

# INTERNATIONAL STANDARD

# ISO/IEC 8802-5

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## Information technology — Local and metropolitan area networks —

### Part 5:

Token ring access method and physical layer  
specifications

*Technologies de l'information — Réseaux locaux et urbains —*

*Partie 5: Méthode d'accès par anneau à jeton et spécifications pour la couche  
physique*



Reference number  
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International Standard ISO/IEC 8802-5 : 1992  
IEEE Std 802.5-1992

(Revision of ANSI/IEEE Std 802.5-1989)

# Information technology— Local and metropolitan area networks—

## Part 5: Token ring access method and physical layer specifications

Sponsor

Technical Committee on Computer Communications  
of the  
IEEE Computer Society

Approved March 19, 1992

IEEE Standards Board

**Abstract:** This Local and Metropolitan Area Network standard, ISO/IEC 8802-5 : 1992, is part of a family of local area network (LAN) standards dealing with the physical and data link layers as defined by the ISO Open System Interconnection Reference Model. Its purpose is to provide compatible interconnection of data processing equipment by means of a local area network using the token-passing ring access method. The frame format, including delimiters, addressing, and frame-check sequence, are defined, and medium access control (MAC) frames, timers, and priority stacks are defined. The MAC protocol is defined. The finite-state machine and state tables are supplemented with a prose description of the algorithms. The physical layer (PHY) functions of symbol encoding and decoding, symbol time, and latency buffering are defined. The services provided by the MAC to the station management (SMT) and the services provided by the PHY to SMT and the MAC are described. These services are defined in terms of service primitives and associated parameters. The 4 and 16 Mb/s, shielded twisted pair attachment of the station to the medium, including the medium interface connector (MIC) are also defined. The applications environment for the LAN is intended to be commercial and light industrial. The use of token ring LANs in home and heavy industrial environments, while not precluded, has not been considered in the development of the standard. A Protocol Implementation Conformance Statement (PICS) proforma is provided as an annex to the standard.

**Keywords:** data processing interconnection, local area network (LAN), medium access control (MAC), token ring



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International Organization for Standardization  
and by the  
International Electrotechnical Commission



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## International Standard ISO/IEC 8802-5 : 1992

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In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75% of the national bodies casting a vote.

In 1985, ANSI/IEEE Std 802.5-1985 was adopted by ISO Technical Committee 97, *Information processing systems*, as draft International Standard ISO/DIS 8802-5. A further revision was subsequently approved by ISO/IEC JTC 1 in the form of this new edition, which is published as International Standard ISO/IEC 8802-5 : 1992.

For the purpose of assigning global addresses, the Institute of Electrical and Electronics Engineers, Inc., USA, has been designated by the ISO Council as the Registration Authority. Communications on this subject should be addressed to

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During the preparation of this International Standard, information was gathered on patents upon which application of the standard might depend. Relevant patents were identified as belonging to Willemijn Holding BV. However, ISO cannot give authoritative or comprehensive information about evidence, validity or scope of patent and like rights. The patent-holder has stated that licences will be granted under reasonable terms and conditions and communications on this subject should be addressed to

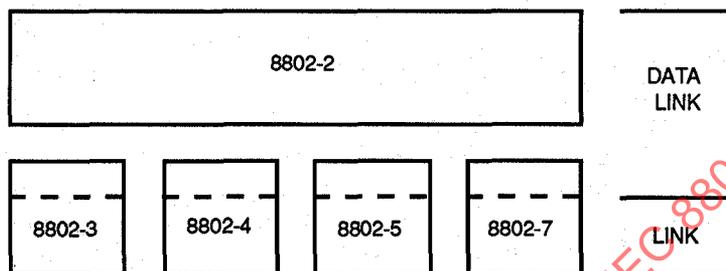
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## Foreword to International Standard ISO/IEC 8802-5 : 1992

This standard is part of a family of standards for Local and Metropolitan Area Networks. The relationship between this standard and the other members of the family is shown below. (The numbers in the figure refer to ISO standard numbers.)



This family of standards deals with the physical and data link layers as defined by the ISO Open Systems Interconnection Basic Reference Model (ISO 7498 : 1984). The access standards define four types of medium access technologies and associated physical media, each appropriate for particular applications or system objectives. Other types are under investigation.

The standards defining these technologies are as follows:

- (1) ISO/IEC 8802-3 [ANSI/IEEE Std 802.3, 1992 Edition], a bus utilizing CSMA/CD as the access method,
- (2) ISO/IEC 8802-4 [ANSI/IEEE Std 802.4-1990], a bus utilizing token passing as the access method,
- (3) ISO/IEC 8802-5 [IEEE Std 802.5-1992], a ring utilizing token passing as the access method,
- (4) ISO 8802-7, a ring utilizing slotted ring as the access method.

ISO 8802-2 [ANSI/IEEE Std 802.2-1989], Logical Link Control protocol, is used in conjunction with the medium access standards.

The reader of this document is urged to become familiar with the complete family of standards.

## IEEE Std 802.5-1992

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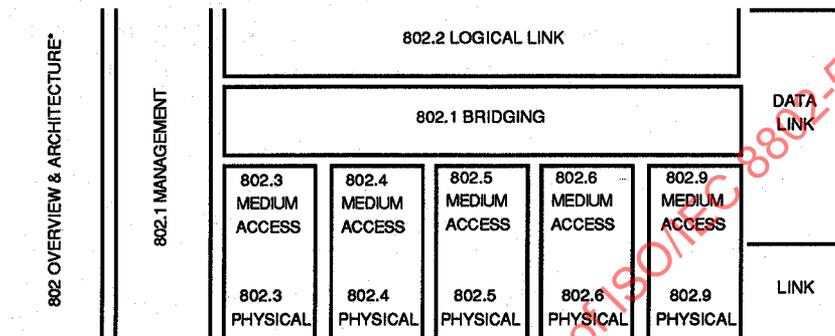
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## Foreword to IEEE Std 802.5-1992

(This Foreword is not a part of this International Standard or of IEEE 802.5-1992.)

This standard is part of a family of standards for local and metropolitan area networks. The relationship between the standard and other members of the family is shown below. (The numbers in the figure refer to IEEE standard numbers.)



\* Formerly IEEE Std 802.1A.

This family of standards deals with the physical and data link layers as defined by the ISO Open Systems Interconnection Basic Reference Model (ISO 7498:1984). The access standards define several types of medium access technologies and associated physical media, each appropriate for particular applications or system objectives. Other types are under investigation.

The standards defining these technologies are as follows:

- IEEE Std 802<sup>†</sup>:

Overview and Architecture. This standard provides an overview to the family of IEEE 802 Standards. This document forms part of the 802.1 scope of work.

- IEEE Std 802.1D:

MAC Bridging. Specifies an architecture and protocol for the interconnection of IEEE 802 LANs below the MAC service boundary.

<sup>†</sup> The 802 Architecture and Overview Specification, originally known as IEEE Std 802.1A, has been renumbered as IEEE Std 802. This has been done to accommodate recognition of the base standard in a family of standards. References to IEEE Std 802.1A should be considered as references to IEEE Std 802.

- IEEE Std 802.1E: System Load Protocol. Specifies a set of services and protocol for those aspects of management concerned with the loading of systems on IEEE 802 LANs.
- ISO 8802-2 [ANSI/IEEE Std 802.2]: Logical Link Control
- ISO/IEC 8802-3 [ANSI/IEEE Std 802.3]: CSMA/CD Access Method and Physical Layer Specifications
- ISO/IEC 8802-4 [ANSI/IEEE Std 802.4]: Token Bus Access Method and Physical Layer Specifications
- ISO/IEC 8802-5 [IEEE Std 802.5]: Token Ring Access Method and Physical Layer Specifications
- IEEE Std 802.6: Metropolitan Area Network Access Method and Physical Layer Specifications

In addition to the family of standards are technical advisory groups as follows:

- IEEE Std 802.7: Broadband Technical Advisory and Physical Layer Topics and Recommended Practices
- P802.8: Fiber Optic Technical Advisory and Physical Layer Topics

The reader of this document is urged to become familiar with the complete family of standards.

#### Conformance Test Methodology

A new standards series, identified by the number 1802, has been established to identify the conformance test methodology documents for the 802 family of standards. This makes the correspondence between the various 802 standards and their applicable conformance test requirements readily apparent. Thus the conformance test documents for 802.3 are numbered 1802.3, the conformance test documents for 802.5 will be 1802.5, and so on. Similarly, ISO will use 11802 to number conformance test standards for 8802 standards.

#### ISO/IEC 8802-5 : 1992 (IEEE Std 802.5-1992)‡

This standard specifies that each octet of the information field shall be transmitted most significant bit (MSB) first. This convention is reversed from that used in the CSMA/CD and Token Bus standards, which are least significant bit (LSB) first transmission. While the transmission of MSB first is used for token ring, this does not imply that MSB transmission is preferable

‡ This standard contains the following supplements: IEEE Std 802.5d-1992 (Interconnected Token Ring LANs) and IEEE Std 802.5g-1992 (Conformance Testing).

18802h

for any other local area network. Anyone considering interconnecting the token ring with other standard IEEE networks should keep in mind the need to perform bit reordering in the gateway between networks.

The following are now or have been voting members of the Token Ring Access Method Working Group (P802.5). Those individuals who have served as rapporteurs or editors are indicated by an asterisk next to their name:

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# Information technology— Local and metropolitan area networks—

## Part 5: Token ring access method and physical layer specifications

### 1. General

**1.1 Scope.** For the purpose of compatible interconnection of data processing equipment via a local area network (LAN) using the token ring access method, this standard

- (1) Defines the frame format, including delimiters, addressing, routing information, and frame-check sequence (FCS), to allow operation on a single ring or on multiple rings connected by source routing or transparent bridges, and introduces medium access control (MAC) frames, timers, counters, and priority stacks (see Section 3);
- (2) Defines the MAC protocol. The finite-state machine and state tables are supplemented with a prose description of the algorithms (see Section 4);
- (3) Defines the physical layer (PHY) functions of symbol encoding and decoding, symbol timing, and latency buffering (see Section 5);
- (4) Describes the services provided by the MAC to the station management (SMT) and the logical link control sublayer (LLC) and the services provided by the PHY to SMT and the MAC. These services are defined in terms of service primitives and associated parameters (see Section 6);
- (5) Defines the 4 and 16 Mb/s, shielded twisted pair attachment of the station to the medium including the definition of the medium interface connector (MIC) (see Section 7);
- (6) Includes the PICS proforma in compliance with the relevant requirements, and in accordance with the relevant guidance, given in ISO 9646-2 [7]<sup>1</sup> (see Annex C).

The definition of suitable media (twisted pair, coaxial cable, and optical fiber) for connecting stations that meet the attachment standard specified herein is a subject for future consideration. Until such time as these media are specified, the

<sup>1</sup> The numbers in the brackets correspond to those of the references in 1.4.

specifications in Section 7 shall define the performance bounds to which an operating network, including media and trunk coupling unit(s) (TCUs), shall conform.

A particular emphasis of this standard is to specify the homogeneous externally visible characteristics needed for interconnection compatibility, while avoiding unnecessary constraints upon and changes to internal design and implementation of the heterogeneous processing equipment to be interconnected.

The applications environment for the LAN is intended to be commercial and light industrial. The use of token ring LANs in home and heavy industrial environments, while not precluded, has not been considered in the development of this standard.

## 1.2 Definitions

**abort sequence.** A sequence that terminates the transmission of a frame prematurely.

**accumulated jitter.** The jitter measured against the clock of the active monitor. Like alignment jitter, this is not a type of jitter but a way to measure total jitter growth throughout the ring. It is normally used to determine the required size of the elastic buffer.

**alignment jitter.** The jitter measured against the clock of the upstream adapter. This is not a type of jitter per se; rather, it is a way to measure jitter. When "zero transferred jitter" is specified, the jitter measured is alignment jitter.

**broadcast transmission.** A transmission addressed to all stations.

**channel.** The channel is the transmission path from the MIC at the transmitter to the first MIC at the receiver. It may include TCUs and connectors in addition to transmission line.

**configuration report server (CRS).** A function that controls the configuration of the ring. It receives configuration information from the stations on the ring and either forwards them to the network manager or uses them to maintain a configuration of the ring. It can also, when requested by the network manager, check the status of stations on the ring, change operational parameters of stations on the ring, and remove stations from the ring.

**correlated jitter.** The portion of the total jitter that is related to the data pattern. Since every adapter receives the same *pattern*, this jitter is *correlated* among all adapters and therefore grows in a *systematic* way along the ring. Correlated jitter is also called *pattern jitter* or *systematic jitter*.

**differential Manchester encoding.** A signaling method used to encode clock and data bit information into bit symbols. Each bit symbol is split into two halves, where the second half is the inverse symbol of the first half. A 0 bit is represented by a polarity change at the start of the bit time. A 1 bit is represented by no polarity change at the start of the bit time. Differential Manchester encoding is polarity-independent.

**fill.** A bit sequence that may be either 0 bits or 1 bits or any combination thereof.

**frame.** A transmission unit that carries a protocol data unit (PDU) on the ring.

**jitter.** The time-varying difference between the phase of the recovered clock and the phase of the source clock. Jitter is measured in fractions of a clock cycle, or unit interval (UI).

**logical link control (sublayer)(LLC).** That part of the data link layer that supports media-independent data link functions, and uses the services of the MAC to provide services to the network layer.

**medium.** The material on which the data may be represented. Twisted pairs, coaxial cables, and optical fibers are examples of media.

**medium access control (sublayer)(MAC).** The portion of the data station that controls and mediates the access to the ring.

**medium interface connector (MIC).** The connector between the station and TCU at which all transmitted and received signals are specified.

**monitor.** The monitor is that function that recovers from various error situations. It is contained in each ring station; however, only the monitor in one of the stations on a ring is the *active monitor* at any point in time. The monitor function in all other stations on the ring is in standby mode.

**multiple frame transmission.** A transmission where more than one frame is transmitted when a token is captured.

**physical (layer)(PHY).** The layer responsible for interfacing with the medium, detecting and generating signals on the medium, and converting and processing signals received from the medium and the MAC.

**protocol data unit (PDU).** Information delivered as a unit between peer entities that contains control information and, optionally, data.

**protocol implementation conformance statement (PICS).** A statement of which capabilities and options have been implemented for a given Open Systems Interconnection protocol.

**repeat.** The action of a station in receiving a bit stream (for example, frame, token, or fill) from the previous station and placing it on the medium to the next station. The station repeating the bit stream may copy it into a buffer or modify control bits as appropriate.

**repeater.** A device used to extend the length, topology, or interconnectivity of the transmission medium beyond that imposed by a single transmission segment.

**ring error monitor (REM).** A function that collects ring error data from ring stations. The REM may log the received errors, or analyze this data and record statistics on the errors.

**ring latency.** In a token ring MAC system, the time (measured in bit times at the data transmission rate) required for a signal to propagate once around the ring.

The ring latency time includes the signal propagation delay through the ring medium plus the sum of the propagation delays through each station connected to the token ring.

**ring parameter server (RPS).** That function that is responsible for initializing a set of operational parameters in ring stations on a particular ring.

**routing information.** A field, carried in a frame, used by source routing transparent bridges that provides source routing operation in a bridged LAN.

**service data unit (SDU).** Information delivered as a unit between adjacent entities that may also contain a PDU of the upper layer.

**source routing.** A mechanism to route frames, through a bridged LAN. Within the source routed frame, the station specifies the route that the frame will traverse.

**station (or data station).** A physical device that may be attached to a shared medium LAN for the purpose of transmitting and receiving information on that shared medium. A data station is identified by a destination address (DA).

**static phase offset.** The constant difference between the phase of the recovered clock and the optimal sampling position of the received data.

**station management (SMT).** The conceptual control element of a station that interfaces with all of the layers of the station and is responsible for the setting and resetting of control parameters, obtaining reports of error conditions, and determining if the station should be connected to or disconnected from the medium.

**token.** The symbol of authority that is passed between stations using a token access method to indicate which station is currently in control of the medium.

**transferred jitter.** The amount of jitter in the recovered clock of the upstream adapter. Transferred jitter is important because each adapter must both limit the amount of jitter it generates, and track the jitter delivered by the upstream adapter.

**transmit.** The action of a station generating a frame, token, abort sequence, or fill and placing it on the medium to the next station. In use, this term contrasts with *repeat*.

**transparent bridging.** A bridging mechanism, in a bridged LAN, that is transparent to the end stations.

**trunk cable.** The transmission cable that interconnects two TCUs.

**trunk coupling unit (TCU).** A physical device that enables a station to connect to a trunk cable. The TCU contains the means for inserting the station into the ring or, conversely, bypassing the station.

**uncorrelated jitter.** The portion of the total jitter that is independent of the data pattern. This jitter is generally caused by noise that is uncorrelated among adapters and therefore grows in a nonsystematic way along the ring. Uncorrelated jitter is also called *noise jitter* or *nonsystematic jitter*.

**unit interval (UI).** One half of a bit time. 125 ns for 4 Mb/s transmission and 31.25 ns for 16 Mb/s transmission. UI is used in the specification of jitter.

**upstream neighbor's address (UNA).** The address of the station functioning upstream from a specific station.

### 1.3 Abbreviations

A	=	address-recognized bit
AC	=	access control (field)
AD	=	abort delimiter
AMP	=	active monitor present
BCN	=	beacon
BR	=	beacon receive
C	=	frame-copied bit
CL	=	claim
CON	=	congestion
CNT	=	count
CRS	=	configuration report server
DA	=	destination address
DAT	=	duplicate address test
DC	=	destination class
E	=	error-detected bit
ED	=	ending delimiter
EFS	=	end-of-frame sequence
ETR	=	early token release
FA	=	functional address
FAI	=	functional address indicator
FC	=	frame control (field)
FCS	=	frame-check sequence
FR	=	frame
FS	=	frame status (field)
FSM	=	finite state machine
I	=	intermediate frame bit
INIT	=	initialization
LAN	=	local area network
LLC	=	logical link control (sublayer)
LTH	=	length bits
M	=	monitor bit
MA	=	my (station's) address
MAC	=	medium access control (sublayer)
MIC	=	medium interface connector
MVID	=	major vector identification
NN	=	neighbor notification
P	=	priority (of the AC)
PDU	=	protocol data unit
PHY	=	physical (layer)

PICS	=	protocol implementation conformance statement
Pm	=	priority of queued PDU
Pr	=	last priority value received
PRG	=	purge
Px	=	the greater value of Pm or Rr
R	=	reservation (of the AC)
RI	=	routing information
RII	=	routing information indicator
REM	=	ring error monitor
RPS	=	ring parameter server
RPT	=	report
RQ	=	request
Rr	=	last reservation value received
RUA	=	received upstream neighbor's address
SA	=	source address
SC	=	source class
SD	=	starting delimiter
SDU	=	service data unit
SFS	=	start-of-frame sequence
SMP	=	standby monitor present
SMT	=	station management
Sr	=	highest stacked received priority
SRT	=	source routing transparent
SV	=	subvector
SVI	=	subvector identifier
SVL	=	subvector length
SUA	=	stored upstream neighbor's address
Sx	=	highest stacked transmitted priority
TA	=	transmit asymmetry
TAM	=	timer, active monitor
TBT	=	timer, BCN transmit
TBR	=	timer, BCN receive
TCU	=	trunk coupling unit
TER	=	timer, error report
THT	=	timer, holding token
TK	=	token
TNT	=	timer, no token
TQP	=	timer, queue PDU
TRR	=	timer, return to repeat
TSM	=	timer, standby monitor
TVX	=	timer, valid transmission
TX	=	transmit
UI	=	unit interval
UNA	=	upstream neighbor's address
VI	=	vector identifier
VL	=	vector length

**1.4 References.** The following standards contain provisions which, through reference in this text, constitute provisions of ISO/IEC 8802-5:1992. At the time of publication, the editions indicated were valid. All standards are subject to revision, and parties to agreements based on ISO/IEC 8802-5:1992 are encouraged to investigate the possibility of applying the most recent editions of the standards indicated below. Members of ISO and IEC maintain registers of currently valid International Standards.

[1] CISPR Publication 22:1985, Limits and Methods of Measurement of Radio Interference Characteristics of Information Technology Equipment.<sup>2</sup>

[2] IEC Publication 435:1983, Safety of data processing equipment.

[3] IEC Publication 950:1986, Safety of information technology equipment including electrical business equipment.

[4] ISO 7498:1984, Information processing systems—Open Systems Interconnection—Basic Reference Model.<sup>3</sup>

[5] ISO/IEC 7498-4:1989, Information processing systems—Basic Reference Model—Part 4: Management framework.

[6] ISO/IEC 9646-1:1991, Information technology—OSI conformance testing methodology and framework—Part 1: General concepts.

[7] ISO 9646-2:1991, Information technology—OSI conformance testing methodology and framework—Part 2: Abstract test suite specification.

[8] ISO/IEC DIS 10038 DAM 2, MAC Bridging, Source Routing Supplement.<sup>4</sup>

[9] ISO/IEC 10039:1991 Information processing systems—Open Systems Interconnection—Local area networks—MAC service definition.

<sup>2</sup> CISPR and IEC publications are available from the International Electrotechnical Commission, 3 rue de Varembe, Case Postal 131, CH-1211, Genève 20, Switzerland/Suisse. These publications are also available in the United States from the Sales Department, American National Standards Institute, 11 West 42nd Street, 13th Floor, New York, NY 10036 USA.

<sup>3</sup> ISO and IEC publications are available from ISO, Case Postal 56, 1 rue de Varembe, CH-1211 Genève 20, Switzerland/Suisse. These publications are also available in the United States from the Sales Department, American National Standards Institute, 11 West 42nd Street, 13th Floor, New York, NY 10036 USA.

<sup>4</sup> ISO/IEC 10038:AMD1:..., to be approved and published.

**1.5 Conformance Requirements** The supplier of a protocol implementation which is claimed to conform to this standard shall complete a copy of the PICS proforma in Appendix C and shall provide the information necessary to identify both the supplier and the implementation.

