
**Earth-moving machinery — Hazard
detection systems and visual aids —
Performance requirements and tests**

*Engins de terrassement — Dispositifs de détection des risques et d'aide
visuelle — Exigences de performances et essais*

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 16001 was prepared by Technical Committee ISO/TC 127, *Earth-moving machinery*, Subcommittee SC 2, *Safety requirements and human factors*.

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Introduction

This International Standard outlines test procedures and sets criteria for the development of hazard detection systems (HDS) and visual aids (VA) for detecting people.

Proper job-site organization, operator training and the application of relevant vision standards (ISO 5006 and ISO 14401) address the safety of people on job sites. In some cases, vision of the working area cannot be achieved either by the operator's direct view or indirect view using mirrors. In such cases, operator awareness can be improved by the use of HDS and VA.

HDS and VA provide information to the operator as to whether a person or object is in the path of the machine, primarily during rearward movement.

It is essential to note that HDS and VA have both advantages and disadvantages. There is no device that works perfectly in all situations. It is especially important that the shortcomings of HDS and VA be recognised and known to system users. The advantages and disadvantages of selected devices are summarized in Annex A.

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Earth-moving machinery — Hazard detection systems and visual aids — Performance requirements and tests

1 Scope

This International Standard specifies general requirements and describes methods for evaluating and testing the performance of hazard detection systems (HDS) and visual aids (VA) used on earth-moving machines. It covers the following aspects:

- detection of people in the detection zone;
- visual and/or audible warning(s) to the operator and/or to the persons in the detection zone;
- operational reliability of the system;
- compatibility and environmental specifications of the system.

It is applicable to machines as defined in ISO 6165. HDS and/or VA can be used to augment the operator's direct vision (see ISO 5006) or indirect vision using mirrors (see ISO 14401) or to provide additional means of hazard detection, for example, where ergonomic considerations limit the effectiveness of direct vision, e.g. to avoid repeated turning of the head and upper body.

2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 6165, *Earth-moving machinery — Basic types — Identification and terms and definitions*

ISO 6394, *Earth-moving machinery — Determination of emission sound pressure level at operator's position — Stationary test conditions*¹⁾

ISO 9533, *Earth-moving machinery — Machine-mounted forward and reverse audible warning alarm — Sound test method*

ISO 13766, *Earth-moving machinery — Electromagnetic compatibility*

ISO 15998²⁾, *Earth-moving machinery — Machine-control systems (MCS) using electronic components — Performance criteria and tests for functional safety*

1) To be published. (Revision of ISO 6394:1998)

2) To be published.

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

3.1 hazard detection system HDS

system that both detects hazards and warns the operator and/or the person on the ground

NOTE The system generally includes a sensing device, warning device and evaluation device.

3.1.1 sensing device

HDS component that detects a test body in the detection zone

3.1.2 warning device

HDS component that transmits information to the operator and/or to persons in the detection zone by visual and/or audible signals

3.1.3 evaluation device

HDS component or components that analyse the signals and information transmitted from the sensing device and transform the corresponding signal to the warning device

3.2 visual aid VA

system that provides visual information without warning

NOTE The system generally includes a monitor and camera.

3.2.1 monitor

VA component that provides a visual image of the detection zone on a screen

3.2.2 camera

VA component that transmits to the monitor an image of the detection zone

3.3 detection zone

zone within which a test body is detected by an HDS or is shown by a VA

3.4 test body

person or a standard measuring unit representative of a person, used to test the geometry and size of the detection zone

NOTE Depending on the system used, test bodies can be varied (see Annexes B to F).

3.5 self-testing

capability of the system to self-check continuously and immediately to inform the operator, audibly and/or visually, of a failure

3.6 detection time

time required for a hazard detection system to detect the test body in the detection zone and activate the signal output

3.7**stand-by**

operation mode whereby the hazard detection and visual aid systems are active, but no information is transmitted by the warning device or monitor

3.8**job-site organization**

rules and procedures for managing the working together of machines and people at a job site

EXAMPLE Safety instructions, traffic patterns, restricted areas, operator training, machine and vehicle markings, communications systems.

4 Performance requirements and tests**4.1 General requirements****4.1.1 Test of detection zone**

The test method shall be performed on a system that is either fitted to the machine or to a representative configuration in accordance with Annexes B to F.

4.1.2 Test body requirements

The test body requirements are specified in Annexes B to F.

4.1.3 Environmental conditions

The test shall be undertaken under the following environmental conditions:

- temperature ($23\text{ °C} \pm 5\text{ °C}$);
- relative humidity ($60\% \pm 25\%$).

The test shall not be affected by reflections from surrounding walls, auxiliary test equipment or other objects. For further details, see Annexes B to F.

4.1.4 Evaluation of test results**4.1.4.1 Detection**

Detection shall take place unambiguously with an uninterrupted sequence of the signal or information appropriate to the warning range. For further details, see Annexes B to F.

4.1.4.2 Evaluation of false signals

False signals, such as the following, should be minimized:

- when a machine approaches a ramp;
- from objects outside the detection zone;
- from weather conditions of fog, snow, rain, wind, dust, etc.

4.2 Location and fixing of HDS and VA devices

Devices shall be located and arranged on the machine in accordance with the specification of the device manufacturer so that

- the device does not restrict any function or operation of the machine,
- the device is protected against external damage,
- the device is affixed to the machine so as to deter unauthorized disablement and/or removal,
- the device is mounted so as to limit exposure to, or amplification of, dynamic loads, temperature, shock or vibration that could prematurely damage the device,
- the attachment and fixings of HDS and VA devices do not affect the integrity of the protective structures, e.g. rollover protective structures (ROPS), and
- the device is designed and mounted to permit routine service access from the ground or from a service platform so that the intended performance is maintained.

4.3 Operator station devices

4.3.1 Location of monitor

The monitor shall be located within the operator's view. Restriction of the operator's view of the working area or of the machine working equipment shall be minimized.

When the monitor is used to cover the area behind the machine, the system shall be configured to provide a reverse image on the monitor.

In order to see a person at the maximum distance of the detection zone, the monitor shall display the height of a fifth percentile person (see ISO 3411) such that the image is 7 mm on the monitor. The monitor should be within 1,2 m of the operator's eye point. The monitor shall be positioned so as to minimize the glare caused by direct sunlight.

NOTE Factors that influence an operator's ability to detect a person on the monitor are the position of the monitor within the cab, the distance of the operator from the monitor, the size of monitor, the ambient lighting, the lens on the camera and the distance of the object from the lens.

4.3.2 Warning devices

Both audible and visual warning devices are required for an HDS. These devices shall provide indications to the operator and may provide indications to workers and other persons present at the work site.

4.3.2.1 Audible devices

Operator station warning devices shall be set at, or shall automatically adjust to, a level at least 3 dB higher than the ambient noise level as measured at maximum governed speed under no load.

All in-cab warnings should be selected so that they are clearly audible at the operator station. The warning signal should be in the frequency range 500 Hz to 2 500 Hz.

In-cab alarms shall be distinguishable by the operator from other sounds (i.e. warnings or machinery noise) in the operator's station.

NOTE This can be achieved by varying the spectral characteristics and the temporal distribution of the signals (see ISO 9533).

4.3.2.2 Visual devices

A green system-status light shall inform the operator that the system is powered and functional. The light may be continuous or turn off after the function check is completed.

The warning signals in the cab shall be mounted in direct view of the operator and be visible in direct sunlight. The warning signals shall be distinguishable from other instrument panel warnings; the most severe warning shall be a flashing red light.

4.3.2.3 External warning devices

If an external warning device is fitted as part of the HDS, then the external alarms shall comply with ISO 9533.

External visual warning devices, when fitted, shall be visible to people in the detection zone.

4.4 System activation and initial check

The system shall activate automatically on engine start, perform an initial system check and give a proper function indication. For visual aid systems, the indication of proper function shall be by a clear image of the detection zone on the monitor.

In the case of a HDS malfunction, a warning shall be given to the operator.

The system may enter stand-by mode until the relevant machine movement mode is selected.

If multiple cameras or sensors are fitted, the system shall automatically select the camera or sensor appropriate to the direction of travel.

4.5 HDS detection and response time

HDS detection and response time shall not exceed 300 ms after activation of the system or after waking from stand-by. The system detection and response time is the time from which the operator selects the relevant machine movement mode until the system is able to detect a person.

4.6 Operational integrity

4.6.1 General

The operational integrity of the HDS and VA shall comply with ISO 15998 and ISO 13766.

4.6.2 Continuous self-checking

The availability of an image of the detection zone on the monitor is sufficient as a monitoring function for a VA. An HDS shall have a permanent monitoring function including at least the following:

- a) an operating indication light (green);
- b) a stand-by indication light (flashing amber or green) (see 4.3.2.2);
- c) a visual and/or audible failure signal if the operation of the system is impaired, including monitoring of each link on the HDS, which includes the monitoring of all machine signals used for system operation, i.e.
 - wire break,
 - short-circuit,
 - time management (if applicable),

- signal output and signal input, and
- checking of the system.

4.7 System disablement

It shall not be possible to disable the warning device simply by switching it off. The activation of the warning device shall be so designed and installed that its reliable operation cannot easily be altered by the operator. Any exceptions shall be specified in accordance with Annexes B to F.

