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**AMENDMENT 1**  
2002-11-01

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## **Information and documentation — Open Systems Interconnection — Interlibrary Loan Application Protocol Specification —**

### **Part 1: Protocol specification**

#### **AMENDMENT 1: Support for Use of Object Identifier in “identifier” Parameter of the Extension Data**

*Information et documentation — Interconnexion de systèmes ouverts  
(OSI) — Spécification du protocole d'application pour les prêts entre  
bibliothèques —*

*Partie 1: Spécification du protocole*

*AMENDEMENT 1: Support pour l'usage d'identificateur d'objet dans les  
paramètres «identificateur» des données d'extension*



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## Foreword

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International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 3.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this Amendment may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

Amendment 1 to International Standard ISO 10161-1:1997 was prepared by Technical Committee ISO/TC 46, *Information and documentation*, Subcommittee SC 4, *Computer application in information and documentation*.

## Introduction

Implementation experience in North America has brought to light a weakness in the conceptual basis and specification of the Extension data type that is present in every ILL APDU.

The Extension type consists of three parameters: an "identifier," a flag to indicate whether support for the extension is "critical," and the "item" containing the extension itself. The identifier parameter is defined to be an integer, while the item parameter is specified as "ANY DEFINED BY" the identifier. This definition allows for any possible extension to be supported; the nature of the extension and the data type used to carry the information is implicitly identified by the implementors' shared understanding of the meaning of individual values of the identifier integer. According to ISO 8824, however, the use of an integer as identifier requires that all permissible values be defined within the protocol standard itself, either in the base standard or in amendments. There is no mechanism for registering new values for identifiers outside the standard for either experimental purposes or arising from stable implementors' agreements.

In order to allow experimentation, and to enable communities that require extensions to implement working systems without the serious delays that the international standardization process can impose, it is desirable to enable extensions to be defined using a registration mechanism outside the standard itself. Such mechanisms already exist for information objects identified using the object identifier mechanism, and this mechanism could readily be used for extensions to ILL APDUs. In order to enable such use, however, an amendment to the base ILL protocol standard is required.

This Amendment is therefore intended to enable the use of externally registered objects in the item parameter of the Extension data type. There are two possible ways of implementing this. One way would be to make identifier a CHOICE between an integer and an object identifier. This approach, however, means that the ASN.1 specification of the base ILL APDUs would change, which would require that the version number of every APDU change. This seems undesirable for such a minor revision. It is therefore proposed that an alternative, but very simple, mechanism be used. That is, to define in the standard a value for identifier that indicates that the item is an external object. It is therefore proposed here that value 1 for identifier defines items to be a data type of EXTERNAL.

It should be noted that this approach offers slightly less flexibility than that of making identifier a CHOICE. If identifier were an object identifier, there would be no requirement that item be an external object, and slightly simpler encodings could possibly be used. The advantage of avoiding a new protocol version, however, seems to outweigh this minor loss of flexibility.

Because a protocol amendment to define a value for identifier is being proposed here, error conditions will also have to be defined to allow a system to indicate that it does not support (or recognize) a value of item. Since the extensibility rules require a system to ignore an unknown value of a known data type, these error conditions will only be necessary in the case that an extension is flagged as critical. Three mechanisms for indicating the error are proposed here:

- a) The error may be indicated as a Reason\_Unfilled in an ILL-Answer APDU.
- b) The error may be indicated as a Provider-Error in a Status-Report-Or-Error APDU.
- c) The error may be indicated as a User-Error in a Status-Report-Or-Error APDU.

The difference between mechanisms b) and c) lies in the point at which the error is identified. If the external object is unknown to the receiving system, a provider error should be generated. If the external object is known, but not supported, a user error should be generated.

The current amendment does not preclude the possibility of proposing other amendments to define additional values for identifier.

# Information and documentation — Open Systems Interconnection — Interlibrary Loan Application Protocol Specification —

## Part 1: Protocol specification

### AMENDMENT 1: Support for Use of Object Identifier in “identifier” Parameter of the Extension Data

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#### 9 Abstract Syntax

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##### 9.1.2 Types

Change the definition of the Extension data type (lines 589-593) to:

```
Extension ::= SEQUENCE {
  identifier    [0]  IMPLICIT INTEGER,
  -- value 1 = implementor extensions
  critical      [1]  IMPLICIT BOOLEAN DEFAULT FALSE,
  item         [2]  ANY DEFINED BY identifier
  -- if identifier = 1 then item ::= EXTERNAL
}
```

Add a value to General-Problem (lines 594-600):

```
General-Problem ::= ENUMERATED {
  ...
  other (5),
  critical-extension-not-recognized (6)
}
```

Add a value to Intermediary-Problem (lines 693-695):

```
Intermediary-Problem ::= ENUMERATED {
  cannot-send-onward (1),
  critical-extension-not-supported (2)
}
```

Add a value to Reason-Unfilled (lines 805-832):

```
Reason-Unfilled ::= ENUMERATED {
  ...
  preferred-delivery-time-not-possible (24),
  critical-extension-not-supported (25),
  ...
}
```

Add a value to Unable-To-Perform (lines 1026-1030):

```
Unable-To-Perform ::= ENUMERATED {  
    ...  
    other (3),  
    critical-extension-not-supported (4)  
}
```

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## B.6.2 Mapping ASN.1 Types to EDIFACT Segments

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Segment Name: extension

Add two segments, extension-item-external-start and extension-item-external-finish, in extension to permit the encoding for externally defined extensions:

Segment Name: extension  
Segment Code: EXT  
Data elements -- none; this segment comprises other segments  
-- rather than actual data elements. It includes the  
-- following segments:  
    extension-identifier  
    extension-critical  
    extension-item-external-start  
    extension-item-external-finish  
-- this segment will also contain one or more  
-- other segments which encode the data elements  
-- i.e. of the type "item";  
-- the segments which may be included here are  
-- defined by the value of "extension-identifier".

---

Segment Name: extension-item-external-start  
Segment Code: EXS  
Data elements syntax  
-- an object-identifier for the syntax of the object  
-- contained in the following segments up to EXF;  
-- this segment will be followed by other segments, not  
-- defined here, carrying the encoding of the externally-  
-- defined object identified by the syntax element.

---

Segment Name: extension-item-external-finish  
Segment Code: EXF  
Data elements -- none; this segment is used to indicate the end of  
-- the segments encoding an externally defined object.