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AMENDMENT 2
2015-11-15

**Information technology — Biometric
data interchange formats —**

Part 5:
Face image data

**AMENDMENT 2: XML encoding and
clarification of defects**

*Technologies de l'information — Formats d'échange de données
biométriques —*

Partie 5: Données d'image de la face

AMENDMENT 2: Codage XML et précisions concernant les défauts

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Foreword

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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

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For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT), see the following URL: [Foreword — Supplementary information](#).

Amendment 2 to ISO/IEC 19794-5:2011 was prepared by ISO/IEC JTC 1, *Information Technology*, Subcommittee SC 37, *Biometrics*.

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Information technology — Biometric data interchange formats —

Part 5: Face image data

AMENDMENT 2: XML encoding and clarification of defects

Page 1, Conformance

Insert the following text as second paragraph:

An XML document conforms to this part of ISO/IEC 19794 if it satisfies the format requirements with respect to its structure, with respect to relations among its fields, and with respect to relations between its fields and the underlying input that are specified within Annex F of this part of ISO/IEC 19794.

Page 2, Normative references

Add the following URL as the last item in Clause 3:

XML Schema: <http://www.w3.org/XML/Schema.html>

Page v, ISO/IEC 19794-5:2011/Amd 1:2014, Introduction

Add the following paragraph after the last paragraph:

Additionally, this part of the ISO/IEC standard supports both binary and XML encoding, to support a spectrum of user requirement. With XML, this part will meet the requirements of modern IT architectures. With binary encoding this part will also be able to be used in bandwidth or storage constrained environments. Annex F specifies the schema that XML encoded face image records must conform to, and Annex G provides an example of a valid XML encoded face image record.

Page 6, ISO/IEC 19794-5:2011/Amd 1:2014, Annex A

Replace Table A.1 with the following (this new Table A.1 may extend over multiple pages):

Table A.1 — Normative requirements

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-1	5.1	The ISO/IEC 19794-5:2011 BDIR format specified in this part of ISO/IEC 19794 is a format to store face representations within a biometric data record.	3C	0-1	Y	Y	Y	Y	Y			
R-2	5.1	Each BDIR shall pertain to a single subject.	3C	0-1	Y	Y	Y	Y	Y			
R-3	5.1	Each BDIR shall contain at least one or more 2D image and zero or more geometric representations (range images, 3D point maps, 3D vertex representations) of a human face.	3C	M	Y	Y	Y	Y	Y			
R-4	5.1	2D image data will be encoded using JPEG, JPEG2000 or PNG.	3C	0-1	Y	Y	Y	Y	Y			
R-5	5.1	With the exception of the Format Identifier and the Version Number for the standard, which are null-terminated ASCII character strings, all data is represented in binary format.	3C	0-1	Y	Y	Y	Y	N			
R-6	5.1	There are no record separators or field tags; fields are parsed by byte count.	3C	0-1	Y	Y	Y	Y	N			

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Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-7	5.1	<p>The organization of the record format is as follows.</p> <ul style="list-style-type: none"> — A fixed-length (17 byte) General Header containing information about the overall record, including the number of facial images represented and the overall record length in bytes. — A Representation block for each facial representation. This data consists of a Representation Header and the Representation Data. <p>The Representation Header consists of</p> <ul style="list-style-type: none"> — a fixed length (19 bytes) common elements defined in ISO/IEC 19794-1:2011, — multiple (including none) fixed length (5 byte) Quality blocks describing the quality of the representation, — a fixed length (17 byte) Facial Information block describing discernable characteristics of the subject such as gender, — multiple (including none) fixed length (8 byte) Landmark Point blocks describing Landmark Points in a facial image, — a fixed length (11 byte) Image Information block describing digital properties of the image such as Face Image Type and dimensions such as width and height. <p>The Representation Data consists of</p> <ul style="list-style-type: none"> — Image data consisting of a JPEG, JPEG2000 or PNG encoded data block, — for Face Image Types containing 3D information, a 3D Information block (95 byte) describing properties of this data, — for Face Image Types containing 3D information, the 3D Data block describing the 3D shape of the face. 	3C	0-1	Y	Y	Y	Y	N			
R-8	5.1	<p>Multiple 2D/3D representations of the same biometric data subject can be described in a single record. This is accomplished by including multiple representation blocks after the General Header block.</p>	3C	0-1	Y	Y	Y	Y	Y			
R-9	5.1	<p>Representation blocks containing 2D data can be stored together with Representation blocks also containing 3D data.</p>	3C	0-1	Y	Y	Y	Y	Y			

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-10	5.2.1	Within the record format and all well-defined data blocks therein, all multibyte quantities are stored in Big-Endian format.	1	M	Y	Y	Y	Y	N			
R-11	5.2.2	All numeric values are fixed-length unsigned integer quantities, unless otherwise specified.	3C	0-1	Y	Y	Y	Y	Y			
R-12	5.2.3	The conversion of a numeric value to integer is given by rounding down if the fractional portion is less than 0,5 and rounding up if the fractional value is greater than or equal to 0,5.	3C	0-1	Y	Y	Y	Y	Y			
R-13	5.2.4	The following fields are mandatory, but the value of the field can indicate that the field is unspecified: Capture Device Technology Identifier, Capture Device Vendor Identifier, Capture Device Type Identifier, Gender, Eye Colour, Hair Colour, Subject Height, Property, Expression, Pose Angle, Pose Angle Uncertainty, Image Colour Space, 3D Capture Device Technology Identifier, 3D Capture Device Vendor Identifier, 3D Capture Device Type Identifier, 3D to 2D Image Temporal Synchronicity, 3D to 2D Texture Temporal Synchronicity, 3D Acquisition Time, 2D Texture Acquisition Time, Texture Map Type, and Texture Map Spectrum.	3C	0-1	Y	Y	Y	Y	N			
R-14	5.2.5	A field value labelled by the identifier "Unknown" shall be used to denote that the information encoded by the field cannot be determined by examination of the face image.	3C	0-1	Y	Y	Y	Y	Y			
R-15	5.3.1	The General Header block consists of seven fields: Format Identifier, Version Number, Length of Record, Number of Representations, Capture Device Vendor Identifier, Capture Device Type Identifier and the Temporal Semantics field as shown in Table 2.	3C	0-1	Y	Y	Y	Y	N			
R-16	5.3.2	The format identifier shall be recorded in four bytes.	1	M	Y	Y	Y	Y	N			
R-17	5.3.2	The format identifier shall consist of three characters "FAC" followed by a zero byte as a NULL string terminator.	1	M	Y	Y	Y	Y	N			
R-18	5.3.3	The number for the version of ISO/IEC 19794-5:2011 used for constructing the BDIR shall be placed in four bytes.	1	M	Y	Y	Y	Y	N			

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-19	5.3.3	This version number shall consist of three ASCII numerals followed by a zero byte as a NUL string terminator. The first and second character will represent the major version number and the third character will represent the minor revision number. The Version Number of ISO/IEC 19794-5:2011 shall be 30333000 _{HEX} : "030" – Version 3, Revision 0.	1	M	Y	Y	Y	Y	N			
R-20	5.3.4	The length (in bytes) of the entire BDIR shall be recorded in four bytes.	1	M	Y	Y	Y	Y	N			
R-21	5.3.4	This count shall be the total length of the BDIR including the general record header and one or more representation records.	2	M	Y	Y	Y	Y	N			
R-22	5.3.5	The total number of representation records contained in the BDIR shall be recorded in two bytes.	1, 2	M	Y	Y	Y	Y	N			
R-23	5.3.5	A minimum of one representation is required.	1	M	Y	Y	Y	Y	Y			
R-24	5.3.6	Certification Flag The value shall be 00 _{HEX} .	1	M	Y	Y	Y	Y	N			
R-25	5.3.7	Temporal Semantics This two byte (2 byte) field shall be assigned according to Table 3.	1	M	Y	Y	Y	Y	N			
R-26	5.3.7	This supports storage of multiple representations: from a single session (e.g. from a photo shoot); from distinct sessions (e.g. from cash dispenser transactions); and from a temporal sequence (e.g. a video sequence of equally time-spaced representations).	3C	0-1	Y	Y	Y	Y	N			
R-27	5.4.1	The Representation Header Structure The Representation Header is intended to describe discrete properties of the individual discernable from the image, one is included for each facial representation included in the record.	3C	0-1	Y	Y	Y	Y	N			

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-28	5.4.1	The Representation Header consists of the Representation Length, the Capture Date and Time, the Capture Device Technology Identifier, the Capture Device Vendor Identifier. These are followed by the Number of Quality Blocks field and the related number of Quality blocks. Finally the Representation Header contains the Facial Information block, the optional multiple Landmark Point blocks, and the Image Information block.	3C	O-1	Y	Y	Y	Y	N			
R-29	5.4.2	Representation Length The (4 byte) Representation Length field denotes the length in bytes of the representation including the representation header fields.	1, 2	M	Y	Y	Y	Y	N			
R-30	5.4.2	The minimum value of the Representation Length is 51 bytes, consisting of a minimum 47 bytes for the Representation Header plus the size of the Representation Data, i.e. minimum 4 bytes for the Length of Image Data Block field assuming 0 bytes for the variable data.	1	M	Y	Y	Y	Y	N			
R-31	5.4.3	Capture Date and Time The capture date and time field shall indicate when the capture of this representation started in Coordinated Universal Time (UTC).	3C	O-1	Y	Y	Y	Y	Y			
R-32	5.4.3	The capture date and time field shall consist of 9 bytes.	1	M	Y	Y	Y	Y	N			
R-33	5.4.3	Its value shall be encoded in the form given in ISO/IEC 19794-1.	1	M	Y	Y	Y	Y	N			
R-34	5.4.4	Capture Device Technology Identifier Capture device technology Identifier shall be encoded in one byte.	1	M	Y	Y	Y	Y	N			
R-35	5.4.4	This field shall indicate the class of device technology used to acquire the captured biometric sample.	3C	O-1	Y	Y	Y	Y	Y			
R-36	5.4.4	Many different types of capture devices work in the visible spectrum or in near infrared (NIR). To indicate that the capture device operates in NIR the highest bit in the Capture Device Technology Identifier field shall be set to 1.	3C	O-1	Y	Y	Y	Y	N			

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results		
					B	F	T	P						
R-37	5.4.4	See the following table for the enumerated list of possible values.	1	M	Y	Y	Y	Y	Y ³⁾					
													Description	Value
													Unknown or Unspecified	00 _{HEX}
													Static photograph from an unknown source	01 _{HEX}
													Static photograph from a digital still-image camera	02 _{HEX}
													Static photograph from a scanner	03 _{HEX}
													Video frame(s) from an unknown source	04 _{HEX}
													Video frame(s) from an analogue video camera	05 _{HEX}
													Video frame(s) from a digital video camera	06 _{HEX}
													Reserved by SC37 for future use	07 _{HEX} to 7F _{HEX}
Vendor specific	80 _{HEX} to FF _{HEX}													
R-38	5.4.5	Capture Device Vendor Identifier The (2 byte) Capture Device Vendor Identifier shall identify the biometric organisation that owns the product that created the BDIR.	1	M	Y	Y	Y	Y	Y ¹⁾					
R-39	5.4.5	The capture device algorithm vendor identifier shall be encoded in two bytes carrying a CBEFF biometric organization identifier (registered by IBIA or other approved registration authority).	3C	0-1	Y	Y	Y	Y	N					
R-40	5.4.5	A value of all zeros shall indicate that the capture device vendor is unreported.	1	M	Y	Y	Y	Y	N					
R-41	5.4.6	Capture Device Type Identifier The (2 byte) Capture Device Type Identifier shall identify the product type that created the BDIR.	1	M	Y	Y	Y	Y	Y ¹⁾					
R-42	5.4.6	It shall be assigned by the registered product owner or other approved registration authority.	3C	M	Y	Y	Y	Y	Y					
R-43	5.4.6	A value of all zeros shall indicate that the capture device type is unreported.	1	M	Y	Y	Y	Y	N					

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-44	5.4.6	If the capture device vendor identifier is 0000 _{HEX} , then also the capture device type identifier shall be 0000 _{HEX}	2	M	Y	Y	Y	Y	N			
R-45	5.4.7	Number of Quality Blocks This field is followed by the number of 5 byte Quality blocks reflected by its value.	2	M	Y	Y	Y	Y	N			
R-46	5.4.7	A value of zero (0) means that no attempt was made to assign a quality score. In this case, no Quality blocks are present.	2	M	Y	Y	Y	Y	N			
R-47	5.4.8	Quality Score The (1 byte) Quality Score, as defined in ISO/IEC 29794-1, shall be a quantitative expression of the predicted verification performance of the biometric sample.	1, 3C	M	Y	Y	Y	Y	Y ²⁾			
R-48	5.4.8	Valid values for Quality Score are integers between 0 and 100, where higher values indicate better quality.	1	M	Y	Y	Y	Y	Y			
R-49	5.4.8	A value of 255 is to handle a special case.	1	M	Y	Y	Y	Y	N			
R-50	5.4.8	An entry of 255 shall indicate a failed attempt to calculate a quality score.	3C	O-1	Y	Y	Y	Y	N			
R-51	5.4.9	Quality Algorithm Vendor Identifier To enable the recipient of the quality score to differentiate between quality scores generated by different algorithms, the provider of quality scores shall be uniquely identified by this two-byte field.	1	M	Y	Y	Y	Y	Y ²⁾			
R-52	5.4.9	This is registered with the IBIA or other approved registration authority.	3C	O-1	Y	Y	Y	Y	Y			
R-53	5.4.10	Quality Algorithm Identifier The (2 byte) Quality Algorithm Identifier specifies an integer product code assigned by the vendor of the quality algorithm.	1	M	Y	Y	Y	Y	Y ²⁾			
R-54	5.4.10	It indicates which of the vendor's algorithms (and version) was used in the calculation of the quality score and should be within the range 1 to 65 535.	1	M	Y	Y	Y	Y	Y			