4.8 Physical environment and operating conditions

The HDS and VA shall comply with ISO 15998 in respect of the physical environment and operating conditions with the following exceptions:

- temperature: -30 °C to $+60\text{ °C}$;
- vibration: -10 g over 5 Hz to 100 Hz ($4,5\text{ g}$ for in-cab components);
- shock: -10 g for exterior components ($4,5\text{ g}$ for in-cab components).

NOTE The objective is to achieve, as the state-of-the-art progresses, the temperature, vibration and shock requirements of ISO 15998.

5 Marking and identification

Each device shall bear legibly and indelibly the following information:

- manufacturer;
- type/model;
- product/serial number;
- regulatory markings, as required.

6 Operator's manual

HDS and VA shall be supplied with an operator's manual containing installation, technical and safety instructions for the intended use of such systems, as follows:

- description of systems function;
- detailed description of performance and operating limits — in particular, the effect of different mounting heights and angles;
- information for job-site organization;
- weather limitations;
- topography limitations;
- instructions for maintenance;
- instructions for installation and assembly, including mounting location;

- instructions for activation;
- description of controls;
- instructions concerning safe operation;
- instructions for performance verification;
- instructions on action in the event of malfunction;
- information for connection with other components (if required);
- regulatory certifications, e.g. EMC and RF conformity test certifications (if required by the regional regulatory body);
- countries for which type approval has been achieved (if required);
- recommended routine for regular performance checks of the HDS and VA by the user;
- electrical supply requirements.

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Annex A (informative)

Selection of HDS and VA

A.1 Introduction

HDS and VA may be used to supplement the direct and indirect vision of the operator. In selecting HDS and VA, consideration should be given to the operator's information needs and his ability to respond to them. The operator has many demands on his attention and when selecting HDS and VA, careful consideration should be given to the form of information, visual or audible, that will be of most use to the operator when a hazard occurs. There is always a risk that visual information will pass unnoticed. Audible information will catch the attention of the operator but will be ignored if too many unwanted warnings are provided.

It is essential to note that HDS and VA have both advantages and disadvantages. There is no device that works perfectly to cover the desired detection zone in all situations. It is especially important that the shortcomings of HDS and VA be recognized and known to system users. However some of these may be offset by combining two or more technologies. The advantages and disadvantages of some techniques are summarized in Table A.1.

The basic technologies are being continuously improved. Therefore, some of the shortcomings could be addressed by future developments.

A.2 Consideration of the functional aspects of HDS and VA

A.2.1 General

The following machine functions, and operational and environmental aspects, of the HDS and VA should be considered.

A.2.2 Operator needs and ability to interface and use the system

These needs are, for example,

- tolerance of false alarm signals,
- time and frequency of observation for visual systems,
- potential for information overload where multiple HDS and VA are used,
- human factors, e.g. reaction time,
- training and instruction, and
- type of warning required by the operator or person in detection zone.

A.2.3 Operating environment

The operating environment can be, or be influenced by, for example,

- an open, congested or restricted site,
- the topography,
- site conditions, e.g. dust, water, light, contrast,
- weather, or
- sources of interference such as other machines, stronger reflectors or emitters.

A.2.4 Machine functions

These functions can be, for example,

- hazard zones to be covered,
- analysis of machine movement and application at job site,
- available mounting positions,
- anticipated movement speed,
- turning circle,
- articulation effects, or
- stopping distance.

A.3 Selection of HDS and VA

The system should be selected considering the following characteristics:

- visual or sensor detection;
- active or passive response;
- visual or audible warning or both;
- response time;
- detection zone;
- operational integrity;
- mounting security;
- overriding, muting and disablement requirements;
- unwanted alarms;
- maintenance, servicing and cleaning requirements;
- performance checking requirements, e.g. periodic detection zone verification.

Table A.1 — Some advantages and disadvantages of HDS and VA

Technology	Description	Advantages	Disadvantages	Range
Fresnel lens	A thin, flat lens using concentric circular grooves in its surface. The grooves act like prisms to bend and focus light.	Allows driver to see objects below the normal driving position line of sight.	Image can distort near envelope edges; external light can cause lens to be "flooded" with light; external light source needed; interpretation of image and judging distances may be difficult.	Horizontal: > 90°. Vertical: typically 2 m. Depends on mounting position.
Mirror	A reflective surface providing indirect vision.	Low maintenance and simple to use.	Requires good light conditions. Mounting can affect performance. Can be prone to mechanical damage.	Potentially long depending upon optical characteristics.
Discriminating external alarm	Uses a sensor to trigger warning alarm.	Activated when machine movement selected. Warns only when object detected.	Relies on pedestrian in path of machine taking avoiding action. Can be difficult to determine direction noise originating from. Potential for confusion if more than one machine is operating in close proximity.	Variable according to decibel output, frequency, mounting position and environmental characteristics.
Ultrasonic	Presence and distance of object measured by time of flight of reflecting pulses.	Accurate indication of target distance; both LED and audio signals to operator.	Time delay restricts usage to slow vehicles. Performance may be affected by adverse weather. Limited to operating at reverse speeds up to 10 km/h. Multiple sensors required to cover entire back area of machine. Detects any obstacle without discrimination. Limited mounting height above ground.	Horizontal: 6 m max.
Radar				
Fixed frequency Doppler	Microwave radiation is emitted and reflected from a moving object, frequency difference indicates motion.	Low cost; reflects well from most hazards; ignores dirt on surface of radar; not affected by snow, wind, rain, etc. Can be designed to detect speed and direction of object.	Difficulty sensing stationary objects. Distance can only be inferred from strength of reflected signal. Therefore at a given sensitivity the system will respond equally to large objects further away as to smaller objects closer to the sensor. Not fail safe. Can sense objects outside path of vehicle. Can only detect a person who moves.	Range unlimited (but see under "Disadvantages"). Span up to 160° by design.

Table A.1 (continued)

Technology	Description	Advantages	Disadvantages	Range
Switched frequency Doppler	See above, except the transmitted frequency is stepped between two or more frequencies.	Can measure range. Reflects well from most hazards; ignores dirt on surface of radar; not affected by snow, wind, rain, etc. Can be designed to detect speed and direction of object.	Range measured is the weighted average range of all targets. Therefore, small targets close to the sensor may be masked by larger targets further away. Not fail safe. Can sense objects outside path of vehicle.	Range unlimited, but see under "Disadvantages". Span up to 160° by design.
Pulse radar	Presence and distance of object measured by time of flight of reflecting pulses.	Can identify ranges of multiple targets.	Can sense objects outside path of vehicle.	Range may be limited. Span up to 160° by design.
Frequency modulated continuous wave (FMCW)	See above, except the transmitted frequency is swept from low to high and back again.	Can identify the ranges of multiple targets. Can be designed to detect speed and direction of object.	Can sense objects outside path of vehicle.	Unlimited. Span up to 160° by design.
Closed circuit television (CCTV)	Device uses wide-angle lens cameras with a monitor in the cab.	Scratch, dirt and water resistant; works in low light conditions.	Distortion makes distances hard to judge. Direct light into the camera causes visibility problems. Direct sun on monitor blocks the image. Objects in shadows are difficult to distinguish. Mud and dust on camera lens can distort the image. This can be removed by built-in wash/wipe systems.	Horizontal: up to 127°. Vertical: up to 115°.
Infrared				
Passive	Senses changes in infrared emissions from objects	Ideally detects the difference between a person and the background.	Sensitive to dirt, water, vibration. Cannot measure distance. Cannot distinguish a close person from a far hot engine.	May be limited in this application — see under "Disadvantages".
Active	Presence and distance of object measured by time of flight of reflecting pulses.	Unknown.	Unknown.	Unknown.
Contact	The brakes are activated after a switch is triggered by a pivoted bumper.	Simple and relatively inexpensive.	Not suitable for all machines. No prior detection. Not considered safe for pedestrian protection. Suitable only for very slow speed applications.	Determined by dimensions of device.

Table A.1 (continued)

Technology	Description	Advantages	Disadvantages	Range
Electromagnetic (radio frequency) signal transponder	Radio waves interact between the vehicle and "tags" worn by workers or other hazards.	Mutual warnings to both parties; monitors every direction.	Does not monitor anything without a tag. Radiated power is too weak to pass through the human body and to cover all the detection zone. They are directional, like the ultrasonic transponder (see E.4.1). Can detect a person outside the required detection area and requires a judicious choice of the radio frequencies.	Adjustable to 20 m in every direction.
Laser	Software programmable system using a pulsed laser and a revolving mirror.	Detection zone can be precisely configured. Different functions can be given to different zones (e.g. apply brakes, sound horn, etc.).	Can suffer from interference from direct sunlight. Heavy steam or plumes of smoke could act as a barrier. Another laser operating on the same wavelength could also signal an alarm. Lens requires frequent cleaning. Diode has limited (approx. 5 year) life.	Maximum practical range of 8 m and scan angle of 180°; beam thickness of up to 50 mm.
Ultrasonic transponder	Dual ultrasonic waves communicate between "detecting device" installed on the vehicle and "responder" worn by workers.	Can be adjustable for the detection range required. Sends an alarm directly to both the vehicle operator and the worker. Generates visual and audible warning to the operator and audible warning to the workers.	Does not detect anything without a responder.	Maximum detection range is 12 m that can be set in 1 m steps. Detection width is based upon selection of transducer. For example: transducer can be provided as 20°, 30°, 40° and 60° directivity.
Colour recognition CCTV	CCTV image analysed to detect specific colour worn by workers.	Mutual warnings to both parties; monitors within camera's field of view.	Does not monitor anything without a colour tag.	10 m to 15 m width depending upon camera lens angle.