### 1.5.1 Static Conformance Requirements

**1.5.1.1 Medium Access Control Sublayer.** An implementation claiming conformance to this standard

- (1) Shall implement the token format, the frame format, associated address formats and fields, and MAC frame vectors and subvectors as defined in 3.1, 3.2 and 3.3.
- (2) Shall use at least one of the following: 48-bit universally administered addresses, 48-bit locally administered addresses, or a 16-bit locally administered addresses. Note that the implementation may support any or all three address formats.
- (3) Shall exhibit external behavior corresponding to the timer values specified in 3.4.
- (4) Shall exhibit external behavior corresponding to the flags specified in 3.5. Note that the Early Token Release function is optional (3.5.7).
- (5) Shall exhibit external behavior corresponding to the priority registers and stacks specified in 3.6.
- (6) May, optionally, implement capabilities corresponding to the counters defined in 3.8. Note that the Frame Count function, 3.8.11, is mandatory if the Early Token Release function is implemented.
- (7) Shall recognize the first bit of the source address as the indication of the presence of the routing information field in the frame format. Note that the ability to generate or process frames with source routing information is optional.

**1.5.1.2 Physical Layer.** An implementation claiming conformance to this standard shall

- (1) Add latency as defined in 3.7 and 5.5.1.
- (2) Encode and decode symbols as defined in 5.1 and 5.2.
- (3) Use a data signaling rate at either 4 or 16 Mb/s as defined in 5.3. Note that the implementation may support both data signaling rates.
- (4) Time the symbols with a phase and frequency lock as defined in 5.4.
- (5) Compensate for phase jitter with accumulated bit jitter amplitude as defined in 5.5.2.
- (6) Couple to the trunk with a ring access control mechanism as defined in 7.3 and 7.4.
- (7) Transmit differential Manchester signals with the characteristics defined in 7.5.1 when the channel operates as defined in 7.5.2. Either of the two transmitter signal waveforms may be used.

- (8) Receive differential Manchester signals with the characteristics as defined in 7.5.3 when connected to the channel defined in clause 7.5.2 and a transmitter defined in 7.5.1.
- (9) Use a medium interface connector as defined in 7.9.
- (10) Provide an output error rate to the station as described in 7.5.4.

**1.5.2 Dynamic Conformance Requirements.** An implementation claiming conformance to this standard shall perform the following actions as represented in abstract form by the finite-state machine transitions in Figs 4-2 through 4-7. The station shall

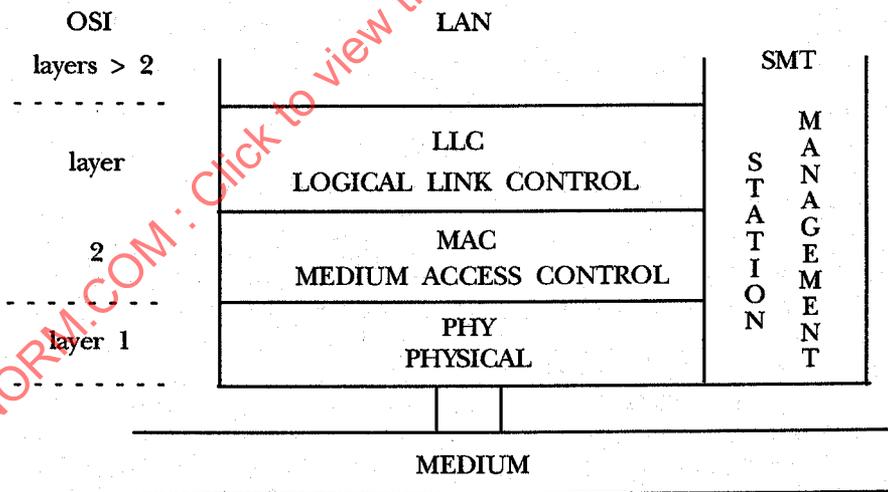
- (1) Receive frames and perform the actions indicated in Fig 4-2.
- (2) Receive and utilize tokens for the transmission of queued PDUs as described in Fig 4-3.
- (3) Transmit queued PDUs as frames as described in Fig 4-3.
- (4) Strip frames as described in Fig 4-3.
- (5) Transmit tokens as described in Fig 4-3 (Note that the implementation of the ETR flag is optional, see 3.5.7).
- (6) Perform the priority operation as described in Fig 4-3.
- (7) Perform actions indicated in Fig 4-4.
- (8) Queue DAT\_PDU, SMP\_PDU, and RQ\_INIT\_PDU under the conditions described in Fig 4-4 for transmission by Fig 4-3.
- (9) Autonomously transmit CL\_TK and FR\_BCN frames under the conditions described in Fig 4-5.
- (10) Enter BYPASS under the conditions described in Fig 4-5.
- (11) Assume ACTIVE MONITOR STATE under the conditions described in Fig 4-5.
- (12) Queue the PDUs of Fig 4-6 as indicated for transmission by Fig 4-3.
- (13) Autonomously transmit fill and PURGE PDUs as indicated in Fig 4-7.
- (14) Queue the PDUs of Fig 4-7 as indicated for transmission by Fig 4-3.
- (15) Enter STANDBY MONITOR STATE under the conditions described in Fig 4-7.
- (16) Enter BYPASS under the conditions described in Fig 4-7.

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## 2. General Description

There are two important ways to view local area network design: architectural, which emphasizes the logical divisions of the system and how they fit together, and implementational, which emphasizes the actual components, their packaging, and their interconnection.

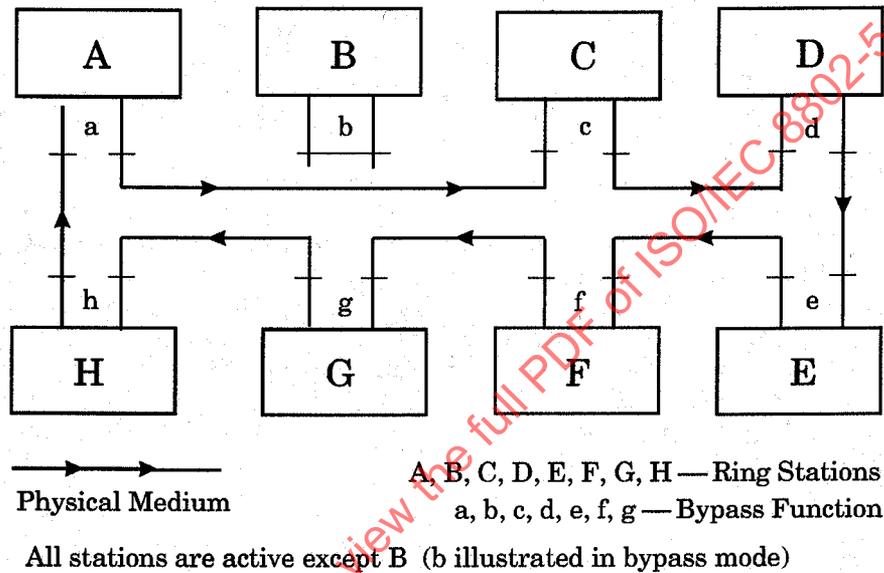
This standard presents the architectural view, emphasizing the large-scale separation of the system into two parts: the MAC of the data link layer and the PHY. These layers are intended to correspond closely to the lowest layers of the ISO Basic Reference Model of OSI (ISO 7498 [4]). The LLC and MAC together encompass the functions intended for the data link layer of the OSI model.



**Fig 2-1**  
**Relation of the OSI Reference Model to the LAN Model**

An architectural organization of the standard has the advantages of clarity (a clean overall division of the design along architectural lines makes the standard clearer) and flexibility (segregation of the access-method-dependent aspects of the MAC and PHY allows the LLC to apply to a variety of LAN access methods).

It should be noted that the exact relationship of the layers described in this standard to the layers defined by the OSI Reference Model is for further study.



**Fig 2-2**  
**Token Ring Configuration**

A token ring consists of a set of stations serially connected by a transmission medium (see Fig 2-2). Information is transferred sequentially, bit by bit, from one active station to the next. Each station generally regenerates and repeats each bit and serves as the means for attaching one or more devices (terminals, work stations) to the ring for the purpose of communicating with other devices on the network. A given station (the one that has access to the medium) transfers information onto the ring, where the information circulates from one station to the next. The addressed destination station(s) copies the information as it passes. Finally, the station that transmitted the information effectively removes the information from the ring.

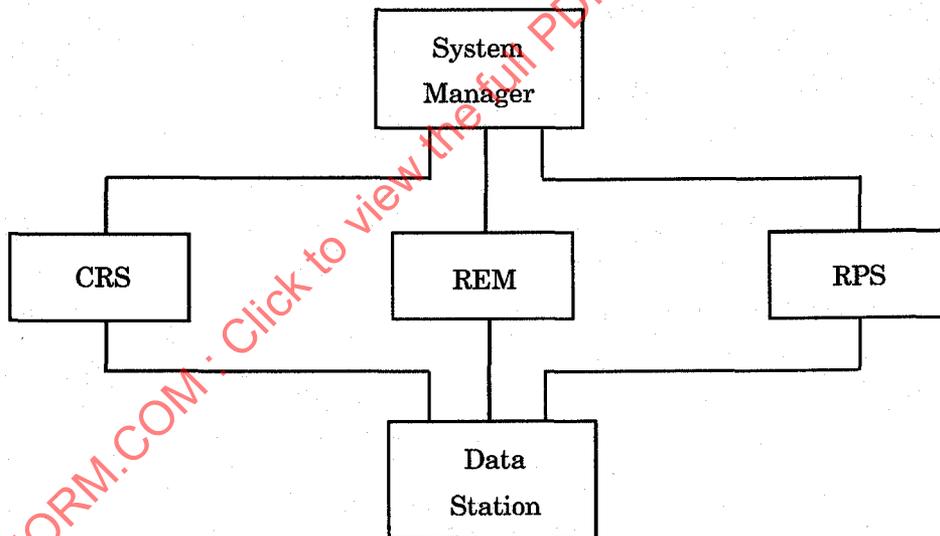
A station gains the right to transmit its information onto the medium when it detects a token passing on the medium. The token is a control signal comprised of a unique signaling sequence that circulates on the medium following each information transfer. Any station, upon detection of an appropriate token, may

capture the token by modifying it to a SFS and appending appropriate control and status fields, address fields, information field, FCS, and the EFS. At the completion of its information transfer and after appropriate checking for proper operation, the station initiates a new token, which provides other stations the opportunity to gain access to the ring.

A THT controls the maximum period of time a station shall use (occupy) the medium before passing the token.

Multiple levels of priority are available for independent and dynamic assignment depending upon the relative class of service required for any given message, for example, synchronous (real-time voice), asynchronous (interactive), immediate (network recovery). The allocation of priorities shall be by mutual agreement among users of the network.

Error detection and recovery mechanisms are provided to restore network operation in the event that transmission errors or medium transients (for example, those resulting from station insertion or removal) cause the access method to deviate from normal operation. Detection and recovery for these cases utilize a network monitoring function that is performed in a specific station with back-up capability in all other stations that are attached to the ring.



Servers:      CRS - Configuration Report Server  
                  REM - Ring Error Monitor  
                  RPS - Ring Parameter Server

**Fig 2-3**  
**Relationship of Data Stations, Servers, and System Manager**

Each ring in a token ring network has a set of server stations (*servers*) that are the means through which the systems manager manages the stations in a token ring system. Such arrangement is depicted in Fig 2-3.

Servers are data collection points on each ring where reports from the data stations are gathered. Servers then communicate the necessary information to the systems manager for the purpose of managing a token ring system.

Data stations communicate with the servers by reporting errors that are detected such as lost token, FCS error, or lost frames, requesting operating parameters when inserting into the ring, reporting changes in configuration due to insertion or removal of stations (UNA changes), responding to requests for various status information, and removal from the ring when requested.

The station-to-server message format and content is specified in Section 3; and the protocol for message exchange is specified in Section 4.

The specification of the format and protocol for the information interchange between the servers and the systems manager is not covered by this standard. However, the objects (parameters, events, and actions) specified in 6.3 and 6.4 are the elements of such communication.

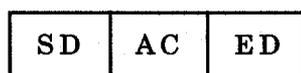
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### 3. Formats and Facilities

**3.1 Formats.** There are two basic formats used in token rings: tokens and frames. In the following discussion, the figures depict the formats of the fields *in the sequence they are transmitted on the medium*, with the left-most bit or symbol transmitted first.

Processes that require comparison of fields or bits perform that comparison upon those fields or bits *as depicted*, with the left-most bit or symbol compared first and, for the purpose of comparison, considered most significant.

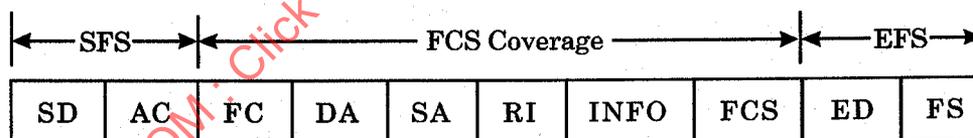
#### 3.1.1 Token Format



SD = Starting Delimiter (1 octet)  
AC = Access Control (1 octet)  
ED = Ending Delimiter (1 octet)

The token shall be the means by which the right to transmit (as opposed to the normal process of repeating) is passed from one station to another.

#### 3.1.2 Frame Format



SFS = Start-of-Frame Sequence  
SD = Starting Delimiter (1 octet)  
AC = Access Control (1 octet)  
FC = Frame Control (1 octet)  
DA = Destination Address (2 or 6 octets)  
SA = Source Address (2 or 6 octets)  
RI = Routing Information (0 to 30 octets)<sup>5</sup>  
INFO = Information (0 or more octets)<sup>6</sup>  
FCS = Frame-Check Sequence (4 octets)  
EFS = End-of-Frame Sequence  
ED = Ending Delimiter (1 octet)  
FS = Frame Status (1 octet)

<sup>5</sup> Note that the RI field is not present in prior IEEE 802.5 standards.

<sup>6</sup> See 3.2.6 for limitation of information field length.

The frame format shall be used for transmitting both MAC and LLC messages to the destination station(s). It may or may not have an information field.

### 3.1.2.1 Abort Sequence



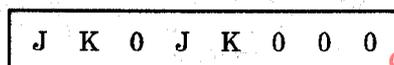
This sequence shall be used for the purpose of terminating the transmission of a frame prematurely. The abort sequence may occur anywhere in the bit stream; that is, receiving stations shall be able to detect an abort sequence even if it does not occur on octet boundaries.

**3.1.3 Fill.** When a station is transmitting (as opposed to repeating), it shall transmit fill preceding or following frames, tokens, or abort sequences to avoid what would otherwise be an inactive or indeterminate transmitter state.

Fill may be either 0 or 1 bits or any combination thereof and may be *any number* of bits in length, within the constraints of the THT.

**3.2 Field Descriptions.** The following is a detailed description of the individual fields in the tokens and frames.

### 3.2.1 Starting Delimiter (SD)

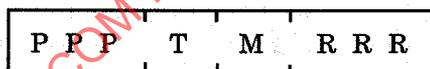


J = non-data J  
K = non-data K  
0 = zero bit

(For a discussion of non-data symbols, see 5.1.)

A frame or token shall be started with these eight symbols. If otherwise, it shall not be considered valid.

### 3.2.2 Access Control (AC)



PPP = priority bits  
T = token bit  
M = monitor bit  
RRR = reservation bits

**3.2.2.1 Priority Bits.** The priority bits shall indicate the priority of a token and, therefore, which stations are allowed to use the token. In a multiple-priority system, stations use different priorities depending on the priority of the PDU to be transmitted.

The eight levels of priority increase from the lowest (000) to the highest (111) priority. For purposes of comparing priority values, the priority shall be transmitted most significant bit first; for example, 110 has higher priority than 011 (left-most bit transmitted first).

**3.2.2.2 Token Bit.** The token bit is a 0 in a token and a 1 in a frame. When a station with a PDU to transmit detects a token that has a priority equal to or less than the PDU to be transmitted, it may change the token to a SFS and transmit the PDU.

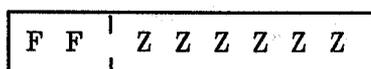
**3.2.2.3 Monitor (M) Bit.** The M bit is used to prevent a token that has a priority greater than 0 or any frame from continuously circulating on the ring. If an active monitor detects a frame or a high priority token with the M bit equal to 1, the frame is aborted.

This bit shall be transmitted as 0 in all frames and tokens. The active monitor inspects and modifies this bit. All other stations shall repeat this bit as received.

**3.2.2.4 Reservation Bits.** The reservation bits allow stations with high priority PDUs to request (in frames or tokens as they are repeated) that the next token be issued at the requested priority. The precise protocol for setting these bits is described in 4.2.2.

The eight levels of reservation increase from 000 to 111. For purposes of comparing reservation values, the reservation shall be transmitted most significant bit first; for example, 110 has higher priority than 011 (left-most bit transmitted first).

### 3.2.3 Frame Control (FC)



FF = frame-type bits  
ZZZZZZ = control bits

The FC field defines the type of the frame and certain MAC and information frame functions

**3.2.3.1 Frame-type Bits.** The frame-type bits shall indicate the type of the frame as follows:

- 00 = MAC frame (contains a MAC PDU)
- 01 = LLC frame (contains an LLC PDU)
- 1x = undefined format (reserved for future use)

**MAC Frames.** If the frame-type bits indicate a MAC frame, all stations on the ring shall interpret and, based on the finite state of the station, act on the ZZZZZZ control bits.

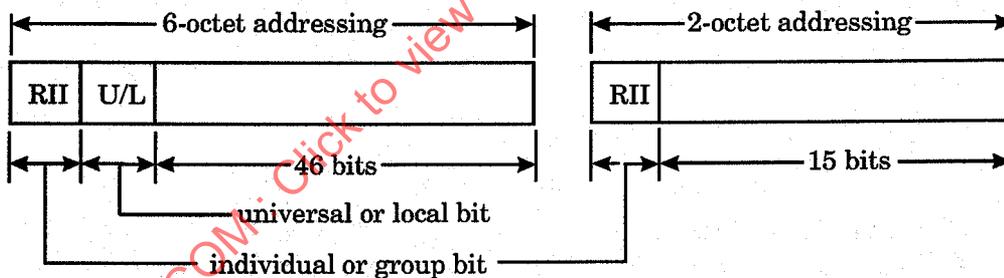
**LLC Frames.** If the frame-type bits indicate an LLC frame, the ZZZZZZ bits are designated as rrrYYY. The rrr bits are reserved and shall be transmitted as 0's in all transmitted frames and ignored upon reception. The YYY bits may be used to carry the priority (Pm) of the PDU from the source LLC entity to the target LLC entity or entities. Note that P (the priority in the AC field of a frame) is less than or equal to Pm when the frame is transmitted onto the ring.

**Undefined Format.** The value "1x" is reserved for frame types that may be defined in the future. However, although currently undefined, any future frame formats shall adhere to the following conditions:

- (1) The format shall be delimited by the 2-octet SFS field and the 2-octet EFS field, as defined in this standard. Additional fields may follow the EFS field.
- (2) The position of the FC field shall be unchanged.
- (3) The SFS and EFS of the format shall be separated by an integral number of octets. This number shall be at least 1 (that is, the FC field) and the maximum length is subject to the constraints of the THT.
- (4) All symbols between the SFS and EFS shall be 0 and 1 bits.
- (5) All stations on the ring check for data symbols and an integral number of octets between the SFS and EFS fields. The error-detected (E) bit of formats that are repeated shall be set to 1 when a non-data symbol or a non-integral number of octets is detected between the SFS and EFS fields.
- (6) All bit errors that occur in the FC field that have a hamming distance of less than four must be detectable by stations using this format and shall not be accepted by any other station conforming to this standard.

**3.2.4 Destination and Source Address (DA and SA) Fields.** Each frame shall contain two address fields: the destination (station) address and the source (station) address, in that order. Addresses may be either 2 or 6 octets in length; however, all stations of a specific LAN shall have addresses of equal length.

**3.2.4.1 Destination Address (DA).** The DA identifies the station(s) for which the information field of the frame is intended. Included in the DA is a bit to indicate whether the DA is an individual or group address and, for 6-octet addresses only, the second bit indicates whether it is a universally or locally administered address.



**Individual and Group Addresses.** The first bit transmitted of the DA distinguishes individual from group addresses:

0 = individual address                      1 = group address

Individual addresses identify a particular station on the LAN and shall be distinct from all other individual station addresses on the same LAN (in the case of local administration), or from the individual addresses of other LAN stations on a global basis (in the case of universal administration).

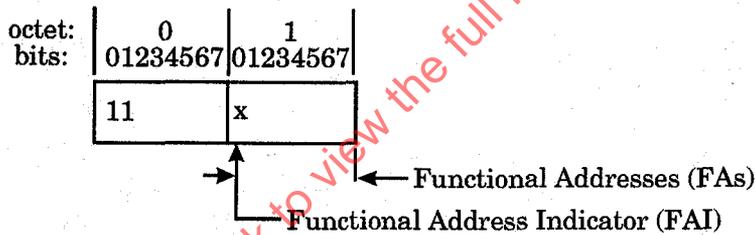
A group address shall be used to address a frame to multiple destination stations. Group addresses may be associated with zero or more stations on a given LAN. In general, a group address is an address associated by convention with a group of logically related stations.

**Broadcast Address.** The group address consisting of 16 or 48 1's (for 2- or 6-octet addressing, respectively) shall constitute a broadcast address, denoting the set of all stations on a given LAN. Stations using 48-bit addressing must also recognize X'CO 00 FF FF FF FF' as a broadcast address in MAC frames.