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results										
					B	F	T	P														
R-55	5.4.10	Table A.2 summarizes the quality field. All values are fixed-length unsigned integer quantities represented in Big-Endian format.	1, 3C	M	Y	Y	Y	Y	N ²⁾													
R-56	5.5.1	The Facial Information Block Structure The Facial Information Block consists of the Number of Landmark Points, the Gender, the Eye Colour, the Hair Colour, the Subject Height, the Property Mask, the Expression Mask, the Pose Angle, and the Pose Angle Uncertainty fields.	3C	O-1	Y	Y	Y	Y	N													
R-57	5.5.2	Number of Landmark Points The (2 byte) Number of Landmark Points field shall be the number of Landmark Point blocks that follow the Facial Information Block.	1, 2	M	Y	Y	Y	Y	N													
R-58	5.5.3	Gender The (1 byte) Gender field shall represent the gender of the subject according to the following table.	1, 3C	M	Y	Y	Y	Y	Y ¹⁾³⁾													
		<table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Unspecified</td> <td>00_{HEX}</td> </tr> <tr> <td>Male</td> <td>01_{HEX}</td> </tr> <tr> <td>Female</td> <td>02_{HEX}</td> </tr> <tr> <td>Unknown</td> <td>FF_{HEX}</td> </tr> </tbody> </table>	Description	Value	Unspecified	00 _{HEX}	Male	01 _{HEX}	Female	02 _{HEX}	Unknown	FF _{HEX}										
Description	Value																					
Unspecified	00 _{HEX}																					
Male	01 _{HEX}																					
Female	02 _{HEX}																					
Unknown	FF _{HEX}																					

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results																					
					B	F	T	P																									
R-59	5.5.4	<p>Eye Colour The (1 byte) Eye Colour field shall represent the colour of irises of the eyes according to the following table.</p> <table border="1"> <thead> <tr> <th>Descript</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Unspecified</td> <td>00_{HEX}</td> </tr> <tr> <td>Black</td> <td>01_{HEX}</td> </tr> <tr> <td>Blue</td> <td>02_{HEX}</td> </tr> <tr> <td>Brown</td> <td>03_{HEX}</td> </tr> <tr> <td>Gray</td> <td>04_{HEX}</td> </tr> <tr> <td>Green</td> <td>05_{HEX}</td> </tr> <tr> <td>Multi-Coloured</td> <td>06_{HEX}</td> </tr> <tr> <td>Pink</td> <td>07_{HEX}</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>08_{HEX} to FE_{HEX}</td> </tr> <tr> <td>Other or Unknown (e.g. cannot be determined from image, monochrome image)</td> <td>FF_{HEX}</td> </tr> </tbody> </table>	Descript	Value	Unspecified	00 _{HEX}	Black	01 _{HEX}	Blue	02 _{HEX}	Brown	03 _{HEX}	Gray	04 _{HEX}	Green	05 _{HEX}	Multi-Coloured	06 _{HEX}	Pink	07 _{HEX}	Reserved by SC 37 for future use	08 _{HEX} to FE _{HEX}	Other or Unknown (e.g. cannot be determined from image, monochrome image)	FF _{HEX}	1, 3C	M	Y	Y	Y	Y	Y(1)3)		
Descript	Value																																
Unspecified	00 _{HEX}																																
Black	01 _{HEX}																																
Blue	02 _{HEX}																																
Brown	03 _{HEX}																																
Gray	04 _{HEX}																																
Green	05 _{HEX}																																
Multi-Coloured	06 _{HEX}																																
Pink	07 _{HEX}																																
Reserved by SC 37 for future use	08 _{HEX} to FE _{HEX}																																
Other or Unknown (e.g. cannot be determined from image, monochrome image)	FF _{HEX}																																
R-60	5.5.4	If the eyes are different colours, then the right eye colour is to be encoded.	3C	0-1	Y	Y	Y	Y	Y																								

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results		
					B	F	T	P						
R-61	5.5.5	Hair Colour The (1 byte) Hair Colour field shall represent the colour of the hair according to the following table.	1, 3C	M	Y	Y	Y	Y	Y ⁽¹⁾³⁾					
													Description	Value
													Unspecified	00 _{HEX}
													Bald	01 _{HEX}
													Black	02 _{HEX}
													Blonde	03 _{HEX}
													Brown	04 _{HEX}
													Gray	05 _{HEX}
													White	06 _{HEX}
													Red	07 _{HEX}
													Reserved by SC 37 for future use	08 _{HEX} to FE _{HEX}
													Unknown or Other	FF _{HEX}
													R-62	5.5.6
Description	Value													
Unspecified	00 _{HEX}													
Subject Height in cm	01 _{HEX} to FF _{HEX}													
R-63	5.5.7	Property Mask The (3 byte) Property Mask is a bit mask of 3 bytes and each bit of the mask position listed in Table 10 shall be set to 1 if the corresponding property is present, and set to 0 if absent.	1	M	Y	Y	Y	Y	N					

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-64	5.5.7	The mask position starts from 0 at the lowest bit.	1	M	Y	Y	Y	Y	N			
R-65	5.5.7	The lowest bit set to 0 shall indicate that properties are not specified (and all bits shall be zero);	3C	O-1	Y	Y	Y	Y	N			
R-66	5.5.7	The lowest bit set to 1 shall indicate that all listed properties have been considered and that a zero value of any property bit indicates an absence of that property.	1	M	Y	Y	Y	Y	N			
R-67	5.5.7	All reserved bits shall be zero.	1	M	Y	Y	Y	Y	N			
R-68	5.5.7	A "Pupil or iris not visible" flag set to "1" shall indicate non-compliance with the Frontal, Full Frontal, and Token image types.	2	M	Y			Y	N			
R-69	5.5.8	Expression The (2 byte) Expression Mask is a bit mask of 2 bytes and each bit of the mask position listed in Table 11 shall be set to 1 if the corresponding expression is present, and set to 0 if absent.	1, 3C	M	Y	Y	Y	Y	N			
R-70	5.5.8	The mask position starts from 0 at the lowest bit.	1	M	Y	Y	Y	Y	N			
R-71	5.5.8	The lowest bit set to 0 shall indicate that properties are not specified (and all bits shall be zero);	1	M	Y	Y	Y	Y	N			
R-72	5.5.8	The lowest bit set to 1 shall indicate that all listed properties have been considered and that a zero value of any property bit indicates an absence of that expression.	1	M	Y	Y	Y	Y	N			
R-73	5.5.8	All reserved bits shall be zero.	1	M	Y	Y	Y	Y	N			
R-74	5.5.9	Pose Angle The (3 multibyte) Pose Angle field (B _y , B _p , B _r) shall represent the estimate or measure pose of the subject in the image.	3C	O-1	Y	Y	Y	Y	Y ¹⁾			
R-75	5.5.9	Each byte in the field respectively represents pose angles of yaw, pitch and roll in that order.	3C	O-1	Y	Y	Y	Y	Y			
R-76	5.5.9	The angles are defined relative to the frontal pose of the subject, which has angles (0,0,0) as shown in Figure 5. The frontal pose is defined by the Frankfurt Horizon FH (see Annex E) as the xz plane and the vertical symmetry plane as the yz plane with the z axis oriented in the direction of the face sight.	3C	O-1	Y	Y	Y	Y	Y			

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-77	5.5.9	As order of the successive rotation around the different axes does matter, the encoded rotation angle shall correspond to an order of execution starting from the frontal view. This order shall be given by Roll (about the front axis), then Pitch (about the horizontal axis) and finally Yaw (about the vertical axis). The (first executed) Roll transformation will therefore always be in the image (x,y) plane.	3C	0-1	Y	Y	Y	Y	Y			
R-78	5.5.9	From the point of view of executing a transformation from the observed view to a frontal view, the transformation order will therefore be Yaw, Pitch, and then Roll. Note however that the encoded angle is from the frontal view to the observed view.	3C	0-1	Y	Y	Y	Y	Y			
R-79	5.5.9.1	Pose Angle — Yaw The yaw angle Y is the rotation in degrees about the y-axis (vertical axis) shown in Figure 5.	3C	0-1	Y	Y	Y	Y	Y			
R-80	5.5.9.1	Frontal poses have a yaw angle of 0 degrees.	3C	0-1	Y	Y	Y	Y	Y			
R-81	5.5.9.1	Positive angles represent faces looking to their left (a counter-clockwise rotation around the y-axis).	3C	0-1	Y	Y	Y	Y	Y			
R-82	5.5.9.1	The encoded value, B_Y , shall be stored in 1 byte with values 0 to 180 computed from a real-valued yaw angle estimate, $-180 \leq Y < 180$, as follows: If $180 \geq Y \geq 0$ then $B_Y = Y/2+1$. The remainder is discarded. If $-180 \leq Y < 0$ then $B_Y = 181+Y/2$. The remainder is discarded. The maximum value of B_Y is 180. If the pose angle is not specified, the value of B_Y shall be 0.	1	M	Y	Y	Y	Y	Y			
R-83	5.5.9.2	Pose Angle — Pitch The pitch angle P is the rotation in degrees about the x-axis (horizontal axis) shown in Figure 5.	3C	0-1	Y	Y	Y	Y	Y			
R-84	5.5.9.2	Frontal poses have a pitch angle of 0 degrees.	3C	0-1	Y	Y	Y	Y	Y			
R-85	5.5.9.2	Positive angles represent faces looking down (a counter-clockwise rotation around the x-axis).	3C	0-1	Y	Y	Y	Y	Y			

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-86	5.5.9.2	The encoded value, B_p , shall be stored in 1 byte with values 0 to 180 computed from a real-valued pitch angle estimate, $-180 \leq P < 180$, as follows: If $180 \geq P \geq 0$ then $B_p = P/2+1$. The remainder is discarded. If $-180 \leq P < 0$ then $B_p = 181+P/2$. The remainder is discarded. The maximum value of B_p is 180. If the pitch angle is not specified, the value of B_p shall be 0.	1	M	Y	Y	Y	Y	Y			
R-87	5.5.9.3	Pose Angle — Roll The roll angle R is the rotation in degrees about the z-axis (the horizontal axis from front to back) shown in Figure 5. Frontal poses have a roll angle of 0 degrees. Positive angles represent faces tilted toward their right shoulder (counter-clockwise rotation around the z-axis). A roll angle of 0 degrees denotes that the left and right eye centres have identical Y coordinates.	3C	0-1	Y	Y	Y	Y	Y			
R-88	5.5.9.3	Positive angles represent faces tilted toward their right shoulder (counter-clockwise rotation around the z-axis).	3C	0-1	Y	Y	Y	Y	Y			
R-89	5.5.9.3	A roll angle of 0 degrees denotes that the left and right eye centres have identical Y coordinates.	3C	0-1	Y	Y	Y	Y	Y			
R-90	5.5.9.3	The encoded value, B_R , shall be stored in 1 byte with values 0 to 180 computed from a real-valued roll angle estimate, $-180 \leq R < 180$, as follows: If $180 \geq R \geq 0$ then $B_R = R/2+1$. The remainder is discarded. If $-180 \leq R < 0$ then $B_R = 181+R/2$. The remainder is discarded. The maximum value of B_R is 180. If the roll angle is not specified, the value of B_R shall be 0.	1	M	Y	Y	Y	Y	Y			
R-91	5.5.10	Pose Angle Uncertainty The (3 multibyte) Pose Angle Uncertainty (U_Y, U_P, U_R) represents the expected degree of uncertainty of the pose angle yaw, pitch, and roll. Each byte in the field respectively represents the uncertainty of yaw, pitch and roll in that order. The uncertainty is allowed to represent experimental uncertainty specified by each vendor.	3C	0-1	Y	Y	Y	Y	N			

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-92	5.5.10	The encoding of Pose Angle-Uncertainty is given by three bytes (U_Y, U_P, U_R) where each byte U_k in the field ($k=Y,P,R$) represents 1 degree of uncertainty with minimum and maximum values of 1 and 181 where $U_k = (\text{uncertainty} + 1)$.	1	M	Y	Y	Y	Y	Y			
R-93	5.5.10	The more uncertain, the value of the uncertainty U_k shall become larger.	3C	O-1	Y	Y	Y	Y	Y			
R-94	5.5.10	If the uncertainty is not specified, then the values of U_Y, U_P and U_R shall be set to zero (0).	1	M	Y	Y	Y	Y	Y			
R-95	5.6.1	The Landmark Point Block Structure The optional (8 byte) Landmark Point block specifies the type, code and position of a Landmark Point in the facial image.	2	M	Y	Y	Y	Y	Y ¹⁾			
R-96	5.6.1	The number of Landmark Point blocks shall be specified in the Number of Landmark Points field of the Facial Information block.	2	M	Y	Y	Y	Y	N			
R-97	5.6.2	Landmark Point Type The (1 byte) Landmark Point type field represents the type of the Landmark Point stored in the Landmark Point block.	1	M	Y	Y	Y	Y	Y ¹⁾			
R-98	5.6.2	This field shall be set to 01 _{HEX} to denote that landmark point is an MPEG-4 Feature Point as given in ISO/IEC 14496-2:2004, Annex C and is represented by the 2D image coordinates.	1	M	Y	Y	Y	Y	Y ³⁾			
R-99	5.6.2	The field shall be set to 02 _{HEX} to denote that the landmark point is an Anthropometric 2D landmark and is represented by the 2D image coordinates.	1	M	Y	Y	Y	Y	Y ³⁾			
R-100	5.6.2	Finally, the field shall be set to 03 _{HEX} to denote that the Landmark Point is an anthropometric 3D landmark and is represented by its 3D coordinates.	1	M	Y	Y	Y	Y	Y ³⁾			
R-101	5.6.2	All other field values are reserved by SC 37 for future definition of Landmark Point Types.	1	M	Y	Y	Y	Y	Y			
R-102	5.6.3	Landmark Point Code The (1 byte) Landmark Point Code field shall specify the Landmark Point that is stored in the Landmark Point block.	1	M	Y	Y	Y	Y	Y			