Annex B (normative)

Test procedure for closed-circuit television (CCTV) systems — Additional performance requirements and tests

B.1 Introduction and purpose of tests

The tests are designed to measure the performance of CCTV systems intended for use on earth-moving machinery. Aspects of the performance to be determined are

- a) overall quality of the image presented in terms of TV lines resolved or equivalent, based on the scale on the test body (see B.2) reading that can be resolved,
- b) limit of operational light levels to maintain minimum proposed resolution,
- c) vertical and horizontal fields of vision for the system,
- d) detection distance (see 4.3.1),
- e) masking due to direct exposure to high intensity light, and
- f) time taken for the system to respond fully to rapid changes in light levels.

The tests are not designed to measure aspects of performance due to the camera's height. The performance criteria laid down in these tests assume operating conditions of at least 50 lx average and 20 lx minimum measured illuminance.

B.2 Test body

The Rotakin test body described in EN 50132-7:1996, Annex A, shall be used.

B.3 Test areas

For internal tests: 5 m × 5 m level floor area. For external tests: 30 m × 8 m level area.

B.4 Test environment

The test environment shall be evenly lit, free from shaded areas and reflections in field of view.

B.5 Mounting and set-up

B.5.1 Assembly

The CCTV system shall be assembled according to the manufacturer's instructions.

B.5.2 Positioning and alignment

B.5.2.1 Camera

The camera shall be aligned vertically and horizontally to the test body.

B.5.2.2 Test body

The test body shall be vertical and shall face the camera at the centre of the field of view, and at 90° to the optical axis of the lens.

Unless otherwise stated, the image of the test body shall occupy the entire vertical field of view of the monitor.

B.5.2.3 Monitor

The monitor shall be mounted, positioned and aligned so that it is normal to the observer's face, at a comfortable height, and shall be free from glare and reflections.

B.6 Horizontal test

B.6.1 Light levels

Light levels shall be greater than 50 lx and less than 50 000 lx.

B.6.2 Test procedure

Position the test body away from the camera so that the image occupies 25 % of the vertical height at the centre of the monitor screen. Measure and record the distance from the camera to the test body. Move the test body along an arc to the point where the vertical centre line of the image of the test body is at the edge of vision in the monitor screen. Mark the point.

Similarly, establish and mark the point at the opposite side of the monitor screen. Measure and record the distance between the two marks and calculate by trigonometry the horizontal field of view.

B.7 Vertical test

B.7.1 Light levels

Light levels shall be greater than 50 lx and less than 50 000 lx.

B.7.2 Test procedure

Rotate the camera and monitor through 90°. Position the test body away from the camera so that the image occupies 25 % of the vertical height at the centre of the monitor screen.

Measure and record the distance from the camera to the test body.

Move the test body along an arc to the point where the vertical centre line of the image of the test body is at the edge of vision in the monitor screen. Mark the point. Similarly establish and mark the point at the opposite side of the monitor screen.

Measure and record the distance between the two marks and calculate by trigonometry the vertical field of view.

B.8 Range

B.8.1 Light levels

Light levels shall be greater than 50 lx and less than 50 000 lx.

B.8.2 Test procedure

Position the test body at a point along the optical axis of the camera so that the screen height of the test body image is 7,0 mm. Measure and record the distance from the test body to the camera.

NOTE The effective operating range of the system is based upon a minimum screen height of 7,0 mm. This is approximately 10 % of the vertical screen height, which is normally considered acceptable for visual detection purposes.

B.9 Additional tests

B.9.1 System resolution

B.9.1.1 Light levels

Light levels shall be greater than 20 lx and less than 200 lx.

B.9.1.2 Test procedure

Position the test body away from the camera so that the image occupies 100 % of the vertical height at the centre of the monitor screen.

Determine and record the CCTV system resolution from the scale on the test body reading from set H to set A.

B.9.1.3 Test criteria

A resolution of better than 200 TV lines or equivalent [see B.1, a)] shall be achieved.

B.9.2 Effect of light on resolution

B.9.2.1 Test procedure

Repeat the procedure according to B.9.1.2 in appropriate steps, from the lowest to the highest light levels stated in the manufacturer's specification. Record the light level at which minimum acceptable resolution level is observed.

B.9.2.2 Test criteria

A resolution of better than 200 TV lines or equivalent [see B.1, a)] shall be achieved across the specified light level range or the specified light level range reduced to that equivalent to 200 TV lines.

B.9.3 Edge distortion

B.9.3.1 Light levels

Light levels shall be greater than 50 lx and less than 50 000 lx.

B.9.3.2 Test procedure

Position the test body away from the camera so that the image occupies 25 % of the vertical height at the centre of the monitor screen.

Measure and record the distance from the camera to the test body.

Move the test body along an arc to a point indicated by an observer as being at the edge of vision in the monitor screen.

Measure and record the height of the image of the test body.

B.9.3.3 Test criteria

No reduction in the image dimensions shall be observed at the edge for 25 % of the test body height.

B.9.4 Screen edge resolution

B.9.4.1 Light levels

Light levels shall be greater than 50 lx and less than 50 000 lx.

B.9.4.2 Test procedure

Position the test body at 100 % of the vertical height of the monitor screen.

Move the test body to one side of the screen.

Measure and record the resolution.

Rotate the test body through 180° and repeat the procedure for the opposing screen side edge.

B.9.4.3 Test criteria

A minimum resolution of 200 TV lines or equivalent [see B.1, a)] shall be achieved. If this resolution is not achieved across the entire monitor screen, the field of view shall be specified as the angle at which the resolution is achieved.

B.9.5 High intensity light effect

B.9.5.1 Light levels

Test to be carried out outdoors in direct sunlight.

B.9.5.2 Test procedure

Point the camera straight at the sun to produce a masking on the monitor.

Position the test body close to, and in front of, the camera and move the test body directly away from the camera until the image of the test body is wholly obscured by the masking.

Measure and record the distance from the camera to the test body, and the width of the masking. This measurement shall be taken at the shoulder point of the test body.

B.9.5.3 Test criteria

Unless otherwise specified, the maximum width of the masking shall be no more than 5 % of the viewable screen width.

B.9.6 Recovery from radical change in light levels

B.9.6.1 Light levels

Place the test body against a brightly lit background, in an elevated position so that it is visible with little or no background objects on the monitor.

B.9.6.2 Test procedure

Place a shutter over the camera and hold for 5 s.

Remove the shutter.

Measure and record the time taken for the silhouette of the test body to be clearly discernible.

B.9.6.3 Test criteria

Unless otherwise specified, the recovery time shall be no more than 1,5 s.

B.10 Functional tests

Verify the operation of any additional features of the system, such as mirror/normal image and day/night setting for the monitor.

NOTE Some systems can be switched for forward or rearward use. In forward use the image on the monitor is usually set to normal image, while for rearward use the image on the monitor is usually set to mirror image. If a two-camera system — one for forward use and the other for rearward use — is to be connected to a single monitor, then automatic switching between mirror and normal image can be required.

B.11 Recording

B.11.1 Information

The following information about the CCTV system shall be recorded:

- technical specifications for the monitor and camera;
- cable length;
- model number;
- serial number;
- test date(s).

B.11.2 Test values

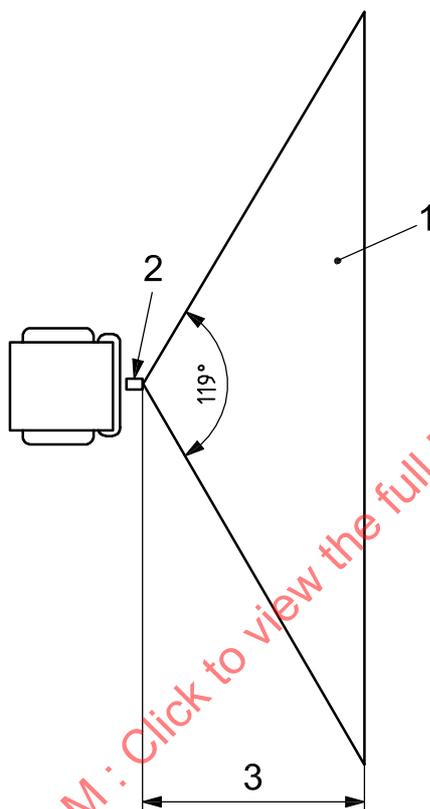
The test values obtained from tests B.6, B.7, and B.8 shall be recorded, as illustrated in Figures B.1 and B.2.

B.11.3 Actual values

The actual values measured for the tests in B.9 shall be recorded with the corresponding test criteria.

