pressure of zero at the tip of the probe. Under these conditions, exhaust gas velocities in EP and ISP are identical, and the flow through ISP and TT is a constant fraction (split) of the exhaust gas flow. The split ratio is determined from the cross-sectional areas of EP and ISP. The dilution air flow rate is measured with the flow measurement device FM1. The dilution ratio is calculated from the dilution air flow rate and the split ratio.