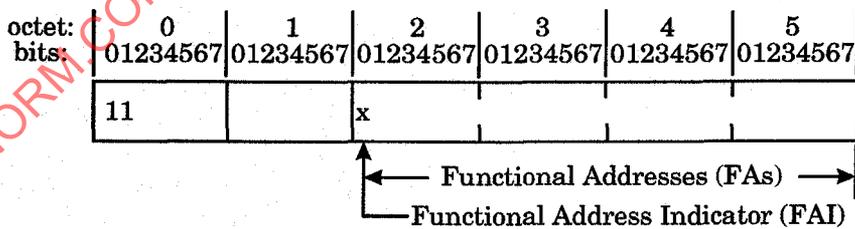
**Null Address.** An address of 16 or 48 0's (for 2- or 6-octet addressing, respectively) shall be considered a null address. It will mean the frame is not addressed to any particular station.

**Functional Addresses (FAs).** FAs are a subset of locally administered group addresses. They are bit-significant addresses used to identify well-known functional entities. The Functional Address Indicator (FAI) identifies a FA versus a conventional group MAC address. This indicator is set to a B'1' for conventional group addresses and B'0' for FAs.

**2-Octet Addressing**



**6-Octet Addressing**



The following functional addresses are defined:

<u>Function Name</u>	<u>Functional Address (FA)</u>	
	<u>2-Octet</u>	<u>6-Octet</u>
Active monitor	X'01'	X'00000001'
Ring parameter server (RPS)	X'02'	X'00000002'
Ring error monitor (REM)	X'08'	X'00000008'
Configuration report server (CRS)	X'10'	X'00000010'

**Address Administration.** There are two methods of administering the set of 6-octet station addresses: locally or through a universal authority. The second bit transmitted of the DA indicates whether the address has been assigned by a universal or local administrator:

0 = universally administered

1 = locally administered

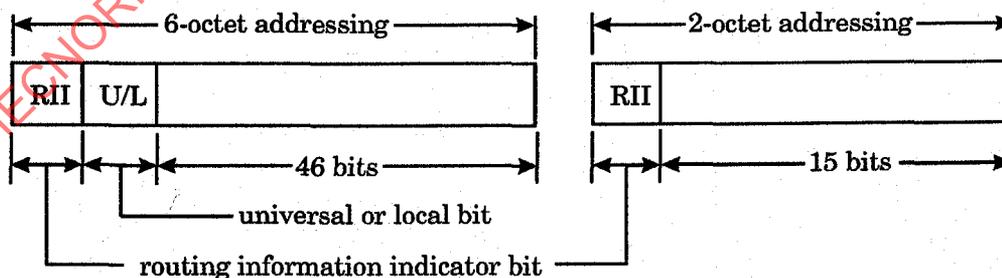
**Universal Administration.** With this method, all individual addresses are distinct from the individual addresses of all other LAN stations on a global basis. The procedure for administration of these addresses is not specified in this standard.

Information concerning the registration authority and its procedures may be obtained on request from the Secretary General, ISO Central Secretariat, Case Postale 56, CH-1211 Genève, Switzerland.

For information on global address administration contact the Registration Authority for ISO 8802-5, c/o The Institute of Electrical and Electronics Engineers, Inc., 445 Hoes Lane, P. O. Box 1331, Piscataway, NJ 08855-1331, USA.

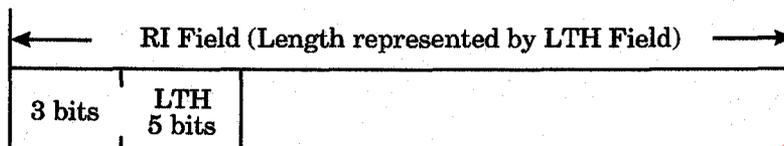
**Local Administration.** Individual station addresses are administered by a local (to the LAN) authority. (This is the only method allowed for 2-octet addresses.)

**3.2.4.2 Source Address (SA) Field.** The SA shall identify the station originating the frame. In contrast to the DA field, no I/G bit is encoded in the SA since the SA is always constrained to be an individual address; the implied value for the I/G is always 0. In its place, the SA defines the routing information indicator (RII) bit which is used to indicate if a RI field is present in the frame. The U/L bit is still used to indicate whether a 6-octet address is universal or locally administered, as in the DA.



**3.2.5 Routing Information (RI) Field.** When RII=1, the RI field shall be included in the frame.

The following provides sufficient information for the MAC entity to recognize the size of the RI field within the MAC frame and parse the frame properly. The detailed structure and contents for the RI field are described in ISO/IEC DIS 10038 DAM2 [8].



RI = Routing Information (2 to 30 octets when present)  
LTH = Length (5 bits)

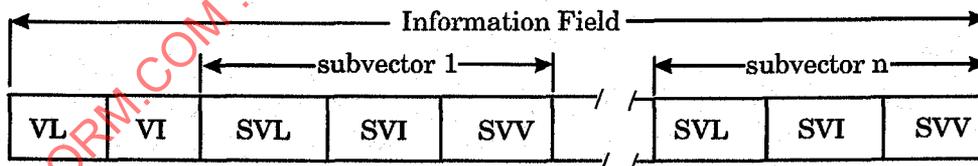
**Length Bits (LTH).** These five bits indicate the length (in octets) of the RI field. Length field values shall be even values between 2 and 30 inclusive.

**3.2.6 Information (INFO) Field.** The information field contains zero, one, or more octets that are intended for MAC, SMT, or LLC. Although there is no maximum length specified for the information field, the time required to transmit a frame may be no greater than the token holding period that has been established for the station.

The format of the information field is indicated in the frame-type bits of the FC field. The frame types defined are MAC frame and LLC frame.

**3.2.6.1 MAC Frame Format.** Figure 3-1 defines the format of the information field, when present, for MAC frames.

**Vector.** The fundamental unit of MAC and SMT information. A vector contains its length, an identifier of its function, and zero or more subvectors. Only one vector is permitted per MAC frame.



**Fig 3-1**  
**MAC Frame Information Field Structure**

**VL (vector length).** A 16-bit binary number that gives the length, in octets, of the vector. The length includes the VL field and can have values such that  $X'0004' \leq VL \leq X'FFFF'$  (subject to the constraints of timer THT).

**VI (vector identifier).** A 2-octet code point that identifies the vector

Destination Class (DC) (4 bits)	Source Class (SC) (4 bits)	Vector Code (8 bits)
------------------------------------	-------------------------------	-------------------------

The first octet of the vector identifier of a MAC frame is divided into two 4-bit function class fields. The DC provides a means to route the frame to the appropriate management function within a ring station. The SC provides the ring station the ability to ensure that the source of the vector is valid. The function classes are as follows:

<u>Function Class</u>	<u>Class Value</u>
Ring Station (RS)	X'0'
Configuration report server (CRS)	X'4'
Ring parameter server (RPS)	X'5'
Ring error monitor (REM)	X'6'

The second octet of the vector identifier is the code that uniquely identifies the vector.

Code points from X'C0' through X'FE' are unreserved and are set aside to permit the implementer to define special system-dependent functions that do not have general applicability. Such special system-dependent functions are beyond the scope of this standard.

**SV (subvector).** Vectors require all data or modifiers to be contained within subvectors. One subvector is required to contain each piece of data or modifier that is being transported. A subvector is not position-dependent within a vector, but rather, each subvector must be identified by its subvector identifier.

**SVL (subvector length).** An 8-bit binary number that gives the length, in octets, of the subvector. The length includes the length of the SVL field. A subvector length of X'FF' means that the subvector is longer than 254 octets and the actual length is included in the next two octets.

**SVI (subvector identifier).** A 1-octet code point that identifies the subvector. The code point of X'FF' indicates that an expanded identifier is being used and is contained in the next two octets.

The subvectors are of two types. The subvectors with code points from X'00' through X'7F' are used so that certain specific strings that are common to many vectors can be formatted and labeled in a standard manner. This standardization is intended to facilitate sharing of data between MAC and SMT applications and make the data as application-independent as possible.

The subvectors with code points from X'80' through X'FE' are for specific definition within a particular vector-by-vector identifier. For example, the subvector

X'90' can have an entirely different definition in every different vector. The subvector X'40' has only one definition across all vectors and applications.

Subvectors themselves may contain other subvectors and other types of vectors and optional fields that are unique only to the particular subvector to which they belong.

**3.2.6.2 LLC Frame Format.** The format of the information field for LLC frames is not specified in this standard. However, in order to promote interworking among stations, all stations shall be capable of receiving frames whose information field is up to and including 133 octets in length.

**3.2.6.3 Order of Bit Transmission.** Each octet of the information field shall be transmitted most significant bit first.

**3.2.7 Frame-Check Sequence (FCS).** The FCS shall be a 32-bit sequence based on the following standard generator polynomial of degree 32.

$$G(X) = X^{32} + X^{26} + X^{23} + X^{22} + X^{16} + X^{12} + X^{11} + X^{10} + X^8 + X^7 + X^5 + X^4 + X^2 + X + 1$$

The FCS shall be the 1's complement of the sum (modulo 2) of the following:

- (1) The remainder of  $X^k (X^{31} + X^{30} + X^{29} + \dots + X^2 + X + 1)$  divided (modulo 2) by  $G(X)$ , where  $k$  is the number of bits in the FC, DA, SA, and INFO fields, and
- (2) The remainder after multiplication by  $X^{32}$  and then division (modulo 2) by  $G(X)$  of the content (treated as a polynomial) of the FC, DA, SA, and INFO fields.

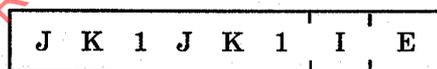
The FCS shall be transmitted commencing with the coefficient of the highest term.

As a typical implementation, at the transmitter, the initial remainder of the division is preset to all 1's and is then modified by division of the FC, DA, SA, and INFO fields by the generator polynomial,  $G(X)$ . The 1's complement of this remainder is transmitted, most significant bit first, as the FCS.

At the receiver, the initial remainder is preset to all 1's and the serial incoming bits of FC, DA, SA, INFO, and FCS, when divided by  $G(X)$ , results, in the absence of transmission errors, in a unique non-zero remainder value. The unique remainder value is the polynomial:

$$X^{31} + X^{30} + X^{26} + X^{25} + X^{24} + X^{18} + X^{15} + X^{14} + X^{12} + X^{11} + X^{10} + X^8 + X^6 + X^5 + X^4 + X^3 + X + 1$$

**3.2.8 Ending Delimiter (ED)**



- J = non-data J
- K = non-data K
- 1 = one bit
- I = intermediate frame bit
- E = error-detected bit

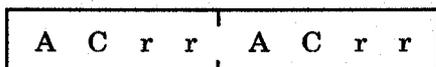
The transmitting station shall transmit the delimiter as shown. Receiving stations shall consider the ED valid if the first six symbols J K 1 J K 1 are received correctly.

**3.2.8.1 Intermediate Frame Bit (I Bit).** If the I flag is utilized to determine the end of a station's transmission, the I bit shall be transmitted as 1 in

intermediate (or first) frames of a multiple-frame transmission. The I bit in the last or only frame of the transmission shall be transmitted as 0.

**3.2.8.2 Error-Detected Bit (E Bit).** The E bit shall be transmitted as 0 by the station that originates the token, abort sequence, or frame. All stations on the ring check tokens and frames for errors (for example, FCS error, non-data symbols: see 4.2.1). The E bit of tokens and frames that are repeated shall be set to 1 when a frame with error is detected; otherwise the E bit is repeated as received.

### 3.2.9 Frame Status (FS)



A = address-recognized bits  
C = frame-copied bits  
r = reserved bits

These reserved bits are reserved for future standardization. They shall be transmitted as 0's; however, their value shall be ignored by the receivers.

**Address Recognized (A) Bits and Frame Copied (C) Bits.** The A and C bits shall be transmitted as 0 by the station originating the frame. If another station recognizes the DA as its own address or relevant group address it shall set the A bits to 1. If it copies the frame (into its receive buffer), it shall also set the C bits to 1. This allows the originating station to differentiate among three conditions:

- (1) Station non-existent/non-active on this ring
- (2) Station exists but frame not copied
- (3) Frame copied

The A and C bits shall be set without regard to the value of the E bit and only if the frame is good as defined in 4.2.1. Only the values that are 00rr 00rr, 10rr 10rr, and 11rr 11rr shall be considered valid. All other values are invalid and ignored by the receiver.

If a destination station detects that the A bits have already been set on a MAC frame, and the DA is not a group address, it may imply that a duplicate address problem exists. The second condition (station existent but frame not copied) allows the originating station to log the instances when, for example, congestion has prevented a destination station from copying the frame.

**3.3 Medium Access Control (MAC) Frames.** The following are descriptions of various MAC frames that are used in the management of the token ring. Values for PDU priority (Pm), FC, DA, INFO field content (Vector Identifier—VI, Subvector Identifier—SVI, and Subvector Value—SVV) associated with the particular MAC supervisory frame are indicated in 3.3.3.

Frames with the following FC values are to be handled as follows:

- (1) If the value of the FC of the frame is X'00' and it is addressed to the station, it will be copied only if there is sufficient free buffer available for copying.
- (2) If the value of the FC of the frame is X'01' and it is addressed to the station, every effort will be made to copy the frame including overwriting previously received information.

- (3) If the value of the FC of the frame is greater than X'01', it will be addressed to all stations on the ring. It will be copied only if there is sufficient free buffer available for copying. If the frame is not copied, action will be based on the value of the FC field.

The digits enclosed by X ' and ' (for example, X'07') are the hexadecimal value of the assigned code point. Values other than those defined below will be ignored by the receiving station(s). All unassigned values are reserved for future specification. The general format for the information field of MAC frames is described under 3.2.6.

### 3.3.1 Vector Descriptions

**3.3.1.1 Claim Token MAC Frame (CL\_TK).** When a station that is in standby state determines that there is no active monitor operating on the ring, it shall enter a claiming token state. While in this state the station shall send claim token frames and inspect the SA of the claim token MAC frames it receives. If the SA matches its own MA and the RUA subvector matches the SUA, it has claimed the token and shall enter active monitor mode and generate a new token. (For a more detailed description, see Fig 4-5.)

**3.3.1.2 Duplicate Address Test MAC Frame (DAT).** This frame is transmitted with DA equal to MA as part of the initialization process. If the frame returns with the A bits set to 1, it indicates that there is another station on the ring with the same address. If such an event occurs, the station's network manager is notified and the station returns to bypass state. A station that copies a DAT frame will ignore it.

**3.3.1.3 Active Monitor Present MAC Frame (AMP).** This frame is transmitted by the active monitor. It shall be queued for transmission following the successful purging of the ring or following the expiration of the TAM. Any station in standby state that receives this frame shall reset its TSM.

An AMP is transmitted at the ring service priority (Pr) that exists at the time a token is received after an AMP PDU is queued. The default value for Pm for this frame is seven; see 6.3.2.1 to change this value.

**3.3.1.4 Standby Monitor Present MAC Frame (SMP).** This frame is transmitted by the standby monitor(s). After receipt of an AMP or SMP frame whose A and C bits equal 0, the TQP is reset. When timer TQP expires, an SMP PDU shall be queued for transmission.

The queuing of a SMP PDU is delayed for a period of TQP to assure that the transmission of SMP frames do not use more than 1% of the bandwidth of the ring in any TQP period of time.

Stations that receive an AMP or SMP frame in which the value of the A and C bits are 0 will regard the frame as having originated from their upstream neighbor's station. Therefore, a station that copies such a frame shall record the SA contained in the frame as the SUA for later transmission as a subvector in certain MAC frames as well as performing a comparison with certain MAC frames.

**3.3.1.5 Beacon MAC Frame (BCN).** This frame shall be sent as a result of serious ring failure (for example, broken cable, jabbering station, etc). It is useful in localizing the fault. The transmission of BCN is covered in Fig 4-5.

The immediate upstream station is part of the failure domain about which the BCN is reporting. Therefore, as noted above, the address of the upstream station that was previously recorded is included in the MAC info field.

**3.3.1.6 Purge MAC Frame (PRG).** This frame is transmitted by the active monitor. It shall be transmitted following claiming the token or to perform reinitialization of the ring following the detection of an M bit set to 1 or the expiration of timer TVX.

**3.3.1.7 Change Parameters MAC Frame (CHG\_PARM).** This frame is sent by the CRS to set appropriate ring operational values.

**3.3.1.8 Initialize Ring Station (INIT).** This frame is sent by the RPS in response to the Request Initialization MAC frame sent to the RPS. This frame sets appropriate ring operational values in a station.

**3.3.1.9 Lobe Media Test MAC Frame (TEST).** This frame can be sent by a station to test the continuity and bit error rate of the wire in a loop-back path, prior to the station's physical insertion in the ring. The DA field of this frame is the null address (16 or 48 zeros). The wrap data is a bit string that can be chosen arbitrarily by the transmitting station.

**3.3.1.10 Remove Ring Station MAC Frame (REMOVE).** This frame is sent by the CRS to a specific station causing an unconditional removal.

**3.3.1.11 Report Error MAC Frame (ERROR).** This frame is sent by a station to the REM. When a timer expires, the values of the counters are reported, if they have been incremented since the last expiration. If a counter overflows before the threshold timer expires, the counter values are reported at the time of the overflow. A timer value of 0 implies no report will be sent.

**3.3.1.12 Report Active Monitor Error MAC Frame (ACTIVE\_ERROR).** This frame is sent by the active monitor to the REM when it receives a Purge or an AMP MAC frame that it did not transmit, or when it receives a Claim Token MAC frame. In this case this indicates that a duplicate active monitor or another station has detected an error in the active monitor. It is also sent by a station in Claim Token State if it receives a Claim Token MAC frame in which the SA is equal to the MA but RUA≠SUA. In this case, this report indicates a possible duplicate address on the ring.

**3.3.1.13 Report Neighbor Notification Incomplete MAC Frame (NN\_INCMP).** This frame is sent by the active monitor to the REM when its TAM expires before the completion of the neighbor notification process, detected by not receiving an AMP or SMP with both the A and C bits set to 0. It indicates a congested station, a failing station, or a high bit error rate on the ring.

**3.3.1.14 Report New Active Monitor MAC Frame (NEW\_MON).** This frame is sent by a station to the CRS to report that it has become the active monitor.

**3.3.1.15 Report Ring Station Addresses MAC Frame (RPT\_ADDR).** This frame is sent by a station to the sender of Request Ring Station Addresses MAC frame.

**3.3.1.16 Report Ring Station Attachments MAC Frame (RPT\_ATTCH).**

This frame is sent by a station to the sender of Request Ring Station Attachments MAC frame.

**3.3.1.17 Report Ring Station State MAC Frame (RPT\_STATE).** This frame is sent by a station to the sender of Request Ring Station State MAC frame.

**3.3.1.18 Report SUA Change MAC Frame (SUA\_CHG).** This frame is sent by a station to the CRS when a change is made in the station's SUA as the result of the neighbor notification process.

**3.3.1.19 Request Initialization MAC Frame (RQ\_INIT).** This frame is sent by a station to its RPS after successfully inserting (transition made to Standby State) in the ring. This is to inform the RPS that a station has been inserted and will accept modified parameters from either the RPS or CRS.

**3.3.1.20 Request Ring Station Addresses MAC Frame (RQ\_ADDR).** This frame is sent by one of the management servers (CRS, REM, or RPS) to a specific station to request the different addresses recognized by the ring station.

**3.3.1.21 Request Ring Station Attachments MAC Frame (RQ\_ATTCH).** This frame is sent by one of the management servers (CRS, REM, or RPS) to a specific station to request information on the functions active in the ring station.

**3.3.1.22 Request Ring Station State MAC Frame (RQ\_STATE)** This frame is sent by one of the management servers (CRS, REM, or RPS) to a specific station to request the state information of the ring station.

**3.3.1.23 Response MAC Frame (RSP).** This frame is sent by a station to acknowledge receipt of, or to report an error (for example a syntax error) in, a received MAC frame. It reports on whether or not the corresponding received frame was processed correctly by the receiving station.

The MAC frames requiring a response include Change Parameters MAC frame and Initialize Ring Station MAC frame.

**3.3.2 Subvector Descriptions.** Following is a list of subvectors, listed in alphabetical order, along with their respective subvector values.

**3.3.2.1 Assign Physical Drop Number.** This subvector has a value field 4 octets long. It specifies the physical location of the target station. It is installation-dependent.

**3.3.2.2 Authorized Access Priority.** This subvector has a value field 2 octets long. The system administrator assigns maximum priorities to each station.

**3.3.2.3 Authorized Function Classes.** This subvector has a value field 2 octets long. It indicates the functional classes that are allowed to be active in the station. Valid range is B'0000 0000 0000 0000' to B'1111 1111 1111 1111' where each bit 0 to 15 corresponds to function class B'0000' to B'1111'. Defined function classes are the following:

<u>Function Class</u>	<u>Class Value</u>
Ring station (RS)	X'0'
Configuration report server (CRS)	X'4'
Ring parameter server (RPS)	X'5'
Ring error monitor (REM)	X'6'

**3.3.2.4 BCN Type.** This subvector has a value field 2 octets long and is used to indicate the type of error detected. It has one of the following possible values:

- X'0001' — Issued by station during reconfiguration (for future study)
- X'0002' — Continuous J symbols received
- X'0003' — Timer TNT expired during claiming token; no FR\_CL\_TK received
- X'0004' — Timer TNT expired during claiming token; FR\_CL\_TK (SA<MA) received

**3.3.2.5 Correlator.** This subvector has a value field 2 octets long and is used by the sending station to relate its transmitted requests with the responses it receives. Any pattern of bits may be used as the correlator.

**3.3.2.6 Error Code.** This subvector has a value field 2 octets long and is used in the Report Active Monitor Error MAC frame. It has the following code points:

- X'0001' — Active monitor error, used when the active monitor receives a Claim Token MAC frame, indicating that another station detected an error in the active monitor.
- X'0002' — Duplicate active monitor, used when the active monitor receives a Purge or an AMP MAC frame that it did not transmit, indicating the presence of another active monitor.
- X'0003' — Duplicate address, used when a station in Claim Token Status receives a Claim Token MAC frame in which the SA equals the station's individual address (SA equal to MA) but the RUA is different from the station's SUA. This indicates that another station on the ring has the same individual address.

**3.3.2.7 Error Report Timer Value.** This subvector has a value field 2 octets long. It is used to determine the value of TER. (The value shall be stated in increments of 10 ms).