B.11.4 Functional performance

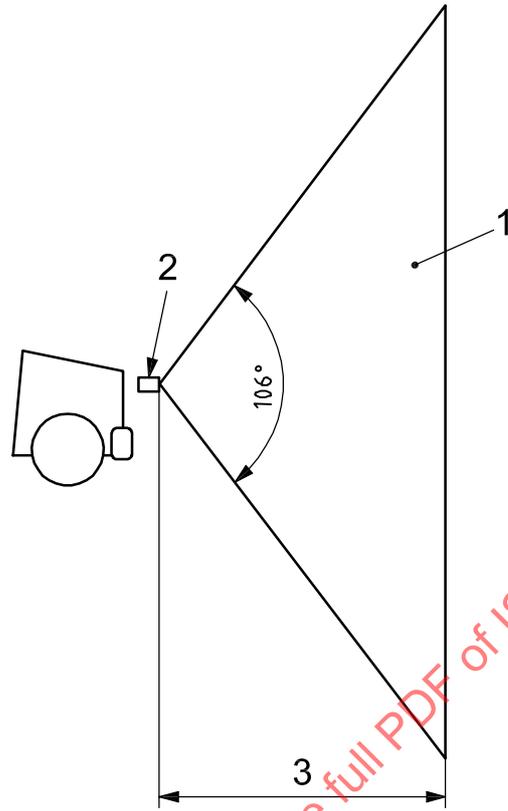
Functional performance from test B.10 shall be recorded as either a positive or negative result for each function.



Key

- 1 recorded angle and range
- 2 camera
- 3 range, in metres

Figure B.1 — Horizontal field of view



Key

- 1 recorded angle and range
- 2 camera
- 3 range, in metres

Figure B.2 — Vertical field of view

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Annex C (normative)

Test procedure for radar sensors

C.1 Introduction

This test procedure describes the method for determining the detection zone for radar systems used to detect obstacles near earth-moving machinery. The procedure is designed to identify the geometry of the three-dimensional zone within which a person will be reliably detected.

This procedure does not evaluate the position of that zone with respect to the machine on which the radar sensor is to be fitted. Practical considerations regarding the application of this zone to earth-moving machines are given in C.11.

C.2 Test body

The test body shall be that part of a human body which will protrude into the detection zone. In some situations around the edge of the detection zone, the first part of the body to protrude into the zone will be the head. This test procedure will therefore use the detection of the head alone as the point of measurement for the edges of the detection zone.

NOTE The consistency of results with real people of varying sizes has been found to be greater than those with artificial substitute objects. The test procedure has therefore been designed to accommodate the use of a real person.

C.3 Test area

The test area shall be an open space on flat terrain with a dry sand and/or gravel base. No rocks, foliage, or debris larger than 8 cm in diameter shall be in the test area. No large objects such as buildings or heaps shall be within approximately 50 m directly in front of the radar system. No large objects shall be within 25 m of either side of the radar system. All personnel, except whoever is conducting the test, shall remain in an area where they will not be detected by the radar system.

Mark out a rectangular grid with lines at 1 m intervals over an area equal to the expected detection zone.

C.4 Test environment

The test environment shall be in accordance with 4.1.3.

C.5 Radar mounting locations

The radar shall be mounted on a static stand in the manner described in the test procedure. No part of the stand shall be within the detection zone of the radar.

The radar mount shall have a facility to tilt the radar in the vertical plane through the angle required by the test procedure and a means of measuring the angle of tilt.

C.6 Test procedure

C.6.1 General

Radar sensors emit and receive within a conical beam that can have a circular or elliptical cross-section. By measuring the horizontal and vertical limits of the detection zone, the full geometry of the zone can be constructed as shown in Figure C.1.

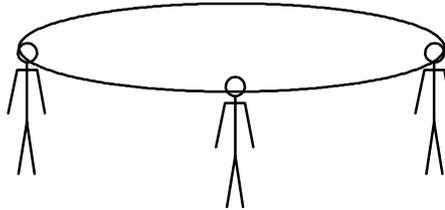


Figure C.1 — Measuring full geometry of detection zone

C.6.2 Measuring horizontal limits

Position the radar as normally oriented on the machine but with the radar beam extending horizontally and the centre of the radar beam at the height of the nose on the head of the test person.

Position the warning device where it can be heard by the test person and the person conducting the test.

Move the test person 0,5 m away from the radar on the centre line of the anticipated detection zone. If the warning device indicates detection, move the test person sideways in each direction until detection does not occur. Record the last position where detection occurs on each side. Record any position between these points where detection does not occur.

Move the test person a further 0,5 m away from the radar and repeat the above procedure.

Repeat the procedure at 1 m intervals away from the radar until detection does not occur.

Record the horizontal limits of the detection zone. Record any areas within this zone where detection did not occur.

C.6.3 Measuring vertical limits

Position the test person 0,5 m away from the radar on the centre line of the horizontal detection zone. If detection occurs, tilt the radar upwards until detection does not occur and record the maximum angle of upward tilt where detection does occur.

Repeat this test at 1 m from the radar and at further intervals of 1 m until the end of the horizontal detection zone.

Invert the radar in the mounting so that the normal top of the detection zone is at the bottom. Check that the centre of the beam is again level with the nose of the test person.

Repeat the above test to record the angle at which detection occurs at each distance from the radar.

C.7 Adaptation of test procedure for different types of radar

Certain types of radar sensor may include relative movement between radar and test object in the detection strategy. If this function is optional, it should be switched off for the purposes of this test. If it cannot be switched off then the test person may mimic the movement required.

Record whichever of the following applies:

- a) no movement required for detection strategy;
- b) movement requirement switched off;
- c) movement is always required.

If movement is always required, record the type of movement required, the speed and/or the distance.

C.8 Adaptation of test for radar sensors with programmable detection zones

Where a radar sensor can be programmed to create a variety of detection zone shapes and sizes, the tests specified in C.6.2 and C.6.3 shall be used to confirm the maximum dimensions of the detection zone that can be programmed as specified by the manufacturer.

C.9 Recording maximum detection points

The maximum detection points for the horizontal plane shall be shown on a diagram with a 1 m grid as illustrated by the example shown in Figure C.2.

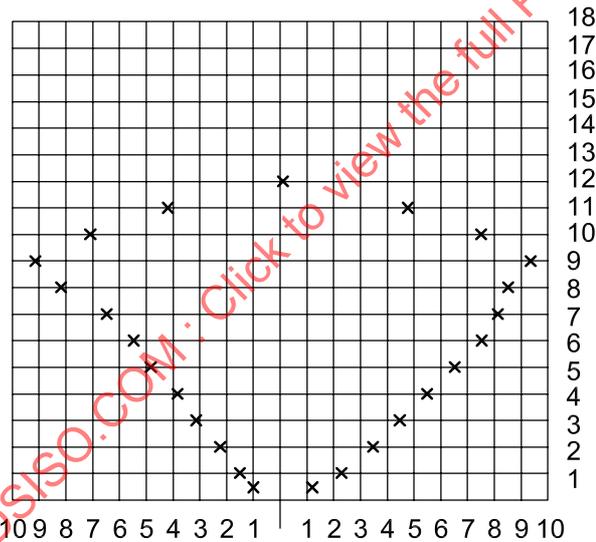


Figure C.2 — Maximum detection points for horizontal plane

The maximum detection points for the vertical plane shall be shown on a diagram with arcs at 1 m spacing, as illustrated in the example shown in Figure C.3.

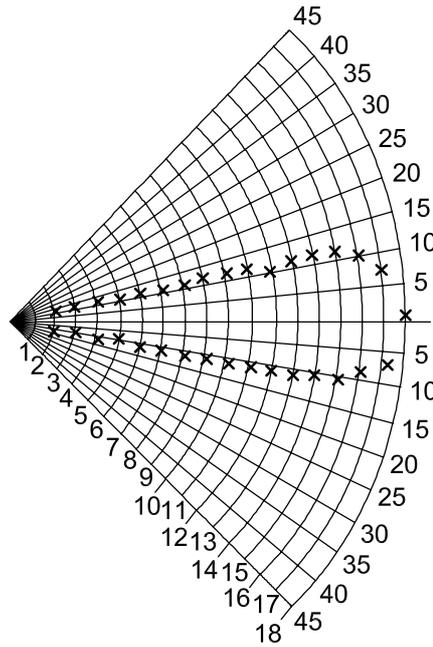


Figure C.3 — Maximum detection points for vertical plane

C.10 Additional testing

C.10.1 Alarms from large objects outside the detection zone

Large structures, such as other machines, could be detected at wider angles and greater distances than a person and can generate unwanted alarms. The test according to C.6.2 shall be repeated using a trihedral metallic reflector as the test object, as shown in Figure C.4. Hold the test object at the height of the horizontal axis of the radar beam with the concave surface pointing toward the radar. Record the detection zone in the same manner as for a person.

NOTE As it has proven difficult to obtain consistent results with any test object designed to simulate a large structure, this test needs to be regarded as an indication only of the detection zone for large structures.

Dimensions in millimetres

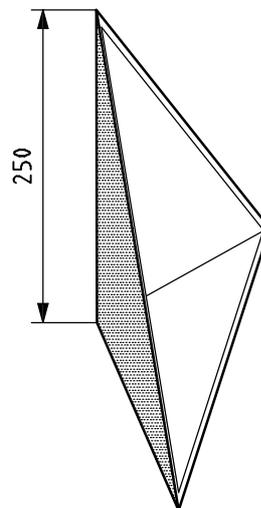


Figure C.4 — Test body simulating large structure

C.10.2 Detection suppressed by large objects in background

Certain methods of radar range measurement can cause large objects in the background to dominate the range measurement and mask the presence of smaller objects closer to the radar. The manufacturer shall state whether such methods are used and explain any additional strategies used to minimize this effect.

A standard test of this effect can give misleading results as it might not take into account any additional strategies to minimize this effect. Where such methods are used, the manufacturer shall describe a test using the test object in Figure C.4 to demonstrate the extent to which this effect has been reduced.