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-103	5.6.3	For the Landmark Point Type 01 _{HEX} the codes of the Landmark Points in 5.6.4, taken from ISO/IEC 14496-2:2004, Annex C and defined as MPEG-4 Feature Points, or the additional eye and nostril Landmark Points in 5.6.5 shall be stored in this block.	3C	0-1	Y	Y	Y	Y	Y			
R-104	5.6.3	If the Landmark Point Type is 02 _{HEX} or 03 _{HEX} , i.e. anthropometric 2D landmark or anthropometric 3D landmark, the codes of the Landmark Points defined in 5.6.6 shall be stored in this block.	3C	0-1	Y	Y	Y	Y	Y			
R-105	5.6.3	The horizontal and vertical positions of Landmark Points are either texture image coordinates or in the Cartesian coordinate system (see 5.10.2.2).	3C	0-1	Y	Y	Y	Y	Y			
R-106	5.6.4	MPEG-4 Landmark Points The normative Figure 7 denotes the Landmark Point codes associated with Feature Points as given in ISO/IEC 14496-2:2004, Annex C. Each Landmark Point Code is represented by a notation A.B using a major (A) and a minor (B) value. The encoding of the Landmark Point Code is given by the (1 byte) value of A*16 + B.	3C	0-1	Y	Y	Y	Y	Y			
R-107	5.6.5	Eye and nostril centre Landmark Points The eye centre Landmark Points 12.1 (left) and 12.2 (right) are defined to be the horizontal and vertical midpoints of the eye corners (3.7, 3.11) and (3.8, 3.12) respectively. The left nostril centre Landmark Point 12.3 is defined to be the midpoint of the nose Landmark Points (9.1, 9.15) in the horizontal direction and (9.3, 9.15) in the vertical direction. Similarly, the right nostril centre Landmark Point 12.4 is defined to be the midpoint of the nose Landmark Points (9.2, 9.15) in the horizontal direction and (9.3, 9.15) in the vertical direction. Both the eye centre and nostril centre Landmark Points are shown in Figure 8 and values given in Table 14.	3C	0-1	Y	Y	Y	Y	Y			

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-108	5.6.6	<p>Anthropometric Landmarks Anthropometric landmarks extend the MPEG-4 feature model with new points that are used in forensics and anthropology for person identification via two facial images or image and skull over a long time. They also allow specification of points that are in use by criminal experts and anthropologists^[10]. Figure 9 and Table 15 show the definition of the anthropometric landmarks. The set of points represents the craniofacial landmark points of the head and face. The latter are used in forensics for “Face to face” and “Skull to face” identification. Some of these points have MPEG-4 counterparts, others not.</p> <p>The anthropometric landmark code has the format: A.B. A specifies the global landmark of the face to which this landmark belongs such as nose, mouth, etc. B specifies the particular point. In case a Landmark Point has two symmetrical entities (left and right) the right entity always has a greater and even minor code value.</p> <p>Hence, all Landmark Points from the left part of the face have odd minor codes, and from the right part — even minor codes. Both A and B are in the range from 1 to 15. Hence, the code A*16 + B is written to the 1 byte Landmark Point Code field.</p>	3C	0-1	Y	Y	Y	Y	Y			
R-109	5.6.7	<p>Anthropometric 3D landmark The error of an anthropometric 3D landmark point location should be no greater than 3 mm. The point shall withstand from the nearest point on the surface no further than 3 mm. The point on the surface is a vertex, or a point on an edge, or a point on a face of the surface.</p>	3C	0-1	Y	Y	Y	Y	Y			
R-110	5.6.8	<p>Z coordinate This field is not used if the Landmark Point Type is equal to MPEG-4 feature or anthropometric 2D landmark.</p>	2	M	Y	Y	Y	Y	Y			

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-111	5.6.8	In case the Landmark Point Type equals anthropometric 3D landmark this field along with the horizontal and vertical positions denotes the coordinates of the landmark point in the 3D Cartesian coordinate system. The metric coordinates of 3D landmarks shall be obtained by multiplying the X, Y, and Z coordinates by a fixed scale of 0,02 mm. Note, that the Landmark Point Type field codes the type of the Landmark Point and determines the interpretation of the Z coordinate.	3C	0-1	Y	Y	Y	Y	Y			
R-112	5.7.1	The Image Information Block Data Structure The (11 byte) Image Information block is intended to describe digital properties of the facial image, one is included for each facial image included in the record.	3C	0-1	Y	Y	Y	Y	Y ⁽¹⁾			
R-113	5.7.1	One Representation data block shall follow this block.	3C	0-1	Y	Y	Y	Y	Y			

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Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results																				
					B	F	T	P																								
R-114	5.7.2	<p>Face Image Type</p> <p>The Face Image Type field shall represent the type of the facial image stored in the Image Information block and, if applicable, the 3D Data block according to the following table.</p> <p>Note that all Frontal Image Types are either Full Frontal, Token Frontal, Post-processed Frontal or one of the respective 3D Full Frontal or Token Frontal Image Types.</p> <table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Basic</td> <td>00_{HEX}</td> </tr> <tr> <td>Full Frontal</td> <td>01_{HEX}</td> </tr> <tr> <td>Token Frontal</td> <td>02_{HEX}</td> </tr> <tr> <td>Post-processed Frontal</td> <td>03_{HEX}</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>04_{HEX} to 7F_{HEX}</td> </tr> <tr> <td>Basic 3D</td> <td>80_{HEX}</td> </tr> <tr> <td>Full Frontal 3D</td> <td>81_{HEX}</td> </tr> <tr> <td>Token Frontal 3D</td> <td>82_{HEX}</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>83_{HEX} to FF_{HEX}</td> </tr> </tbody> </table>	Description	Value	Basic	00 _{HEX}	Full Frontal	01 _{HEX}	Token Frontal	02 _{HEX}	Post-processed Frontal	03 _{HEX}	Reserved by SC 37 for future use	04 _{HEX} to 7F _{HEX}	Basic 3D	80 _{HEX}	Full Frontal 3D	81 _{HEX}	Token Frontal 3D	82 _{HEX}	Reserved by SC 37 for future use	83 _{HEX} to FF _{HEX}	1	M	Y	Y	Y	Y	Y ³⁾			
Description	Value																															
Basic	00 _{HEX}																															
Full Frontal	01 _{HEX}																															
Token Frontal	02 _{HEX}																															
Post-processed Frontal	03 _{HEX}																															
Reserved by SC 37 for future use	04 _{HEX} to 7F _{HEX}																															
Basic 3D	80 _{HEX}																															
Full Frontal 3D	81 _{HEX}																															
Token Frontal 3D	82 _{HEX}																															
Reserved by SC 37 for future use	83 _{HEX} to FF _{HEX}																															

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results											
					B	F	T	P															
R-115	5.7.3	<p>Image Data Type</p> <p>The (1 byte) Image Data Type field denotes the encoding type of the Image Data block. Either JPEG (ISO/IEC 10918-1 and ITU-T Rec. T.811[18]) or JPEG2000 (ISO/IEC 15444-1) or PNG (ISO/IEC 15948:2003) shall be specified as given in in the following table.</p> <table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>JPEG</td> <td>00_{HEX}</td> </tr> <tr> <td>JPEG2000 lossy</td> <td>01_{HEX}</td> </tr> <tr> <td>JPEG 2000 lossless</td> <td>02_{HEX}</td> </tr> <tr> <td>PNG</td> <td>03_{HEX}</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>04_{HEX} to FF_{HEX}</td> </tr> </tbody> </table>	Description	Value	JPEG	00 _{HEX}	JPEG2000 lossy	01 _{HEX}	JPEG 2000 lossless	02 _{HEX}	PNG	03 _{HEX}	Reserved by SC 37 for future use	04 _{HEX} to FF _{HEX}	1	O-1	Y	Y	Y	Y	Y ⁽¹⁾³⁾		
Description	Value																						
JPEG	00 _{HEX}																						
JPEG2000 lossy	01 _{HEX}																						
JPEG 2000 lossless	02 _{HEX}																						
PNG	03 _{HEX}																						
Reserved by SC 37 for future use	04 _{HEX} to FF _{HEX}																						
R-116	5.7.3	For lossless compression PNG or JPEG2000 lossless shall be used.	3C	O-1	Y	Y	Y	Y	Y ³⁾														
R-117	5.7.3	For lossless representation of images using more than 8 bits per channel PNG or JPEG2000 lossless shall be used.	3C	O-1	Y	Y	Y	Y	Y ³⁾														
R-118	5.7.3	For lossy representation of images using more than 8 bits per channel JPEG2000 shall be used.	3C	O-1	Y	Y	Y	Y	Y														
R-119	5.7.3	See the following table for the list of possible image data type values.	1	M	Y	Y	Y	Y	Y ³⁾														
		<table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>JPEG</td> <td>00_{HEX}</td> </tr> <tr> <td>JPEG2000 lossy</td> <td>01_{HEX}</td> </tr> <tr> <td>JPEG 2000 lossless</td> <td>02_{HEX}</td> </tr> <tr> <td>PNG</td> <td>03_{HEX}</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>04_{HEX} to FF_{HEX}</td> </tr> </tbody> </table>	Description	Value	JPEG	00 _{HEX}	JPEG2000 lossy	01 _{HEX}	JPEG 2000 lossless	02 _{HEX}	PNG	03 _{HEX}	Reserved by SC 37 for future use	04 _{HEX} to FF _{HEX}									
Description	Value																						
JPEG	00 _{HEX}																						
JPEG2000 lossy	01 _{HEX}																						
JPEG 2000 lossless	02 _{HEX}																						
PNG	03 _{HEX}																						
Reserved by SC 37 for future use	04 _{HEX} to FF _{HEX}																						

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-120	5.7.4	Width The (2 byte) Width field shall specify the number of pixels in the horizontal direction.	1, 2	M	Y	Y	Y	Y	Y			
R-121	5.7.5	Height The (2 byte) Height field shall specify the number of pixels in the vertical direction.	1, 2	M	Y	Y	Y	Y	Y			
R-122	5.7.6	Spatial Sampling Rate Level For specific application domains different minimal spatial sampling rates of the interchange data may be required. For example using higher spatial sampling rate images allow for specific human as well as machine inspection methods that depend on the analysis of very small details. The (1 byte) Spatial Sampling Rate Level field (see following table) provides information on the number of pixels in the image across the width of the head. (The Width of Head (CC) is defined in Figure 14) NOTE Intercocular distance in pixels will be approximately half of the head widths.	1	M	Y	Y	Y	Y	Y(1)3)			

Width of Head, CC	Spatial Sampling Rate Level
CC ≤ 180	00 _{HEX}
180 < CC ≤ 240	01 _{HEX}
240 < CC ≤ 300	02 _{HEX}
300 < CC ≤ 370	03 _{HEX}
370 < CC ≤ 480	04 _{HEX}
480 < CC ≤ 610	05 _{HEX}
610 < CC ≤ 750	06 _{HEX}
750 < CC	07 _{HEX}
Reserved by SC 37 for future use	08 _{HEX} to FF _{HEX}

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-123	5.7.7	<p>Post-acquisition Processing</p> <p>While the alteration of face image data is discouraged, there are cases when no alternative may exist.</p> <ul style="list-style-type: none"> — Legacy database of frontal face images shall be rotated to full frontal prior to biometric comparison. — From a frontal image artificial non-frontal facial images at predetermined non-frontal poses are automatically generated (multi-view images) using an implicit head model or similar. These images can be beneficial during the comparison process or a manual review process as they show a more similar pose than the original frontal image. — A single image is to be age progressed and used for verification of a passport holder. — A short video stream is super-resolved to a single face image for comparison against a watchlist. 	3C	O-1	Y	Y	Y	Y	Y			
R-124	5.7.7	<p>The (2 byte) Post-acquisition Processing bit field allows the specification of the kind of post processing that has been applied to the original captured image.</p>	1	M	Y	Y	Y	N				

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary		Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results			
						B	F	T	P							
R-125	5.7.7	Each bit of the mask position listed in the following table shall be set to 1 if the corresponding processing has been applied, and set to 0 if not.		1	M	Y	Y	Y	Y	Y ³⁾						
														Post-acquisition Processing	Mask Position	Use of post-processed image type mandatory
														Rotated (in-plane)	0	No
														Cropped	1	No
														Downsampled	2	No
														White balance adjusted	3	No
														Multiply compressed	4	Yes
														Interpolated (upsample)	5	Yes
														Contrast stretched	6	Yes
														Pose corrected	7	Yes
														Multi View Image	8	Yes
														Age progressed	9	Yes
														Super-resolution processed	10	Yes
														Reserved by SC 37 for future use	11 to 15	
R-126	5.7.7	The mask position starts from 0 at the lowest bit.		1	M	Y	Y	Y	N							
R-127	5.7.7	All bits set to zero indicate that no post-acquisition processing has been applied at all.		1, 3C	M	Y	Y	Y	N							
R-128	5.7.7	All reserved bits shall be zero.		1	M	Y	Y	Y	N							

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-129	5.7.7	On the one hand a captured image typically needs some post-processing so that the resulting representation conforms to the clauses of this part of ISO/IEC 19794, especially for the frontal image type. On the other hand these processing steps should be minimal and not distort the characteristics of the original image. The right column in Table 19 clearly states, what post-acquisition processes can be applied without having to store the resulting representation in the post-processed image type as defined in Clause 10.	2	M	Y			Y	Y			
R-130	5.7.8	Cross Reference The (1 byte) Cross Reference Data Type field denotes inter-dependencies when multiple representations are stored in the interchange record. This is of particular interest in the case post-processing has been used (see Clause 10).	1	M				Y ⁽¹⁾				
R-131	5.7.8	Then representations that are of type Post-processed shall code the ordinal number of the representation that they have been derived from, in the Cross Reference Field. Example: There are four representations in the overall record. The second representation has been postprocessed and resulted in the fourth representation. Then, the fourth representation shall have Cross Reference set to 2, all other Records shall have set Cross Reference to 0.	2	M				Y				
R-132	5.7.8	The first representation of the interchange format has the code 01 _{HEX} .	1	M				Y	N			