**3.3.2.8 Functional Address (FA).** This subvector has a value field 1 or 4 octets long (depending on whether 2- or 6-octet addressing is used) and specifies the FAs of the reporting station.

**3.3.2.9 Group Address.** This subvector has a value field 1 or 2 octets in length for 2-octet addressing and 4 or 6 octets in length for 6-octet addressing. It contains the group address of the reporting station.

**3.3.2.10 Isolating Error Counts.** This subvector has a value field 6 octets long and is used in the Report Error MAC frame. It indicates the number of each type of error detected since the last error report. The counters listed below are not required, but are recommended. Refer to 3.8 for a description of each of the counters.

- |                          |                                       |
|--------------------------|---------------------------------------|
| Octet 0 — line error     | Octet 3 — A/C error                   |
| Octet 1 — internal error | Octet 4 — abort delimiter transmitted |
| Octet 2 — burst error    | Octet 5 — reserved                    |

**3.3.2.11 Local Ring Number.** This subvector has a value field 2 octets long. It indicates the local ring number of the sending station.

**3.3.2.12 Non-Isolating Error Counts.** This subvector has a value field 6 octets long and is used in the Report Error MAC frame. It indicates the number of each type of error detected since the last error report. The counters listed below are not required, but are recommended. Refer to 3.8 for a description of each of the counters.

Octet 0	—	lost frame error	Octet 3	—	frequency error
Octet 1	—	receive congestion	Octet 4	—	token error
Octet 2	—	frame-copied error	Octet 5	—	reserved

**3.3.2.13 Physical Drop Number.** This subvector has a value field 4 octets long. It reports the physical location of the sending station. It is installation-dependent.

**3.3.2.14 Product Instance ID.** This subvector's value is used by a station manufacturer to identify a station's characteristics, such as serial number, machine type, model number, plant of manufacture, etc. The format of this subvector value is not specified.

**3.3.2.15 Upstream Neighbor's Address (UNA).** This subvector value field is 2 or 6 octets long (depending on whether 2- or 6-octet addressing is used) and contains the address of the upstream neighbor of the sending station.

**3.3.2.16 Response Code.** This subvector has a value field 4 octets long and is used in the Response MAC frame. It consists of a 2-octet response code followed by another 2 octets containing the SC, DC, and the major vector identifier (MVID) in the received MAC frame that caused the station to send the Response MAC frame. The response code points are as follows:

- X'0001' — Positive acknowledgement. The MAC frame was accepted by the station.
- X'8001' — MAC frame data field incomplete. The MAC frame was too short to contain the vector length and the vector ID.
- X'8002' — Vector length error. Vector length does not agree with the length of the frame or a subvector was found that did not fit within the vector.
- X'8003' — Unrecognized Vector ID. The vector ID is not recognized by the station.
- X'8004' — Inappropriate source class. The source class is not valid for the MVID.
- X'8005' — Subvector length error. The length of a recognized subvector is less than 2 or exceeds the maximum allowed.
- X'8006' — Reserved.
- X'8007' — Missing subvector. A subvector required to process the MAC frame is not in the MAC frame.
- X'8008' — Subvector unknown. A subvector received in the MAC frame is not known by the adapter.
- X'8009' — MAC frame too long. The received frame was rejected because it exceeded maximum length.
- X'800A' — Function requested was disabled. The received MAC frame was not executed because the function requested was disabled.

**3.3.2.17 Ring Station Version Number.** This subvector is used in the Request Initialization and Report Ring Station State MAC frames. The contents of this subvector are implementation-specific.

**3.3.2.18 Ring Station Status Vector.** This subvector is used in the Report Ring Station State MAC frame. The contents of the vector are implementation-specific.

**3.3.2.19 SA of Last AMP or SMP Frame.** This subvector has a value field 2 or 6 octets long (depending on whether 2- or 6-octet addressing is used) and is used in the Report Neighbor Notification Incomplete MAC frame. It indicates the SA of the last AMP or SMP when the neighbor notification process does not complete.

**3.3.2.20 Wrap Data.** The length and function of this subvector are product implementation choices. The subvector is used in the Lobe Media Test MAC frame.

**3.3.2.21 Station Identifier.** This subvector has a value field 6 octets long and is used in the Report Station State MAC frame. It uniquely identifies the station.

**3.3.3 Table of MAC Frames.** Table 3-1 is a tabulation of MAC frames and the subvectors that they contain.

**3.4 Timers.** The term *reset*, when applied to timers, is to be understood to mean the timer is reset to its initial value and (re)started.

**3.4.1 Timer, Return to Repeat (TRR).** Each station shall have a timer TRR to ensure that the station shall return to Repeat State. The operation of TRR is described in the operational finite-state machine. An implementation shall be capable of supporting a TRR value of 4 ms.

**3.4.2 Timer, Holding Token (THT).** Each station shall have a timer THT to control the maximum period of time the station may transmit frames after capturing a token. A station may initiate transmission of a frame if such transmission can be completed before timer THT expires. The operation of THT is described in the operational finite-state machine. An implementation shall be capable of supporting a THT value of 8.9 ms.

**3.4.3 Timer, Queue PDU (TQP).** Each station shall have a timer TQP for the purpose of timing the enqueueing of a SMP PDU after reception of a AMP or SMP frame in which the A and C bits were equal to 0. An implementation shall be capable of supporting a TQP value of 20 ms.

**3.4.4 Timer, Valid Transmission (TVX).** Each station shall have a timer TVX that is used by the active monitor to detect the absence of valid transmissions. The operation of TVX is described in the active monitor finite-state machine. An implementation shall be capable of supporting a TVX value of 10 ms.

**3.4.5 Timer, No Token (TNT).** Each station shall have a timer TNT to recover from various token-related error situations. The operation of TNT is described in the monitor finite-state machines. An implementation shall be capable of supporting a TNT value of 2.6 s.

**3.4.6 Timer, Active Monitor (TAM).** Each station shall have a timer TAM that is used by the active monitor to stimulate the enqueueing of a AMP PDU for transmission. An implementation shall be capable of supporting a TAM value of 7 s.

**3.4.7 Timer, Standby Monitor (TSM).** Each station shall have a timer TSM that is used by the stand-by monitor(s) to assure that there is an active monitor on the ring and to detect a continuous stream of tokens. An implementation shall be capable of supporting a TSM value of 15 s.

**3.4.8 Timer, Error Report (TER).** Each station shall have a timer TER that is used to report error counters as detected by the station. This timer is reset upon entering Standby state (State S4). When this timer expires, the station queues a Report Error PDU, if any counter is not zero, and resets this timer. An implementation shall be capable of supporting a TER value of 2 s.

**3.4.9 Timer, Beacon Transmit (TBT).** Each station shall have a timer TBT that is used to specify the length of time a station shall remain in Beacon transmit before entering Bypass state. An implementation shall be capable of supporting a TBT value of 16 s.

**3.4.10 Timer, Beacon Receive (TBR).** Each station shall have a timer TBR that is used by that station to control the time a station receives BCN frames from its downstream neighbor before entering Bypass state. An implementation shall be capable of supporting a TBR value of 160 ms.

**3.5 Flags.** Flags are used to *remember* the occurrence of a particular event. They shall be set when the event occurs. The flags used are the following:

**3.5.1 I Flag.** A flag that is set upon receiving an ED with the I bit equal to 0. Implementation of this flag is mutually exclusive with the implementation of the Frame Count counter (see 3.8.11).

**3.5.2 SFS Flag.** A flag that is set upon receiving an SFS sequence.

**3.5.3 MA Flag.** A flag that is set upon receiving an SA that is equal to the station's address.

**3.5.4 SMP Flag.** A flag used by the standby monitors that is set upon receiving an SMP or AMP with both the A and C bits set to 0.

**3.5.5 NN Flag.** A flag used by the active monitor that is set when the active monitor receives an AMP or SMP with both the A and C bits set to 0, indicating the neighbor notification process has completed.

**3.5.6 BR Flag.** A flag used by all stations that is set when the station receives a BCN frame other than type 1 from its active downstream neighbor (RUA=MA). It is reset upon the receipt of any frame other than the frame described above.

**3.5.7 ETR Flag.** A flag used by all stations to indicate whether or not the ETR option is selected. If this flag is set, the ETR option is active. This flag is settable through the management entity. Implementation of the ETR capability is optional.

**Table 3-1**  
**MAC Frames**

Vector (in vector code order)	FC Pm	DA	Subvector/Comment
X'0002' BCN	X'02' 0	all sta this ring	X'01' BCN Type X'0B' Physical Drop Number X'02' UNA
X'0003' Claim Token	X'03' 0	all sta this ring	X'0B' Physical Drop Number X'02' UNA
X'0004' Ring Purge	X'04' 0	all sta this ring	X'0B' Physical Drop Number X'02' UNA
X'0005' AMP	X'05' 7	all sta this ring	X'0B' Physical Drop Number X'02' UNA
X'0006' SMP	X'06' 3	all sta this ring	X'0B' Physical Drop Number X'02' UNA
X'0007' DAT	X'01' 3	DA=SA= MA	none
X'0008' Lobe Media Test	X'00' 3	Null (48 0's)	X'26' Wrap Data
X'040B' Remove Ring Station	X'01' 3	Target Address	
X'040C' Change Parameters  RESPONSE REQUIRED	X'00' 3	Target Address	X'09' Correlator X'03' Local Ring Number X'04' Assign Physical Drop Nbr. X'05' Error Timer Value X'06' Authorized Function Classes X'07' Authorized Access Priority
X'050D' Initialize Ring Station RESPONSE REQUIRED	X'00' 3	Target Address	X'09' Correlator X'03' Local Ring Number X'04' Assign Physical Drop Nbr. X'05' Error Timer Value
X'0*0E' Request Station Addresses	X'00' 3	Target Address	X'09' Correlator *DC = SC of rcvd frame
X'0*0F' Request Station State	X'00' 3	Target Address	X'09' Correlator *DC = SC of rcvd frame
X'0*10' Request Station Attachments	X'00' 3	Target Address	X'09' Correlator *DC = SC of rcvd frame

**Table 3-1**  
**MAC Frames (continued)**

Vector (in vector code order)	FC Pm	DA	Subvector/Comment
X'4025' Report New Active Monitor	X'00' 3	CRS FA	X'0B' Physical Drop Number X'02' UNA X'22' Product Instance ID
X'4026' Report SUA Change	X'00' 3	CRS FA	X'02' UNA X'0B' Physical Drop Number
X'5020' Request Initialization	X'00' 3	RPS FA	X'22' Product Instance ID X'02' UNA X'23' Ring Station Version Nbr.
X'6027' Report Neighbor Notification Incomplete	X'00' 3	REM FA	X'0A' SA of Last AMP or SMP Frame
X'6028' Report Active Monitor Error	X'00' 3	REM FA	X'30' Error Code X'0B' Physical Drop Number X'02' UNA
X'6029' Report Error	X'00' 3	REM FA	X'2D' Isolating Error Count X'2E' Non-Isolating Error Count X'0B' Physical Drop Number X'02' UNA
X'*000' Response	X'00' 0	SA of recvd frame	X'09' Correlator X'20' Response Code * DC=SC of recvd frame
X'*022' Report Station Addresses	X'00' 0	SA of request	X'09' Correlator X'02' UNA X'0B' Physical Drop Number X'2B' Group Address(es) X'2C' FA(s) * Function class of requestor
X'*023' Report Station State	X'00' 0	SA of request	X'09' Correlator X'23' Ring Station Version Nbr. X'28' Station Identifier X'29' Ring Station Status * Function class of requestor
X'*024' Report Station Attachments	X'00' 0	SA of request	X'09' Correlator X'22' Product Instance ID X'06' Authorized Function Classes X'07' Authorized Access Priority X'2C' FA(s) * Function class of requestor

**3.5.8 NOT\_MA Flag.** A flag used to indicate if the SA of the last transmitted frame is not equal to MA. This flag is set only if the SA is composed of only 0 and 1 bits and the frame count (FR\_CNT) equals 1. Implementation of this flag is mandatory if the Frame Count counter is implemented (see 3.8.11).

### 3.6 Priority Registers and Stacks

**3.6.1 Pr and Rr Registers.** The value of the priority (P) and reservation (R) of the most recently received AC field are stored in registers as Pr and Rr.

**3.6.2 Sr and Sx Stacks.** If, at the time of transmission of a token, the value of Rr or Pm (the priority of a queued PDU) is greater than Pr, a token with a priority of the higher of Rr or Pm shall be transmitted. At the same time the station shall store the value of Pr in a stack as Sr and shall store the value of the priority of the token that was transmitted in a stack as Sx.

The use of the Pr and Rr registers and the Sr and Sx stacks in performing the priority function is described in detail in Section 4.

**3.7 Latency Buffer.** The latency buffer serves two purposes. The first is to ensure that there are at least 24 bits of latency in the ring. The second is to provide phase jitter compensation. The latency buffer is described in more detail in Section 5.

The token management is structured so that only one latency buffer shall be active in a normally functioning ring and is provided by the active monitor in the ring.

### 3.8 Counters

**3.8.1 Line Error.** This counter is incremented when a frame or token is copied or repeated by a station, the E bit is zero in the frame or token and one of the following conditions exists:

- (1) There is a non-data bit (J or K bit) between the SD and the ED of the frame or token.
- (2) There is a FCS error in a frame.

The first station detecting a line error increments its appropriate error counter and sets E=1 in the ED of the frame; this prevents other stations from also logging the error and isolates the source of the disturbance to the proper error domain.

**3.8.2 Internal Error.** This counter is incremented when a station recognizes a recoverable internal error. This can be used for detecting a station in marginal operating condition.

**3.8.3 Burst Error.** This counter is incremented when a station detects the absence of transitions for five half-bit times (burst-five error). Note that only one station detects a burst-five error because the first station to detect it converts it to a burst-four.

**3.8.4 AC Error.** This counter is incremented when a station receives an AMP or SMP frame in which A and C are both equal to 0, and then receives another SMP frame with A and C are both equal to 0 without first receiving an AMP frame.

**3.8.5 Abort Delimiter Transmitted (AD\_TRANS).** This counter is incremented when a station transmits an abort delimiter while transmitting.

**3.8.6 Lost Frame Error (LOST\_FR).** This counter is incremented when a station is transmitting and its TRR timer expires. This counts how often frames transmitted by a particular station fail to return to it (thus causing the active monitor to issue a new token).

**3.8.7 Receive Congestion Error (RCV\_CON).** This counter is incremented when a station recognizes a frame addressed to its specific address, but has no available buffer space indicating the station is congested.

**3.8.8 Frame Copied Error (FR\_COPIED).** This counter is incremented when a station recognizes a MAC frame addressed to its specific address and detects that the FS field A bits are set to 1 indicating a possible line hit or duplicate address.

**3.8.9 Frequency Error (FREQ).** This counter is incremented when the frequency of the incoming signal differs by more than that specified in Section 7 from the expected frequency.

**3.8.10 Token Error.** This counter is incremented when a station acting as the active monitor recognizes an error condition that needs a token transmitted. This occurs when the TVX timer expires (see transition (03) of the Active Monitor FSM).

**3.8.11 Frame Count (FR\_CNT).** This counter indicates the number of frames originated by the station which, by station calculation, are still on the ring. It is incremented when a SFS is transmitted and decremented when an ED is stripped. Implementation of this counter is mandatory if the ETR option is implemented (see 3.5.7).

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## 4. Token Ring Protocols

This section specifies the procedures that shall be used in the MAC.

**4.1 Overview.** The subsections of 4.1 provide a descriptive overview of frame transmission and reception. The formal specification of the operation is given in 4.2.

**4.1.1 Frame Transmission.** Access to the physical medium (the ring) is controlled by passing a token around the ring. The token gives the downstream (receiving) station (relative to the station passing the token) the opportunity to transmit a frame or a sequence of frames. Upon request for transmission of an LLC PDU or SMT PDU, MAC prefixes the PDU with the appropriate FC, DA, and SA fields and enqueues it to await the reception of a token that may be used for transmission.

Such a token has a priority less than or equal to the priority of the PDU(s) that is to be sent. Upon queuing the PDU for transmission and prior to receiving a usable token, if a frame or an unusable token is repeated on the ring, the station requests a token of appropriate priority in the RRR bits of the repeated AC field. Upon receipt of a usable token, it is changed to a SFS by setting the token bit.

At this time, the station stops repeating the incoming signal and begins transmitting a frame. During transmission, the FCS for the frame is accumulated and appended to the end of the information field.

**4.1.2 Token Transmission.** After transmission of the frame(s) has been completed, the station checks to see if the station's address has returned in the SA field, as indicated by the MA\_FLAG. If it has not been seen, the station transmits fill until the MA\_FLAG is set, at which time the station transmits a token.

**4.1.3 Stripping.** After transmission of the token the station will remain in transmit state until all of the frames that the station originated are removed from the ring. This is done to avoid unnecessary recovery action that would be caused if a frame were allowed to continuously circulate on the ring.

**4.1.4 Frame Reception.** Stations, while repeating the incoming signal stream, check it for frames they should copy or act upon. If the frame-type bits indicate a MAC frame, the control bits are interpreted by all stations on the ring. In addition, if the frame's DA field matches the station's individual address, relevant group address, or broadcast address, the FC, DA, SA, INFO, and FS fields are copied into a receive buffer and subsequently forwarded to the appropriate sublayer.

**4.1.5 Priority Operation.** The priority bits (PPP) and the reservation bits (RRR) contained in the AC field work together in an attempt to match the service priority of the ring to the highest priority PDU that is ready for transmission on the ring. As previously noted in 3.6, values are stored in registers as Pr and Rr. The current ring service priority is indicated by the priority bits in the AC field that is circulated on the ring.

The priority mechanism operates in such a way that *fairness* (equal access to the ring) is maintained for all stations within a priority level. This is accomplished by having the same station that raised the service priority level of the ring (the *stacking station*) return the ring to the original service priority. As previously noted in 3.6, the Sx and Sr stacks are used to perform this function.

The priority operation is explained as follows:

When a station has a priority (a value greater than zero) PDU (or PDUs) ready to transmit, it requests a priority token. This is done by changing the reservation bits (RRR) as the station repeats the AC field. If the priority level (Pm) of the PDU that is ready for transmission is greater than the RRR bits, the station increases the value of RRR field to the value Pm. If the value of the RRR bits is equal to or greater than Pm, the reservation bits (RRR) are repeated unchanged.

After a station has claimed the token, it transmits PDUs that are at or above the present ring service priority level until it has completed transmission of those PDUs or until the transmission of another frame could not be completed before timer THT expires (see 3.4.2). The priority of all of the PDUs that are transmitted should be at the present ring service priority value. The station will then generate a new token for transmission on the ring.

If the station does not have additional PDUs to transmit that have a priority (Pm) or does not have a reservation request (as contained in register Rr) neither of which is greater than the present ring service priority (as contained in register Pr), the token is transmitted with its priority at the present ring service priority and the reservation bits (RRR) at the greater of Rr or Pm and no further action is taken.

However, if the station has a PDU ready for transmission or a reservation request (Rr), either of which is greater than the present ring service priority, the token is generated with its priority at the greater of Pm or Rr and its reservation bits (RRR) as 0. Since the station has raised the service priority level of the ring, the station becomes the stacking station and, as such, stores the value of the old ring service priority as Sr and the new ring service priority as Sx. (These values will be used later to lower the service priority of the ring when there are no PDUs ready to transmit on the ring whose Pm is equal to or greater than the stacked Sx.)

Note that since a station may have raised the service priority of the ring more than once before the service priority is returned to a lower priority, (for example, from 1 to 3 and then 5 to 6) it may have multiple Sx and Sr values stored and, hence, be referred to as stacked. Also note that this usage of the terms *stack* and *stacked* are not to be confused with other usages of these same terms.

Having become a stacking station, the station claims every token that it receives that has a priority (PPP) equal to its Sx in order to examine the RRR bits of the AC field for the purpose of raising, maintaining, or lowering the service priority of the

ring. The new token is transmitted with its PPP bits equal to the value of the reservation bits (RRR) but no lower than the value of the Sr that was the original ring priority service level.

If the value of the new ring service priority (PPP equal to Rr) is greater than Sr, the RRR bits are transmitted as 0 and the old ring service priority contained in Sx is replaced with a new value Sx equal to Rr, and the station continues its role as a stacking station.

However, if the Rr value is equal to or less than the value of the Sr, the new token is transmitted at a priority value of the Sr, both Sx and Sr are removed (*popped*) from the stack, and if no other values of Sx and Sr are stacked, the station discontinues its role as a stacking station.

Note that a stacking station that has claimed the token may transmit PDUs as well as examining RRR bits, as described above. Of course only those PDUs that have a priority equal to or greater than the ring service priority may be transmitted.

The frames that are transmitted to initialize the ring have a PPP field that is equal to 0. The receipt of a PPP field whose value is less than a stacked Sx will cause any Sx or Sr values that may be stacked to be cleared in all stations on the ring (see Fig 4-3).

The complete description of priority operation is contained in the operational FSM.