C.11 Practical considerations for mounting radars on machines

The manufacturer shall include in the instruction manual practical considerations for mounting radars on machines, with regard to the following.

The above tests describe the three-dimensional zone within which detection will occur if a sufficiently large part of a person penetrates this zone. The tests are conducted with a person, but other objects, including the ground, may also be detected. The detection zone should therefore be positioned to intercept a person but to avoid intercepting the ground, as this can cause unwanted alarms.

Consideration shall be given to the fact that the vertical limits measured apply only on the horizontal centre line. The depth of the conical zone tends to zero at the horizontal limits, as shown in Figure C.2.

Ideally, the radar should be mounted at a height less than 1,5 m. If this is impractical, then the detection zone close to the radar may be above the height where a man will be detected. If the radar beam is tilted down to improve detection close to the radar, unwanted alarms may occur from the ground.

This should be checked on ground typical of the intended working environment as the smooth, dry ground of a typical test site will cause less reflection back towards the radar than wet, rutted mud. Some ground, notably sand, may not cause unwanted alarms even when the radar is tilted towards it.

The radar should normally be mounted so that no part of the machine intercepts the detection zone in order to avoid unwanted alarms. However, certain radars can include detection strategies that will ignore objects which have no movement relative to the radar. The manufacturer should indicate where this applies.

Annex D (normative)

Test procedure for ultrasonic detection systems

D.1 Introduction and purpose of tests

The tests are designed to measure the performance of ultrasonic detection systems intended for use on earth-moving machinery.

Aspects of the performance to be determined are the following:

- overall performance criteria of the system;
- the performance criteria and limits of detection zone;
- the criteria for location and fixing of the components;
- the operational system's reliability;
- deactivation of the system;
- the detection time;
- physical environment conditions (vibration, shock, temperature, humidity).

The dimensions shown in Figures D.1 to D.3 are for illustrative purposes only and are based upon an example where a 6,0 m range is required. The specific range required depends upon the application of the machine.

This annex describes requirements and tests of ultrasonic detection systems for earth-moving machinery with an operational ³⁾ reverse speed of up to 10 km/h.

NOTE Performance requirements and tests of ultrasonic detection systems for slow-moving earth-moving machines (operational reverse speed of up to 3,0 km/h) are determined according to ISO/TR 9953.

D.2 Test body

The geometry of the monitoring area is tested with the following test objects.

D.2.1 Test body H for horizontal testing

Test body H for the horizontal test consists of a tube 75 mm in diameter and of length 1 700 mm, of grey colour and of hard plastic or metallic construction.

D.2.2 Test body V for vertical testing

Test body V for the vertical test consists of a tube 75 mm in diameter and of length 300 mm, of grey colour and of hard plastic or metallic construction.

3) Typical working mode of wheel loaders, dumpers etc., when reversing.

D.3 Test area

D.3.1 Detection zone shape

The horizontal and vertical detection zone is defined by the dimensions shown in Figure D.1 and Table D.1.

D.3.2 Test surface

The test surface shall be a levelled and firm plane of at least 5,0 m width and 8,0 m length.

D.4 Test environment

The conditions given in 4.1.3 apply with the following addition:

- wind velocity $\leq 5,4$ m/s.

D.5 Mounting and set-up

D.5.1 Location and fixing of sensor(s) on machine

The sensor(s) shall be so located and arranged on the machine that the detection zone as specified in D.3.1 is covered.

The number of sensors to be used depends on the machine width ⁴⁾ and the beam shapes of the sensors.

The device shall be permanently affixed to the machine.

D.5.2 Location and fixing of evaluation device

The evaluation device shall be located inside the operator's station or at an adequate location on the machine so as to prevent environmental and high vibration or shock loads (see 4.8 for physical environment performance criteria required for the device).

D.5.3 Location and fixing of warning (audible and visual) device

The device shall be located at the operator's station and shall meet the requirements of 4.3.2.

4) Experience has proven that with the current type of ultrasonic sensors with a reach of up to 6,0 m, the following arrangement is required: location of the first sensor, left and right: ≤ 500 mm from the machine outside boundary. The number of sensors in relation to the machine width is as follows:

Machine width mm	Number of sensors
$\leq 2\,500$	4
$\leq 3\,000$	5
$\leq 3\,500$	6

The sensors should be symmetrically arranged.

D.5.4 Actuation of system

The system shall be provided with a stand-by mode. A separate actuation of the warning device shall be provided that is activated in the reverse operating mode.

The system shall meet the requirements specified in 4.5.

D.5.5 Detection time

The detection time shall be in accordance with 4.5, together with the following: this time shall be calculated as an arithmetic mean of at least 50 measurements in the course of which a test body (as specified in D.2) is moved at a speed of 1 m/s from outside the detection zone to the 3,0 m grid position, i.e. the trigger point for the time measurement.

D.5.6 Operational reliability

D.5.6.1 Systems check

The systems check shall control the function of the whole system and be confirmed by a short audible signal of less than or equal to 10 ms. The systems check shall be automatic after activation of the system. The system shall meet the requirements of 4.5.

D.5.6.2 Malfunction warning

In case of a malfunction, a warning shall be given to the operator.

D.5.6.3 Operational integrity

The system shall meet the requirements of 4.6.

D.5.7 Tests

D.5.7.1 Adjustment of system

Before starting the test procedure, the system shall be adjusted as follows:

D.5.7.1.1 Adjustment of warning ranges

If the system has two or three warning ranges, these ranges shall be adjusted at the evaluation unit following the system manufacturer's instruction.

EXAMPLE A system with a 6,0 m maximum range is adjusted to the 2,0 m (emergency warning), 4,0 m (pre-warning) and 6,0 m (warning) ranges.

D.5.7.1.2 Sensor adjustment

D.5.7.1.2.1 Height adjustment of the monitoring area

Use the vertical test body, V, and place it at the sensor centre line at maximum reach (as adjusted — see D.5.7.1.1 — less 100 mm) and move the test body from the ground up to the position where test body V is detected (visual and acoustic signal). Note the height (centre line of test body V) above the ground and adjust the sensor so that this height is 800 mm (–100 mm).

D.5.7.1.2.2 Horizontal adjustment

Use the horizontal test body, H, and place it at half the distance of the maximum reach (as adjusted — see D.5.7.1.1), on both the left and right 100 mm outside of the detection zone as defined in D.3.1.

Adjust the two outer sensors left and right so that test body H is detected.

The other sensors shall be adjusted perpendicularly to the machine longitudinal axis.

D.5.7.1.3 Verification of detection warning ranges

If the system has two or three warning ranges, verify the correct adjustment of the range by placing test body H 100 mm before and after the adjusted range (see D 5.7.1.1 and Figure D.2).

For example, for a 2 m warning range:

- at 1,9 m distance from the sensor: emergency warning.
- At 2,1 m distance from the sensor: pre-warning.

D.5.7.2 Static test of detection zone

The test method shall be performed on a system that is fitted to the machine.

If the test is performed without a machine, then the sensors shall be arranged 1,2 m above the test surface as specified in D.3.2 and located in accordance with D.5.1.

D.5.7.2.1 Horizontal test

Position test body H statically, with the longitudinal axis in the detection zone, standing perpendicular to the ground, so that its longitudinal axis is in the grid position of each measuring point shown in Figure D.2.

D.5.7.2.2 Vertical test

Position test body V statically, and horizontally in the detection zone, so that its three-dimensional centre is situated in the specific grid position of each measuring point as shown in Figure D.3.

D.5.7.3 Evaluation of the test result

Test bodies H and V shall be detected statically in all grid positions. Detection shall take place unambiguously with an uninterrupted sequence of the signal appropriate to the measuring distance. If the test body is not detected in one position, displace the position to the left and right (test body H) or up and down (test body V) by the width (diameter) of the test body.

The test body shall be detected perfectly in both positions.

D.6 Test report

The test report shall include the following information.

a) System identification

- 1) Manufacturer:
- 2) Model:

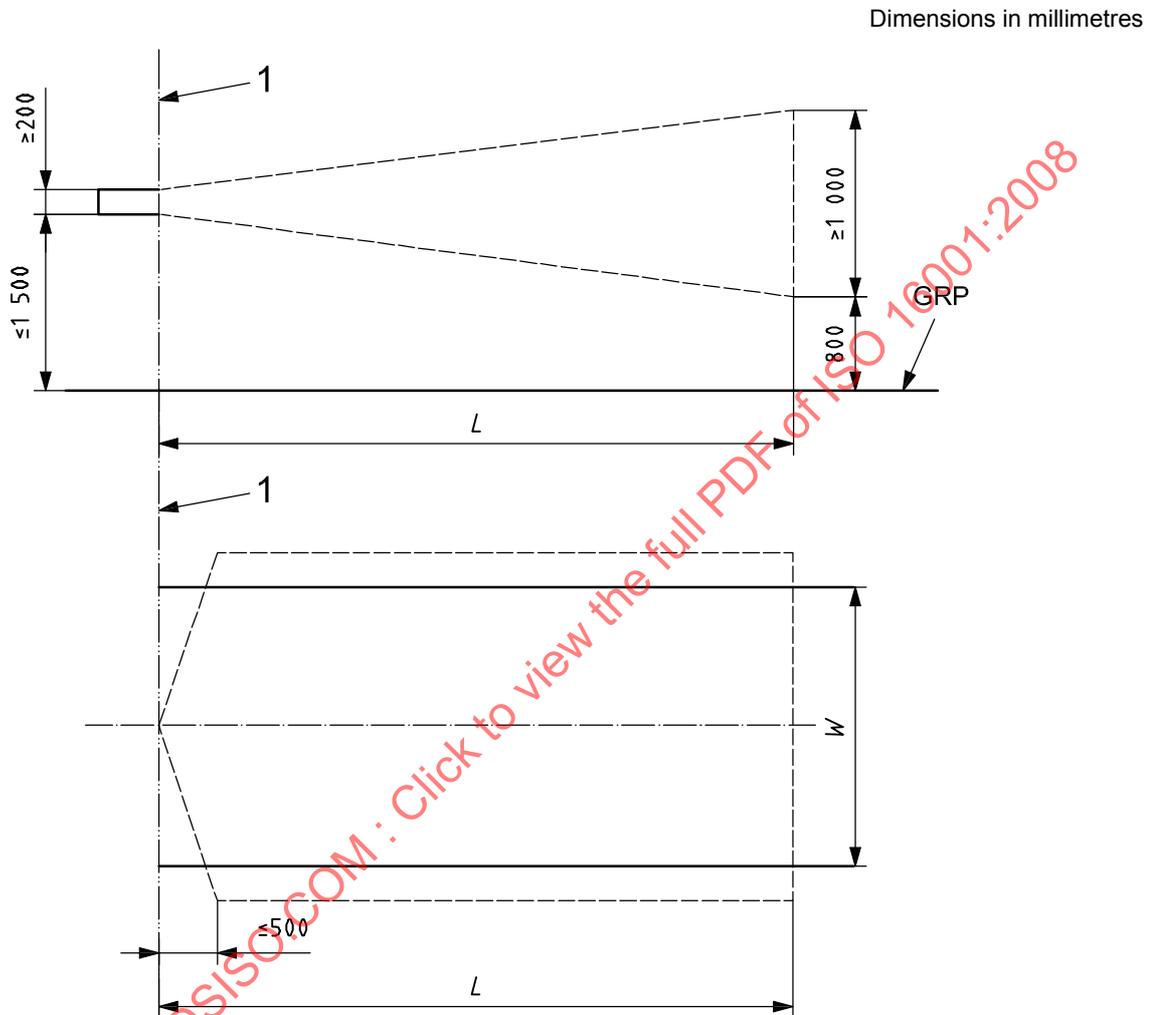
- 3) Identification
 - i) Evaluation device (part number and serial number):
 - ii) Warning device (part number and serial number):
 - iii) Centre(s) (part number and serial number):

b) Test conditions

- 1) Test surface:
- 2) Test environment
 - i) Wind velocity (m/s):
 - ii) Temperature (°C):
 - iii) Relative humidity (%):
- 3) Mounting and set-up
 - i) Detection zone:
 - I) width: mm;
 - II) length mm.
 - ii) Sensor fixing:
 - I) machine:
 - II) test bar:
- 4) Sensor arrangement
 - i) distance from the outside boundary: mm;
 - ii) distance between sensors: mm;
 - iii) adjustment of warning ranges:
 - iv) emergency warning: m;
 - v) pre-warning: m;
 - vi) warning: m.
- 5) System performance
 - i) System activation/check (see D.5.6.1):
 - ii) Actuation time (see D.5.4):
 - iii) Detection time (see D.5.5):
 - iv) Malfunction control (see D.5.6.2):
 - v) Operational integrity (see D.5.6.3):
 - vi) Physical environment (see D.4):

c) Test result

- 1) Horizontal test (see D.5.7.2.1):
- 2) Vertical test (see D.5.7.2.2):
- 3) Warning ranges (see D.5.7.1.3):



Key

- 1 reference line (front of the sensor)
- L basic length
- W basic width, relating to the required detection zone width
- GRP ground reference plane

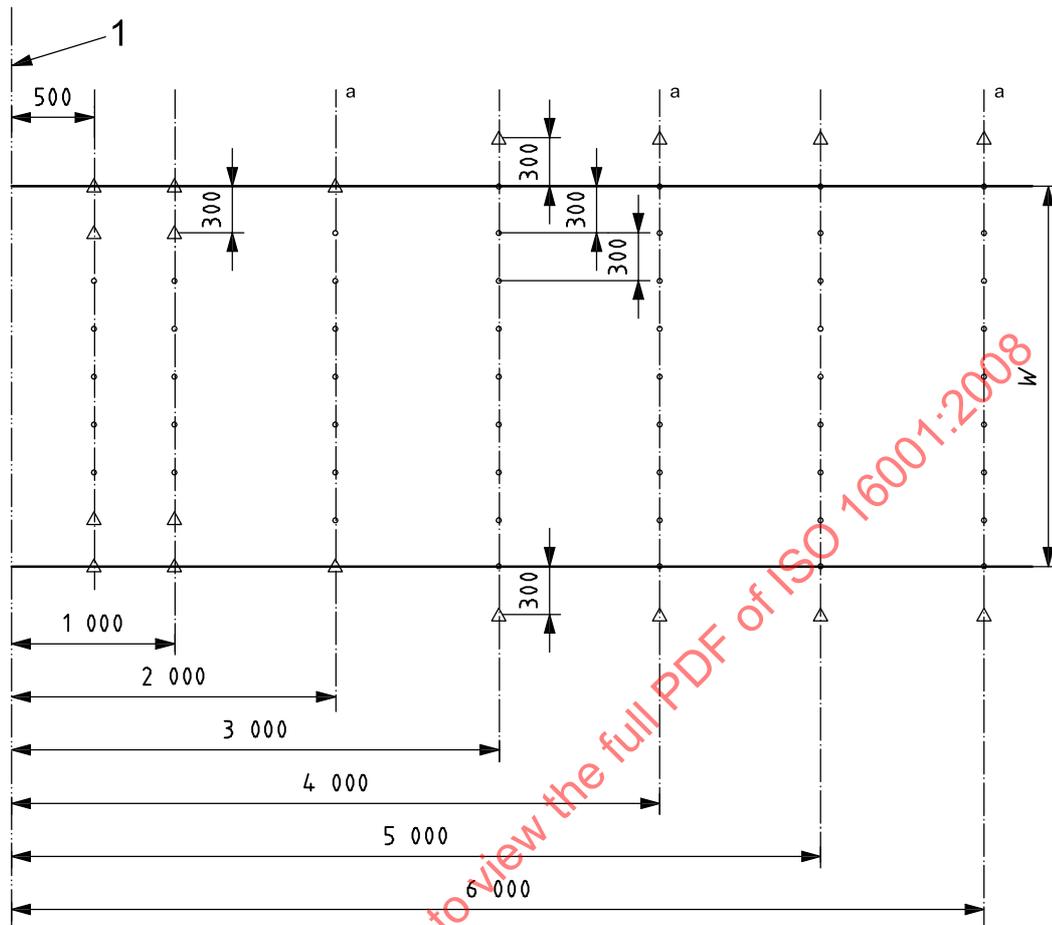
Figure D.1 — Detection zone shape

Table D.1 — Detection zone size

Max. operational reverse speed	L m	W m
a	$\geq 6,0$	As specified by the system manufacturer

^a Usual rearward operating speed: 0–10 km/h in a work cycle.