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results																				
					B	F	T	P																								
R-133	5.7.9	<p>Image Colour Space</p> <p>The (1 byte) Image Colour Space field indicates the colour space used in the encoded Image Information block according to the values in the following table. The values of 80_{HEX}-FF_{HEX} are vendor specific. Application developers may obtain the values for these codes from the vendor.</p> <table border="1"> <thead> <tr> <th>Colour Space</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Unspecified</td> <td>00_{HEX}</td> </tr> <tr> <td>24 bit RGB</td> <td>01_{HEX}</td> </tr> <tr> <td>YUV422</td> <td>02_{HEX}</td> </tr> <tr> <td>8 bit greyscale</td> <td>03_{HEX}</td> </tr> <tr> <td>48 bit RGB</td> <td>04_{HEX}</td> </tr> <tr> <td>16 bit Greyscale</td> <td>05_{HEX}</td> </tr> <tr> <td>Other</td> <td>06_{HEX}</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>07_{HEX} to 7F_{HEX}</td> </tr> <tr> <td>Vendor specific</td> <td>80_{HEX} to FF_{HEX}</td> </tr> </tbody> </table>	Colour Space	Value	Unspecified	00 _{HEX}	24 bit RGB	01 _{HEX}	YUV422	02 _{HEX}	8 bit greyscale	03 _{HEX}	48 bit RGB	04 _{HEX}	16 bit Greyscale	05 _{HEX}	Other	06 _{HEX}	Reserved by SC 37 for future use	07 _{HEX} to 7F _{HEX}	Vendor specific	80 _{HEX} to FF _{HEX}	2	M	Y	Y	Y	Y	Y ¹⁾³⁾			
Colour Space	Value																															
Unspecified	00 _{HEX}																															
24 bit RGB	01 _{HEX}																															
YUV422	02 _{HEX}																															
8 bit greyscale	03 _{HEX}																															
48 bit RGB	04 _{HEX}																															
16 bit Greyscale	05 _{HEX}																															
Other	06 _{HEX}																															
Reserved by SC 37 for future use	07 _{HEX} to 7F _{HEX}																															
Vendor specific	80 _{HEX} to FF _{HEX}																															
R-134	5.8	<p>The Representation Data block</p> <p>The Representation Data consists of the Image Data Block, the 3D Information Block and the 3D data Block.</p>	3C	0-1	Y	Y	Y	Y	N																							
R-135	5.9.1	<p>The Image Data Block Data structure</p> <p>The (variable byte) Image Data block shall consist of two fields as shown in Table A.3.</p>	3C	0-1	Y	Y	Y	Y	N																							
R-136	5.9.2	<p>Image Data Length</p> <p>This four byte field shall indicate the length of the image data in bytes.</p>	1, 2	M	Y	Y	Y	Y	N																							

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-137	5.9.3	<p>Image data</p> <p>This variable length field shall contain the image data encoded by the JPEG or JPEG2000 or PNG standards</p>	2	M	Y	Y	Y	Y	Y			
R-138	5.10	<p>The 3D Information Block</p> <p>The 3D Information block consists of the following fields and sub-blocks:</p> <p>The Length of 3D Data Representation, the Coordinate System Type, the Texture Projection Matrix, Scale, Offset, the 3D Representation Type, the 3D Supplemental Data, a field reserved for future use, the 3D Capture Device Technology Identifier, the 3D Capture Device Vendor Identifier, the 3D Capture Device Type Identifier, the 3D to 2D Image Temporal Synchronicity, the 3D to 2D-Texture Temporal Synchronicity, the 3D Acquisition Time, the 2D-Texture Acquisition Time, the Texture Map Type and finally the Texture Map Spectrum.</p>	3C	O-1	Y	Y	Y	N				
R-139	5.10.1	<p>Length of 3D Data Representation</p> <p>This (4 byte) field codes the length of the 3D Information and 3D Data block including the optional fields and blocks, if they are present.</p>	1, 2	M	Y	Y	Y	N				
R-140	5.10.2.1	<p>Coordinate System Type</p> <p>General</p> <p>All representations support a Cartesian coordinate system.</p> <p>The range data representation additionally supports a cylindrical coordinate system.</p>	3C	O-1	Y	Y	Y	Y				

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results							
					B	F	T	P											
R-141	5.10.2.1	<p>The (1 byte) Coordinate System Type field specifies the coordinate system of the 3D data by using the following values.</p> <table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Cartesian coordinate system</td> <td>00_{HEX}</td> </tr> <tr> <td>Cylindrical coordinate system</td> <td>01_{HEX}</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>02_{HEX} to FF_{HEX}</td> </tr> </tbody> </table>	Description	Value	Cartesian coordinate system	00 _{HEX}	Cylindrical coordinate system	01 _{HEX}	Reserved by SC 37 for future use	02 _{HEX} to FF _{HEX}	1	M	Y	Y	Y	N			
			Description	Value															
			Cartesian coordinate system	00 _{HEX}															
			Cylindrical coordinate system	01 _{HEX}															
Reserved by SC 37 for future use	02 _{HEX} to FF _{HEX}																		
R-142	5.10.2.2	<p>In the Cartesian coordinate system the point of origin of the sensor data typically is used as the point of origin of the coordinate system.</p> <p>The transformation from Cartesian coordinates to metric Cartesian coordinates is derived as follows:</p> $X = x \times ScaleX + OffsetX$ $Y = y \times ScaleY + OffsetY$ $Z = z \times ScaleZ + OffsetZ$	3C	0-1	Y	Y	Y	Y											
R-143	5.10.2.2	<p>A strong relation between anthropometric landmarks and the coordinate system is still established by</p> <ul style="list-style-type: none"> — the anatomical alignment requirements of the corresponding 2D image, and — the alignment between the 3D range data and the corresponding 2D image after applying the Texture Projection Matrix. <p>The Cylindrical Coordinate System</p> <p>A point in the Cylindrical Coordinate System is given by (α, h, r).</p> <p>The angle α and the h-axis are defined in a way that they form a clockwise coordinate system.</p>	3C	0-1	Y	Y	Y	Y											
R-144	5.10.2.3		3C	0-1	Y	Y	Y	Y											
R-145	5.10.2.3		3C	0-1	Y	Y	Y	Y											

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-146	5.10.2.3	The transformation from cylindrical coordinates to metric Cartesian coordinates is derived as follows: $X = r \times ScaleZ \times \sin(\alpha \times ScaleX) + OffsetX;$ $Y = h \times ScaleY + OffsetY;$ $Z = r \times ScaleZ \times \cos(\alpha \times ScaleX) + OffsetZ$	3C	0-1	Y	Y	Y	Y	Y			
R-147	5.10.2.3	$ScaleX, ScaleY, ScaleZ, OffsetX, OffsetY$ and $OffsetZ$ are the necessary constants for the transformation.	3C	0-1	Y	Y	Y	Y	Y			
R-148	5.10.2.3	$ScaleX$ has the physical unit of rad (degree radian). $ScaleY, ScaleZ, OffsetX, OffsetY$ and $OffsetZ$ are given in the physical unit mm (millimetre).	3C	0-1	Y	Y	Y	Y	Y			
R-149	5.10.2.3	Typically, the point of origin of the sensor data is used as the point of origin of the cylindrical coordinate system.	3C	0-1	Y	Y	Y	Y	Y			
R-150	5.10.2.3	A strong relation between anthropometric landmarks and the coordinate system is still established by — the anatomical alignment requirements of the corresponding 2D image, and — the alignment between the 3D data and the corresponding 2D image after applying the Texture Projection Matrix.	3C	0-1	Y	Y	Y	Y	Y			
R-151	5.10.3	Texture Projection Matrix The Texture Projection Matrix P (3 × 4 float, 48 bytes) is required to map the 3D data onto the 2D texture image of the Image Data block.	1	M	Y	Y	Y	Y	Y			
R-152	5.10.3	The matrix shall be stored row by row starting from the left top.	3C	0-1	Y	Y	Y	Y	Y			
R-153	5.10.3	One can project a point in 3D space $[X,Y,Z]^T$ on the texture image of the Image Data block by multiplying the Texture Projection Matrix P with the so called homogeneous 3D coordinates of the 3D point ^[11] . $[x,y,w]^T = P * [X,Y,Z,1]^T$	3C	0-1	Y	Y	Y	Y	Y			
R-154	5.10.3	Homogeneous 3D coordinates are a vector of four values $[X,Y,Z,1]^T$.	3C	0-1	Y	Y	Y	Y	Y			

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-155	5.10.3	Here X, Y, Z are the coordinates of a point in the metric cartesian coordinate system.	3C	0-1	Y	Y	Y	Y				
R-156	5.10.3	The multiplication results in $[x, y, w]^T$, the so called homogeneous 2D coordinates with the auxiliary coordinate w . One obtains the resulting 2D image pixel coordinates of the texture image in the Image Data block by dividing the first two coordinates of the 2D homogeneous coordinates by the respective 3rd auxiliary coordinate w . Hence $[x:w, y:w]$ are the resulting image pixel coordinates of the texture image related to the given 3D point $[X, Y, Z]^T$. Note, that the obtained coordinates are floating point values. In this part of ISO/IEC 19794 there are no rules about how the necessary rounding or interpolation to the integer pixel coordinates has to be done.	3C	0-1	Y	Y	Y	Y				
R-157	5.10.3	In case the cylindrical coordinate system is used one shall transform to the metric Cartesian coordinate system to map the 3D data onto the texture.	3C	0-1	Y	Y	Y	Y				
R-158	5.10.3	If there is overlapping, the texture is mapped to the first 3D point in the line of sight (closest to the observer).	3C	0-1	Y	Y	Y	Y				
R-159	5.10.3	The next two blocks store all necessary data to compute metric depth values from the 3D data.	3C	0-1	Y	Y	Y	Y				
R-160	5.10.4	ScaleX, ScaleY, ScaleZ, OffsetX, OffsetY, OffsetZ As outlined in 5.10.2.2 and 5.10.2.3, <i>ScaleX, ScaleY, ScaleZ, OffsetX, OffsetY</i> and <i>OffsetZ</i> are needed to transform digital coordinates to metric coordinates. This applies to all three 3D representations defined in this part of ISO/IEC 19794. The values are given in the physical unit mm (millimetre).	3C	0-1	Y	Y	Y	Y				
R-161	5.10.4	In the case of Cartesian coordinates <i>ScaleX</i> also has the physical unit mm.	3C	0-1	Y	Y	Y	Y				
R-162	5.10.4	In the case of a cylindrical coordinate system <i>ScaleX</i> has the physical unit of rad (degree radian).	3C	0-1	Y	Y	Y	Y				

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-163	5.10.4	Each factor is represented by a mandatory four byte float value and be coded as defined in the ISO/IEC/IEEE 60559 single precision binary floating-point format, i.e. the 'binary32' format. The values NaN (Not a Number), positive inf (infinity) and negative inf shall not be encoded.	1	M	Y	Y	Y	N				
R-164	5.10.4	Large values of <i>ScaleX</i> , <i>ScaleY</i> or <i>ScaleZ</i> indicate a low spatial sampling rate in the respective dimension. Boundary values of <i>ScaleX</i> , <i>ScaleY</i> and <i>ScaleZ</i> may be strongly restricted for different Face Image Types (see Clauses 11 to 13, and B.7).	3C	O-1	Y	Y	Y	Y				
R-165	5.10.4	<i>ScaleX</i> and <i>ScaleY</i> in a range image represent sampling intervals while the ones in a 3D Point Map do quantization of the 3D space. Also, <i>ScaleZ</i> in either of these representations denotes quantization.	3C	O-1	Y	Y	Y	Y				
R-166	5.10.5	3D Representation Type The (1 byte) 3D Representation Type shall be used to indicate the representation type that codes the 3D data.	3C	O-1	Y	Y	Y	N				
R-167	5.10.5	See the following table for the list of possible <i>sd</i> representation types.	3C	M	Y	Y	Y	N				

Description	Value
Range Image	00 _{HEX}
3D Point Map	01 _{HEX}
Vertex Data	02 _{HEX}
Reserved by SC 37 for future use	03 _{HEX} to FF _{HEX}

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results							
					B	F	T	P											
R-168	5.10.6	<p>3D Supplemental Data</p> <p>The (1 byte) 3D Supplemental Data mask is a bit mask of one byte and each bit of the mask position listed in the following table shall be set to 1 if the corresponding 3D information is present and set to 0 if absent.</p> <table border="1"> <thead> <tr> <th>Description</th> <th>Mask Position</th> </tr> </thead> <tbody> <tr> <td>Error Map or Vertex Error present</td> <td>0</td> </tr> <tr> <td>Texture Map present</td> <td>1</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>2 to 7</td> </tr> </tbody> </table>	Description	Mask Position	Error Map or Vertex Error present	0	Texture Map present	1	Reserved by SC 37 for future use	2 to 7	1	M	Y	Y	Y	N			
Description	Mask Position																		
Error Map or Vertex Error present	0																		
Texture Map present	1																		
Reserved by SC 37 for future use	2 to 7																		
R-169	5.10.6	So, a bit mask of all zeros will indicate, that none of the options are present.	3C	0-1	Y	Y	Y	N											
R-170	5.10.6	The mask position starts from 0 at the lowest significant bit.	1	M	Y	Y	Y	N											
R-171	5.10.6	The mask indicates if an Error Map/Vertex Error and/or a Texture Map is attached to the data.	3C	0-1	Y	Y	Y	N											
R-172	5.10.6	All reserved bits shall be zero.	1	M	Y	Y	Y	N											
R-173	5.10.7	<p>3D Capture Device Technology Identifier</p> <p>In analogy to the Capture Device Technology Identifier field in the 2D Image Information block, where the source of the 2D data can be coded, the (1 byte) 3D Capture Device Technology Identifier field should be used to indicate the type of the source that was used to acquire the 3D data.</p>	3C	0-1	Y	Y	Y	N											
R-174	5.10.7	Additionally, the most significant bit (MSB) indicates if the scanning technology is active or passive for each source type.	3C	0-1	Y	Y	Y	N											