**4.1.6 Beaconing and Neighbor Notification.** When a hard failure is detected in a token ring local area network, its cause must be isolated to the proper failure domain so that recovery actions can take place. The failure domain consists of the following:

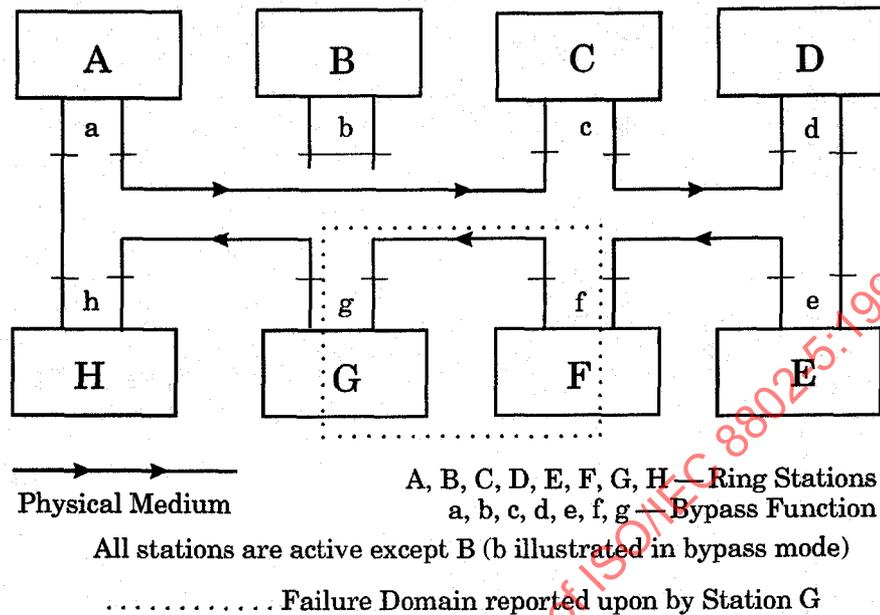
- (1) The station reporting the failure (the beaconing station),
- (2) The station upstream of the beaconing station, and
- (3) The ring medium between them.

For example, if a failure occurred within the domain shown in Fig 4-1, station G would report upon it by transmitting BCN MAC frames.

A failure that causes bit disruption within the transmitter side of station F, in the medium between stations F and G, or within the receiver side of station G, will be detected and reported upon by station G using a BCN MAC frame. This alerts all other stations on the ring that the token protocol has been suspended until such a time that the disruption terminates or is removed.

To do accurate problem determination, all elements of the failure domain must be known at the time that the failure is detected. This implies that at any given time, each station should know the identity of its upstream neighbor station. A process for obtaining this identity, known as neighbor notification, is described below.

Neighbor notification has its basis in the address recognized and frame-copied bits (the A and C bits) of the FS field. These bits are transmitted as 0's. If a station recognizes the DA of the frame as one of its own, the station sets the A bits to 1 in the passing frame. If a station also copies the frame, then the C bits are also set to a 1.



**Fig 4-1**  
**An Example of a Failure Domain**

When a frame is broadcast to all stations on a ring, the first station downstream of the broadcaster will see that the A and C bits are all 0's. Since a broadcast frame will have its DA recognized by all of the stations on the ring, the first station downstream will, in particular, set the A bits to 1. All stations further downstream will, therefore, not see the A and C bits as all 0's. This process continues in a circular, daisy-chained fashion to let every station know the identity of its upstream neighbor.

The monitor begins neighbor notification by broadcasting the AMP MAC frame. The station immediately downstream from it takes the following actions:

- (1) Resets its timer TSM, based on seeing the AMP value in the FC field;
- (2) If possible, copies the broadcast AMP MAC frame and stores the upstream station's identity in an UNA memory location;
- (3) Sets the A bits (and C bits if the frame was copied) of the passing frame to 1's;
- (4) At a suitable transmit opportunity, broadcasts a similar SMP MAC frame.

One by one, each station receives an SMP frame with the A and C bits set to 0's, stores its UNA, and continues the process by broadcasting such a frame itself.

Since the AMP frame must pass each station on a regular basis (the AMP MAC frame sent by the monitor), the continuous transmission of tokens onto a ring can be detected. In addition to the timer TAM in the active monitor, each standby

station has a timer TSM that is reset each time an AMP MAC frame passes. If timer TSM expires, that standby monitor station begins transmitting Claim Token frames.

**4.1.7 Error Reporting.** When a station detects an error condition on the ring (such as FCS error, lost token, or lost frame), it increments the appropriate error counter. These errors are reported on a periodic basis to the REM. Persistent errors of this type can be detected, isolated, and necessary action taken.

**4.1.8 Administration of Ring Parameters.** Upon insertion into the ring, a station requests the value of the various ring-settable operating parameters from the RPS to assure compatible operation among stations on the ring.

**4.1.9 Configuration Control.** As part of the function of maintaining the configuration of the ring, stations notify the CRS when they detect a change in the address of their upstream neighbor (detected during the neighbor notification process). This indicates that either a station has been inserted into or removed from the ring. The CRS can alter the configuration of the ring by requesting stations to remove themselves from the ring. The CRS can also query ring stations for various status information.

**4.1.10 Early Token Release (ETR).** The ETR option increases available bandwidth and improves the data transmission efficiency of the token-ring protocol. It allows a transmitting station to release a token as soon as it completes frame transmission, whether or not the frame header has returned to the station. The priority used for tokens released prior to receiving the frame's header will be the priority of the most recently received frame.

It should be noted that the access delay for priority traffic may increase when an ETR system is heavily loaded with short frames. Short frames may disable the use of priority reservations, since a short frame may be transmitted in its entirety and the next token released before the frame's header returns to the originating station.

Stations implementing ETR option are compatible and interoperable with stations that do not.

**4.2 Specification.** The operation of the ring is described in this section.

In the case of a discrepancy between the FSM diagrams/tables and the supporting text, the FSM diagrams/tables shall take precedence.

The MAC receives from the PHY a serial stream of symbols. Each symbol shall be one of the following:

- 0 = binary zero
- 1 = binary one
- J = non-data J
- K = non-data K

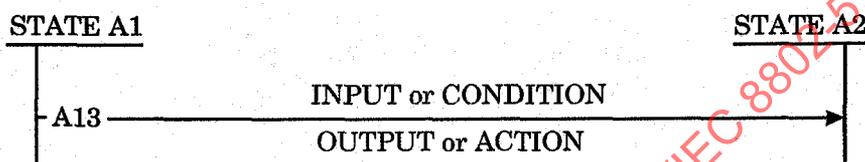
(See 5.1 for a detailed description of these symbols.)

From the received symbols MAC detects various types of input data, such as tokens, MAC frames, and LLC information frames.

In turn, MAC stores values, sets flags, and performs certain internal actions (as noted in Fig 4-2) as well as generating tokens, frames, or fill, or flipping bits and delivering them to the PHY in the form of a serial stream of the 0, 1, J, and K symbols.

For the purpose of accumulating the FCS and storing the contents of a frame, J and K symbols that are not part of the SD or ED shall be interpreted as 1 and 0 bits, respectively.

**Finite-State Machine (FSM) Notation.** The notation used in the FSM diagrams is as follows:



States are shown as vertical lines. Transitions are shown as horizontal lines with a number indicating the transition (for example, A13) and the arrow indicating the direction of transition.

The inputs or conditions shown above the line are the requirements to make the transition. The output or action shown below the line occurs simultaneously with making the transition. The transition begins when the input occurs or the condition specified is met and is complete when the output or action has occurred. If the state transition is in progress, then no other FSM transition may be initiated.

If the exit conditions of a state are satisfied at the time the state is entered, no action is taken in that state and the state is immediately exited.

**4.2.1 Receive Actions.** Three varieties of frame identification are used in the state transitions and at the service interfaces described in this standard: *good frame*, *validly formed frame*, and *frame with error*. These frame varieties are indicated by combinations of the following properties:

**Properties of a Frame**

- (A) Is bounded by a valid SD and ED
- (B) Has the E (error) bit equal to 0
- (C) Is an integral number of octets in length
- (D) Is composed of only 0 and 1 bits between the SD and ED
- (E) Has the FF bits of the FC field equal to 00 or 01
- (F) Has a valid FCS
- (G) Has a minimum of 10 octets for 2-octet addressing or 18 octets for 6-octet addressing between SD and ED
- (H) Does not contain a valid SD or ED between the bounding SD or ED

The three frame varieties are defined below. This is not an inclusive list of all possible bit sequence formats; for example, other format sequences known in this

standard are the token and the abort sequence. Note that the value of the I, E, A, and C bits are not part of these definitions.

**Good Frame (FR\_GOOD).** A bit sequence that satisfies the following condition, based on the properties of a frame listed previously:

$$A \& C \& D \& E \& F \& G$$

**Validly Formed Frame.** A bit sequence that satisfies the following condition:

$$A \& C \& E \& G \& H$$

**Frame with Error (FR\_WITH\_ERROR).** A bit sequence that satisfies the following condition:

$$A \& (\neg C \mid \neg D \mid (E \& \neg F) \mid (E \& \neg G))$$

REF	RECEIVE	ACTION
R01	FR_WITH_ERROR & E=0	INCR(LINE_ERROR)
R02	TK(P<Sx)	CLEAR STACKS
R03	SA=MA	SET MA_FLAG
R04	TOKEN   FRAME	STORE (Pr & Sr)
R05	I=0	SET I_FLAG
R06	SFS	SET SFS_FLAG
R07	FR_(DA=MA & A=1)	INCR(FR_COPIED)
R08	RCV_ED	DECR(FR_CNT)
R09	SA $\neq$ MA & FR_CNT=1	SET NOT_MA_FLAG

**Fig 4-2**  
**Receive Action Table**

The various internal actions that are taken as a result of an input received from the ring are summarized in Fig 4-2 above. They are explained as follows:

**(R01) Line Error.** If the frame is a FR\_WITH\_ERROR with the E bit equal to 0, then the Line Error counter is incremented.

**(R02) Priority Level Error.** If there is a Sx stored and a token is received with a priority (P) less than the value of Sx, then an error has occurred. Therefore, the stacks shall be cleared.

**(R03) MA Received.** If the SA that is received is equal to the station's individual address, the MA flag shall be set. Note that the MA flag shall be set without regard to whether it is a good frame, a validly formed frame, or a frame with error.

**(R04) AC Field Received.** Upon the receipt of an AC field in a token or a frame, the value of the priority bits shall be stored as Pr, the reservation bits shall be stored as Rr, and the previously stored Pr and Rr shall be discarded.

**(R05) I Bit Equal Zero Received.** If an EFS with the I bit equal to 0 is received, the I\_FLAG (if implemented) shall be set.

**(R06) SFS Received.** If a SFS is received, the SFS\_FLAG shall be set.

**(R07) DA = MA and A = 1.** If a frame is received in which the DA equals the station's individual address and the address-recognized (A) bits are set to 1, the frame-copied error counter is incremented.

**(R08) ED Received.** If an ED is received, the FR\_CNT counter (if implemented) shall be decremented.

**(R09) SA ≠ MA Received and FR\_CNT = 1.** If the received SA does not equal this station's address and the frame count equals 1, the NOT\_MA flag (if implemented) shall be set.

**4.2.2 Operational Finite-State Machine.** The operational finite-state machine (see Figs 4-3 and 4-4) is explained as follows:

**4.2.2.1 STATE T0: REPEAT (Repeat State).** In Repeat state, the bits that are received are, in general, repeated on the line to the next station. Certain bits and fields in the repeated bit stream may be modified and certain actions taken without changing state. Transition shall be made to STATE T1: TX DATA\_FR (Transmit Data Frame(s)) when there are one or more PDUs queued for transmission and the conditions for transmission are satisfied. Transition shall be made to STATE T4: TXZEROS, MOD STACKS (Transmit Zeros and Modify Stacks) for the purpose of modifying the priority stacks.

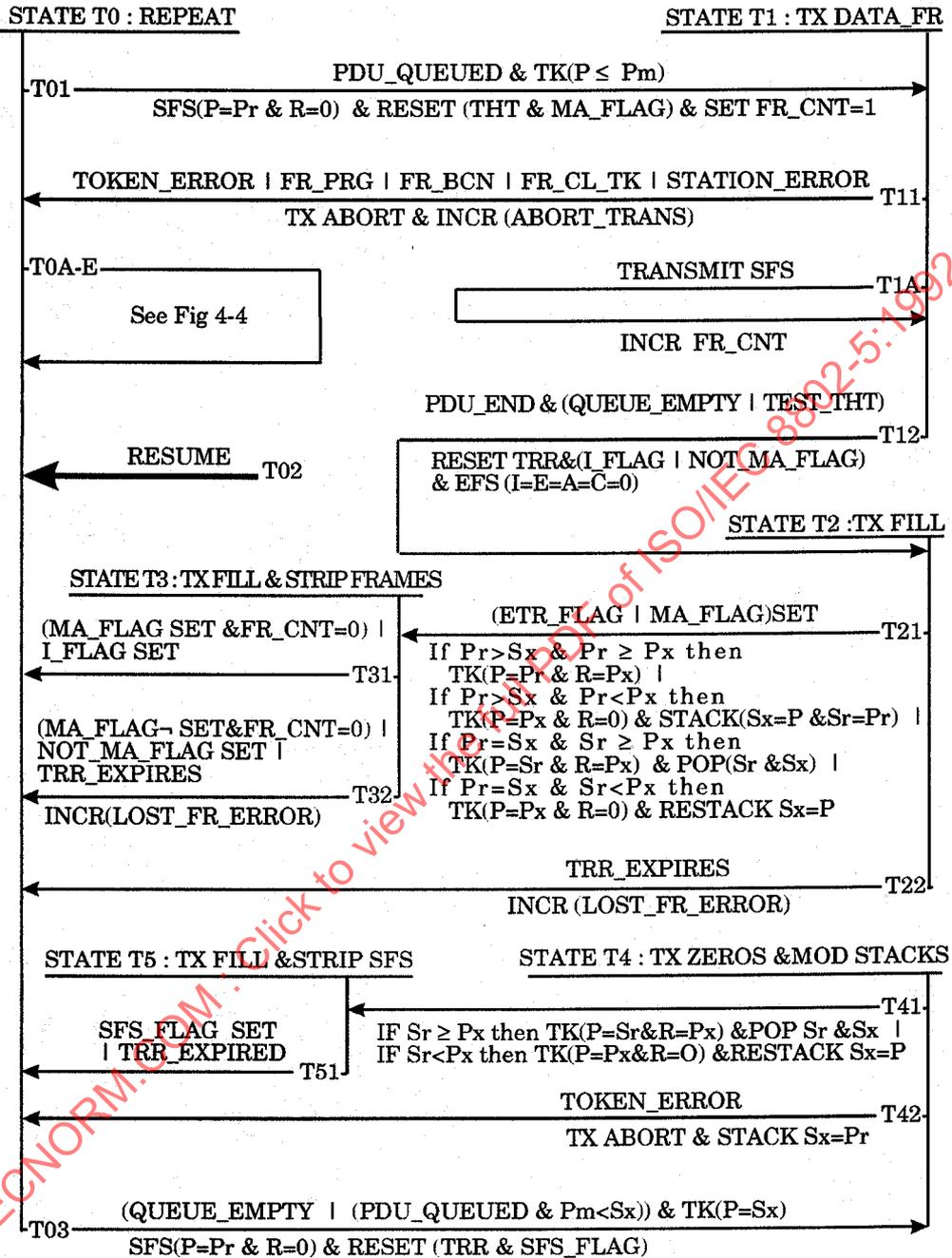
**(T01) Usable Token Received.** If a PDU is queued for transmission and a token is received whose priority (P) is equal to or less than the PDU priority (Pm), the station shall change the token to a SFS (by changing the token bit from 0 to 1) and transmit M and R as 0, initiate the transmission of the enqueued PDU, reset the THT and the MA flag, set the FR\_CNT counter (if implemented) to 1, and make a transition to STATE T1.

**(T02) RESUME (Operational FSM Activity).** When the station is in monitor states of Bypass, Inserted, Transmit Claim Token, Transmit BCN, Transmit Fill, or Transmit Purge (for example, not in Initialize, Standby, or Active states) activity of the operational FSM is suspended. Upon reentry into Initialize, Standby, or Active Monitor states, activity of the operational FSM shall be resumed in Repeat state.

**(T03) Re-Stack Operation.** If there are no frames enqueued with priority (Pm) equal to or greater than the Sx and a token is received with priority (P) equal to the Sx, the following actions are taken. The token shall be changed to a SFS by changing the T bit from 0 to 1, the Sx popped from the stack, resetting timer TRR and the SFS flag and making a transition to STATE T4. If there is no Sx value stacked, the test  $P=Sx$  shall be considered to be false.

As an option, the action taken on this transmission may be the action specified on transition T41, bypassing states T4 and T5, and returning directly to State 0.

A number of actions may be taken without changing state. These actions are shown in Fig 4-4 and are explained as follows:



**Fig 4-3**  
**Operational Finite-State Machine Diagram**

REF	INPUT	OUTPUT
T0A	PDU QUEUED & (FR(R<Pm)   TK(P>Pm>R&P≠Sx)	SET R=Pm
T0B	FR_WITH_ERROR	SET E=1
T0C	DA=MA (ADDRESS RECOGNIZED)	SET A=1
T0D	FR_COPIED	SET C=1
T0E	FR_NOT_COPIED	INCR(RCV_CON)

Fig 4-4  
Repeat State Loop Table

**(T0A) Request Usable Token.** If there is a PDU queued for transmission with priority Pm, the reservation (R) shall be set to Pm on frames in which the reservation is less than Pm, and on tokens in which the priority is greater than Pm and the reservation is less than Pm and the priority is not equal to the Sx.

**(T0B) Frame With Error.** The E (error) bit shall be transmitted as 1 if a frame with error is detected. (see R01 in 4.2.1.)

**(T0C) Own Address Detected.** If the station detected its own address or relevant group address in the DA field, the A bits in the FS field shall be transmitted as 1.

**(T0D) Frame Copied.** If the station copies the frame from the ring, the C bits in the FS field shall be transmitted as 1.

**(T0E) Frame Not Copied.** If the station recognizes a frame addressed to it, but cannot copy the frame, then the station increments its receive congestion error counter.

**4.2.2.2 STATE T1: TX DATA\_FR** (Transmit Data Frame(s)). While in this state, the station transmits one or more frames. The first and all subsequent PDUs that are transmitted shall have a Pm equal to or greater than the priority of the token that was used. All frames transmitted shall have P equal to Pr and the M, R, E, A, and C equal to 0. If the I flag is utilized to determine the end of a station's transmission, the I bit in intermediate (or first) frames of a multiple frame transmission shall be transmitted as 1 and the I bit in the last or only frame of the transmission shall be transmitted as 0. On the receive side, as noted in Fig 4-2, the station shall monitor the receive data for the value of the priority and reservation bits, its station address that has been transmitted in the SA field, and the ED.

The foregoing does not imply that the ability to transmit multiple frames while in this state is mandatory.

**(T1A) Increment Frame Counter.** When the SFS is transmitted, the FR\_CNT counter shall be incremented.

**(T11) Abort STATE T1-Error Recovery Action.** If, after changing the token bit from a 0 to a 1, the station detects that the token did not end with an ED, if a BCN, Purge, or Claim Token frame is subsequently received, or if an error has occurred within the station, the transmission shall be terminated immediately with an

Abort Sequence, the PDU dequeued, LLC notified of the event, the abort transmitted error counter incremented, and transition made to STATE T0.

As an option, it is permitted not to execute this transition when a Purge, BCN, or Claim Token MAC frame is received.

**(T12) End-of-Frame Transmission.** If the transmission of the PDU is completed (PDU\_END) and there are no more PDUs to transmit at this priority or a higher priority (QUEUE\_EMPTY), or if the transmission of an additional frame could not be completed before THT expires (TEST\_THT), an EFS shall be transmitted with the I, E, A, and C bits equal to 0; timer TRR, the I\_FLAG, or NOT\_MA\_FLAG (whichever is implemented) shall be reset; and transition made to STATE T2.

**4.2.2.3 STATE T2: TX FILL (Transmit Fill).** If a SA equal to the station's address has not been received (that is, MA\_FLAG reset) or the early token release flag (ETR\_FLAG) is not set, the station shall transmit fill until one of the flags is set or TRR expires. If upon entering STATE T2 the ETR\_FLAG or MA\_FLAG is already set, transition shall be made directly to STATE T3 via transitions T21.

**(T21) Token Transmission.** If the header of the frame has been received (MA\_FLAG SET) or early token release option is selected (ETR\_FLAG SET), then the following shall occur:

- if  $P_r$  is greater than  $S_x$  and  $P_r$  is greater than or equal to the greater of the PDU priority or the  $P_x$ , then a token is transmitted with  $P$  equal to  $P_r$  and  $R$  equal to  $P_x$ ; or
- if  $P_r$  is greater than  $S_x$  and  $P_r$  is less than  $P_x$ , then a token is transmitted with  $P$  equal to  $P_x$ ,  $R$  equal to 0,  $P_r$  stacked as  $S_r$ , and  $P$  stacked as  $S_x$ ; or
- if  $P_r$  is equal to  $S_x$  and  $S_r$  is greater than or equal to  $P_x$ , then a token is transmitted with  $P$  equal to  $S_r$ ,  $R$  equal to  $P_x$ , and  $S_r$  and  $S_x$  popped from the stack; or
- if  $P_r$  is equal to  $S_x$  and  $S_r$  is less than  $P_x$ , then a token is transmitted with  $P$  equal to  $P_x$ ,  $R$  equal to 0,  $S_x$  restacked equal to  $P$ , and transition made to STATE T3. If there is no  $S_x$  value stacked, the test  $P_r > S_x$  shall be considered true and the test  $P = S_x$  shall be considered false.

The option of transmitting  $P = P_r$  when  $P_r = S_x$  and  $S_x \geq P_x$  is permitted.

**(T22) TRR Expires.** If, while waiting for the MA\_FLAG to be set, timer TRR expires, transition shall be made directly to Repeat state (STATE T0) and the lost frame counter incremented.

**4.2.2.4 STATE T3: TX FILL, STRIP FRAMES (Transmit Fill and Strip Frames).** The station shall transmit fill until one of the conditions in transition T31 or T32 is met. If upon entering STATE T3 the condition is already satisfied, transition shall be made directly to STATE T0.

**(T31) Strip Complete.** If the I\_FLAG is set, or if the MA\_FLAG is set and the ED of the last frame ( $FR\_CNT = 0$ ) is received, transition shall be made to STATE T0.

**(T32) Lost Frame.** If the TRR time expires, or if the SA of the last frame transmitted is received that does not equal MA (NOT\_MA\_FLAG SET), or if while waiting for the MA flag to be set, the ED of the last frame transmitted is received

(FR\_CNT = 0 and MA\_FLAG NOT SET), the lost frame error counter shall be incremented and transition made to Repeat state (STATE T0).