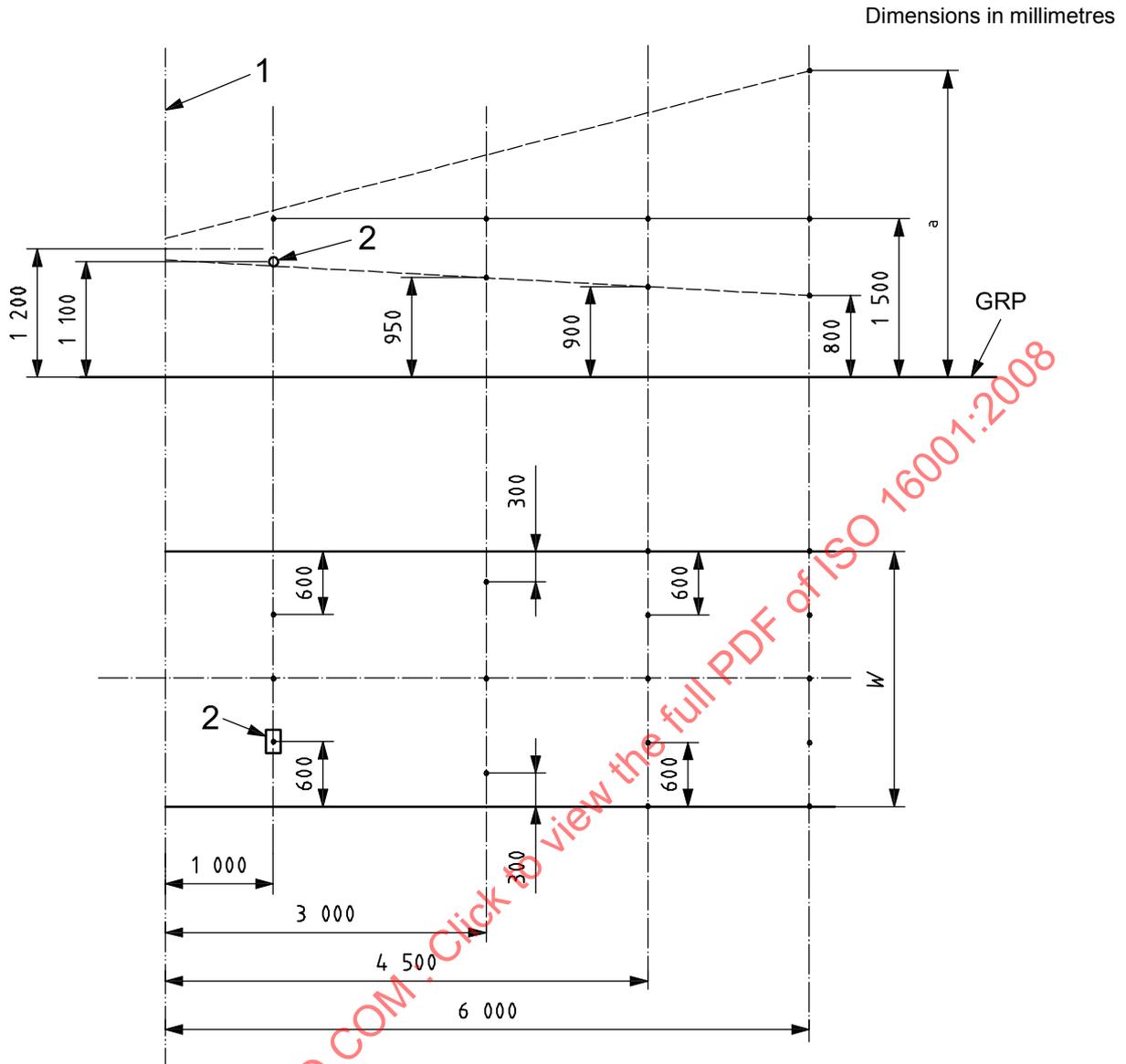
Dimensions in millimetres



Key

- 1 reference line (front of the sensor)
- measuring point with test body H
- Δ optional measuring point
- W width
- a See D. 5.7.1.3.

Figure D.2 — Horizontal detection zone and test grid for static test



If systems are used with warning ranges, make the measurements 100 mm before and/or behind each warning range.

Key

- 1 reference line (front of the sensor)
- 2 test body
- measuring point
- GRP ground reference plane
- W* width

^a Maximum.

Figure D.3 — Vertical test grid for static test

Annex E (normative)

Test procedure for ultrasonic transponder systems

E.1 Introduction and purpose

An ultrasonic transponder system is a system by means of which a worker is detected by dual ultrasonic wave communication between a *detecting device* installed on the machine and a *responder* worn by the worker.

This annex provides a test method for verifying the detection zone necessary to prevent accidental contact between the machine and the worker and confirming that the worker who enters the detection zone is detected without fail.

E.2 Test body

The test body shall be a responder worn by a dummy which represents the 50th percentile human body (see Figure E.1). Responders shall be fitted to a helmet, vest or any other outfit a construction worker can wear.

E.3 Test condition

E.3.1 Test area

The test area shall be a flat surface with a minimum width of 20 m and a minimum length of 20 m.

E.3.2 Test environment

This shall be as follows:

- a) temperature in accordance with 4.1.3;
- b) relative humidity in accordance with 4.1.3;
- c) wind velocity of 10 m/s or less.

E.3.3 Mounting of transducer

E.3.3.1 Height and angle

The horizontally set responder position and vertically offset responder position are shown in Figure E.1.

E.3.3.1.1 Horizontally set position

The transducer and responder shall be set at 1,5 m above the ground. The transducer shall be rotated at the position. The detectable rotating angle, clockwise and anticlockwise (counter-clockwise), shall be measured.

E.3.3.1.2 Vertically offset position

The transducer shall be set at 2,5 m above the ground. The responder shall be set at 1,0 m above the ground and at an angle approximately 20° lower in respect to the transducer. The detectable rotating angle, clockwise and anticlockwise (counter-clockwise), shall be measured.

E.3.3.1.3 The distance between the transducer and the responder

The distance, R , between the transducer and the responder shall be set to 0,5 m, 1,0 m, 2,5 m, 5 m, 7,5 m and 10 m.

E.3.3.1.4 Measuring horizontal and vertical patterns

Measurement of the horizontal pattern should be carried out with the transducer positioned as shown in Figure E.2 a).

Measurement of the vertical pattern should be carried out with the transducer positioned as shown in Figure E.2 b).

E.3.4 Checking the detection

Whether or not the transducer detects the responder in the measuring range shall be validated by the visual and/or audible signal integrated in this system.

E.3.5 Criteria

The detection range obtained shall be expressed as shown in

- Figure E.3 for (e.g. 60°) oval type transducers, or
- Figure E.4 for (e.g. 30° × 60°) ellipse type transducers.

E.3.5.1 Detection zone distance

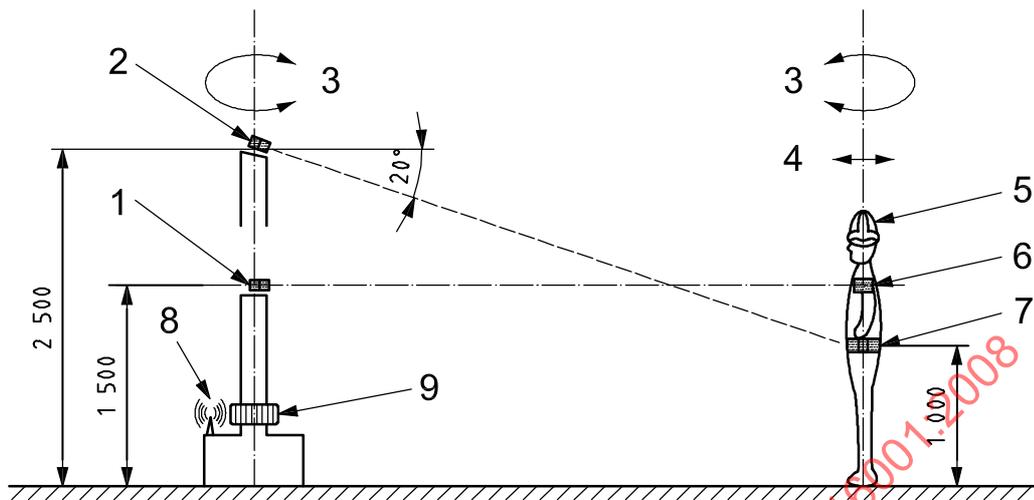
The difference between the maximum distance set at the transducer and the maximum distance at which the responder actually responds shall be $\pm 10\%$ or less at the horizontally set position.

E.3.5.2 Detection zone width

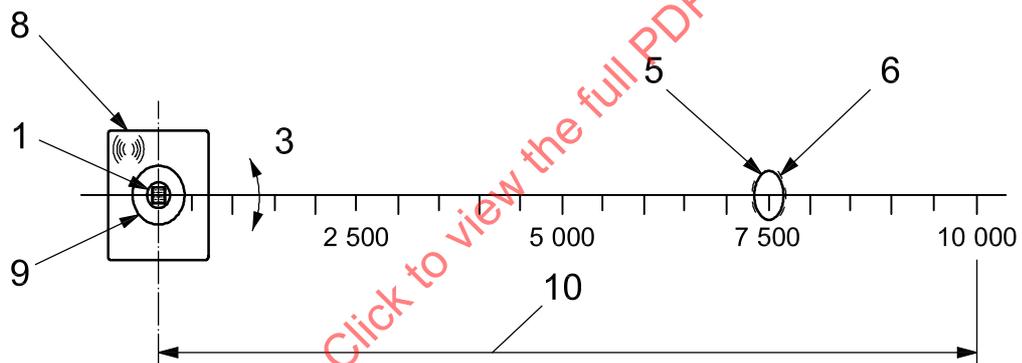
The difference between the nominal width set at the transducer and the width where the responder first responds shall be $+20\%$ or less, and -10% or more, at the horizontally set position.

The difference between the nominal width set at the transducer and the width where the responder first responds shall be $\pm 20\%$ or less at the vertically offset position.