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary		Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results	
		Description	Value (passive technology)			Value (active technology)	B	F	T					P
R-175	5.10.7	See the following table for the list of possible 3D Capture Device Technology Identifiers.		1	M	Y	Y	Y	Y	Y ³⁾				
		Description	Value (passive technology)											Value (active technology)
		Unspecified	00 _{HEX}											00 _{HEX}
		stereoscopic scanner	81 _{HEX}											01 _{HEX}
		moving (monochromatic) laser line	Not available											02 _{HEX}
		structured light	Not available											03 _{HEX}
		colour coded light	Not available											04 _{HEX}
		ToF (Time of Flight)	Not available											05 _{HEX}
		Shape from Shading	86 _{HEX}											06 _{HEX}
		Reserved by SC 37 for future use	87 _{HEX} to FF _{HEX}											07 _{HEX} to 80 _{HEX}
R-176	5.10.8	3D Capture Device Vendor Identifier The (2 byte) 3D Capture Device Vendor Identifier shall identify the biometric organisation that owns the product that created the BDIR.		1	M	Y	Y	Y	Y ¹⁾					
R-177	5.10.8	The 3D capture device algorithm vendor identifier shall be encoded in two bytes carrying a CBEFF biometric organization identifier (registered by IBIA or other approved registration authority).		3C	0-1	Y	Y	Y	N					
R-178	5.10.8	A value of all zeros shall indicate that the 3D capture device vendor is unreported.		1, 3C	M	Y	Y	Y	N					
R-179	5.10.9	3D Capture Device Type Identifier The (2 byte) 3D Capture Device Type Identifier field denotes the vendor specific capture device type Identifier.		1	M	Y	Y	Y	Y ¹⁾					

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results					
					B	F	T	P									
R-180	5.10.9	A value of all zeros will be acceptable and will indicate that the 3D Capture Device Type Identifier is unspecified.	1	M	Y	Y	Y	N									
R-181	5.10.9	Application developers may obtain the values for these codes from the vendor.	3C	0-1	Y	Y	Y	Y									
R-182	5.10.10	3D to 2D Image Temporal Synchronicity The mandatory (2 byte) 3D to 2D Image Temporal Synchronicity shall be used to indicate the temporal relation between the 3D data and the 2D image of the Image Data block.	3C	0-1	Y	Y	Y	Y									
R-183	5.10.10	It does not reference to the optional Texture Map of the 3D Data block.	3C	0-1	Y	Y	Y	Y									
R-184	5.10.10	The value indicates the temporal difference between the start of the 2D and the start of the 3D acquisition process in milliseconds (ms).	3C	0-1	Y	Y	Y	Y									
R-185	5.10.10	The field allows the coding of positive as well as negative differences.	3C	0-1	Y	Y	Y	Y									
R-186	5.10.10	Here, a negative time difference denotes that the 3D acquisition started before the 2D acquisition.	3C	0-1	Y	Y	Y	Y									
R-187	5.10.10	The time difference in milliseconds (ms) is coded in the two's complement system.	3C	0-1	Y	Y	Y	Y									
R-188	5.10.10	See the following table for the list of possible 3D to 2D synchronicity values.	1	M	Y	Y	Y	Y ³⁾									
		<table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Temporal difference between the start of the 2D and the 3D acquisition process in milliseconds (ms) in two's complement coding.</td> <td>0000_{HEX} to 7FFF_{HEX} 8001_{HEX} to FFFF_{HEX}</td> </tr> <tr> <td>Unspecified</td> <td>8000_{HEX}</td> </tr> </tbody> </table>	Description	Value	Temporal difference between the start of the 2D and the 3D acquisition process in milliseconds (ms) in two's complement coding.	0000 _{HEX} to 7FFF _{HEX} 8001 _{HEX} to FFFF _{HEX}	Unspecified	8000 _{HEX}									
Description	Value																
Temporal difference between the start of the 2D and the 3D acquisition process in milliseconds (ms) in two's complement coding.	0000 _{HEX} to 7FFF _{HEX} 8001 _{HEX} to FFFF _{HEX}																
Unspecified	8000 _{HEX}																

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results					
					B	F	T	P									
R-189	5.10.11	<p>3D to 2D Texture Temporal Synchronicity</p> <p>The mandatory (2 byte) 3D to 2D Texture Temporal Synchronicity shall be used to indicate the temporal relation between the 3D data and the 2D textural data of the optional 2D Texture Map of the 3D Data block.</p>	3C	0-1	Y	Y	Y	Y	Y								
R-190	5.10.11	<p>The value indicates the temporal difference between the start of the Texture Map acquisition and the start of the 3D acquisition process in milliseconds (ms). NOTE It does not refer to the synchronicity between the acquisition of the 2D image in the Image Data block and the 3D data.</p>	3C	0-1	Y	Y	Y	Y	Y								
R-191	5.10.11	<p>The field allows the coding of positive as well as negative differences. Here a negative time difference denotes that the 3D acquisition started before the 2D acquisition.</p>	3C	0-1	Y	Y	Y	Y	Y								
R-192	5.10.11	<p>The time difference in milliseconds (ms) is coded in the two's complement system.</p>	3C	0-1	Y	Y	Y	Y	Y								
R-193	5.10.11	<p>See the following table for the list of possible 3D to 2D Texture Temporal Synchronicity values.</p> <table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Temporal difference between the start of the optional 2D Texture Map and the 3D acquisition process in milliseconds (ms) in two's complement coding.</td> <td>0000_{HEX} to 7FFF_{HEX} 8001_{HEX} to FFFF_{HEX}</td> </tr> <tr> <td>Unspecified</td> <td>8000_{HEX}</td> </tr> </tbody> </table>	Description	Value	Temporal difference between the start of the optional 2D Texture Map and the 3D acquisition process in milliseconds (ms) in two's complement coding.	0000 _{HEX} to 7FFF _{HEX} 8001 _{HEX} to FFFF _{HEX}	Unspecified	8000 _{HEX}	1	M	Y	Y	Y	Y	Y ³⁾		
Description	Value																
Temporal difference between the start of the optional 2D Texture Map and the 3D acquisition process in milliseconds (ms) in two's complement coding.	0000 _{HEX} to 7FFF _{HEX} 8001 _{HEX} to FFFF _{HEX}																
Unspecified	8000 _{HEX}																
R-194	5.10.12	<p>3D Acquisition Time</p> <p>Different 3D scanning techniques strongly vary in their acquisition time and this time may directly influence the quality of the data (if the subject moves during acquisition). Therefore, the (2 byte) 3D Acquisition Time field is used to code the time span between the start of the 3D acquisition process and the end of the 3D acquisition process in ms (milliseconds).</p>	3C	0-1	Y	Y	Y	Y	Y								

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results					
					B	F	T	P									
R-195	5.10.12	<p>See the following table for the list of possible 3D Acquisition Time values.</p> <table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Duration of the 3D acquisition process in milliseconds (ms)</td> <td>0000_{HEX} to FFFE_{HEX}</td> </tr> <tr> <td>Unspecified</td> <td>FFFF_{HEX}</td> </tr> </tbody> </table>	Description	Value	Duration of the 3D acquisition process in milliseconds (ms)	0000 _{HEX} to FFFE _{HEX}	Unspecified	FFFF _{HEX}	1	M	Y	Y	Y	Y ³⁾			
Description	Value																
Duration of the 3D acquisition process in milliseconds (ms)	0000 _{HEX} to FFFE _{HEX}																
Unspecified	FFFF _{HEX}																
R-196	5.10.13	<p>2D Texture Acquisition Time The optional 2D Texture Map of the 3D record may or may not be simultaneously acquired with the 3D data. Therefore, the (2 byte) 2D Texture Acquisition Time field is used to code the time span between the start of the 2D acquisition process and the end of the 2D acquisition process of the optional Texture Map in ms (milliseconds).</p>	3C	O-1	Y	Y	Y	Y									
R-197	5.10.13	<p>See the following table for the list of possible 2D Texture Acquisition values.</p> <table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Duration of the 2D acquisition process in milliseconds (ms)</td> <td>0000_{HEX} to FFFE_{HEX}</td> </tr> <tr> <td>Unspecified</td> <td>FFFF_{HEX}</td> </tr> </tbody> </table>	Description	Value	Duration of the 2D acquisition process in milliseconds (ms)	0000 _{HEX} to FFFE _{HEX}	Unspecified	FFFF _{HEX}	1	M	Y	Y	Y	Y ³⁾			
Description	Value																
Duration of the 2D acquisition process in milliseconds (ms)	0000 _{HEX} to FFFE _{HEX}																
Unspecified	FFFF _{HEX}																
R-198	5.10.14	<p>Texture Map Type The (1 byte) Texture Map Type field denotes the encoding type of the Texture Map block.</p>	3C	O-1	Y	Y	Y	N									
R-199	5.10.14	<p>If the 3D Supplemental Data field specifies that there is a Texture Map in the record, either JPEG (ISO/IEC 10918-1 and ITU-T Rec. T.81) or JPEG2000 (ISO/IEC 15444-1) or PNG (ISO/IEC 15948:2004) shall be specified.</p>	2	M	Y	Y	Y	Y									

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results												
					B	F	T	P																
R-200	5.10.14	For JPEG, the data shall be formatted in accordance with the JPEG File Interchange Format Version 1.02 (JFIF).	3C	O-1	Y	Y	Y	Y	Y															
R-201	5.10.14	If the 3D Supplemental Data field specifies that there is no Texture Map in the record the Texture Map Type shall be "Unspecified".	2	M	Y	Y	Y	N																
R-202	5.10.14	See the following table for the list of possible Texture Map Type values.	1	M	Y	Y	Y	Y ³⁾																
		<table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Unspecified</td> <td>00_{HEX}</td> </tr> <tr> <td>JPEG</td> <td>01_{HEX}</td> </tr> <tr> <td>JPEG2000</td> <td>02_{HEX}</td> </tr> <tr> <td>PNG</td> <td>03_{HEX}</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>04_{HEX} to 0F_{HEX}</td> </tr> </tbody> </table>	Description	Value	Unspecified	00 _{HEX}	JPEG	01 _{HEX}	JPEG2000	02 _{HEX}	PNG	03 _{HEX}	Reserved by SC 37 for future use	04 _{HEX} to 0F _{HEX}										
Description	Value																							
Unspecified	00 _{HEX}																							
JPEG	01 _{HEX}																							
JPEG2000	02 _{HEX}																							
PNG	03 _{HEX}																							
Reserved by SC 37 for future use	04 _{HEX} to 0F _{HEX}																							
R-203	5.10.15	<p>Texture Map Spectrum</p> <p>The (1 byte) Texture Map Spectrum field denotes the kind of spectrum that has been used for acquiring the Texture Map specified in 5.11.9.</p> <p>Whereas the 2D face image always uses the spectrum of the visible light, this can be different for the acquisition of the Texture Map.</p>	3C	O-1	Y	Y	Y	N																
R-204	5.10.15	If the 3D Supplemental Data field specifies that there is a Texture Map in the record, the Texture Map Spectrum field shall not be unspecified.	2	M	Y	Y	Y	Y	Y															
R-205	5.10.15	If the 3D Supplemental Data field specifies that there is no Texture Map in the record, the Texture Map Spectrum field shall be unspecified.	2	M	Y	Y	Y	N																

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results	
					B	F	T	P					
R-206	5.10.15	See the following table for the list of possible Texture Map Spectrum values.	1	M	Y	Y	Y	Y	Y ³⁾				
												Description	Value
												Unspecified	00 _{HEX}
												Visible (380nm - 780nm)	01 _{HEX}
												Very-near infrared (photographic) (780nm-1000nm)	02 _{HEX}
												Short wave infrared (1000nm - 1400nm)	03 _{HEX}
												Other	04 _{HEX}
												Reserved by SC 37 for future use	05 _{HEX} to FF _{HEX}
The 3D Data Block													
R-207	5.11.1	Data Structure The 3D Data block contains the representation of the 3D data.	3C	0-1	Y	Y	Y	Y	Y				
R-208	5.11.1	There are three alternatives to store 3D data: a Range Image, 3D Point Map, or using the Vertex representation. Optionally, additional information can be stored in the Error Map and Vertex Error, respectively, and in the Texture Map.	3C	0-1	Y	Y	Y	Y	Y				
R-209	5.11.1	The 3D Representation Type field (see 5.10.5) is used to define the format of the 3D data representation that has been used in the actual record.	3C	0-1	Y	Y	Y	Y	N				
R-210	5.11.2	Range Image Bit Depth The (1 byte) Range Image Bit Depth field denotes the bit depth of the Range Image.	3C	0-1	Y	Y	Y	Y	Y				
R-211	5.11.2	This field is given for the sake of easier record parsing, as the bit depth can also be derived from the PNG record header.	2	M	Y	Y	Y	Y	Y				

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results							
					B	F	T	P											
R-212	5.11.2	See the following table for the list of possible Range Image Bit Depth values.	1	M	Y	Y	Y	Y	Y ³⁾										
		<table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>8 bit</td> <td>00_{HEX}</td> </tr> <tr> <td>16 bit</td> <td>01_{HEX}</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>02_{HEX} to FF_{HEX}</td> </tr> </tbody> </table>										Description	Value	8 bit	00 _{HEX}	16 bit	01 _{HEX}	Reserved by SC 37 for future use	02 _{HEX} to FF _{HEX}
		Description										Value							
		8 bit										00 _{HEX}							
16 bit	01 _{HEX}																		
Reserved by SC 37 for future use	02 _{HEX} to FF _{HEX}																		
R-213	5.11.3	Range Image The Range Image is a representation of the range data in a two dimensional form.	3C	0-1	Y	Y	Y	Y	Y										
R-214	5.11.3	The Range Image shall be stored in the PNG format (ISO/IEC 15948:2004).	2	M	Y	Y	Y	Y	Y										
R-215	5.11.3	The bit rate of the PNG code is written in the PNG header, but shall also be given in the Range Image Bit Depth field (see 5.11.2). Hence whether the 8- or 16-bit depth coding is used shall be defined from the PNG record header.	2	M	Y	Y	Y	Y	Y										
R-216	5.11.3	The uncompressed data has the dimension Range Image Height × Range Image Width. These dimensions are encoded in the PNG header.	3C	0-1	Y	Y	Y	Y	Y										
R-217	5.11.3	Pixel of value FF _{HEX} for 8-bit PNG coding and FFFF _{HEX} for 16-bit PNG coding shall indicate non-valid range data.	3C	0-1	Y	Y	Y	Y	Y										
R-218	5.11.4	3D Point Map Width and Height These two fields define the width and height of the 3D Point Map where the 3D data is stored.	3C	0-1	Y	Y	Y	Y	Y										
R-219	5.11.4	Both fields are 2 byte values ranging from 0 to 65 535.	1	M	Y	Y	Y	Y	Y										
R-220	5.11.5	3D Point Map The 3D Point Map allows storing of raw 3D scanner data.	3C	0-1	Y	Y	Y	Y	Y										