**4.2.2.5 STATE T4: TX ZEROS, MOD STACK** (Transmit Zeros and Modify Stack). A continuous string of 0's shall be transmitted immediately following the SFS until the internal logic of the station can perform the necessary functions to transmit a token.

Transmission of 0's may or may not terminate on an octet boundary. Note that this state shall cause consecutive SDs to exist on the ring without an intervening ED and that the SD of the transmitted token may not occur on an octet boundary relative to the transmitted 0's.

**(T41) Token Transmission.** If, Sr is greater than or equal to Px, then a token is transmitted with the P equal to Sr, the R equal to Px, and Sr and Sx popped from the stack; or if Sr is less than Px, then a token is transmitted with the P equal to Px, the R equal to 0, and Sx restacked equal to P; and transition made to STATE T5.

**(T42) Token Recognition Error.** If after changing a token to a SFS, the station detects that the token did not end properly (with MRRR, JK1JK1), the transmission shall be terminated immediately with an abort sequence, Pr stacked as Sx and transition shall be made to STATE T0.

**4.2.2.6 STATE T5: TX FILL, STRIP SFS** (Transmit Fill and Strip SFS). In this state, fill shall be transmitted until the transmitted SFS is received or TRR expires.

**(T51) Strip Complete.** Upon receipt of the SFS or TRR expiring, transition shall be made to STATE T0.

**4.2.3 Standby Monitor Finite-State Machine.** (See Fig 4-5.) Upon coming on-line or after the station has been reset, (re)initialization is performed to assure that no other station on the ring has the same address as this station and that its (re)entry into the ring is known to its immediate downstream neighbor.

Upon completion of initialization, transition is made to Standby state where the ring is monitored to assure that there is a properly operating active monitor on the ring. It does so by observing the tokens and AMP frames as they are repeated on the ring. If tokens and AMP frames are not periodically detected, the standby monitor shall time-out and initiate claiming token. When in Transmit Claim Token and Transmit BCN states (STATES S3 and S5), the station shall utilize its own oscillator for transmission timing.

The standby monitor function is explained as follows:

**4.2.3.1 STATE S0 : BYPASS.** In this state the station is not inserted in the ring.

**(S01) ENTER BYPASS.** Transition from any other state of the monitor to Standby Monitor Bypass state (STATE S0).

**(S02).** Upon activation of the insertion logic (see 6.3.3.1), timer TSM is reset and transition made to STATE S1.

**4.2.3.2 STATE S1 : INSERTED.** In this state the station synchronizes its receive clock with the receive signal and then, having achieved synchronization, repeats the received symbols on the line and awaits the receipt of an AMP or PRG MAC frame.

(S11). If an AMP or PRG is not received before timer TSM expires, it is assumed that there is no active monitor in the ring, timer TNT is reset, and transition is made to the Claiming Token state (STATE S3).

(S12). If a FR\_BCN is received, the station shall return to Bypass state (STATE S0).

(S13). However, if AMP or PRG has been received, a DAT PDU is enqueued for transmission awaiting the receipt of a usable token, timer TSM is reset, and transition made to Initialize state (STATE S2).

**4.2.3.3 STATE S2 : INITIALIZE.** This state exists to detect the existence of a duplicate station address on the ring. This enhances the validity of later checks within the FSMs for SA = MA, etc. This is particularly useful in environments in which the station address assignments are not rigidly controlled. While in this state the station transmits the queued DAT\_PDU when a usable token is received and repeats the received symbols on the line until one of the following events occur.

The option of a station transmitting multiple DAT\_PDU's to ensure there is not a duplicate address on the ring is permitted.

(S21). If the DAT MAC frame that was transmitted by the station is not received before timer TSM has expired, or if a BCN MAC frame is received, or if a DAT MAC frame that the station originated (DA=MA) is received with the Address Recognized bits not set to zero (A≠0), the station returns to Bypass state (STATE S0).

(S22). However, if the DAT MAC frame is returned indicating that there is not another station on the ring with the same address (A=0), an SMP and a Request Initialization PDU is enqueued for transmission awaiting the receipt of a usable token, timers TNT, TSM, and TER are reset, and transition is made to Standby state (STATE S4).

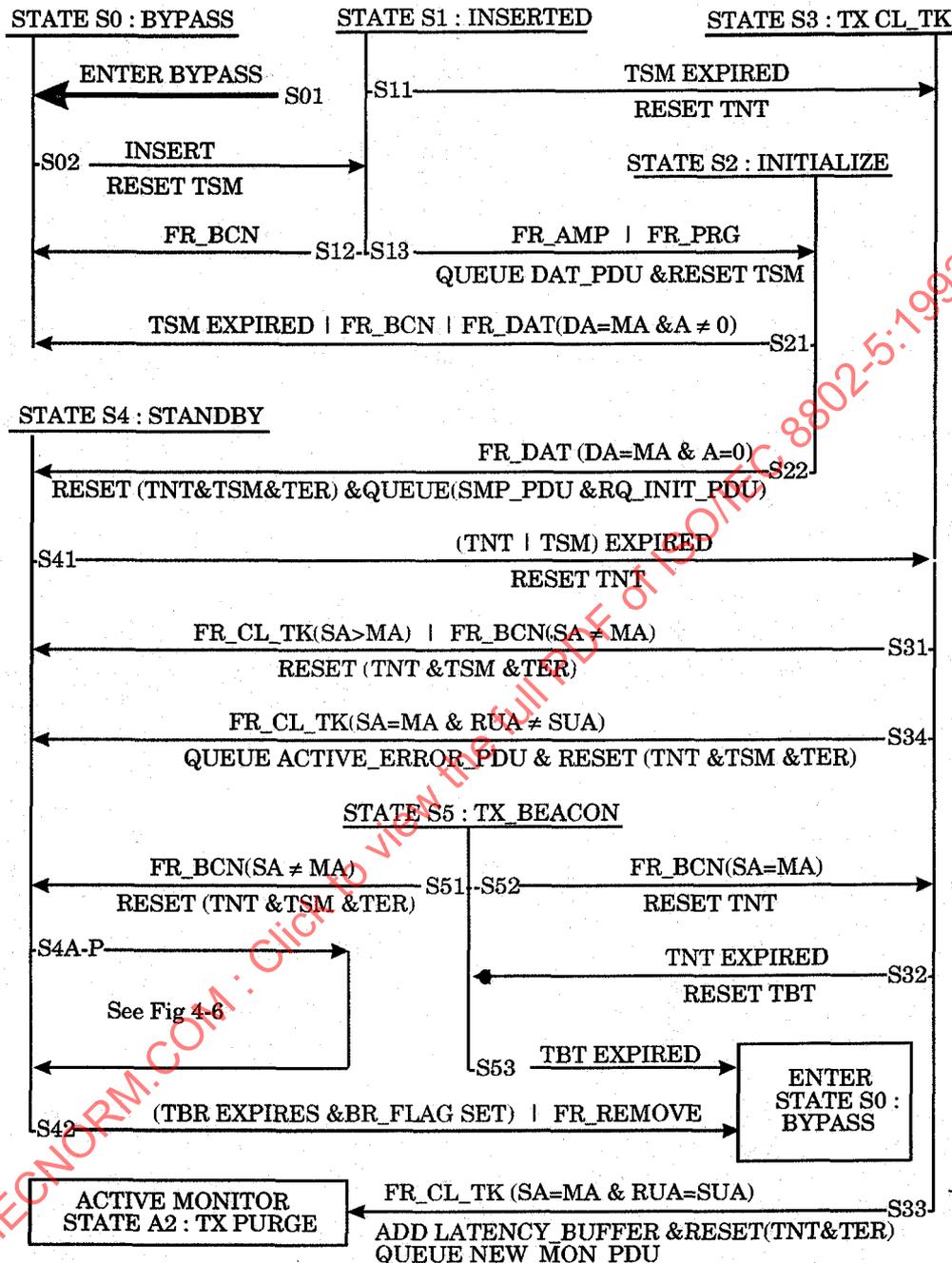
**4.2.3.4 STATE S3 : TX CLAIM\_TOKEN** (Transmit Claim Token). In this state, Claim Token MAC frames are continuously transmitted. If the SUA value is unknown, a null (all zeros) address will be used as the SUA.

The option of delaying transition to active monitor state until multiple CL\_TK frames have been received is permitted.

(S31). If a Claim Token MAC frame is received in which the SA is greater than the station's address, or a BCN frame in which the SA does not equal the station's address, timers TNT, TSM, and TER are reset, and transition is made to Standby state (STATE S4).

The option of this transition occurring on receipt of a PURGE is permitted.

(S32). However, if timer TNT expires, timer TBT is reset, and transition is made to beaconing state (STATE S5).



**Fig 4-5**  
**Standby Monitor Finite-State Machine Diagram**

(S33). Or, if the station receives a FR\_CL\_TK with a SA equal to the station's address and an RUA equal to the SUA, the bid for active monitor has been won. The latency buffer shall be inserted in the ring, timer TNT and TER reset, New Active Monitor PDU queued, and transition made to ACTIVE MONITOR Purge state (STATE A2). The option of queuing the New Active Monitor PDU on transition A11 rather than on S33 is permitted.

(S34). If a Claim Token MAC frame is received in which the SA is equal to the station's address, but the received UNA does not equal the station's stored UNA, timers TNT, TSM, and TER are reset, a Report Active Monitor Error PDU is queued, and transition is made to Standby state (STATE S4).

**4.2.3.5 STATE S4: STANDBY.** In this state the monitor is in standby mode, monitoring the ring to ascertain that there is a properly operating active monitor on the ring. It does so by observing the tokens and AMP frames as they are repeated on the ring. If tokens and AMP frames are not periodically detected, the standby monitor will time-out and initiate claiming token.

(S41). If timers TNT or TSM expire, timer TNT is reset and transition made to Claiming Token state (STATE S3).

(S42). If timer TBR expires and BR flag is set or a Remove Ring Station MAC frame is received, transition is made to Bypass state (STATE S0). Optionally, the station may ignore the Remove Ring Station MAC frame, in such case it shall queue a RSP\_PDU indicating rejection of the requested action.

A number of actions may be taken without changing state. These actions are shown in Fig 4-6 and are explained as follows:

(S4A). If a BCN frame is received, timers TNT and TSM are reset without changing state.

(S4B). If a BCN frame is received and the RUA equals the station's address, the FR\_BCN is not Type 1, and the BR flag is not set, then timer TBR is reset and the BR flag is set without changing state.

(S4C). If a frame is received that is not a frame as specified in S4B, then the BR flag is reset without changing state.

(S4D). If a Claim Token frame, a Purge frame, or a token is received, timer TNT is reset without changing state.

(S4E). If an FR\_SMP whose A and C bits both equal to 0 is received and the SMP Flag is not set, the SA of the SMP frame shall be stored as the SUA, the SMP Flag shall be set, and timer TQP be reset.

(S4F). If an FR\_SMP whose A and C bits both equal to 0 is received and the SMP Flag is set, the SA of the SMP frame shall be stored as the SUA, the A/C error counter incremented, and timer TQP be reset.

(S4G). If an FR\_AMP whose A and C bits both equal to 0 is received, the SA of the AMP frame is stored as the SUA, timers TQP and TSM are reset, and the SMP Flag is set.

REF	EVENT	ACTION
<b>BEACON AUTO-REMOVAL</b>		
S4A	FR_BCN	RESET(TNT & TSM)
S4B	FR_BCN & RUA=MA & TYPE(-1) & BR_FLAG-SET	RESET TBR & SET BR_FLAG
S4C	-(FR_BCN & RUA=MA & TYPE(-1))	RESET BR_FLAG
<b>ASSURE PRESENCE OF ACTIVE MONITOR</b>		
S4D	FR_CL_TK   FR_PRG   TOKEN	RESET TNT
<b>NEIGHBOR NOTIFICATION</b>		
S4E	FR_SMP(A=C=0) & SMP_FLAG -SET	RESET TQP & SET(SUA=SA & SMP_FLAG)
S4F	FR_SMP(A=C=0) & SMP_FLAG SET	RESET TQP & INCR(AC) & SET SUA=SA
S4G	FR_AMP(A=C=0)	RESET(TQP & TSM) & SET(SUA=SA & SMP_FLAG)
S4H	SUA≠SA & (FR_SMP(A=C=0)   FR_AMP(A=C=0))	QUEUE SUA_CHG PDU
S4I	FR_AMP(A=C=0)	RESET(TSM & SMP_FLAG)
S4J	TQP EXPIRES	QUEUE SMP_PDU
<b>CONFIGURATION CONTROL</b>		
S4K	TER EXPIRES	QUEUE ERROR PDU & RESET(ERR CTRS & TER)
S4L	FR_CHG_PARMS   FR_INIT	SET APPROPRIATE PARMS & QUEUE RSP_PDU
S4M	FR_RQ_ADDR	QUEUE RPT_ADDR_PDU
S4N	FR_RQ_STATE	QUEUE RPT_STATE_PDU
S4P	FR_RQ_ATTCH	QUEUE RPT_ATTCH_PDU

**Fig 4-6**  
**Standby State Transition Loop Table**

(S4H). If the SA does not equal the previously stored upstream neighbor's address (SUA) in a received FR\_SMP or FR\_AMP with A and C bits both equal to 0, the station shall queue a Report SUA Change PDU.

(S4I). If an FR\_AMP whose A and C bits do not equal 0 is received, timer TSM and SMP flag shall be reset.

(S4J). If timer TQP expires, a SMP PDU shall be enqueued for transmission.

(S4K). If timer TER expires and any error counter is not zero, an Error Report PDU shall be enqueued for transmission and error counters (line error, internal error, burst error, AC error, abort delimiter transmitted error, lost frame error, received congestion error, frame-copied error, frequency error, and token error) reset.

(S4L). If a Change Parameters or Initialize Ring Station MAC frame is received, the station sets the appropriate operational parameters and queues a Response PDU.

(S4M). If the station receives a Request Station Addresses frame, the station queues a Report Station Addresses PDU.

(S4N). If the station receives a Request Station State frame, the station queues a Report Station State PDU.

(S4P). If the station receives a Request Station Attachments frame, the station queues a Report Station Attachments PDU.

**4.2.3.6 STATE S5: TX BCN (Transmit Beacon).** This state is entered when a serious ring failure has occurred. Supervisory BCN MAC frames will continue to be transmitted until BCN MAC frames are received, at which time.

(S51). If SA does not equal MA in a received FR\_BCN, timers TNT, TSM, and TER shall be reset, and transition made to Standby state (STATE S4).

(S52). However, if SA does equal MA in a received FR\_BCN, transition shall be made to Claiming Token state (STATE S3) after resetting timer TNT.

(S53). If timer TBT expires, the station enters Bypass state (STATE S0).

**4.2.4 Active Monitor Finite-State Machine.** The function of the active monitor is to recover from various error situations such as absence of validly formed frames or tokens on the ring, a persistently circulating priority token, or a persistently circulating frame. In normal operation there is only one active monitor in a ring at any point in time.

The active monitor shall utilize its own oscillator to provide timing for all symbols repeated or transmitted on the ring. The active monitor also supplies the latency buffer for the ring.

The operation of the active monitor is explained as follows:

**4.2.4.1 STATE A0: ACTIVE.** The active monitor is in this state when the ring is operating normally.

A number of actions may be taken without changing state. These actions are shown in Fig 4-7 and are explained as follows:

(A0A). The M bit is set to 1 on a token whose M bit is 0 and whose priority is greater than 0 or a frame whose M bit is 0, and timer TVX reset.

(A0B). Receipt of a token whose M bit and priority are 0 will cause timer TVX to be reset.

(A0C). If timer TAM expires and NN\_FLAG is set, an AMP PDU is enqueued for transmission awaiting the receipt of a usable token, and timer TAM and NN flag are reset without changing state.

(A0D). If timer TAM expires and NN\_FLAG is not set, an AMP PDU is enqueued for transmission awaiting the receipt of a usable token, a Report Neighbor Notification Incomplete PDU is queued, and timer TAM reset without changing state.

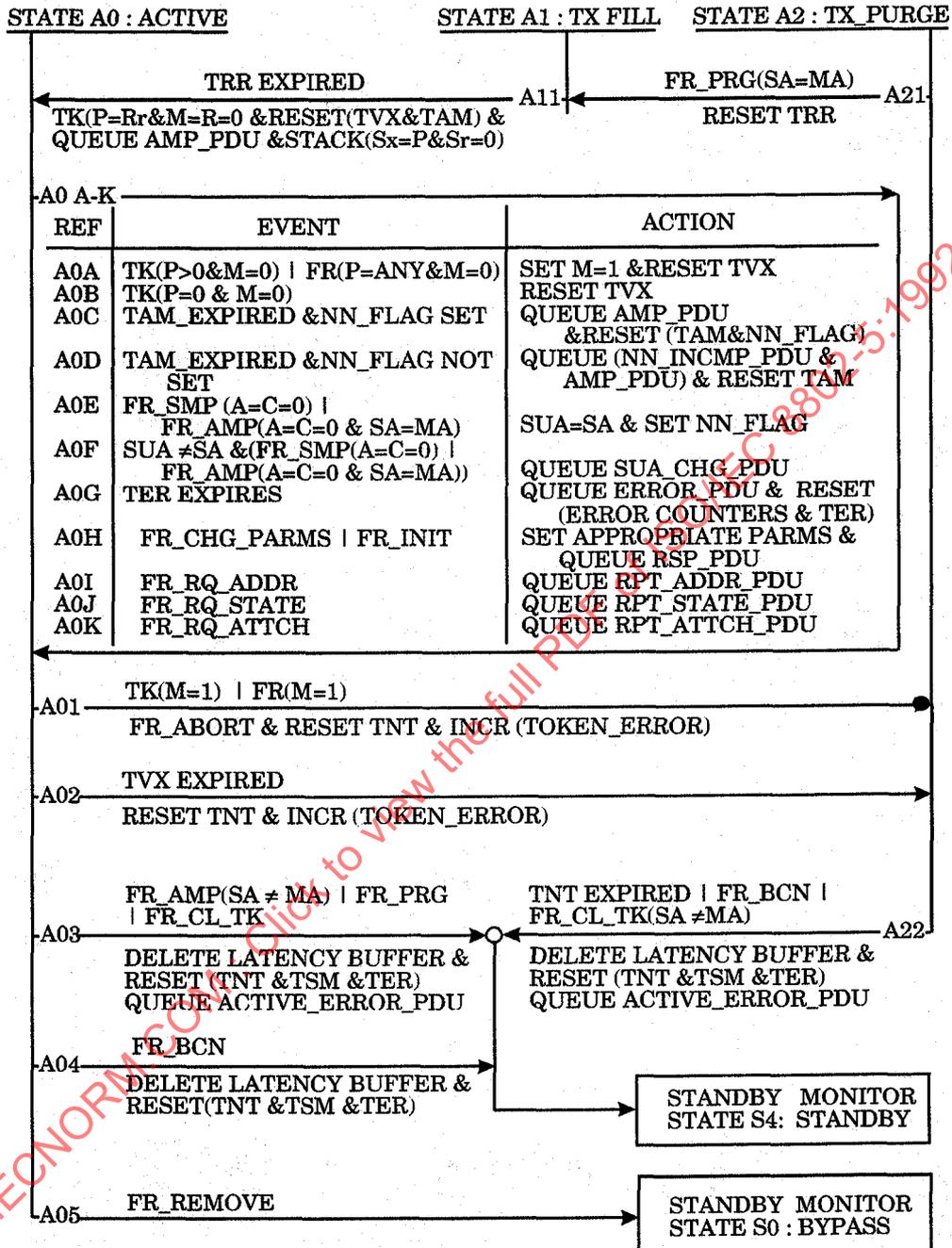


Fig 4-7  
Active Monitor Finite-State Machine Diagram

**(A0E).** If an FR\_SMP whose A and C bits both equal 0 or an FR\_AMP whose A and C bits both equal 0 and SA equals MA is received, the SA of the SMP frame shall be stored as the SUA and the neighbor notification flag set.

**(A0F).** If the SA does not equal the previously stored upstream neighbor's address (SUA) in the received FR\_SMP whose A and C bits both equal 0 or an FR\_AMP whose A and C bits both equal 0 and SA equals MA, the station queues a Report SUA Change PDU.

**(A0G).** If timer TER expires and any error counter is not zero, an Error Report PDU frame shall be enqueued for transmission, and error counters (line error, internal error, burst error, AC error, abort delimiter transmitted error, lost frame error, received congestion error, frame copied error, frequency error, and token error) and TER shall be reset.

**(A0H).** If a Change Parameters or Initialize Ring Station MAC frame is received, the station sets the appropriate operational parameters and queues a Response PDU.

**(A0I).** If the station receives Request Station Addresses frame, the station queues a Report Station Addresses PDU with the appropriate information.

**(A0J).** If the station receives Request Station State frame, the station queues a Report Station State PDU with the appropriate information.

**(A0K).** If the station receives a Request Station Attachments frame, the station queues a Report Station Attachments PDU with the appropriate information.

**(A01).** If a frame or a token that is being repeated has its M bit equal to 1, the frame or token is aborted, timer TNT is reset, the token error counter incremented, and transition made to Transmit Purge state (STATE A2).

**(A02).** If timer TVX expires, timer TNT is reset, token error counter is incremented, and transition made to Transmit Purge state (STATE A2).

**(A03).** If the active monitor station receives an AMP frame with SA not equal to the station's address, or a Purge frame, or a Claim Token frame, the latency buffer shall be deleted, timers TNT, TSM, and TER reset, a Report Active Monitor Error PDU queued, and transition made to STANDBY MONITOR Standby state (STATE S4).

**(A04).** If the monitor station receives a BCN frame, the latency buffer shall be deleted, timers TNT, TSM, and TER reset, and transition made to STANDBY MONITOR Standby state (STATE S4).

**(A05).** If a Remove Ring Station MAC frame is received, transition is made to STANDBY MONITOR Bypass state (STATE S0). The option of an active monitor ignoring the Remove Ring Station PDU is permitted.

**4.2.4.2 STATE A1: TRANSMIT FILL** (Transmit Fill). This state exists to assure that all purge frames have been stripped from the ring before transmitting a new token.

(A11). When timer TRR expires, a token is transmitted with P equal to Rr, and M and R equal to 0. P is stacked as Sx and a zero is stacked as Sr, timers TVX and TAM are reset, and transition made to Active Monitor STATE A0.

**4.2.4.3 STATE A2: TX PURGE** (Transmit Purge). In this state, purge MAC frames are continuously transmitted to purge the ring before transmitting a new token.

(A21). If the station receives a FR\_PRG with SA equal to the station's address and with a subvector equal to UNA, timer TRR is reset and transition is made to Transmit Fill state (STATE A1).

(A22). If timer TNT expires while waiting for receipt of the station's SA or if a BCN or CL\_TK with SA not equal to MA is received, the latency buffer shall be deleted, timers TNT, TSM, and TER reset, Active Monitor Error PDU queued, and transition made to STANDBY MONITOR Standby state (STATE S4).

The option of ignoring the receipt of BCN or CL\_TK (SA≠MA) and not queuing an ACTIVE\_ERROR\_PDU on transition is permitted.

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## 5. Physical Layer

The following sections define physical layer (PHY) specifications. These include data symbol encoding and decoding, symbol timing, and reliability.

Throughout this section, the word *repeater* is used to mean the repeater part of a station or a separate unit.

**5.1 Symbol Encoding.** The PHY encodes and transmits the four symbols presented to it at its MAC interface by the MAC.

The symbols exchanged between MAC and PHY are shown below. (Specific implementations are not constrained in the method of making this information available.)

0	=	binary zero
1	=	binary one
J	=	non-data J
K	=	non-data K

As shown in Fig 5-1, the symbols are transmitted to the medium in the form of differential Manchester encoding that is characterized by the transmission of two signal elements per symbol.

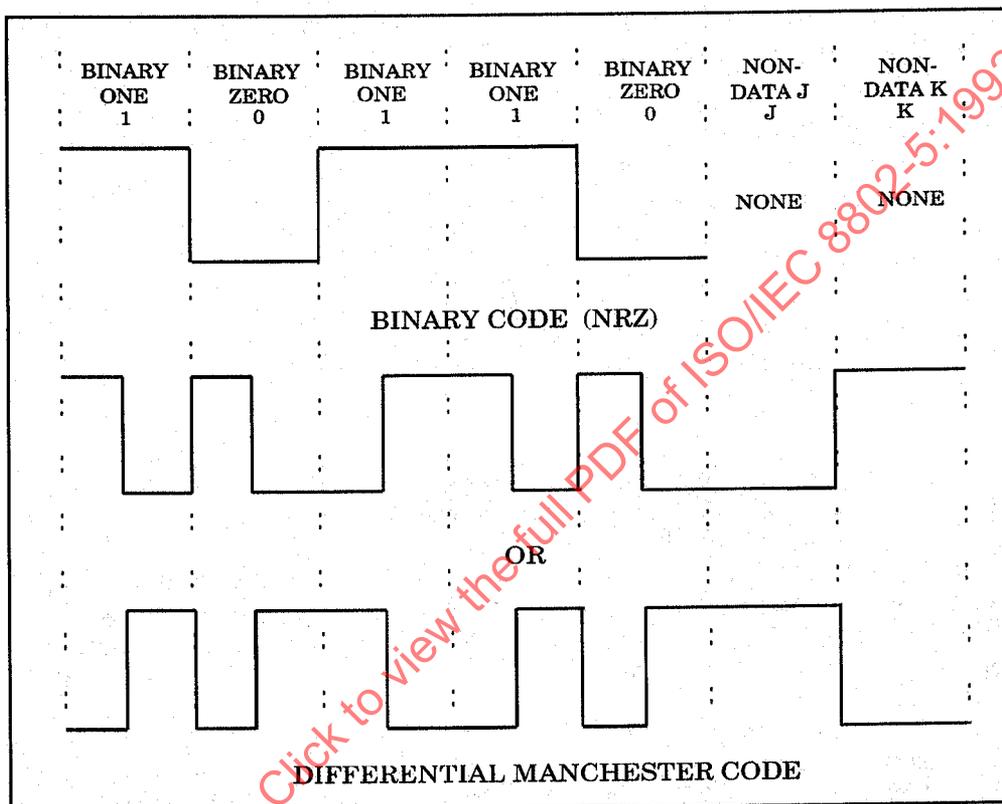
In the case of the two data symbols, binary one and binary zero, a signal element of one polarity is transmitted for one half the duration of the symbol to be transmitted, followed by the contiguous transmission of a signal element of the opposite polarity for the remainder of the symbol duration. This provides three distinct advantages:

- (1) The resulting signal has no dc component and can readily be inductively or capacitively coupled,
- (2) The forced *mid-bit* transition conveys inherent timing information on the channel, and
- (3) The signals are independent of channel polarity reversals.

In the case of differential Manchester encoding, the sequence of signal element polarities is completely dependent on the polarity of the trailing signal element of the previously transmitted data or non-data bit symbol. If the symbol to be transmitted is a binary zero, the polarity of the leading signal element of the sequence is opposite to that of the trailing element of the previous symbol and, consequently, a transition occurs at the bit symbol boundary as well as mid-bit. If the symbol to be transmitted is a binary one, the algorithm is reversed and the polarity of the leading signal element is the same as that of the trailing signal element of the previous bit. Here, there is no transition at the bit symbol boundary.

The non-data symbols, J and K, depart from the above rule in that a signal element of the same polarity is transmitted for both signal elements of the symbol and there is, therefore, no mid-bit transition. A J symbol has the same polarity as

the trailing element of the preceding symbol, whereas a K symbol is the opposite polarity to the trailing element of the preceding symbol. The transmission of only one non-data symbol introduces a dc component on the ring. To avoid an accumulating dc component, non-data symbols are normally transmitted as a pair of J and K symbols. (By its nature, a K symbol is opposite to the polarity of the preceding symbol.)



**Fig 5-1**  
**Example of Symbol Encoding**

**5.2 Symbol Decoding.** Received symbols shall be decoded using an algorithm that is the inverse of the one described for symbol encoding, and the decoded symbols shall be presented at the MAC interface.

If the PHY receives more than four signal elements of the same polarity in succession, it shall introduce a change of polarity (that is, a transition) at the end of the fourth signal element in the received bit stream and continue to introduce a transition each signal element time until a transition is received from the ring. The resulting bit stream is then decoded and the symbols presented to the MAC interface.

In a similar manner, during periods of loss-of-clock synchronization or underrun/overrun of the latency buffer, the PHY shall generate a transition each signal element time, decode the new bit stream, and present the resulting symbols to the MAC interface.

**5.3 Data Signaling Rates.** The data signaling rates shall be within  $\pm 0.01\%$  of 4 or 16 Mb/s.

**5.4 Symbol Timing.** The PHY shall recover the symbol timing information inherent in the transitions between levels of the received signal. It minimizes the phase jitter in this recovered timing signal to provide suitable timing at the data signaling rate for internal use and for the transmission of symbols on the ring. The rate at which symbols are transmitted is adjusted continuously in order to remain in phase with the received signal.

In normal operation there is one station on the ring that is the active monitor. All other stations on the ring are frequency and phase locked to this station. They extract timing from the received data by means of a phase-locked loop. The phase-locked loop design shall be based on the requirement to accommodate a combined total of at least 250 stations and repeaters on the ring. The timing requirements to meet this total are defined in Section 7.

An additional requirement is placed on the time to acquire frequency and phase lock. Whenever a station is inserted into the ring or loses phase lock with the upstream station, it shall, upon receipt of a signal from the upstream station that is within specification, acquire phase lock within 1.5 ms.

**5.5 Latency Buffer.** The latency buffer is provided by the active monitor. It serves two distinct functions.

**5.5.1 Assured Minimum Latency.** In order for the token to continuously circulate around the ring when all stations are in repeat mode, the ring must have a latency (that is, time, expressed in number of bits transmitted, for a signal element to proceed around the entire ring) of at least the number of bits in the token sequence, that is, 24. Since the latency of the ring varies from one system to another and no a priori knowledge is available, a delay of at least 24 bits shall be provided by the active monitor.

**5.5.2 Phase Jitter Compensation.** The source timing or master oscillator of the ring shall be supplied by the active monitor station. All other stations in the ring track the frequency and phase of the incoming signal they receive. Although the mean data signaling rate around the ring is controlled by the active monitor station, segments of the ring can operate instantaneously at speeds slightly higher or lower than the frequency of the master oscillator. The cumulative effect of these variations in speed are sufficient to cause variations in the latency of the ring. Based on the jitter specifications in Section 7, the total latency variation shall not exceed B bits. The parameter values are as follows:

Data rate	=	4	16	Mb/s
B	=	3	15	bits

An elastic buffer with a length of  $n$  bits shall be added to the fixed 24-bit buffer. This maintains constant ring latency. The parameter values are as follows:

Data rate	=	4	16	Mb/s
$n$	$\geq$	6	32	bits

Note that additional latency may be required by the physical design of the receiver logic and circuitry to provide the full elasticity.

The resultant buffer shall be initialized to  $24 + n/2$  bits. If the received signal at the active monitor station is slightly faster than the master oscillator, the buffer will expand, as required, to maintain a constant total latency. If the received signal is slow, the buffer will contract to maintain a constant latency. Constant total latency is a requirement to avoid adding or dropping bits from the data stream.

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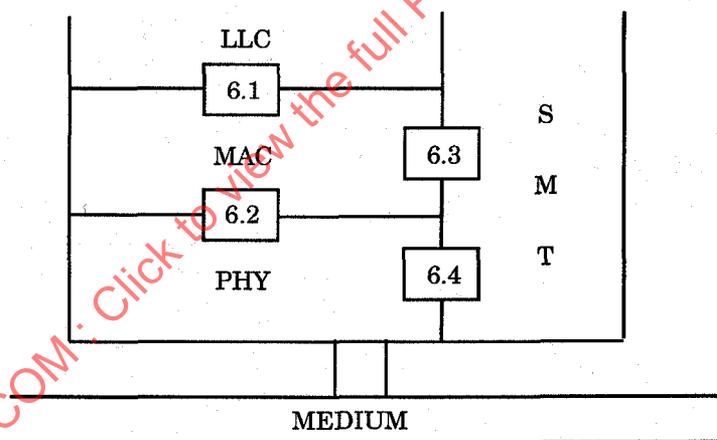
## 6. Service Specifications

This section specifies the services and interactions provided

- (1) By MAC to LLC;
- (2) By PHY to MAC;
- (3) By MAC to SMT;
- (4) By PHY to SMT.

The services and interactions are described in an abstract way and do not imply any particular implementation or any exposed interface.

The diagram below serves as a guide to the subsections (6.1 through 6.4) that define the services provided.



**6.1 MAC to LLC Service.** This service is defined in ISO/IEC10039 [9].

**6.2 PHY to MAC Service.** The services provided by the PHY allow the local MAC entity to exchange MAC data units with peer MAC entities and to report certain status changes.

**6.2.1 Interactions.** The following primitives are defined for the MAC to request service from the PHY:

PH-UNITDATA request

PH-UNITDATA indication  
PH-STATUS indication

**6.2.2 Detailed Service Specifications.** All primitives are specified in an exemplary form only. Each service shall name the particular primitive and the required information that shall be passed between MAC and PHY.

**6.2.2.1 PH-UNITDATA request.** This primitive defines the transfer of data from a local MAC entity to the station's PHY.

**Semantics of the Service Primitive**

PH-UNITDATA request ( symbol )

The symbol specified shall be one of the following:

0 = binary zero  
1 = binary one  
J = non-data-J  
K = non-data-K

**When Generated.** The MAC shall send the PHY a PH-UNITDATA request every time MAC has a symbol to output.

**Effect of Receipt.** Upon receipt of this primitive, the PHY entity shall encode and transmit the symbol.

**Additional Comments.** None.

**6.2.2.2 PH-UNITDATA indication.** This primitive defines the transfer of data from PHY to the MAC entity.

**Semantics of the Service Primitive**

PH-UNITDATA indication ( symbol )

The symbol specified shall be one of the following:

0 = binary zero  
1 = binary one  
J = non-data-J  
K = non-data-K

**When Generated.** The PHY shall send MAC a PH-UNITDATA indication every time PHY decodes a symbol. This indication is sent once every symbol period.

**Effect of Receipt.** Upon receipt of this primitive the MAC accepts a symbol from the PHY.

**Additional Comments.** None.

**6.2.2.3 PH\_STATUS indication.** This primitive is used by PHY to inform MAC of errors and significant status changes.

#### Semantics of the Service Primitive

```
PH-STATUS indication    (
                        burst_error_detected
                        )
```

Upon detection of a burst error PHY shall begin generating fill and passing it to MAC (on the PH\_UNITDATA indication) to correct detected silence on the medium.

**When Generated.** Upon detection of a burst error.

**Effect of Receipt.** Upon receipt of this indication, MAC shall increment the burst error counter.

**Additional Comments.** None.

**6.3 MAC to SMT Interaction.** This section specifies the abstract entities (parameters, events, and actions) that characterize local interactions between MAC and SMT. These interactions consist of reporting errors, parameter values when requested, and other events. This service specification complies with ISO/IEC 7498-4 [5].

**6.3.1 Overview of MAC Interaction.** The entities (parameters, events, and actions) communicable between MAC and SMT. The MAC abstract entities consist of the following:

- (1) Parameters within MAC read or written by SMT.
- (2) Actions initiated by SMT that cause changes within MAC.
- (3) Events within MAC that are passed to SMT.

**6.3.1.1 General Definitions of Interaction Primitives.** The primitives that are used to read and write parameters, cause an action, and report an event are:

- (1) LM\_GET\_VALUE—This primitive reads the value of one or more MAC parameters.
- (2) LM\_SET\_VALUE—This primitive writes the value of one or more MAC parameters.
- (3) LM\_ACTION—This primitive generates an action or a state change in MAC.
- (4) LM\_EVENT—This primitive passes an indication of events within MAC to SMT.

**6.3.1.2 Required Versus Optional Support.** The support of the parameters, events, and actions accessible through the primitives is mandatory unless indicated otherwise.

**6.3.2 MAC Attributes.** The attributes of a resource indicate its state (present or past) and control its operation (in the future). The MAC attributes are divided into groups in which they can be accessed by SMT using the token ring MAC to SMT interface. Attributes may be generally classified as the following:

- (1) **MAC Characteristics**—Operational information that describes some aspect of the resource's capabilities. In general, characteristics affect the operation of the resource at some future time. Characteristics may be specifically defined to be read-only or read-write with respect to remote management access.
- (2) **MAC Status**—Dynamic information about the resource's present state. Status attributes are read-only.
- (3) **MAC Statistics**—Information about the resource's past behavior. Statistical attributes are typically a form of an event log, such as counters. The only type of statistics defined for this standard are counters that are read-only with no reset control.

**6.3.2.1 Characteristics.** Characteristics are the reference data of a resource that may be either necessary or useful to operate or manage the resource.

**Address Group.** This group identifies the various MAC addresses related to the reporting node. The following indicates the parameters reported, the section that defines the parameters, and their access:

AddressGroup ::= SEQUENCE {		
individualMACAddress	[0] IMPLICIT OCTET STRING, read-only	—3.2.4.2
functionalAddresses	[1] IMPLICIT OCTET STRING, read-write	— 3.3.2.8
groupMACAddresses	[2] IMPLICIT OCTET STRING, read-only	— 3.3.2.9
una	[3] IMPLICIT OCTET STRING, read-only	— 3.3.2.15
ringNumber	[4] IMPLICIT OCTET STRING, read-write	— 3.3.2.11
physicalDrop	[5] ANY, read-write	— 3.3.2.13
privateAddressParm	[6] ANY OPTIONAL ) either	— see below

**privateAddressParm**—Allows for vendor-specific address group parameter. This parameter can be of any type and can be read-only or read-write.

**Attachments Group.** This group identifies the various functions that are or can be within the node (applications, the box the node is in, etc). The following indicates the parameters reported, the section that defines the parameter, and their access:

```

AttachmentsGroup ::= SEQUENCE {
  functionalAddresses [0] IMPLICIT OCTET STRING, — 3.3.2.8
                        read-write
  authorizedFunctionClass [1] IMPLICIT OCTET STRING, — 3.3.2.3
                        read-write
  authorizedAccessPriority [2] IMPLICIT INTEGER, — 3.3.2.2
                        read-write
  productInstanceID [3] ANY, — 3.3.2.14
                        read-only
  privateAttachParm [4] ANY OPTIONAL } — see below
                        either

```

**privateAttachParm** — Allows for vendor-specific attachment group parameter. This parameter can be of any type and can be read-only or read-write.

**6.3.2.2 Status.** A status attribute is one that indicates something about the current state of the resource. A status attribute is distinguished from a characteristic in that it is modified internally by the resource rather than by an external management entity. Status attributes are read-only.

**State Group.** The status group identifies the status of the station. The following indicates the parameters reported, the section that defines the parameter, and their access:

```

StateGroup ::= SEQUENCE {
  macVersionNumbers [0] ANY, — 3.3.2.17 read-only
  macStatus [1] ANY, — 3.3.2.18 read-only
  errorReportTimerValue [2] INTEGER — 3.3.2.7 read-write
  privateStateParm [3] ANY OPTIONAL } — see below read-only

```

**privateStateParm** — Allows for vendor-specific state group parameter. This parameter can be of any type.

**6.3.2.3 Statistics.** Statistics are attributes that contain a record of events over some period of time. The statistics defined for this standard are counters with no reset control. Access to the counterValue parameter is read-only.

**Isolating Error Counters Group.** The isolating error counters group lists the counters and their values. These errors are those that can be isolated to a particular fault domain (indicating station, its UNA, and the wire between them or an individual station). The isolating error counters are reported to the REM by stations on its local ring using the Error message. The counters in this group are reported as kept. When a counter reaches its maximum value, a MAC\_EVENT (Counter Threshold Reached) is reported and the counters are reset to 0. The following indicates the parameters reported and the section that defines the parameter:

```

IsolatErrorsGroup ::= SEQUENCE {
    lineError          [0] IMPLICIT INTEGER,          — 3.8.1
    burstError         [1] IMPLICIT INTEGER,          — 3.8.3
    acError            [2] IMPLICIT INTEGER,          — 3.8.4
    abortTransError    [3] IMPLICIT INTEGER,          — 3.8.5
    internalError      [4] IMPLICIT INTEGER,          — 3.8.2
    privateErrorCounters [5] ANY OPTIONAL }           — see below
    
```

privateErrorCounters—This is to allow vendor-specific isolating error counters. This parameter can be of any type.

**Non-Isolating Error Counters Group.** The non-isolating Error Counters Group lists the counters and their values. These errors are those that cannot be isolated to a particular fault domain. The non-isolating error counters are reported to the REM by stations on its local ring using the Error message. The counters in this group are reported as kept. When a counter reaches its maximum value, an event (Counter Threshold Reached) is reported and the counters are reset to 0. The following indicates the parameters reported and the section that defines the parameter:

```

NonIsolatErrorsGroup ::= SEQUENCE {
    lostFrameError     [0] IMPLICIT INTEGER,          — 3.8.6
    receiveCongestion [1] IMPLICIT INTEGER,          — 3.8.7
    frameCopiedError   [2] IMPLICIT INTEGER,          — 3.8.8
    tokenError         [3] IMPLICIT INTEGER,          — 3.8.10
    privateErrorCounters [4] ANY OPTIONAL }           — see below
    
```

privateErrorCounters — This is to allow vendor-specific non-isolating error counters. This parameter can be of any type.

**6.3.3 MAC Transients.** Transients are information units that concern the dynamic actions of a resource. There are two types of transients: actions and event reports. These transients are described in the next two sections.

**6.3.3.1 MAC Actions.** The following list describes the various actions defined for token ring:

```

TokenRingMACAction ::= CHOICE {
    remove             [0] IMPLICIT NULL,            — see below
    insert             [1] IMPLICIT NULL,            — see below
    privateAction      [2] ANY OPTIONAL }             — see below
    
```

The remove action is used to request the station to remove from the ring.

The insert action is used to request the station to insert in the ring.

The privateAction provides for vendor-specific actions.

**6.3.3.2 MAC Events.** An event report is an information unit that is used to inform SMT of a significant activity in the operation of the resource. A description of the events defined for token rings follows:

**Enter Active State.** This event is generated by the CRS when it receives a Report New Active Monitor message from a station. The following indicates the information included with the event reported and the section that defines the information:

```
EnterActiveState ::= SEQUENCE {
    ringNumber          [0] IMPLICIT OCTET STRING,      — 3.3.2.11
    activeMonitorAddress [1] IMPLICIT OCTET STRING,      — 3.2.4.2
    una                 [2] IMPLICIT OCTET STRING,      — 3.3.2.15
    physicalDrop        [3] ANY,                        — 3.3.2.13
    productinstanceID   [4] ANY }                      — 3.3.2.14
```

**Active Monitor Error.** The Active Monitor Error event is generated when the REM receives a Report Active Monitor Error message from the new active monitor. The following indicates the information included with the event reported and the section that defines the information:

```
ActiveMonitorError ::= SEQUENCE {
    ringNumber          [0] IMPLICIT OCTET STRING,      — 3.3.2.11
    stnMACaddress       [1] IMPLICIT OCTET STRING,      — 3.2.4.2
    una                 [2] IMPLICIT OCTET STRING,      — 3.3.2.15
    Error Code          [3] IMPLICIT OCTET STRING,      — 3.3.2.6
    physicalDrop        [4] ANY }                      — 3.3.2.13
```

**Report Station in Ring.** The Report Station in Ring event is generated when the RPS receives a Request Initialization message from a station. The following indicates the information included with the event reported and the section that defines the information:

```
ReportStationInRing ::= SEQUENCE {
    ringNumber          [0] IMPLICIT OCTET STRING,      — 3.3.2.11
    insertingStnMACaddress [1] IMPLICIT OCTET STRING,  — 3.2.4.2
    una                 [2] IMPLICIT OCTET STRING,      — 3.3.2.15
    productinstanceID   [3] ANY,                        — 3.3.2.14
    macVersionNumber    [4] ANY }                      — 3.3.2.17
```

**Configuration Change.** The Configuration Change event is generated when the CRS receives a Report SUA Change message from a station. The following indicates the information included with the event reported and the section that defines the information:

```
ConfigurationChange ::= SEQUENCE {
    ringNumber          [0] IMPLICIT OCTET STRING,      — 3.3.2.11
    stnMACaddress       [1] IMPLICIT OCTET STRING,      — 3.2.4.2
    una                 [2] IMPLICIT OCTET STRING,      — 3.3.2.15
    physicalDrop        [3] ANY }                      — 3.3.2.13
```

**Neighbor Notification Incomplete.** The Neighbor Notification Incomplete event is generated when the RPS receives a Report Neighbor Notification Incomplete message from the active monitor. The following indicates the information included with the event reported and the section that defines the information:

```
NeighborNotification ::= SEQUENCE {
    ringNumber          [0] IMPLICIT OCTET STRING,      — 3.3.2.11
    activeMonitorMACaddress [1] IMPLICIT OCTET STRING,  — 3.2.4.2
    saOfLastAMPorSMPframe [2] IMPLICIT OCTET STRING } — 3.3.2.19
```

**Counter Threshold Reached.** The counter threshold reached event is generated when a threshold or the maximum value is reached. The counters are reset after this event is reported. The following indicates the information included with the event reported and the section that defines the information:

```
CounterThresholdReached ::= SEQUENCE {
    ringNumber          [0] IMPLICIT OCTET STRING,      — 3.3.2.11
    stnMACaddress      [1] IMPLICIT OCTET STRING,      — 3.2.4.2
    una                [2] IMPLICIT OCTET STRING,      — 3.3.2.15
    isolatErrorCntersGroup [3] IMPLICIT IsolaterErrorsGroup — 6.3.2.3
    nonIsolatErrorCntersGroup [4] IMPLICIT NonIsolatErrorsGroup — 6.3.2.3
    physicalDrop       [5] ANY }                       — 3.3.2.13
```

**Beaconing Condition on Ring.** The beaconing condition on ring event is generated by the REM when its attached ring has beacons or is beaconing (its station is in state S5). The following indicates the information included with the event reported and the section that defines the information:

```
BeaconingConditionOnRing ::= SEQUENCE {
    ringNumber          [0] IMPLICIT OCTET STRING,      — 3.3.2.11
    stnMACaddress      [1] IMPLICIT OCTET STRING,      — 3.2.4.2
    una                [2] IMPLICIT OCTET STRING,      — 3.3.2.15
    beaconType         [3] IMPLICIT OCTET STRING,      — 3.3.2.4
    ringStatus         [4] IMPLICIT OCTET STRING,      — see below
    physicalDrop       [5] ANY }                       — 3.3.2.13
```

ringStatus—This indicates the status of the ring indicated by one of the following. This parameter is 2 octets in length.

- X'0000' : Normal
- X'0001' : Temporary Beaconing—A beaconing condition existed on the ring specified. Both stations in the fault domain (identified in this indication) remain in the ring.
- X'0002' : Temporary Beaconing—A beaconing condition existed on the ring specified. One of the stations in the fault domain (identified in this indication) has been removed as part of the recovery process.

- X'0003' : Temporary Beaconsing—A beaconsing condition existed on the ring specified. Both stations in the fault domain (identified in this indication) have been removed as part of the recovery process.
- X'0004' : Permanent Beaconsing—The ring specified has been beaconsing long enough for both the beaconsing and its upstream neighbor to remove and test. At this point manual intervention is needed to recover the error.

**Ring Station Removed.** The Ring Station Removed event is generated by the CRS when it issues a Remove Ring Station message to a station. The CRS must then query the station to ensure it has removed from the ring before creating this event. The following indicates the information included with the event reported and the section that defines the information:

```
RingStationRemoved ::= SEQUENCE {
    ringNumber      [0] IMPLICIT OCTET STRING,      — 3.3.2.11
    stnMACaddress  [1] IMPLICIT OCTET STRING }      — 3.2.4.2
```

**Private Event.** This is to allow vendor-specific events.

**6.4 PHY to SMT Interaction.** This section specifies abstract entity actions for PHY that characterize local interactions between PHY and SMT. This service specification complies with ISO/IEC 7498-4 [5].

**6.4.1 Overview of PHY Management Interaction.** The PHY abstract entities action consists of actions initiated through SMT that cause changes within PHY.

**6.4.1.1 General Definition of Interactive Primitives.** The following primitive is used to cause an action and report an event.

LM\_ACTION - This primitive generates an action or a state change in PHY.

**6.4.1.2 Required Versus Optional Support.** The following sections define specific events and actions in PHY. The support of the events and actions accessible through the primitives is mandatory unless indicated otherwise.

**6.4.2 PHY Transients.** Transients are information units that concern the dynamic actions of a resource. These transients are described as follows.

**6.4.2.1 PHY Actions.** The following are the various PHY actions defined for token ring:

```
TokenRingPHYaction ::= CHOICE {
    remove          [0] IMPLICIT NULL,              — see below
    insert          [1] IMPLICIT NULL,              — see below
    privateAction  [2] ANY OPTIONAL }               — see below
```

remove — The remove action is used to request the station to remove from the ring.

insert — The insert action is used to request the station to enter the ring.

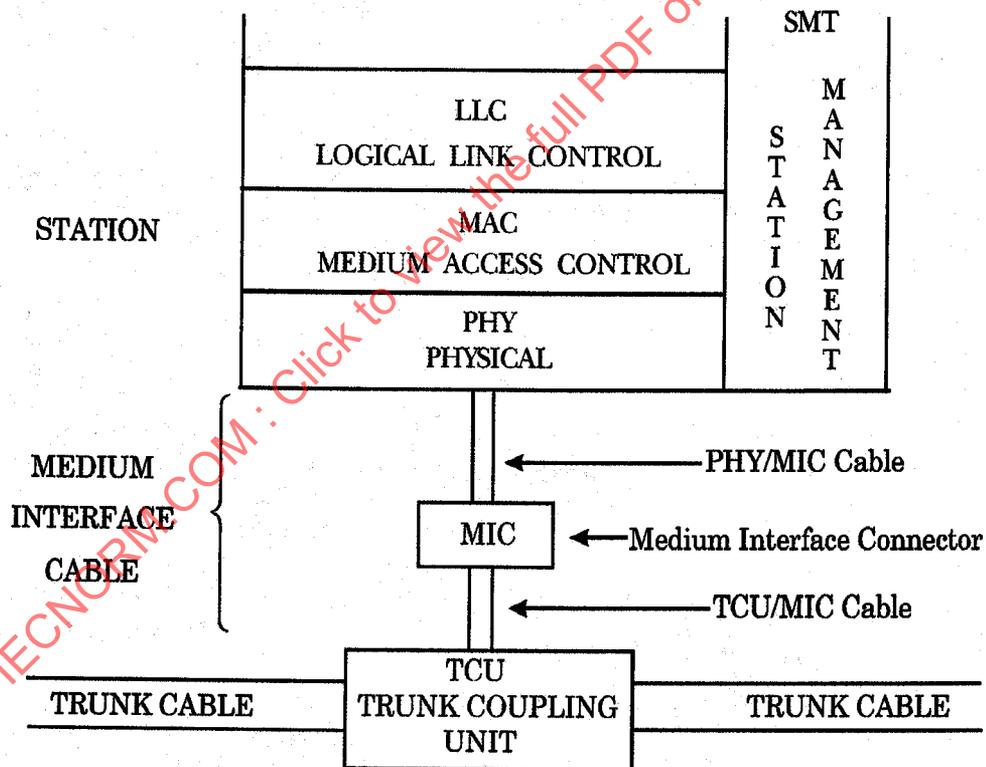
privateAction — This is to allow for vendor-specific actions in PHY.

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## 7. Station Attachment Specifications

**7.1 Scope.** This section specifies the functional, electrical, and mechanical characteristics of balanced, baseband, shielded twisted pair attachment to the trunk cable of a token ring.

**7.2 Overview.** The function of the trunk cable medium is to transport data signals between successive stations of a baseband ring local area network. This communications medium consists of a set of TCUs interconnected sequentially by trunk cable links. Each TCU is connected to a TCU/MIC cable to which a station may be connected. The relationship between these embodiments and the LAN model are shown in Fig 7-1.



**Fig 7-1**  
**Partitioning of PHY and Medium**

Repeaters may be used, where required, to extend the length of a trunk link beyond limits imposed by normal signal degradation due to link impairments. These repeaters serve to restore the amplitude, shape, and timing of signals passing through them. The repeater's regenerative functions have the same characteristics as a repeating station on the ring and must be included in the count of the number of stations supported by the ring.

The medium interface cable shown in Fig 7-1 may be as shown or may include multiple sections of cable joined by connectors identical to the MIC. By definition, the MIC is the connector at which all transmitted and received signal specifications shall be met. It may be attached to the station directly or on a pig tail.

**7.3 Coupling of the Station to the Ring.** The connection of the station to the trunk cable medium shall be via a shielded cable containing two balanced,  $Z=150\pm 15 \Omega$  twisted pairs. The cable impedance must be maintained over the frequency range of 2–20 MHz. The station transmitter shall deliver the specified signal at the MIC, and the station receiver shall have sufficient sensitivity and distortion margin to operate properly with the appearance of the specified signal levels and distortion at this interface point. The shield of the cables shall be connected to the shield terminal of the MIC.

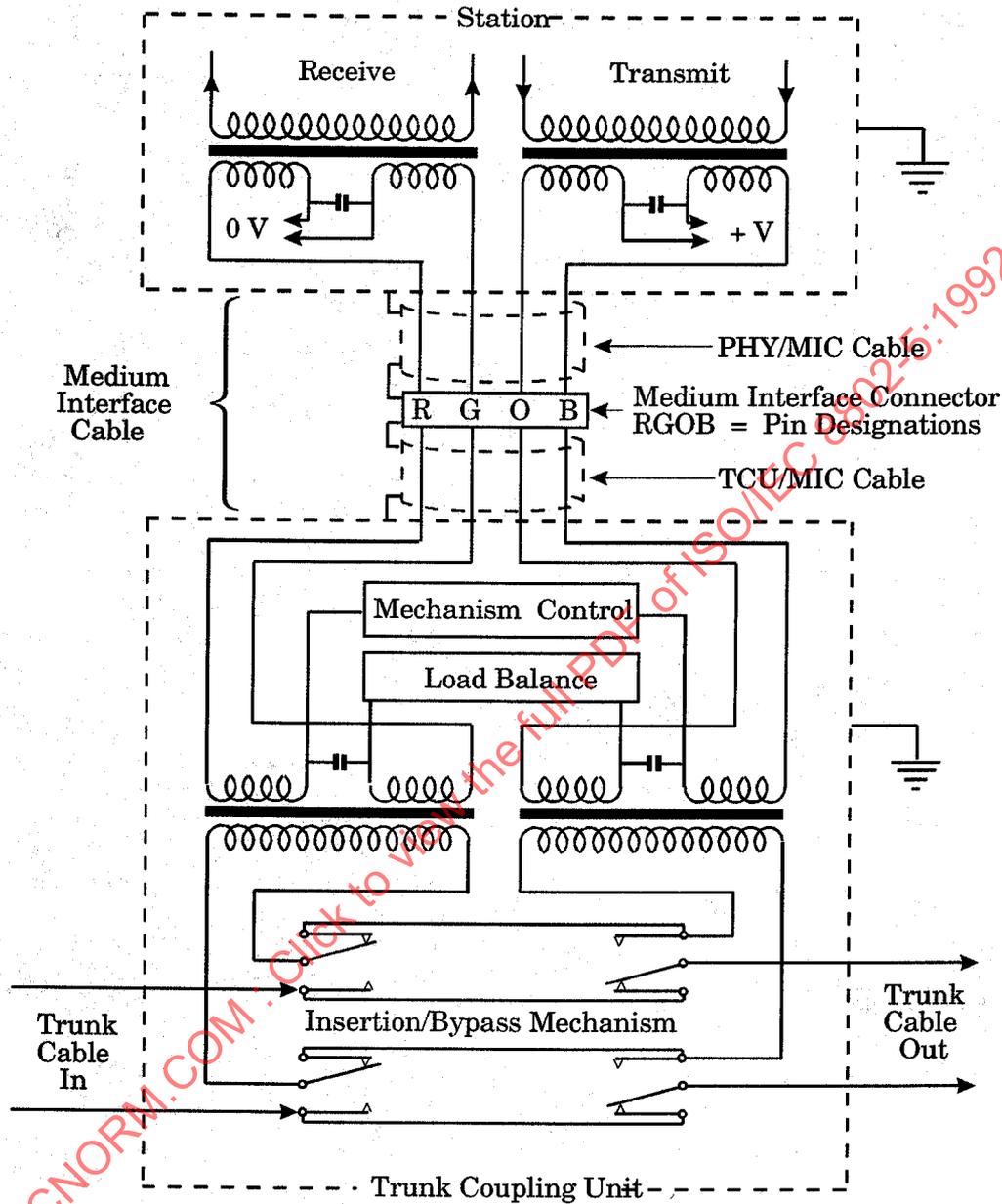
An exemplary implementation of the connection, in bypass mode, of the station to the ring is shown in Fig 7-2.

**7.4 Ring Access Control.** Station insertion into the ring is controlled by the station. The mechanism for effecting the insertion or bypass of the station resides in the TCU. The station exercises control of the mechanism via the media interface cable using a *phantom* circuit technique. The phantom circuit impresses a dc voltage on the medium interface cable. This dc voltage is transparent to the passage of station-transmitted symbols, hence the name *phantom*. The voltage impressed is used within the TCU to effect the transfer of a switching action to cause the serial insertion of the station in the ring. Cessation of the phantom drive causes a switching action that will bypass the station and cause the station to be put in a looped (*wrapped*) state. This loop may be used by the station for off-line self-testing functions.

The phantom drive circuit is designed such that the station may detect open-wire and certain short-circuit faults in either the receive pair or transmit pair of signal wires. This is done by detecting dc current imbalance in two separate phantom circuits. In order to do this the transformers (or their equivalent) in the TCU and the station must provide two coils that are dc-isolated but ac signal coupled to each other. Circuits attached between the transmit pair and the receive pair of conductors shall be designed such that a line-to-line dc current balance is maintained within each pair.

**7.4.1 Current and Voltage Limits.** Ring access shall be controlled with a voltage on MIC pin B with respect to pin R and a voltage on MIC pin O with respect to pin G.

Insertion shall be effected with a voltage of 4.1–7.0 V for a current less than 1 mA and 3.5–7.0 V for a current between 1–2 mA.



**Fig 7-2**  
**Example of Station Connection to the Medium**

Bypass shall be effected with a voltage of less than 1 V.

The MIC, as described later, will automatically short-circuit pin R to pin O and pin G to B when it is withdrawn. Therefore, the station shall provide means to assure that the short-circuit current will not exceed 20 mA.

The static load provided by the TCU between pins B and R and pins O and G shall have a resistance between 2.9 k $\Omega$  and 5.3 k $\Omega$  and shall be matched within 5%.

**7.4.2 Insertion/Bypass Transfer Timing.** The insertion/bypass mechanism shall break the existing circuit before establishing the new circuit. The maximum time that the ring trunk circuit is open shall not exceed 5 ms.

**7.5 Signal Characteristics.** There are three segments associated with PHY: the transmitter, including all components in the transmission path up to the transmitter MIC; the channel, including the installed cabling, connectors, and coupling units; and the receiver, including all components following the receive MIC. Each segment is specified independently to assure compatibility among different implementations. The specifications in this section do not address ring access control.

Several specifications are derived from limits on the total ring accumulation of error and not on any single lobe. Statistical limits have been used for these specifications to allow greater freedom of design.

**7.5.1 The Transmitter.** All specifications are made at the media interface connector with a 150  $\Omega$  resistive termination. The requirements on the transmitter presented here do not include the phantom drive signaling simultaneously impressed on the wires. The transmitted signal must be a differential signal centered around ground with a peak-to-peak amplitude between 3.0 V and 4.5 V. Transmit asymmetry (TA) is defined with respect to any valid Manchester data stream. The stream consists of periods of high voltage (greater than ac ground) and low voltage (less than ac ground), with the high and low times each nominally 1 or 2 UIs long. TA, defined only where adjacent up and down times are the same number of UIs, is one half the maximum time difference between the adjacent high and low periods measured at the ac zero crossings. TA shall have an average ( $\mu$ ) and a standard deviation ( $\sigma$ ) among stations such that

data rate	=	4	16	Mb/s
$ \mu $	<	3	1	ns
$ \mu  + 3\sigma$	<	11	3	ns

The transmitter waveform shall have the characteristics of a square wave transmitter, as defined above, driving a bandpass filter meeting the following specifications:

data rate	=	4	16	Mb/s
high-pass pole	<	30	50	kHz
single low-pass pole	>	14	*	MHz
double low-pass pole	=	*	30±5	MHz with Q=0.7±0.2
no other low-pass poles	<	25	64	MHz
no more than two additional low-pass poles	<	*	100	MHz

\*This parameter is not applicable at this operating speed.

However, in order to meet certain national emission standards, it may be necessary to implement a more stringent bandpass filter whose high frequency characteristics are

frequency	4	8	16	24	28	32	36	40	48	MHz
max atten	1.0	1.0	1.0	3.5	10	-	-	-	-	dB
min atten	-	-	-	-	-	2	5	10	20	dB
max delay*	0	0	2	7	13	-	-	-	-	ns
min delay*	-1.5	0	0.5	1.0	1.0	-	-	-	-	ns

\*Delay is measured relative to the delay of the 8 MHz component of the signal and a straight line interpolation is assumed between the specified frequencies.

The low-frequency characteristics are the same for either bandpass filter. Filters complying to either specification above will interoperate on the same token ring.

**7.5.2 The Channel.** The channel described here is defined as the test channel for transmitter and receiver operation. The channel is treated as a two-port device, the ports being at the media interface connectors for the transmitting and receiving stations. Two sets of specifications are set for the channel: the first specifies transfer functions to limit the allowable phase distortion produced by the channel, and the second specifies a minimum received signal level.

The channel is characterized as a network with a square-root-frequency attenuation (SQA) plus a flat attenuation (ATT) plus rational poles. There shall be no rational poles between F3 and F4 and no more than two rational poles between F4 and F5. The parameter values are

data rate	=	4	16	Mb/s
SQA	≤	22	19	dB
ATT	≤	15	15	dB
F3	=	50	50	kHz
F5	=	50	75	MHz

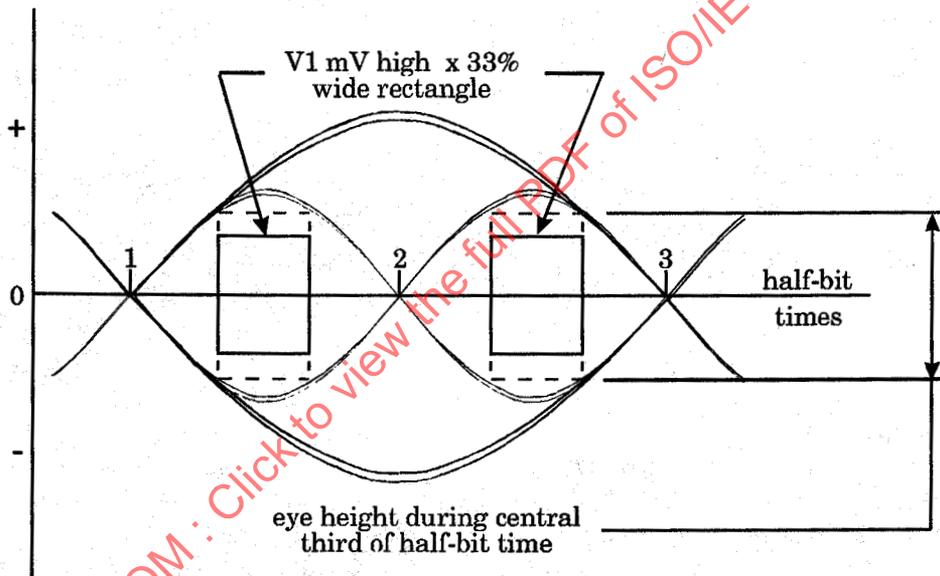
SQA is measured with a 4 MHz sine wave for 4 Mb/s operation, and with a 16

MHz sine wave for 16 Mb/s operation. The total attenuation shall be limited in amount by the minimum eye-height requirement shown in Fig 7-3.

When driven by any allowable transmitter and any valid data pattern over any allowable channel, the received signal shall have an eye opening of greater than V1 mV, peak-to-peak, over the center 1/3 of the half-bit time as shown in Fig 7-3, where the eye is triggered from the transmitter clock.

This eye shall be measured after a passive equalizer having an input impedance of 150  $\Omega$ , poles P1 and P2, and zero Z1. The parameter values are

data rate	=	4	16	Mb/s
V1	=	50	150	mV
P1	=	2.7	10.3	MHz
P2	=	16.0	25.0	MHz
Z1	=	0.54	2.4	MHz



**Fig 7-3**  
**Receive Signal Eye Pattern**

**7.5.3 The Receiver.** Requirements on the receiver are divided into four items. The first, maximum data-correlated jitter output, limits the response of the timing recovery to data-induced phase error. The second, maximum uncorrelated jitter output, limits the amount of phase error due to internal noise. These first two requirements determine the amount of accumulated jitter that following stations must track and the elastic buffer in the active monitor must remove. The third requirement, jitter bandwidth, limits the rate of change of output phase that must in turn be tracked by following stations. Finally, the fourth, jitter tolerance, tests the capability of the station to correctly receive data in the presence of jitter.