Dimensions in millimetres



a) Side view

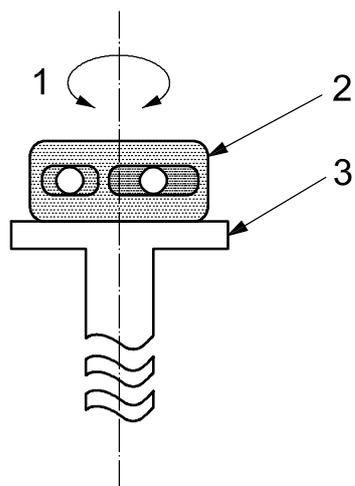


b) Top view

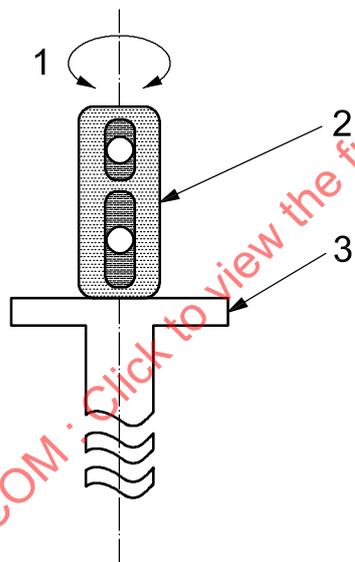
Key

- | | | | |
|---|-----------------------|----|-----------------------|
| 1 | first transducer | 6 | first responder |
| 2 | second transducer | 7 | second responder |
| 3 | direction of rotation | 8 | alarm indication unit |
| 4 | direction of movement | 9 | rotation pedestal |
| 5 | dummy | 10 | detection area |

Figure E.1 — Detection area measurement



a) Horizontal pattern

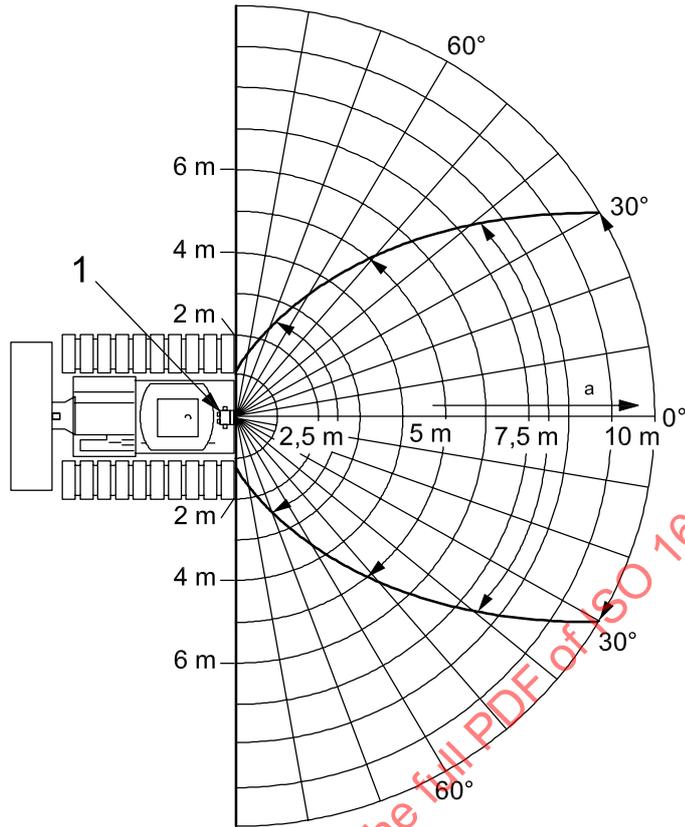


b) Vertical pattern

Key

- 1 direction of rotation
- 2 transducer
- 3 rotation pedestal

Figure E.2 — Horizontal and vertical pattern measurement

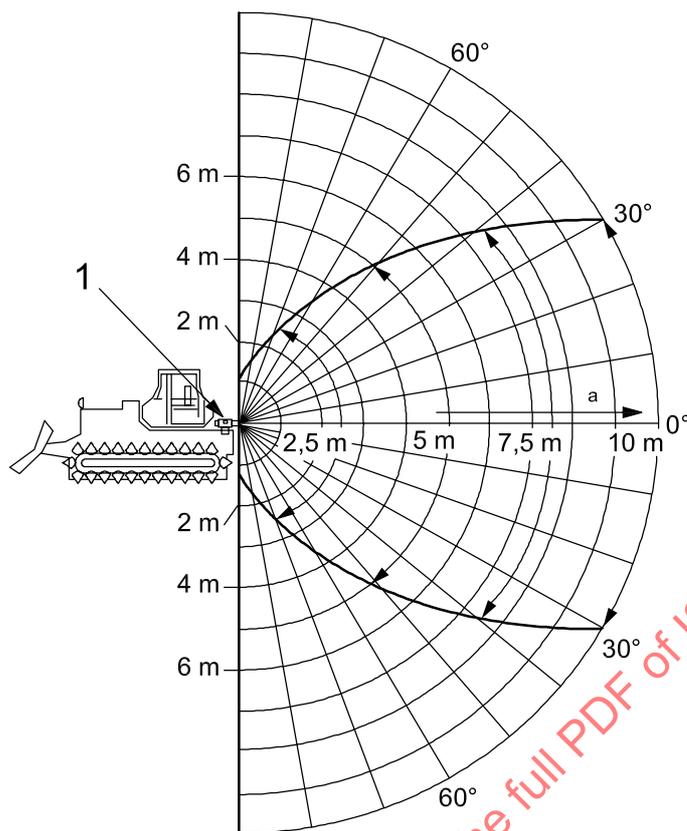


Detection range vs. angle

Range (m)	0,5	1,0	2,5	5,0	7,5	10,0
Angle (degrees)	180	180	130	100	75	60

a) Horizontal pattern

Figure E.3 (continued)



Detection range vs. angle

Range (m)	0,5	1,0	2,5	5,0	7,5	10,0
Angle (degrees)	180	180	130	100	75	60

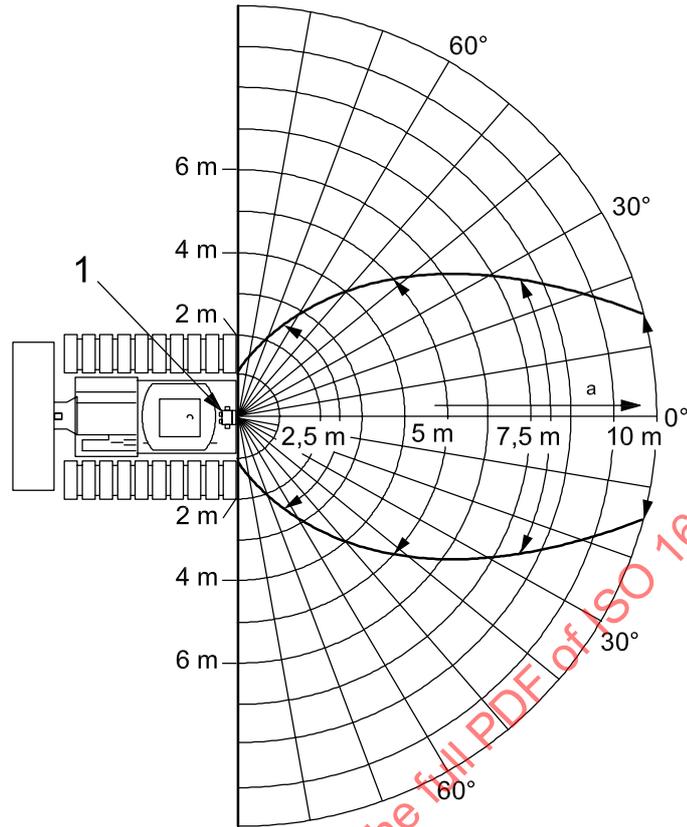
b) Vertical pattern

Key

1 transducer

a Detection range.

Figure E.3 — Detection area (60° oval type transducer)

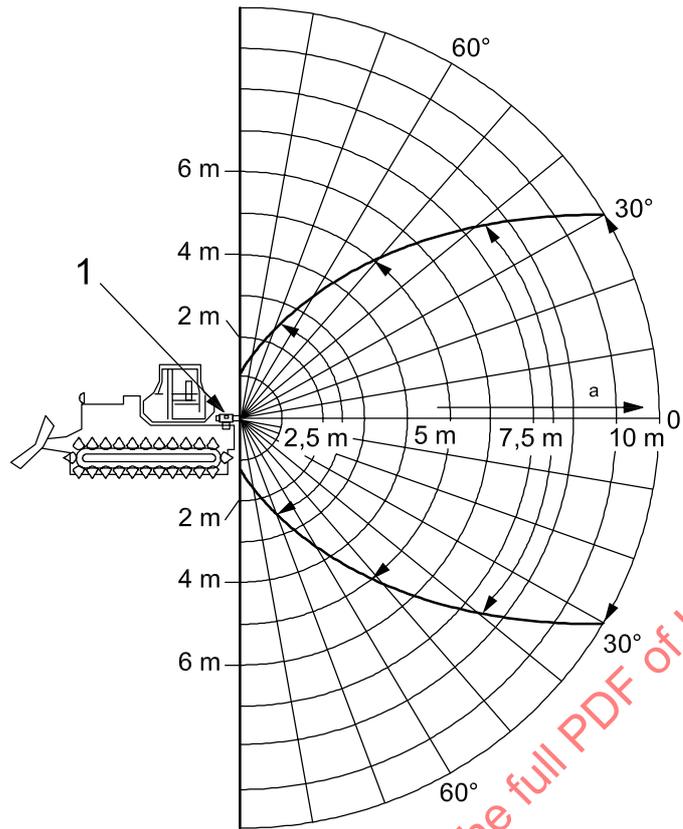


Detection range vs. angle

Range (m)	0,5	1,0	2,5	5,0	7,5	10,0
Angle (degrees)	180	180	120	80	50	30

a) Horizontal pattern

Figure E.4 (continued)



Detection range vs. angle

Range (m)	0,5	1,0	2,5	5,0	7,5	10,0
Angle (degrees)	180	180	130	100	75	60

b) Vertical pattern

Key

- a Detection range.
- 1 transducer

Figure E.4 — Detection area (30° x 60° ellipse type transducer)

E.4 Additional test

E.4.1 Directivity of responder

Since installed numbers and positions of the sensors at a helmet or vest are limited, sensing ability differs at the postures of the test body. In order to see the difference, directional sensitivity shall be checked.

E.4.1.1 Test method

With a horizontally set position, the responder shall be set at 5 m from the transducer.

Rotate the responder from 0° to 360° and check whether a non-responding area of angle exists.