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability			XML support	IUT support	Supported range	Test results
					B	F	T				
R-221	5.11.5	The organization of this block is as follows. It consists of a three channel lossless compressed image in the PNG format with 16 bits per channel. The first channel represents the X, the second the Y, and the third the Z values.	1	M	Y	Y	Y	Y			
R-222	5.11.5	A pixel value of (X,Y,Z) = (FFFF _{HEX} , FFFF _{HEX} , FFFF _{HEX}) shall be used to indicate a non-valid 3D point.	3C	O-1	Y	Y	Y	Y			
R-223	5.11.5	The coordinates are given in an arbitrary cartesian coordinate system.	3C	O-1	Y	Y	Y	Y			
R-224	5.11.5	Connectivity is not explicitly encoded.	3C	O-1	Y	Y	Y	Y			
R-225	5.11.5	For valid points neighbouring pixel positions representing positions on the face surface.	3C	O-1	Y	Y	Y	Y			
R-226	5.11.6	Vertex Data The variable length Vertex Data block contains the Vertex Coordinates block, the optional Vertex Normals block, the optional Vertex Errors block, and the optional Vertex Textures block.	3C	O-1	Y	Y	Y	Y			
R-227	5.11.6	Each of these blocks contains a list of vertex descriptions.	3C	O-1	Y	Y	Y	Y			
R-228	5.11.6	The number of the vertex descriptions is given by the (2 byte) Vertex Count field.	1	M	Y	Y	Y	N			
R-229	5.11.6	The location of each vertex is represented by its X coordinate, Y coordinate, and Z coordinate as specified in the (2 byte) Vertex X Coordinate, Vertex Y Coordinate and Vertex Z Coordinate fields, respectively.	1	M	Y	Y	Y	Y			
R-230	5.11.6	The values code the location with a fixed precision as specified in 11.3.2.	3C	O-1	Y	Y	Y	Y			
R-231	5.11.6	If the Normal Flag is equal to 01 _{HEX} the corresponding normal vector to each vertex shall be specified in the (2 byte) Normal X, Normal Y and Normal Z Coordinate fields, respectively.	2	M	Y	Y	Y	Y			

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results	
					B	F	T	P					
R-232	5.11.6	The optional (1 byte) Vertex Error field codes additional information on the vertex as described in the following table	1	M	Y	Y	Y	Y	Y				
		Description											Value
		Reserved by SC 37 for future use											0 to 199
		Depth value is considered correct											200
		Depth value is interpolated, interpolation type isn't specified											201
		Depth value is interpolated, linear interpolation has been used											202
		Depth value is interpolated, bicubic interpolation has been used											203
		Value of optional texture image potentially wrong (texture noisy, overexposure, etc.)											204
Value of optional texture image has been corrected by post-processing (image processing)	205												
		Reserved by SC 37 for future use	206 to 255										
R-233	5.11.6	If the existence of an Error Map is specified in the 3D Supplemental Data field, the Vertex Error field shall be present for each vertex.	2	M	Y	Y	Y	Y	Y				
R-234	5.11.6	The optional Vertex Texture X and Vertex Texture Y fields represent the corresponding x- and y-pixel position in the Texture Map with (0,0) denoting the upper left corner.	3C	O-1	Y	Y	Y	Y	Y				
R-235	5.11.6	If the existence of a Texture Map is specified in the 3D Supplemental Data field, Vertex Texture X and Vertex Texture Y shall be present for each vertex.	2	M	Y	Y	Y	Y	Y				
R-236	5.11.6	The number of triangles is specified in the (4 byte) Triangle Face Count field.	1	M	Y	Y	Y	Y	N				
R-237	5.11.6	The 3D Vertex Data representation optionally allows for the specification of additional normals to the vertices. This shall be indicated by the (1 byte) Normal Flag field.	3C	O-1	Y	Y	Y	Y	Y ³⁾				

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability			XML support	IUT support	Supported range	Test results								
					B	F	T					P							
R-238	5.11.6	See the following table for the list of possible Normal Flag values. <table border="1" data-bbox="403 1131 632 1881"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Normal information not used in Vertex Data</td> <td>00_{HEX}</td> </tr> <tr> <td>Normal information used in Vertex Data</td> <td>01_{HEX}</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>02_{HEX} to FF_{HEX}</td> </tr> </tbody> </table>	Description	Value	Normal information not used in Vertex Data	00 _{HEX}	Normal information used in Vertex Data	01 _{HEX}	Reserved by SC 37 for future use	02 _{HEX} to FF _{HEX}	1	M	Y	Y	Y	N			
Description	Value																		
Normal information not used in Vertex Data	00 _{HEX}																		
Normal information used in Vertex Data	01 _{HEX}																		
Reserved by SC 37 for future use	02 _{HEX} to FF _{HEX}																		
R-239	5.11.7	Triangle Data The variable length Vertex Triangle Data contains a list of triangle descriptions.	3C	O-1	Y	Y	Y	Y											
R-240	5.11.7	The number of the triangle descriptions is given by the Triangle Face Count field (see 5.11.6).	2	M	Y	Y	Y	N											
R-241	5.11.7	Each triangle is specified by the three (2 byte) indices of the vertices in the vertex data list forming the triangle.	1	M	Y	Y	Y	Y ³⁾											
R-242	5.11.7	The order of the vertex indices shall be counter clock wise to indicate the external face of the triangle.	3C	O-1	Y	Y	Y	Y											
R-243	5.11.8	Error Map The optional Error Map can be used to further give information on how the 3D data has been processed before it was stored in the 3D representation.	3C	O-1	Y	Y	Y	Y											
R-244	5.11.8	The Error Map shall be coded in the PNG format using an 8 bits per pixel greyscale image.	1	M	Y	Y	Y	Y											
R-245	5.11.8	The length of the map is variable, as it depends on the lossless compression algorithm.	3C	O-1	Y	Y	Y	Y											
R-246	5.11.8	The uncompressed data has the dimension Range Image Height × Range Image Width in the case it is associated with a Range Image or 3D Point Map Width × 3D Point Map Height in case it is associated with a 3D Point Map.	3C	O-1	Y	Y	Y	Y											
R-247	5.11.8	Pixel values <i>t</i> in the range of 0 to 199 are reserved for future use by SC 37.	3C	O-1	Y	Y	Y	Y											

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results																	
					B	F	T	P																					
R-248	5.11.8	A value of $t = 200$ codes that the depth value is considered to be correct.	3C	0-1	Y	Y	Y	Y																					
R-249	5.11.8	Values of $t = 201$ and above code a specific potential or corrected defect of the 3D data or the corresponding Texture Image.	3C	0-1	Y	Y	Y	Y																					
R-250	5.11.8	See 5.11.6 of how the pixel values are used in the 3D Vertex representation.	3C	0-1	Y	Y	Y	Y																					
R-251	5.11.8	See the following table for the list of possible Error Map values.	1	M	Y	Y	Y	Y																					
		<table border="1"> <thead> <tr> <th>Description</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Reserved by SC 37 for future use</td> <td>0 to 199</td> </tr> <tr> <td>Depth value is considered correct</td> <td>200</td> </tr> <tr> <td>Depth value is interpolated, interpolation type isn't specified</td> <td>201</td> </tr> <tr> <td>Depth value is interpolated, linear interpolation has been used</td> <td>202</td> </tr> <tr> <td>Depth value is interpolated, bicubic interpolation has been used</td> <td>203</td> </tr> <tr> <td>Value of optional texture image potentially wrong (texture noisy, overexposure, etc.)</td> <td>204</td> </tr> <tr> <td>Value of optional texture image has been corrected by post processing (image processing)</td> <td>205</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>206 to 255</td> </tr> </tbody> </table>	Description	Value	Reserved by SC 37 for future use	0 to 199	Depth value is considered correct	200	Depth value is interpolated, interpolation type isn't specified	201	Depth value is interpolated, linear interpolation has been used	202	Depth value is interpolated, bicubic interpolation has been used	203	Value of optional texture image potentially wrong (texture noisy, overexposure, etc.)	204	Value of optional texture image has been corrected by post processing (image processing)	205	Reserved by SC 37 for future use	206 to 255									
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Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability						XML support	IUT support	Supported range	Test results
					B	F	T	P						
R-252	5.11.9	Texture Map The optional Texture Map should only be used to store textural face data that is acquired by a scanning device during the 3D acquisition process, and therefore may have geometry other than the standard 2D image stored in the Image Data block of the same record.	3C	0-1	Y	Y	Y	Y	Y	Y				
R-253	5.11.9	It is not a substitute for the mandatory 2D image of the Image Data block.	3C	0-1	Y	Y	Y	Y	Y	Y				
R-254	5.11.9	The Texture Map has the format specified in the Texture Map Type field.	2	M	Y	Y	Y	Y	Y	Y				
R-255	5.11.9	It shall be coded in 8-bit or 16-bit greyscale or 24-bit colour image.	3C	0-1	Y	Y	Y	Y	Y	Y				
R-256	5.11.9	The length of the map is variable as it depends on the compression algorithm.	3C	0-1	Y	Y	Y	Y	Y	Y				
R-257	5.11.9	The uncompressed data has the dimension Range Image Height × Range Image Width in the case it is associated with a Range Image or 3D Point Map Width × 3D Point Map Height in case it is associated with a 3D Point Map, and variable dimensions when associated with a 3D Vertex representation.	3C	0-1	Y	Y	Y	Y	Y	Y				
The Basic Face Image Type														
R-258	6.2	Image data encoding requirements for the Basic Face Image Type One of four possible encodings shall be used for all 2D Face Image Types. a) The JPEG Sequential baseline (ISO/IEC 10918-1) mode of operation and encoded in the JFIF file format (the JPEG file format). b) The JPEG2000 Part-1 Code Stream Format (ISO/IEC 15444-1), lossy or lossless, and encoded in the JP2 file format (the JPEG2000 file format). c) The PNG (ISO/IEC 15948:2003) standard. PNG shall not be used in its interlaced mode and not for images that have been JPEG compressed before.	1	0-1	Y	Y	Y	Y	Y	Y				

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-259	6.3	Image data compression requirements for the Basic Face Image Type Both encoding methods allow for compression of image data.	3C	O-1	Y	Y	Y	Y	Y			
R-260	6.4.1	Format requirements for the Basic Face Image Type Facial Header The Format Identifier, Version Number, Length of Record, and Number of Representations fields shall be specified.	1	M	Y	Y	Y	Y	N			
R-261	6.4.2	Facial Information The Representation Length and Number of Landmark Points fields shall be specified.	1	M	Y	Y	Y	Y	N			
R-262	6.4.3	Image Information The Face Image Type field shall be specified with value 00hex.	1	M	Y	Y	Y	Y	N			
R-263	6.4.3	The Image Data Type, Width, and Height fields shall be specified.	1	M	Y	Y	Y	Y	Y			
The Frontal Face Image Type												
R-264	7.2.2	Pose Pose is known to strongly affect performance of automated face recognition systems. Thus, the full-face frontal pose shall be used.	3C	O-1	Y	Y	Y	Y	Y			
R-265	7.2.2	Rotation of the head shall be less than ±5 degrees from frontal in pitch and yaw (5.5.9).	1	M	Y	Y	Y	Y	Y			
R-266	7.2.2	The rotation of the head shall be less than ±8 degrees from frontal in roll (5.5.9).	1	M	Y	Y	Y	Y	Y			
R-267	7.2.4	Assistance in positioning the face In no cases shall any other face be captured in the image.	3C	O-1	Y	Y	Y	Y	Y			
R-268	7.2.5	Shoulders Shoulders shall be "square on" to the camera. "Portrait style" photographs where the subject is looking over one shoulder are not acceptable.	3C	O-1	Y	Y	Y	Y	Y			

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-269	7.2.7	Subject and scene lighting Lighting shall be equally distributed on the face.	3C	0-1	Y	Y	Y	Y				
R-270	7.2.7	There shall be no significant direction of the light from the point of view of the photographer.	3C	0-1	Y	Y	Y	Y				
R-271	7.2.7	The ratio between the median intensity on a square region centred around Landmark Points 5.3 and 5.4 with side length 20 % of the inter-eye distance shall be between 0,5 and 2,0.	3C	0-1	Y	Y	Y	Y				
R-272	7.2.8	Hot spots and specular reflections Hot spots (i.e., bright regions that results from light shining directly on the face) shall be absent.	3C	0-1	Y	Y	Y	Y				
R-273	7.2.8	Diffused lighting, multiple balanced sources or other lighting methods shall be used.	3C	0-1	Y	Y	Y	Y				
R-274	7.2.9	Eye glasses Glasses should be clear glass and transparent so that the eye pupils and irises shall be visible. Tinted glasses or sunglasses shall not be worn. An exception applies when the subject asserts a medical reason to retain the glasses; in these cases the dark glasses indicator in the header structure shall be set.	3C	0-1	Y	Y	Y	Y				
R-275	7.2.9	The frames of glasses shall not obscure the eyes.	3C	0-1	Y	Y	Y	Y				
R-276	7.2.9	The frames shall not be thicker than 5 % of the distance between points 12.1 and 12.2 (midpoints of left and right eye) in Figure 8.	3C	0-1	Y	Y	Y	Y				
R-277	7.2.10	Head coverings In cases where head coverings are present the related flag in the property mask shall be set.	3C	0-1	Y	Y	Y	Y				
R-278	7.2.11	Visibility of pupils and irises In cases where pupils or irises are not visible the related flag in the property mask shall be set.	3C	0-1	Y	Y	Y	Y				

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-179	7.2.12	Lighting artefacts There shall be no lighting artefacts or flash reflections on glasses.	3C	0-1	Y	Y	Y	Y				
R-280	7.2.12	Lighting artefacts covering any region of the eyes shall not be present. This applies to any region in polygon between landmark points 3.8, 3.2, 3.12 and 3.4 for the right eye and between landmark points 3.11, 3.1, 3.7 and 3.3 for the left eye in Figure 7.	3C	0-1	Y	Y	Y	Y				
R-281	7.2.13	Eye patches Eye patches shall not be worn. An exception applies when the subject asserts a need to retain the patch (e.g. a medical reason) is claimed; in these cases the left or right patch indicators in the header structure shall be set.	3C	0-1	Y	Y	Y	Y				
R-282	7.3.2	Contrast and saturation For each patch of skin on the person's face, the gradations in textures shall be clearly visible i.e. being of reasonable contrast. In this sense, there will be no saturation (over or under exposure) on the face.	3C	0-1	Y	Y	Y	Y				
R-283	7.3.3	Focus and depth of field The subject's captured image shall always be in focus from nose to ears and chin to crown.	3C	0-1	Y	Y	Y	Y				
R-284	7.3.3	All images shall have sufficient depth of focus to maintain greater than two millimetre spatial sampling rate on the subject's facial features at time of capture.	3C	0-1	Y	Y	Y	Y				
R-285	7.3.4	Unnatural colour Unnaturally coloured lighting, yellow, red, etc. is not allowed.	3C	0-1	Y	Y	Y	Y				
R-286	7.3.4	Care shall be taken to correct the "white balance" of image capture devices.	3C	0-1	Y	Y	Y	Y				
R-287	7.3.4	The lighting shall produce a face image with natural looking flesh tones when viewed in typical examination environments.	3C	0-1	Y	Y	Y	Y				

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-288	7.3.4	Images showing the "red-eye effect", i.e. the common appearance of red eyes on photographs taken with a photographic flash when the flash is too close to the lens, are not acceptable.	3C	0-1	Y	Y	Y	Y	Y			
R-289	7.3.4	The iris and the iris colour shall be visible.	3C	0-1	Y	Y	Y	Y	Y			
R-290	7.3.5	Colour or greyscale enhancement A process that overexposes or under-develops a colour or greyscale image for purposes of beauty enhancement or artistic pleasure is not allowed.	3C	0-1	Y	Y	Y	Y	Y			
R-291	7.3.5	The full spectrum shall be represented on the face image where appropriate.	3C	0-1	Y	Y	Y	Y	Y			
R-292	7.3.5	Teeth and whites of eyes shall be clearly light or white (when appropriate) and dark hair or features (when appropriate) shall be clearly dark.	3C	0-1	Y	Y	Y	Y	Y			
R-293	7.3.6	Radial distortion of the camera lens The "fish eye" effect associated with wide angle lenses and which can result in the subject appearing to have an unusually large nose in the image shall not be present.	3C	0-1	Y	Y	Y	Y	Y			
R-294	7.4.1.1	Pixel aspect ratio Digital cameras and scanners used to capture facial images shall produce images with a pixel aspect ratio of 1:1. That is, the number of pixels per inch in the vertical dimension shall equal the number of pixels per inch in the horizontal direction.	3C	0-1	Y	Y	Y	Y	Y			
R-295	7.4.1.2	Origin at upper left The origin of coordinates shall be at the upper left given by coordinate (0,0) with positive entries from left to right (first dimension) and top to bottom (second dimension).	3C	0-1	Y	Y	Y	Y	Y			

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-296	7.4.2.1	<p>Colour space Frontal images shall be represented as one of the following</p> <p>a) The 24-bit RGB colour space where for every pixel, eight (8) bits will be used to represent each of the Red, Green, and Blue components.</p> <p>b) An 8-bit monochrome colour space where for every pixel, (8) bits will be used to represent the luminance component.</p> <p>c) The YUV422 colour space where twice as many bits are dedicated to luminance as to each of the two colour components. YUV422 images typically contain two 8-bit Y samples along with one 8-bit sample of each of U and V in every four bytes.</p>	3C	0-1		Y	Y		Y			
R-297	7.4.3	<p>Video interlacing Interlaced video frames are not allowed for the Frontal Image Type. All interlacing shall be absent (not simply removed, but absent).</p>	3C	0-1		Y	Y		Y			
R-298	7.4.4	<p>Use of near infra-red cameras Dedicated near infra-red cameras shall not be used for acquisition of image of the Frontal Image Type.</p>	3C	0-1		Y	Y		Y			
The Full Frontal Image Type												
R-299	8.3.1	<p>The face from chin to crown as defined in 8.3.5 and with the full width as defined in 8.3.4 shall be visible in the image.</p>	3C	0-1		Y			Y			
R-300	8.3.2	<p>Horizontally centred face The approximate horizontal midpoints of the mouth and of the bridge of the nose define the imaginary line AA (usually the symmetry axis of the face). Furthermore, the imaginary line BB is defined as the line through the centres of the left and the right eye. The intersection of AA and BB defines the point M as the centre of the face. The X coordinate M_x of M shall be between 45 % and 55 % of the image width.</p>	3C	0-1		Y			Y			

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-301	8.3.3	<p>Vertical position of the face</p> <p>The Y coordinate M_y of M shall be between 30 % and 50 % of the image height. A single exception is allowed for children under the age of 11 years, in which case the higher limit shall be modified to 60 % (i.e. the centre point of the head is allowed to be lower in the image for children under the age of 11). Note that the origin O of the coordinate system is in the upper left corner of the image.</p>	3C	0-1	Y				Y			
R-302	8.3.4	<p>Width of head</p> <p>The width of a head is defined as the distance between the two imaginary lines parallel to the line AA; each imaginary line is drawn between the upper and lower lobes of each ear and shall be positioned where the external ear connects the head. The head width is shown as length CC in Figure 14.</p> <p>To ensure that the entire face is visible in the image the head width CC shall be between 50 % and 75 % of the image width (A).</p>	3C	0-1	Y				Y			
R-303	8.3.5	<p>Length of head</p> <p>The length of a head is defined as the distance between the base of the chin and the crown measured on the imaginary line AA. This is shown as length DD in Figure 14. The crown is defined as the top of the head ignoring any hair.</p> <p>In order to assure that the entire face is visible in the image, the minimum image height shall be specified by requiring that the crown-to-chin portion (DD) of the Full Frontal image pose shall be between 60 % and 90 % of the vertical length of the image (B). A single exception is allowed for children under the age of 11 years, in which case the lower limit shall be modified to 50 %.</p>	3C	0-1	Y				Y			

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results																			
					B	F	T	P																							
R-304	8.4.1	<p>Spatial Sampling Rate</p> <p>For an image for optimal human examination and permanent storage, the spatial sampling rate of the full images shall be at least 180 pixels of spatial sampling for the width of the head, or roughly 90 pixels from eye centre to eye centre.</p> <p>See the following table for the list of possible Spatial Sampling Rate Level values.</p> <table border="1" data-bbox="518 1131 1029 1881"> <thead> <tr> <th>Width of Head, CC</th> <th>Spatial Sampling Rate Level</th> </tr> </thead> <tbody> <tr> <td>CC ≤ 180</td> <td>00_{HEX}</td> </tr> <tr> <td>180 < CC ≤ 240</td> <td>01_{HEX}</td> </tr> <tr> <td>240 < CC ≤ 300</td> <td>02_{HEX}</td> </tr> <tr> <td>300 < CC ≤ 370</td> <td>03_{HEX}</td> </tr> <tr> <td>370 < CC ≤ 480</td> <td>04_{HEX}</td> </tr> <tr> <td>480 < CC ≤ 610</td> <td>05_{HEX}</td> </tr> <tr> <td>610 < CC ≤ 750</td> <td>06_{HEX}</td> </tr> <tr> <td>750 < CC</td> <td>07_{HEX}</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>08_{HEX} to FF_{HEX}</td> </tr> </tbody> </table>	Width of Head, CC	Spatial Sampling Rate Level	CC ≤ 180	00 _{HEX}	180 < CC ≤ 240	01 _{HEX}	240 < CC ≤ 300	02 _{HEX}	300 < CC ≤ 370	03 _{HEX}	370 < CC ≤ 480	04 _{HEX}	480 < CC ≤ 610	05 _{HEX}	610 < CC ≤ 750	06 _{HEX}	750 < CC	07 _{HEX}	Reserved by SC 37 for future use	08 _{HEX} to FF _{HEX}	1	M	Y			Y ³⁾			
Width of Head, CC	Spatial Sampling Rate Level																														
CC ≤ 180	00 _{HEX}																														
180 < CC ≤ 240	01 _{HEX}																														
240 < CC ≤ 300	02 _{HEX}																														
300 < CC ≤ 370	03 _{HEX}																														
370 < CC ≤ 480	04 _{HEX}																														
480 < CC ≤ 610	05 _{HEX}																														
610 < CC ≤ 750	06 _{HEX}																														
750 < CC	07 _{HEX}																														
Reserved by SC 37 for future use	08 _{HEX} to FF _{HEX}																														

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results																																						
					B	F	T	P																																										
R-305	8.4.2	<p>Post-acquisition processing</p> <p>No other post processing than in-plane rotation and/or cropping and/or down sampling and/or multiple compression shall be applied to derive a Full Frontal Face image from a captured image. See the following table for the list of possible Post Acquisition Processing values.</p> <table border="1"> <thead> <tr> <th>Post-acquisition Processing</th> <th>Mask Position</th> <th>Use of post-processed image type mandatory</th> </tr> </thead> <tbody> <tr> <td>Rotated (in-plane)</td> <td>0</td> <td>No</td> </tr> <tr> <td>Cropped</td> <td>1</td> <td>No</td> </tr> <tr> <td>Downsampled</td> <td>2</td> <td>No</td> </tr> <tr> <td>White balance adjusted</td> <td>3</td> <td>No</td> </tr> <tr> <td>Multiply compressed</td> <td>4</td> <td>Yes</td> </tr> <tr> <td>Interpolated (upsample)</td> <td>5</td> <td>Yes</td> </tr> <tr> <td>Contrast stretched</td> <td>6</td> <td>Yes</td> </tr> <tr> <td>Pose corrected</td> <td>7</td> <td>Yes</td> </tr> <tr> <td>Multi View Image</td> <td>8</td> <td>Yes</td> </tr> <tr> <td>Age progressed</td> <td>9</td> <td>Yes</td> </tr> <tr> <td>Super-resolution processed</td> <td>10</td> <td>Yes</td> </tr> <tr> <td>Reserved by SC 37 for future use</td> <td>11 to 15</td> <td></td> </tr> </tbody> </table>	Post-acquisition Processing	Mask Position	Use of post-processed image type mandatory	Rotated (in-plane)	0	No	Cropped	1	No	Downsampled	2	No	White balance adjusted	3	No	Multiply compressed	4	Yes	Interpolated (upsample)	5	Yes	Contrast stretched	6	Yes	Pose corrected	7	Yes	Multi View Image	8	Yes	Age progressed	9	Yes	Super-resolution processed	10	Yes	Reserved by SC 37 for future use	11 to 15		1	M	Y			Y ³⁾			
Post-acquisition Processing	Mask Position	Use of post-processed image type mandatory																																																
Rotated (in-plane)	0	No																																																
Cropped	1	No																																																
Downsampled	2	No																																																
White balance adjusted	3	No																																																
Multiply compressed	4	Yes																																																
Interpolated (upsample)	5	Yes																																																
Contrast stretched	6	Yes																																																
Pose corrected	7	Yes																																																
Multi View Image	8	Yes																																																
Age progressed	9	Yes																																																
Super-resolution processed	10	Yes																																																
Reserved by SC 37 for future use	11 to 15																																																	
R-306	8.5.2	<p>Image Information</p> <p>The Face Image Type field shall be specified with value 1.</p>	1	M	Y			N																																										

The Token Face Image Type

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results													
					B	F	T	P																	
R-307	9.2.1	The Token Face image is used to store the extracted face information from any other image source.	3C	O-1			Y		Y																
R-308	9.2.2	To create a Token Face image, the eye socket centres, or simply eye positions, defined as Landmark Points 12.1 and 12.2, shall be determined. For the determination of eye positions, it is possible: a) to use computer inspection; b) to use human visual inspection; c) to use computer and human visual inspection.	1, 3C	M			Y		Y																
R-309	9.2.3	Geometric characteristics A Token image is a colour or greyscale image with image dimensions and eye position coordinates given by the following table.	2, 3C	O-1			Y		Y																
		<table border="1"> <thead> <tr> <th>Feature or Parameter</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Image Width</td> <td>W</td> </tr> <tr> <td>Image Height</td> <td>W / 0,75</td> </tr> <tr> <td>Y coordinate of Eyes</td> <td>0,6 * W</td> </tr> <tr> <td>X coordinate of First (right) Eye</td> <td>0,375 * W</td> </tr> <tr> <td>X coordinate of Second (left) Eye</td> <td>(0,625 * W) - 1</td> </tr> <tr> <td>Width from eye to eye (inclusive)</td> <td>0,25 * W</td> </tr> </tbody> </table>	Feature or Parameter	Value	Image Width	W	Image Height	W / 0,75	Y coordinate of Eyes	0,6 * W	X coordinate of First (right) Eye	0,375 * W	X coordinate of Second (left) Eye	(0,625 * W) - 1	Width from eye to eye (inclusive)	0,25 * W									
Feature or Parameter	Value																								
Image Width	W																								
Image Height	W / 0,75																								
Y coordinate of Eyes	0,6 * W																								
X coordinate of First (right) Eye	0,375 * W																								
X coordinate of Second (left) Eye	(0,625 * W) - 1																								
Width from eye to eye (inclusive)	0,25 * W																								
R-310	9.2.4	Minimum width of the Token Image Type The minimum required image width is 240 pixels.	1	M					Y																
R-311	9.2.4	Coordinates are relative to the top left corner of the image (0,0) and all measurements are in units of pixels.	3C	O-1					Y																

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability			XML support	IUT support	Supported range	Test results
					B	F	T				
R-312	9.2.5	Padding The normative practice shall be to fill any undefined set of pixels with any colour.	3C	O-1		Y		Y			
R-313	9.2.6	Post-acquisition processing No other post processing than in-plane rotation and/or cropping and/or down sampling and/or multiple compression shall be applied to derive a Token Frontal Face image from a captured image.	1	M		Y		Y			
R-314	9.3.2	Image Information The Face Image Type field in the Image Information structure shall be specified with value 02 _{HEX} .	1	M		Y		N			
The Post-processed Frontal Face Image Type											
R-315	10.3.2	Block referencing To encode the relationship between the different representations, the Cross Reference field shall be used, i.e. the Cross Reference field shall not be zero. Multiple cross referencing of one original representation is possible.	1	M				Y			
R-316	10.3.3	Image Information The Face Image Type field in the Image Information structure shall be specified with value 03 _{HEX} .	1	M				Y			
R-317	10.3.4	Post-acquisition processing The post acquisition processing bit-field shall be specified, i.e. its value shall be greater than 0.	1	M				Y			
The Basic 3D Image Type Using the 3D Point Map Representation											
R-318	11.2.1	Coordinate System Type The Coordinate System Type for the Basic 3D Image Type using the 3D Point Map representation shall be 00 _{HEX} , i.e. a Cartesian coordinate system shall be used.	1	M	Y			N			

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability					XML support	IUT support	Supported range	Test results
					B	F	T	P					
R-319	11.2.2	<p>ScaleX, ScaleY and ScaleZ</p> <p>Basic 3D Images using the 3D Point Map representation shall use a fixed scaling and offset values. The following values shall be used:</p> <p>$ScaleX = ScaleY = ScaleZ = 0,02 \text{ mm}$</p> <p>$OffsetX = OffsetY = OffsetZ = -655,34 \text{ mm}$</p>	1	M	Y				Y				
The Basic 3D Image Type Using the 3D Vertex Representation													
R-320	11.3.1	<p>Coordinate System Type</p> <p>The Coordinate System Type for the Basic 3D Image Type using the 3D Vertex representation shall be 00_{HEX}, i.e. a Cartesian coordinate system shall be used.</p>	1	M	Y				N				
R-321	11.3.2	<p>ScaleX, ScaleY and ScaleZ</p> <p>Basic 3D Images using the 3D Vertex representation shall use a fixed scaling and offset values. The following values shall be used:</p> <p>$ScaleX = ScaleY = ScaleZ = 0,02 \text{ mm}$</p> <p>$OffsetX = OffsetY = OffsetZ = -655,34 \text{ mm}$</p>	1	M	Y				Y				
The Full Frontal 3D Image Type													
R-322	12.2	<p>Coordinate System Type</p> <p>The Coordinate System Type for the Full Frontal 3D Image Type shall be 00_{HEX}, i.e. a Cartesian coordinate system shall be used.</p>	1	M		Y	Y		N				
R-323	12.2	The origin of the coordinate system shall be the nose, i.e. the Prn landmark as defined in Table 15.	3C	0-1		Y	Y		Y				
R-324	12.3	<p>Pose of the 3D representation</p> <p>The rotation of the head in the 3D representation shall be less than ± 5 degrees from frontal in pitch and yaw</p>	3C	0-1		Y	Y		Y				
R-325	12.3	Therefore, the rotation of the head shall be less than ± 8 degrees from frontal in roll	3C	0-1		Y	Y		Y				

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability			XML support	IUT support	Supported range	Test results
					B	F	T				
R-326	12.4	Calibration Texture Projection Accuracy The calibration accuracy of the acquisition device shall be so high, that the mean shift between the texture of the 2D Full Frontal Image and the 3D data after projection with the texture projection matrix is less than 1 mm.	3C	0-1	Y	Y		Y			
Full Frontal 3D Image Types Using the Range Image Representation											
R-327	12.5.1	ScaleX, ScaleY and ScaleZ The resolution of the stored depth data strongly depends on <i>ScaleZ</i> . To preserve quality a maximum value of $ScaleZ_{max} = 1$ mm shall be defined for the Full Frontal 3D Image Type.	1	M	Y	Y		Y			
R-328	12.5.1	For the same reason a maximum value of $ScaleX_{max} = ScaleY_{max} = 1$ mm shall be defined for the Full Frontal 3D Image Type in a Cartesian coordinate system.	1	M	Y	Y		Y			
R-329	12.5.2	Face Coverage The 3D data shall cover the minimal rectangular dimensions $[-1,75 w, 1,75 w] \times [-1,75 w, 2,55 w]$ ("outer region") in the Cartesian Coordinate System with the Landmark Point Prn as origin, where w is the distance between the feature points 12.1 and 12.2 (centre of the eyes) as defined in 5.6.5.	3C	0-1	Y	Y		Y			
R-330	12.5.3	Non-valid points in 3D data Image At maximum 50 % of the pixel of the Range Image in the region defined in 12.5.2 shall have a zero value, indicating a non-valid depth value.	3C	0-1	Y	Y		Y			
R-331	12.5.3	in the "inner region" defined as $[-1,5 w, 1,5 w] \times [-1,8 w, 1,8 w]$ in the Cartesian coordinate system with the Landmark Point Prn as origin at maximum 20 % of the pixel shall have a zero value, indicating a non-valid depth value. Here, w is the distance between the feature points 12.1 and 12.2 (centre of the eyes) as defined in 5.6.5.	3C	0-1	Y	Y		Y			
Full Frontal 3D Image Types Using the 3D Point Map Representation											

Table A.1 (continued)

Req. ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-332	12.6.1	<p>3D Point Map Width and Height</p> <p>The resolution of the 3D Point Map is directly dependent on the Width and Height of the 3D Point Map. To enable the interchange of high resolution 3D data the minimum dimensions for Full Frontal 3D Image Types using the 3D Point Map representation shall be</p> <ul style="list-style-type: none"> — 3DPointMapWidth min = 140 Pixels, and — 3DPointMapHeight min = 170 Pixels. 	1	M		Y	Y		Y			
R-333	12.6.2	<p>Face Coverage</p> <p>For biometric purposes it is eminently important that the outer region, as defined in 12.5.2 shall be covered by sufficient measurement points.</p>	3C	O-1		Y	Y		Y			
R-334	12.6.2	<p>Therefore, for a Full Frontal 3D Image Type using the 3D Point Map a minimum of 70 % of the points shall have X and Y coordinates with $-1,75 w \leq X \leq 1,75 w$ and $-1,75 w \leq Y \leq 2,55 w$ in the Cartesian coordinate system with the Landmark Point Prn as origin, where w is the distance between the feature points 12.1 and 12.2 (centre of the eyes) as defined in 5.6.5.</p>	3C	O-1		Y	Y		Y			
Full Frontal 3D Image Types Using the 3D Vertex Representation												
R-335	12.7.1	<p>Face Coverage</p> <p>For biometric purposes it is eminently important that the inner region, as defined in 12.5.3, shall be covered by sufficient measurement points. Therefore, for a Full Frontal 3D Image Type using the Vertex representation a minimum of 1000 points shall have X and Y coordinates with $-1,5 w \leq X \leq 1,5 w$ and $-1,8 w \leq Y \leq 1,8 w$ in the Cartesian coordinate system with the Landmark Point Prn as origin, where w is the distance between the feature points 12.1 and 12.2 (centre of the eyes) as defined in 5.6.5.</p>	3C	O-1		Y	Y		Y			
R-336	12.7.1	<p>There shall be at least one vertex point projected on the plane of the inner region per square centimetre with coverage of 80 % in the inner region.</p>	3C	O-1		Y	Y		Y			
Registered Format Type Identifier												

Table A.1 (continued)

Req-ID	Ref. in main body	Requirements summary	Level	Status	Subformat applicability				XML support	IUT support	Supported range	Test results
					B	F	T	P				
R-337	14	The registration listed in Table 38 has been made with the CBEFF Registration Authority (see ISO/IEC 19785-2) to identify the face image record format. The format owner is ISO/IEC JTC 1/SC 37 with the registered format owner identifier 257 (0101 _{HEX}).	3C	0-1	Y	Y	Y	Y	Y			
<p>1) Byte length restriction does not apply.</p> <p>2) Element is defined in ISO/IEC 19794-1:2011/Amd 2:2015.</p> <p>3) Feature is supported, but alternative values as defined in Appendix F must be used.</p>												

Insert the following tables after Table A.1 (Table A.4 may extend over multiple pages):

Table A.2 — Structure of Quality blocks

Description		Length	Valid values	Note
Number of Quality Blocks		1 byte	[0,255]	This field is followed by the number of 5-byte Quality Blocks reflected by its value. A value of zero (0) means that no attempt was made to assign a quality score. In this case, no Quality Blocks are present.
Quality Block	Quality Score	1 byte	[0,100] 255	0: lowest 100: highest 255: failed attempt to assign a quality score
	Quality algorithm vendor Identifier	2 bytes	[1,65 535]	Quality Algorithm Vendor Identifier shall be registered with IBIA or other approved registration authority as a CBEFF biometric organization. Refer CBEFF vendor Identifier registry procedures in ISO/IEC 19785-2.
	Quality algorithm Identifier	2 bytes	[1,65 535]	Quality Algorithm Identifier may be optionally registered with IBIA or other approved registration authority as a CBEFF Product Code. Refer to CBEFF product registry procedures in ISO/IEC 19785-2.

Table A.3 — Image data structure

Field	Size	Value	Notes
Length of Image data	4 byte	$K \leq \text{Length} \leq 2^{32} - 53$	Length of "Image data". K is minimum JPEG or JPEG2000 or PNG header length.
Image data	Variable	See Table 17	Either JPEG or JPEG2000 or PNG.

Table A.4 — Binary Element Name vs. XML Element Name

Binary element	XML element	Remark regarding XML
Version Number	Version	The single "Version Number" is replaced by the "Version" element, see ISO/IEC 19794-1 for details.
N/A	Representation→Id	This attribute relates to the "CrossReference" attribute of the FaceImageInformation element (see below).
Capture Date and Time	CaptureDateAndTime	The format is changed to xs:dateTime.
Capture Device Vendor Identifier	DeviceModelID→Organization	See ISO/IEC 19794-1.
Capture Device Type Identifier	DeviceModelID→ID	See ISO/IEC 19794-1.
N/A	CaptureDevice	The new XML element groups DeviceModelID and CaptureDeviceTechnologyID.
Capture Device Technology Identifier	CaptureDeviceTechnologyID	The format has changed to xs:string for both 2D and 3D technology ("TechnologyID2D", "TechnologyID3D", resp.). See Tables F.1 and F.13.
Quality Blocks	Qualities	This XSD element groups all quality-related information, see ISO/IEC 19794-1:2011/Amd 2:2015 for details.
Gender	Gender	The format has changed to xs:string. See Table F.2.

Table A.4 (continued)

Binary element	XML element	Remark regarding XML
Eye Colour	EyeColour	The format has changed to xs:string. See Table F.3.
Hair Colour	HairColour	The format has changed to xs:string. See Table F.4.
Subject Height	SubjectHeight	The format has set to xs:unsignedShort.
Property Mask	PropertyMask	a) The existence of the property mask is reflected by the optional appearance of this element. b) Each property flag is an XML element. The existence of a property is reflected by its boolean value. See Table F.5. c) Eye patches have been summed up into a "Eye-Patch" element having the subsidiary elements "Left" and "Right".
Expression Mask	ExpressionMask	a) The existence of the property mask is reflected by the optional appearance of this element. b) Each expression is an XML element. The existence of an expression is reflected by its boolean value. See Table F.6.
Pose Angle	PoseAngle	This element contains all pose angle-related data. It also means that pose angles have their uncertainties attributed.
Landmark Point Type	LandmarkPoint→PointType	The format has changed to xs:string. See Table F.7.
Landmark Point Code	LandmarkPoint→PointCode	
Image Information	FacelImageInformation	
Face Image Type	FacelImageKindType	The format has changed to xs:string. See Table F.8.
Image Data Type	ImageDataType	The format has changed to xs:string. See Table F.9.
Width	ImageSize→Width	
Height	ImageSize→Height	
Spatial Sampling Rate Level	SpacialSamplingRateLevel	The format has changed to xs:string. See Table F.10.
Post-acquisition Processing	PostAcquisitionProcessing	Each post-processing step is an XML element. The application of a step is reflected by its boolean value. See Table F.11.
Cross Reference	CrossReference	attribute of the FacelImageInformation element
Image Colour Space	ImageColourSpace	The format is changed to xs:string. See Table F.12.
Image Data	ImageData	This element contains the base64-encoded binary image. "Data Structure" and "Image Data Length" are obsolete.
3D Information Block	FaceRepresentation3D	
Coordinate System Type	N/A	The type of the coordinate system has been merged into the name of the respective coordinate. See ISO/IEC 19794-1 for details.
Scale X, Y, Z	SamplingRate	The type of the coordinate is specific to the coordinate system it is based on (see ISO/IEC 19794-1). A choice has been introduced to enable the correct definition of the respective value.
Offset X, Y, Z	Offset	X, Y, Z values are subsidiary elements to "Offset".
3D Representation Type	N/A	Obsolete by the existence of the respective element.

Table A.4 (continued)

Binary element	XML element	Remark regarding XML
3D Supplemental Data	N/A	Obsoleted by the existence of the respective element.
Texture Projection Matrix	TextureProjectionMatrix	X, Y, Z represent the rows of the projection matrix.
Temporal Synchronicities	TemporalSynchronicity	This element contains the “3D to 2D Image Temporal Synchronicity” and the “3D to 2D Texture Temporal Synchronicity” in the subsidiary elements “Image” and “Texture”, respectively.
3D Data Block	RepresentationData	
3D Acquisition Time	AcquisitionTime	
2D texture Acquisition Time	TextureAcquisitionTime	
N/A	TextureMap	This element contains the Texture Map information.
Texture Map Type	TextureMap→Type	Possible values are the same as for Image Data Type. T-155 in Table A.3 must be fulfilled.
Texture Map Spectrum	TextureMap→Spectrum	The format has changed to xs:string. See Table F.14.
Texture Map	TextureMap→ImageData	
Range Image Bit Depth	RangeImage→ RangeImageBitDepth	See Table F.15
3D PointMap	PointMap	
3D PointMap Width	PointMapWidth	
3D Point Map Height	PointMapHeight	
3D Point Map	PointMapData	
Vertex Data	VertexRepresentation	
N/A	Vertex→Id	Vertexes have an Id for referencing.
Triangle	Triangle	A, B, C contain the vertex references (Vertex-Id).

Page 53, ISO/IEC 19794-5:2011/Amd 1:2014, A.3, Table A.2

Rename Table A.2 to:

Table A.5 — Conformance Test Assertions for Binary Encodings of all Image Types

Page 63, ISO/IEC 19794-5:2011/Amd 1:2014, A.3

Insert the following table at the end of A.3: